

# **BAND Manual**

# Amsterdam Modeling Suite 2025.1

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**CHAPTER** 

ONE

# **GENERAL**

# 1.1 Introduction

The periodic DFT program **BAND** can be used for calculations on periodic systems, i.e. polymers, slabs and crystals. It uses Density Functional Theory (DFT) in the Kohn-Sham approach. BAND shares many of the core algorithms with ADF, although important differences remain (a noteworthy difference is that BAND uses numerical atomic orbitals as basis functions).

This User's Manual describes how to use the program, how input is structured, what files are produced, and so on. The *Examples section* (page 209) explains the most popular features in detail, by commenting on the input and output files in the \$AMSHOME/examples/band directory.

Where references are made to the operating system (OS) and to the file system on your computer the terminology of UNIX type OSs is used.

The **installation** of BAND is explained in the Installation manual. There you can also find information about the license file, which you need to run the program.

Graphical User Interface (GUI) tutorials: GUI overview tutorials, BAND-GUI tutorials

This manual and other documentation is available at http://www.scm.com. As mentioned in the license agreement, it is mandatory, for publications in which BAND has been used, to cite the *lead references* (page 327).

# 1.2 Feature List

## 1.2.1 Model Hamiltonians

- XC energy functionals and potentials (page 15)
  - LDA (page 16), GGA (page 16), meta-GGA (page 18), Model potentials (page 21)
  - Range-separated Hybrids (page 24)
  - GGA+U (Hubbard) (page 25)
  - LibXC library (page 22)
  - Grimme dispersion corrections (page 19)
- Relativistic effects: ZORA and spin-orbit coupling (page 33) (including non-collinear magnetization)
- COSMO (page 34) solvation model
- Homogeneous *electric* (page 49) and *magnetic* (page 49) fields

# 1.2.2 Structure and Reactivity

- Geometry optimization, transition state search, linear transit, PES-scan, molecular dynamics via AMS. See the AMS Manual for details.
- Formation energy with respect to isolated atoms (which are computed with a fully numerical Herman-Skillman type subprogram)

# 1.2.3 Spectroscopy and Properties

- Normal modes, phonon dispersion curves (and related thermodynamic properties) and elastic tensor via AMS See
  the AMS Manual for details.
- Frequency-dependent dielectric function of systems periodic in one, two and three dimensions in the *Time-dependent Current-DFT* (page 113) (TD-CDFT) formalism
- ESR and EPR (page 124) (electron paramagnetic resonance) and EFG (page 126) (Nuclear Quadrupole Interaction)
- Form factors (page 130) (X-ray structures)
- NMR shielding tensor (page 127)

# 1.2.4 Charge transport

- Non-Equilibrium Green's Function (page 165) (NEGF) for calculating transmission function and current
- Effective mass (page 128) for electrons and holes mobility

# 1.2.5 Analysis

- Various Atomic charges (page 153), including Mulliken, Hirshfeld, CM5 and Voronoi
- Mulliken populations for basis functions, overlap populations between atoms or between basis functions
- Densities-of-States (page 143): DOS, PDOS and OPWDOS/COOP (see also: Band Structure and COOP tutorial)
- Local Densities-of-States (page 187) LDOS (STM images)
- 3D filed plotting of various properties (page 159), such as orbitals (Bloch-waves), deformation densities, Coulomb potentials, ...
- Band Structure plot (page 148) along edges of the Brillouin zone
- Fermi surface (page 152): (view with amsbands)
- Fragment (page 156) orbitals and a Mulliken type population analysis in terms of the fragment orbitals
- Quantum Theory of Atoms In Molecules (page 153) (QT-AIM, aka Bader Analysis). Atomic charges and critical
  points
- Electron Localization Function (*ELF* (page 183))
- Fragment based Periodic Energy Decomposition Analysis (*PEDA* (page 157))
- PEDA combined with Natural Orbitals for Chemical Valency (NOCV) to decompose the orbital relaxation (PEDA-NOCV (page 158))

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# 1.3 What's new in Band 2025.1

- Full hybrids (like B3PW) for band gaps.
- updated Libxc library to libxc7.0.0 (page 22)
- · General purpose LAK meta gga, by Lebeda and coworkers.
- For the EffectiveMass the position of the HOMO and LUMO are determined along the high symmetry path. To get the pre 2025 behavior set useBandStructureInfoFromPath=No.
- DFT-D3 updated library (s-dftd3 1.2.1) (page 19) and DFT-D4 updated library (dftd4 3.7.0) (page 19)
  - inclusion of actinide parameters (Z = 87 (Fr) 103 (Lr))

# 1.4 What's new in Band 2024.1

- The unit of the DOS and PDOS is now states-per-unit-cell/energy. Before ams2024 this was multiplied by the energy interval, and had the unit states-per-unit-cell. To reproduce the old behavior use DOS%CompensateDeltaE=No.
- Restart of the DOS: i.e. calculate it from a previous calculation. This may be useful when you forgot to request it, or
  want to change the energy window/grid. In addition, a better k sampling can be used for the DOS only (compared
  to the k-grid used in the previous SCF calculation). See also the missing DOS problem addressed in *restarting the*DOS (page 189).
- Restart of the BandStructure. This can be useful if you forgot to request it, or want to have it with a finer Band-Structure%DeltaK key.

# 1.5 What's new in Band 2023.1

- Improved SCF convergence with the MultiStepper.
- Special (all electron) basis sets for comparison with literature data. Pure *STO* (page 59) basis sets for direct comparison with ADF, and pure *GTO* (page 59) basis sets as used by many other codes. Large GTO basis sets can also be useful for accurate MP2/RPA/GW calculations.
- MP2/RPA/GW for molecules
- TASKCC functional from Lebeda and coworkers (https://doi.org/10.1103/PhysRevResearch.4.023061). Compared to the TASKXC functional (good for band gaps) this improves atomization energies (the energy of open shell systems).

# 1.6 What's new in Band 2022.1

- Mulliken analysis (including PDOS) with spin-orbit coupling, see tutorial
- Alternative elements (page 66) (model impurities, fractional site occupancies)
- Electron energy density function (page 188), also known as X.
- Fermi surface (page 152), see tutorial

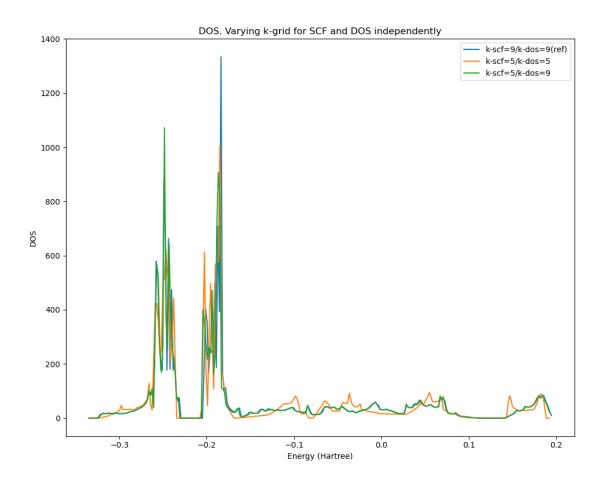


Fig. 1.1: DOS of a CuNiSlab. The best result is when using a 9x9 k-grid for both the SCF and the DOS calculation (blue curve). Using a worse 5x5 grid for both the SCF and the DOS produces a quite different DOS (amber). Doing the SCF with the coarser 5x5 grid and restarting the DOS with the finer 9x9 grid gives the green DOS, matching closely, and mostly hides, the best DOS (blue). See *Example: DOS and BandStructure from a previous calculation* (page 249).

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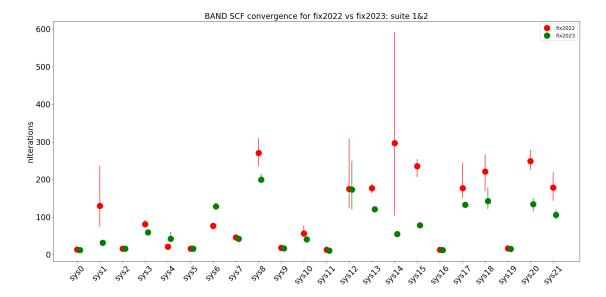


Fig. 1.2: Comparison of the number of SCF cycles needed. For easy systems there is not much difference, for more difficult systems, however, the fix2023 (green) is an improvement over the fix2022 (red). As there can be some randomness in the number of iterations (butterfly effect) the calculations are repeated five times (using a different number of cores), the dot is the average number of cycles used, and the vertical lines show the spread in the number of iterations (if any). The maximum number of iterations was set to 1000.

# 1.7 What's new in Band 2021.1

- The D4(EEQ) dispersion correction (page 19) can now be used for periodic systems.
- r2SCAN-D4 (page 22) XC functional
- New AMS driver features can be used in combination with BAND. See the page What's new in the AMS driver for more details.

# 1.8 What's new in Band 2020

- New default: *Scalar relativistic ZORA* (page 33) is now enabled by default (in previous versions "non-relativistic" was the default).
- Basis sets for Uue (Z=119) and Ubn (Z=120) added (page 54)
- BAND can be used in QM/MM calculations with the new Hybrid Engine. See the Hybrid Engine manual and tutorial for more details.
- New AMS driver features can be used in combination with BAND. See the page What's new in the AMS2020
  driver for more details (particularly important for BAND are the new strain-constraints and the periodic geometry
  optimizer improvements).

# 1.9 Input

The input options for Band are specified in a text file consisting of a series of key-value pairs, possibly nested in blocks. The input is usually embedded in an executable shell script. This is the content of a typical shell script for running a Band calculation:

```
#!/bin/sh
$AMSBIN/ams <<eor
   # This is the beginning of the input.
   # The input consists of key-value pairs and blocks.
   # Here we define the input option for the AMS driver:
   Task GeometryOptimization
   System
     Atoms
        н 0.0 0.0 0.0
        н 0.9 0.0 0.0
      End
   End
   # Next comes the Band "Engine" block. The input options for Band, which are
   # described in this manual, should be specified in this block:
   Engine Band
      Basis
         Type DZP
      End
      XC
         GGA PBE
      End
   EndEngine
eor
```

To run the calculation above from command-line you should:

- 1. Create a text file called, for example, test.run and copy-paste the content of the script above
- 2. Make the script executable by typing in your shell chmod u+x test.run
- 3. Execute the script and redirect the output to a file: ./test.run > out

The program will create a directory called ams.results. Inside it, you will find the *logfile* ams.log (which can be used to monitor the progress of the calculation) and the binary results files ams.rkf and band.rkf. After the calculation is completed, you can examine the output file out. For more details, see the AMS documentation.

#### See also:

The *Examples* (page 209) section contains a large number of input examples.

**Important:** All options described in this manual should be specified in the Band Engine block:

```
# All Band keywords should be specified inside the 'Engine Band' block
Engine Band
Basis
Type DZP

(continues on next page)
```

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(continued from previous page)

```
End

XC

GGA PBE

End

Endengine
```

# 1.9.1 General remarks on input structure and parsing

- · Most keys are optionals. Defaults values will be used for keys that are not specified in the input
- Keys/blocks can either be *unique* (*i.e.* they can appear in the input only once) or *non-unique*. (i.e. they can appear multiple times in the input)
- The order in which keys or blocks are specified in the input does not matter. Possible exceptions to this rule are a) the content of non-standard blocks b) some non-unique keys/blocks)
- Comments in the input file start with one of the following characters: #, !, :::

```
# this is a comment
! this is also a comment
:: yet another comment
```

- · Empty lines are ignored
- The input parsing is **case insensitive** (except for string values):

```
# this:
UseSymmetry false
# is equivalent to this:
USESYMMETRY FALSE
```

• Indentation does not matter and multiple spaces are treaded as a single space (except for string values):

```
# this:
    UseSymmetry false
# is equivalent to this:
UseSymmetry false
```

• Environment variables in the input will be replaced by their value. We only support simple substitution of variables (optionally surrounded by {}). All other parameter expansion features of the POSIX shell (https://pubs.opengroup.org/onlinepubs/9699919799/utilities/V3\_chap02.html#tag\_18\_06\_02) (e.g. default values) are **not** supported. Expansion of a variable can be prevented by prefixing the leading dollar (\$) with a backslash (\). Note that variables which are not set will expand to an empty string, just like in a shell:

```
File $SOME_DIRECTORY/file.txt
File ${SOME_DIRECTORY}_BACKUP/file.txt
Key \$WILL_NOT_EXPAND
```

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# 1.9.2 Keys

Key-value pairs have the following structure:

```
KeyName Value
```

Possible types of keys:

#### bool key

The value is a single Boolean (logical) value. The value can be True (equivalently Yes) or False (equivalently No.). Not specifying any value is equivalent to specifying True. Example:

```
KeyName Yes
```

#### integer key

The value is a single integer number. Example:

```
KeyName 3
```

#### float key

The value is a single float number. For scientific notation, the E-notation is used (e.g.  $-2.5 \times 10^{-3}$  can be expressed as -2.5E-3). The decimal separator should be a dot (.), and **not** a comma (,). Example:

```
KeyName -2.5E-3
```

Note that fractions (of integers) can also be used:

```
KeyName 1/3 (equivalent to: 0.33333333333...)
```

# string key

The value is a string, which can include white spaces. Only ASCII characters are allowed. Example:

```
KeyName Lorem ipsum dolor sit amet
```

#### multiple\_choice key

The value should be a single word among the list options for that key (the options are listed in the documentation of the key). Example:

```
KeyName SomeOption
```

#### integer list key

The value is list of integer numbers. Example:

```
KeyName 1 6 0 9 -10
```

Note that one can also specify ranges of integers by specifying the interval and (optionally) the step size separated by colons:

Note also that ranges can be freely combined with individual numbers:

```
KeyName 1:5 10 20 (equivalent to: 1 2 3 4 5 10 20)
```

### float\_list key

The value is list of float numbers. The convention for float numbers is the same as for Float keys. Example:

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```
KeyName 0.1 1.0E-2 1.3
```

Float lists can also be specified as a range with equidistant points, by specifying the interval's boundaries (inclusive) as well as the number of desired subintervals separated by colons:

```
KeyName 1.0:1.5:5 (equivalent to: 1.0 1.1 1.2 1.3 1.4 1.5)
```

Range specifications can be freely combined with each other and single numbers:

```
KeyName 0.0 1.0:1.5:5 2.0:3.0:10
```

## 1.9.3 Blocks

Blocks give a hierarchical structure to the input, grouping together related keys (and possibly sub-blocks). In the input, blocks generally span multiple lines, and have the following structure:

```
BlockName
KeyName1 value1
KeyName2 value2
...
End
```

#### Headers

For some blocks it is possible (or necessary) to specify a *header* next to the block name:

```
BlockName someHeader
KeyName1 value1
KeyName2 value2
...
End
```

### **Compact notation**

It is possible to specify multiple key-value pairs of a block on a single line using the following notation:

```
# This:
BlockName KeyName1=value1 KeyName2=value2

# is equivalent to this:
BlockName
   KeyName1 value1
   KeyName2 value2
End
```

Notes on compact notation:

- The compact notation cannot be used for blocks with headers.
- Spaces (blanks) between the key, the equal sign and the value are ignored. However, if a value itself needs to contain spaces (e.g. because it is a list, or a number followed by a unit), the entire value must be put in either single or double quotes:

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(continued from previous page)

```
BlockName
    Key1 value
    Key2 5.6 [eV]
    Key3 5 7 3 2

End

# This is NOT OK:
BlockName Key1=value Key2 = 5.6 [eV] Key3=5 7 3 2
```

#### Non-standard Blocks

A special type of block is the *non-standard block*. These blocks are used for parts of the input that do not follow the usual key-value paradigm.

A notable example of a non-standard block is the Atoms block (in which the atomic coordinates and atom types are defined).

# 1.9.4 Including an external file

You can include an external ASCII file in the input with the @include directive:

```
@include FileName.in
@include "file name with spaces.in"
```

The file name should include the path, either absolute or relative to the run-directory. The content of the file is included in the input at the point where the @include directive occurs. The @include directive may occur any number of times in the input.

The @include feature makes it easy to pack your preferred settings in one file and use them in every run with minimum input-typing effort.

### 1.9.5 Units

Some keys have a default unit associated (not all keys have units). For such keys, the default unit is mentioned in the key documentation. One can specify a different unit within square brackets at the end of the line:

```
KeyName value [unit]
```

For example, assuming the key EnergyThreshold has as default unit hartree, then the following definitions are equivalent:

```
# Use defaults unit:
EnergyThreshold 1.0

# use eV as unit:
EnergyThreshold 27.211 [eV]

# use kcal/mol as unit:
EnergyThreshold 627.5 [kcal/mol]

# hartree is the atomic unit of energy:
EnergyThreshold 1.0 [hartree]
```

Available units:

- Energy: hartree, joule, eV, kJ/mol, kcal/mol, cm1, MHz
- Forces: hartree/bohr, eV/bohr, hartree/angstrom, eV/angstrom, kcal/mol/angstrom, kJ/mol/angstrom
- Length: bohr, angstrom, meter
- Angles: radian, degree
- Mass: el, proton, atomic, kg
- Pressure: atm, pascal, GPa, a.u., bar, kbar
- Electric field: V/angstrom, V/meter, a.u.

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# AMS DRIVER'S TASKS AND PROPERTIES

BAND is an engine used by the AMS driver. While BAND's specific options and properties are described in this manual, the definition of the system, the selection of the task and certain (PES-related) properties are documented in the AMS driver's manual.

In this page you will find useful links to the relevant sections of the AMS driver's Manual.

# 2.1 Geometry, System definition

The definition of the system, i.e. the atom types and atomic coordinates (and optionally, the systems' net charge, the lattice vector, the input bond orders, external homogeneous electric field, external point charges, atomic masses for isotopes) are part of the AMS driver input. See the System definition section of the AMS manual.

# 2.2 Tasks: exploring the PES

The job of the AMS driver is to handle all changes in the simulated system's geometry, e.g. during a geometry optimization or molecular dynamics calculation, using energy and forces calculated by the engine.

These are the tasks available in the AMS driver:

- Single Point
- Geometry Optimization
- Transition State Search
- IRC (Intrinsic Reaction Coordinate)
- PESScan (Potential Energy Surface Scan, including linear transit)
- NEB (Nudged Elastic Band)
- Vibrational Analysis
- Molecular Dynamics

# 2.3 Properties in the AMS driver

The following properties can be requested to the BAND engine in the AMS driver's input:

- Atomic charges
- Dipole Moment (see also Dipole moment and Berry Phase (page 131))
- Dipole Gradients
- Elastic tensor
- Nuclear Gradients / Forces
- Hessian
- Infrared (IR) spectra / Normal Modes
- Thermodynamic properties
- PES point character
- Phonons
- Stress tensor
- · Elastic tensor
- VCD (Vibrational Circular Dichroism)

**CHAPTER** 

**THREE** 

# **MODEL HAMILTONIANS**

# 3.1 Density Functional (XC)

The starting point for the XC functional is usually the result for the homogeneous electron gas, after which the so called non-local or generalized gradient approximation (GGA) can be added.

The density functional approximation is controlled by the XC key.

Three classes of XC functionals are supported: LDA, GGA, meta-GGA, and range-separated hybrid functionals. There is also the option to add an empirical dispersion correction. The only ingredient of the LDA energy density is the (local) density, the GGA depends additionally on the gradient of the density, and the meta-GGA has an extra dependency on the kinetic energy density. The range-separated hybrids are explained below in the section *Range-Separated Hybrids* (page 24).

In principle you may specify different functionals to be used for the *potential*, which determines the self-consistent charge density, and for the *energy* expression that is used to evaluate the (XC part of the) energy of the charge density. The *energy* functional is used for the nuclear gradients (geometry optimization), too. To be consistent, one should generally apply the same functional to evaluate the potential and energy respectively. Two reasons, however, may lead one to do otherwise:

- 1. The evaluation of the GGA part (especially for meta-GGAs) in the *potential* is rather time-consuming. The effect of the GGA term in the potential on the self-consistent charge density is often not very large. From the point of view of computational efficiency it may, therefore, be attractive to solve the SCF equations at the LDA level (i.e. not including GGA terms in the potential), and to apply the full expression, including GGA terms, to the energy evaluation *a posteriori*: post-SCF.
- 2. A particular XC functional may have only an implementation for the potential, but not for the energy (or vice versa). This is a rather special case, intended primarily for fundamental research of Density Functional Theory, rather than for run-of-the-mill production runs.

All subkeys of XC are optional and may occur twice in the data block: if one wants to specify different functionals for potential and energy evaluations respectively, see above.

```
XC
   {LDA
        {Apply}
                   LDA {Stoll}}
   {GGA
        {Apply}
                    GGA }
   {DiracGGA GGA}
   {MetaGGA {Apply}
                        GGA }
   {Dispersion {s6scaling} {RSCALE=r0scaling} {Grimme3} {BJDAMP} {PAR1=par1}
\hookrightarrow {PAR2=par2} {PAR3=par3} {PAR4=par4}}
   {Dispersion Grimme4 {s6=...} {s8=...} {a1=...} {a2=...}}
   {Model [LB94|TB-mBJ|KTB-mBJ|JTS-MTB-MBJ|GLLB-SC|BGLLB-VWN|BGLLB-LYP]}
   {SpinOrbitMagnetization [None|NonCollinear|CollinearX|CollinearY|CollinearZ]}
   {LibXC {Functional}}
```

(continues on next page)

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```
{DOUBLEHYBRID doublehybrid}
{RPA {option}}
End
```

The common use is to specify either an LDA or a (meta)GGA line. (Technically it is possible to have an LDA line *and* a GGA line, in which case the LDA part of the GGA functional (if applicable) is replaced by what is specified by the LDA line.)

## Apply

States whether the functional defined on the pertaining line will be used self-consistently (in the SCF-potential), or only post-SCF, i.e. to evaluate the XC energy corresponding to the charge density. The value of apply must be SCF or POSTSCF. (default=SCF)

### 3.1.1 LDA/GGA/metaGGA

#### LDA

Defines the LDA part of the XC functional and can be any of the following:

**Xonly**: The pure-exchange electron gas formula. Technically this is identical to the Xalpha form with a value 2/3 for the X-alpha parameter.

**Xalpha**: the scaled (parameterized) exchange-only formula. When this option is used you may (optionally) specify the X-alpha *parameter* by typing a numerical value after the string Xalpha (**Default: 0.7**).

**VWN**: the parametrization of electron gas data given by Vosko, Wilk and Nusair (ref<sup>1</sup>, formula version V). Among the available LDA options this is the more advanced one, including correlation effects to a fair extent.

**Stoll**: For the VWN or GL variety of the LDA form you may include Stoll's correction<sup>2</sup> by typing Stoll on the same line, after the main LDA specification. You must not use Stoll's correction in combination with the Xonly or the Xalpha form for the Local Density functional.

### GGA

Specifies the GGA part of the XC Functional. It uses derivatives (gradients) of the charge density. Separate choices can be made for the GGA exchange correction and the GGA correlation correction respectively. Both specifications must be typed (if at all) on the same line, after the GGA subkey.

For the exchange part the options are:

- Becke: the gradient correction proposed in 1988 by Becke<sup>3</sup>
- PW86x: the correction advocated in 1986 by Perdew-Wang<sup>4</sup>
- PW91x: the exchange correction proposed in 1991 by Perdew-Wang<sup>5</sup>
- mPWx: the modified PW91 exchange correction proposed in 1998 by Adamo-Barone<sup>6</sup>

<sup>&</sup>lt;sup>1</sup> S.H. Vosko, L. Wilk and M. Nusair, Accurate spin-dependent electron liquid correlation energies for local spin density calculations: a critical analysis. Canadian Journal of Physics 58, 1200 (1980) (https://doi.org/10.1139/p80-159).

<sup>&</sup>lt;sup>2</sup> H. Stoll, C.M.E. Pavlidou and H. Preuß, *On the calculation of correlation energies in the spin-density functional formalism.* Theoretica Chimica Acta 49, 143 (1978) (https://doi.org/10.1007/PL00020511).

<sup>&</sup>lt;sup>3</sup> A.D. Becke, *Density-functional exchange-energy approximation with correct asymptotic behavior.* Physical Review A 38, 3098 (1988) (https://doi.org/10.1103/PhysRevA.38.3098).

<sup>&</sup>lt;sup>4</sup> J.P. Perdew and Y. Wang, Accurate and simple density functional for the electronic exchange energy: generalized gradient approximation. Physical Review B 33, 8800 (1986) (https://doi.org/10.1103/PhysRevB.33.8800).

<sup>&</sup>lt;sup>5</sup> J.P. Perdew, J.A. Chevary, S.H. Vosko, K.A. Jackson, M.R. Pederson, D.J. Singh and C. Fiolhais, *Atoms, molecules, solids, and surfaces: Applications of the generalized gradient approximation for exchange and correlation.* Physical Review B 46, 6671 (1992) (https://doi.org/10.1103/PhysRevB.46.6671).

<sup>&</sup>lt;sup>6</sup> C. Adamo and V. Barone, Exchange functionals with improved long-range behavior and adiabatic connection methods without adjustable parameters: The mPW and mPWIPW models. Journal of Chemical Physics 108, 664 (1998) (https://doi.org/10.1063/1.475428).

- **PBEx**: the exchange correction proposed in 1996 by Perdew-Burke-Ernzerhof<sup>7</sup>
- HTBSx: the HTBS exchange functional<sup>8</sup>
- RPBEx: the revised PBE exchange correction proposed in 1999 by Hammer-Hansen-Norskov<sup>9</sup>
- revPBEx: the revised PBE exchange correction proposed in 1998 by Zhang-Yang<sup>10</sup>
- mPBEx: the modified PBE exchange correction proposed in 2002 by Adamo-Barone<sup>11</sup>
- **OPTX**: the OPTX exchange correction proposed in 2001 by Handy-Cohen<sup>12</sup>

For the correlation part the options are:

- **Perdew**: the correlation term presented in 1986 by Perdew<sup>13</sup>
- **PBEc**: the correlation term presented in 1996 by Perdew-Burke-Ernzerhof<sup>7</sup>
- **PW91c**: the correlation correction of Perdew-Wang (1991), see Page 16, 51617
- LYP: the Lee-Yang-Parr 1988 correlation correction 18

Some GGA options define the exchange and correlation parts in one stroke. These are:

- **BP86**: this is equivalent to **Becke** + **Perdew** together
- PW91: this is equivalent to pw91x + pw91c together
- mPW: this is equivalent to mPWx + pw91c together
- PBE: this is equivalent to PBEx + PBEc together
- HTBS: this is equivalent to HTBSx + PBEc together
- **RPBE**: this is equivalent to **RPBEx** + **PBEc** together
- revPBE: this is equivalent to revPBEx + PBEc together
- mPBE: this is equivalent to mPBEx + PBEc together
- **BLYP**: this is equivalent to **Becke** (exchange) + **LYP** (correlation)
- **OLYP**: this is equivalent to **OPTX** (exchange) + **LYP** (correlation)
- **OPBE**: this is equivalent to **OPTX** (exchange) + **PBEc** (correlation)<sup>19</sup>

<sup>&</sup>lt;sup>7</sup> J.P. Perdew, K. Burke and M. Ernzerhof, *Generalized Gradient Approximation Made Simple*. Physical Review Letters 77, 3865 (1996) (https://doi.org/10.1103/PhysRevLett.77.3865).

<sup>&</sup>lt;sup>8</sup> P. Haas, F. Tran, P. Blaha, and K. H. Schwarz, *Construction of an optimal GGA functional for molecules and solids*, Physical Review B 83, 205117 (2011) (https://doi.org/10.1103/PhysRevB.83.205117).

<sup>&</sup>lt;sup>9</sup> B. Hammer, L.B. Hansen, and J.K.Nørskov, *Improved adsorption energetics within density-functional theory using revised Perdew-Burke-Ernzerhof functionals.* Physical Review B 59, 7413 (1999) (https://doi.org/10.1103/PhysRevB.59.7413).

<sup>&</sup>lt;sup>10</sup> Y. Zhang and W. Yang, Comment on "Generalized Gradient Approximation Made Simple". Physical Review Letters 80, 890 (1998) (https://doi.org/10.1103/PhysRevLett.80.890).

<sup>11</sup> C. Adamo and V. Barone, *Physically motivated density functionals with improved performances: The modified Perdew.Burke.Ernzerhof model.* Journal of Chemical Physics 116, 5933 (2002) (https://doi.org/10.1063/1.1458927).

<sup>&</sup>lt;sup>12</sup> N.C. Handy and A.J. Cohen, *Left-right correlation energy*. Molecular Physics 99, 403 (2001) (https://doi.org/10.1080/00268970010018431).

<sup>&</sup>lt;sup>13</sup> J.P. Perdew, *Density-functional approximation for the correlation energy of the inhomogeneous electron gas.* Physical Review B 33, 8822 (1986) (https://doi.org/10.1103/PhysRevB.33.8822).

<sup>&</sup>lt;sup>16</sup> B.G. Johnson, P.M.W. Gill and J.A. Pople, *The performance of a family of density functional methods.* Journal of Chemical Physics 98, 5612 (1993) (https://doi.org/10.1063/1.464906).

<sup>&</sup>lt;sup>17</sup> T.V. Russo, R.L. Martin and P.J. Hay, *Density Functional calculations on first-row transition metals*. Journal of Chemical Physics 101, 7729 (1994) (https://doi.org/10.1063/1.468265).

<sup>&</sup>lt;sup>18</sup> C. Lee, W. Yang and R.G. Parr, *Development of the Colle-Salvetti correlation-energy formula into a functional of the electron density*. Physical Review B 37, 785 (1988) (https://doi.org/10.1103/PhysRevB.37.785).

<sup>&</sup>lt;sup>19</sup> M. Swart, A.W. Ehlers and K. Lammertsma, *Performance of the OPBE exchange-correlation functional*. Molecular Physics 2004 102, 2467 (2004) (https://doi.org/10.1080/0026897042000275017).

#### DiracGGA

(Expert option!) This key handles which XC functional is used during the Dirac calculations of the reference atoms. A string is expected which is not restricted to names of GGAs but can be LDA-like functionals, too.

**Note**: In some cases using a GGA functional leads to slow convergence of matrix elements of the kinetic energy operator w. r. t. the Accuracy parameter. Then one can use the LDA potential for the calculation of the reference atom instead.

## MetaGGA

Key to select the evaluation of a meta-GGA. A byproduct of this option is that the bonding energies of all known functionals are printed (using the same density). Meta-GGA calculations can be time consuming, especially when active during the SCF.

Self consistency of the meta-GGA is implemented as suggested by Neuman, Nobes, and Handy<sup>20</sup>.

The available functionals of this type are:

- TPSS: The 2003 meta-GGA<sup>21</sup>
- M06L: The meta-GGA as developed by the Minesota group<sup>22</sup>
- revTPSS: The 2009 revised meta-GGA<sup>23</sup>
- MVS: Functional by Sun-Perdew-Ruzsinszky<sup>24</sup>
- **MS0**: Functional by Sun et al.<sup>25</sup>
- MS1: Functional by Sun et al.<sup>26</sup>
- MS2: Functional by Sun et al. Page 18, 26
- SCAN: Functional by Sun et al.<sup>27</sup>
- TASKxc: by Aschebrock et al (https://journals.aps.org/prresearch/abstract/10.1103/PhysRevResearch.1.033082). Intended for band gaps and charge transfer systems.
- TASKCC: Functional by Lebeda et al. 37, improves TASKxc atomization energies
- LAK: Functional by Lebeda and coworkers.<sup>47</sup>, it is a general purpose functional.

Note: For Meta-GGA XC functionals, it is recommended to use small or none *frozen core* (page 54) (the frozen orbitals are computed using LDA and not the selected Meta-GGA)

<sup>&</sup>lt;sup>20</sup> R. Neumann, R.H. Nobes and N.C. Handy, *Exchange functionals and potentials*. Molecular Physics 87, 1 (1996) (https://doi.org/10.1080/00268979600100011).

<sup>&</sup>lt;sup>21</sup> J. Tao, J.P. Perdew, V.N. Staroverov and G.E. Scuseria, *Climbing the Density Functional Ladder: Nonempirical Meta-Generalized Gradient Approximation Designed for Molecules and Solids.* Physical Review Letters 91, 146401 (2003) (https://doi.org/10.1103/PhysRevLett.91.146401).

<sup>&</sup>lt;sup>22</sup> Y. Zhao, D.G. Truhlar, A new local density functional for main-group thermochemistry, transition metal bonding, thermochemical kinetics, and noncovalent interactions. Journal of Chemical Physics 125, 194101 (2006) (https://doi.org/10.1063/1.2370993).

<sup>&</sup>lt;sup>23</sup> J.P. Perdew, A. Ruzsinszky, G. I. Csonka, L. A. Constantin, and J. Sun, *Workhorse Semilocal Density Functional for Condensed Matter Physics and Quantum Chemistry*., Physical Review Letters 103, 026403 (2009) (https://doi.org/10.1103/PhysRevLett.103.026403).

<sup>&</sup>lt;sup>24</sup> J. Sun, J.P. Perdew, and A. Ruzsinszky, Semilocal density functional obeying a strongly tightened bound for exchange, Proceedings of the National Academy of Sciences 112, 685 (2015) (https://doi.org/10.1073/pnas.1423145112)

<sup>&</sup>lt;sup>25</sup> J. Sun, B. Xiao, A. Ruzsinszky, Communication: Effect of the orbital-overlap dependence in the meta generalized gradient approximation, Journal of Chemical Physics 137, 051101 (2012) (https://doi.org/10.1063/1.4742312).

<sup>&</sup>lt;sup>26</sup> J. Sun, R. Haunschild, B. Xiao, I.W. Bulik, G.E. Scuseria, J.P. Perdew, *Semilocal and hybrid meta-generalized gradient approximations based on the understanding of the kinetic-energy-density dependence*, Journal of Chemical Physics 138, 044113 (2013) (https://doi.org/10.1063/1.4789414).

<sup>&</sup>lt;sup>27</sup> J. Sun, A. Ruzsinszky, J.P. Perdew, Strongly Constrained and Appropriately Normed Semilocal Density Functional, Physical Review Letters 115, 036402 (2015) (https://doi.org/10.1103/PhysRevLett.115.036402).

<sup>&</sup>lt;sup>37</sup> T. Lebeda, T. Aschebrock, and S. Kümmel, First steps towards achieving both ultranonlocality and a reliable description of electronic binding in a meta-generalized gradient approximation, Phys. Rev. Research 4, 023061 (2022) (https://doi.org/10.1103/PhysRevResearch.4.023061)

<sup>&</sup>lt;sup>47</sup> T. Lebeda, T. Aschebrock, and S. Kümmel, *Balancing the contributions to the gradient expansion: Accurate binding and band gaps with a nonempirical meta-GGA*, Phys. Rev. Letters, 023061 (2024) (accepted for publication) (https://journals.aps.org/prl/accepted/d2072Y38Fff15b8b58146dd51ea85cccafaf9f3dc)

# 3.1.2 Dispersion Correction

BAND supports the new *D4(EEQ)* (page 19) as well as the older *D3 and D3-BJ* (page 19) dispersion corrections from the group of Stefan Grimme:

## D4(EEQ)

Version 3.7.0 of dftd4 is used (https://github.com/dftd4/dftd4), which includes parameters for actinides. For elements for which no parameters are present (103<Z<112,Z>118), the parameters for the element Z'=Z-32 will be used.

```
Dispersion Grimme4 {s6=...} {s8=...} {a1=...} {a2=...}
```

If Dispersion Grimme4 is present in the XC block the D4(EEQ) dispersion correction (with the electronegativity equilibrium model) by the Grimme group<sup>3334</sup> will be added to the total bonding energy, gradient and second derivatives, where applicable.

The D4(EEQ) model has four parameters:  $s_6$ ,  $s_8$ ,  $a_1$  and  $a_2$  and their value should depend on the XC functional used. For many functionals the D4(EEQ) parameters are **predefined**, like B1B95, B3LYP, B3PW91, B97-D, BLYP, BP86, CAM-B3LYP, HartreeFock, OLYP, OPBE, PBE, PBE0, PW6B95, REVPBE, RPBE, TPSS, TPSSH. For such functionals it is enough to specify Dispersion Grimme 4 in the input block. E.g.:

```
XC
GGA BLYP
Dispersion Grimme4
END
```

For many other functionals you should explicitly specify the D4(EEQ) parameters in the Dispersion key (otherwise the PBE parameters will be used). For example, for the PW91 functional you could use the following input (although this is not necessary, since the parameters for PW91 are predefined):

```
XC

GGA PW91

Dispersion Grimme4 s6=1.0 s8=0.7728 a1=0.3958 a2=4.9341

END
```

The D4(EEQ) parameters for many functionals can be found in the supporting information of the following paper: Page 19, 33, see also https://github.com/dftd4/dftd4.

#### D3 and D3-BJ

In BAND parameters for *Grimme3* and *Grimme3 BJDAMP* were updated according to version 1.2.1 of s-dftd3 (https://github.com/dftd3/simple-dftd3).

#### DISPERSION Grimme3 BJDAMP {PAR1=par1 PAR2=par2 PAR3=par3 PAR4=par4}

If this key is present a dispersion correction (DFT-D3-BJ) by Grimme<sup>29</sup> will be added to the total bonding energy, gradient and second derivatives, where applicable.

For many XC functionals, parametrizations are implemented e.g. for B3LYP, TPSS, BP86, BLYP, PBE, PBEsol, RPBE, and SCAN. For example, this is the input block for specifying the PBE functional with Grimme3 BJDAMP dispersion correction (PBE-D3(BJ)):

<sup>&</sup>lt;sup>33</sup> E. Caldeweyher, S. Ehlert, A. Hansen, H. Neugebauer, S. Spicher, C. Bannwarth, S. Grimme, *A Generally Applicable Atomic-Charge Dependent London Dispersion Correction Scheme*, J. Chem. Phys., 2019, 150, 154122 (https://doi.org/10.1063/1.5090222)

<sup>&</sup>lt;sup>34</sup> E. Caldeweyher, J.-M. Mewes, S. Ehlert, S. Grimme, *Extension and evaluation of the D4 London-dispersion model for periodic systems*, Phys. Chem. Phys., 2020, 22, 8499-8512 (https://doi.org/10.1039/D0CP00502A)

<sup>&</sup>lt;sup>29</sup> S. Grimme, S. Ehrlich, and L. Goerigk, *Effect of the Damping Function in Dispersion Corrected Density Functional Theory*, Journal of Computational Chemistry 32, 1456 (2011) (https://doi.org/10.1002/jcc.21759).

```
XC
GGA PBE
DISPERSION Grimme3 BJDAMP
End
```

The parametrization has four general parameters that depend on the XC functional. One can override these using *PAR1*=.. *PAR2*=.., etc. In the table the relation is shown between the parameters and the real parameters in the dispersion correction:

| variable | variable on https://www.chemie.uni-bonn.de/grimme/de/software/dft-d3/bj_damping |
|----------|---|
| PAR1     | s6  |
| PAR2     | a1  |
| PAR3     | s8  |
| PAR4     | a2  |

## DISPERSION Grimme3 {PAR1=par1 PAR2=par2 PAR3=par3}

If this key is present a dispersion correction (DFT-D3) by Grimme<sup>31</sup> will be added to the total bonding energy, gradient and second derivatives, where applicable. Parametrizations are available e.g. for B3LYP, TPSS, BP86, BLYP, revPBE, PBE, PBEsol<sup>28</sup>, and RPBE, and will be automatically set if one of these functionals is used. For SCAN parameters from Ref.<sup>30</sup> are used. For all other functionals, PBE-D3 parameters are used as default. You can explicitly specify the three parameters.

| variable | variable on https://www.chemie.uni-bonn.de/grimme/de/software/dft-d3/zero_damping |
|----------|---|
| PAR1     | s6  |
| PAR2     | sr,6  |
| PAR3     | s8  |

# Dispersion {s6scaling RSCALE=r0scaling}

If the DISPERSION keyword is present a dispersion correction will be added to the total bonding energy, where applicable. By default the correction of Grimme is applied<sup>32</sup>. The term is added to the bonding energies of all printed functionals, here the LDA and a couple of GGAs are meant. The global scaling factor, with which the correction is added, depends on the XC functional used for SCF but it can be modified using the *s6scaling* parameter. The following scaling factors are used (with the XC functional in parentheses): 1.20 (BLYP), 1.05 (BP), 0.75 (PBE), 1.05 (B3LYP). In all other cases a factor 1.0 is used unless modified via the s6scaling parameter. The van der Waals radii, used in this implementation, are hard-coded. However, it is possible to modify the global scaling parameter for them using the *RSCALE=r0scaling* argument. The default value is 1.1 as proposed by Grimme<sup>Page 20, 32</sup>.

<sup>&</sup>lt;sup>31</sup> S. Grimme, J. Anthony, S. Ehrlich, and H. Krieg, *A consistent and accurate* ab initio parametrization of density functional dispersion correction (DFT-D) for the 94 elements H-Pu, The Journal of Chemical Physics 132, 154104 (2010) (https://doi.org/10.1063/1.3382344).

<sup>&</sup>lt;sup>28</sup> J.P. Perdew, A. Ruzsinszky, G.I. Csonka, O.A. Vydrov, G.E. Scuseria, L.A. Constantin, X. Zhou and K. Burke, *Restoring the Density-Gradient Expansion for Exchange in Solids and Surfaces*. Physical Review Letters 100, 136406 (2008) (https://doi.org/10.1103/PhysRevLett.100.136406).

<sup>&</sup>lt;sup>30</sup> J.G. Brandenburg, J.E. Bates, J. Sun, and J.P. Perdew, *Benchmark tests of a strongly constrained semilocal functional with a long-range dispersion correction*, Physical Review B 94, 115144 (2016) (https://doi.org/10.1103/PhysRevB.94.115144)

<sup>&</sup>lt;sup>32</sup> S. Grimme, Semiempirical GGA-Type Density Functional Constructed with a Long-Range Dispersion Correction. Journal of Computational Chemistry 27, 1787 (2006) (https://doi.org/10.1002/jcc.20495).

# 3.1.3 Model Potentials

#### Model

Some functionals give only a potential and have no energy expression. We call such functionals model potentials. In BAND the following model potentials are available:

#### LB94

With this model the asymptotically correct potential of van Leeuwen and Baerends is invoked<sup>35</sup>.

#### TB-mBJ

This model potential can be used to correct for the band gap problem with GGAs for bulk systems<sup>36</sup>. This potential depends on a c-factor for which there is a density dependent automatic expression. However you can override the automatic value by specifying XC%TB\_mBJCFactor cfac. In principle: the bigger the value the larger the gap. **KTB-mBJ/JTS-mTB-mBJ** are variations of **TB-mBJ**. The formula for C contains three parameters: A,B, and E. The logic is as follows

| potential                 | A      | В     | E   |
|---------------------------|--------|-------|-----|
| TB-mBJ <sup>36</sup>      | -0.012 | 1.023 | 0.5 |
| KTB-mBJ <sup>38</sup>     | 0.267  | 0.656 | 1.0 |
| JTS-mTB-mBJ <sup>39</sup> | 0.4    | 1.0   | 0.5 |

The three parameters (A,B, and E) can be user-defined set as follows:

```
XC

Model TB_mBJ

TB_mBJAFactor valA

TB_mBJBFactor valB

TB_mBJEFactor valE

End
```

#### **GLLB-SC**

This functional uses a model for the exchange response potential (based on J. Krieger, Y. Li and G. Iafrate response potential<sup>41</sup>) from which the derivative discontinuity follows<sup>40</sup>. This is an accurate functional for band gap predictions and Electric Field Gradient calculations. It is also a fast method and a very good compromise between accuracy and computational cost. This functional is composed of the GLLB exchange response potential and the PBESOL exchange hole and the correlation potential<sup>40</sup>.

#### **BGLLB-VWN**

This functional is a variation of the GLLB-SC functional using the B88 exchange hole potential and the VWN correlation potential. This functional gives good results for Group I-VII and II-VI semi conductors.

### **BGLLB-LYP**

This functional is a variation of the GLLB-SC functional using the B88 exchange hole potential and the LYP correlation potential. This functional gives good results for large band gap insulators.

One can change the K parameter for the GLLB functionals with the  ${\tt GLLBKParameter}$  key:

<sup>&</sup>lt;sup>35</sup> R. van Leeuwen and E.J. Baerends, *Exchange-correlation potential with correct asymptotic behavior*. Physical Review A 49, 2421 (1994) (https://doi.org/10.1103/PhysRevA.49.2421).

<sup>&</sup>lt;sup>36</sup> F. Tran, and P. Blaha, Accurate Band Gaps of Semiconductors and Insulators with a Semilocal Exchange-Correlation Potential, Physical Review Letters 102, 226401 (2009) (https://doi.org/10.1103/PhysRevLett.102.226401).

<sup>&</sup>lt;sup>38</sup> D. Koller, F. Tran, and P. Blaha, *Improving the Modified Becke-Johnson Exchange Potential.*, Physical Review B 83, 155109 (2012) (https://doi.org/10.1103/PhysRevB.85.155109).

R. A.Jishi, O. B. Ta, and A. Sharif, Modeling of Lead Halide Perovskites for Photovoltaic Applications., Archive (http://arxiv.org/abs/1405.1706).
 J.B. Krieger, Yan Li, G.J. Iafrate, Derivation and application of an accurate Kohn-Sham potential with integer discontinuity, Physics Letters A 8, 146 (1990) (https://doi.org/10.1016/0375-9601(90)90975-T)

<sup>&</sup>lt;sup>40</sup> M. Kuisma, J. Ojanen, J. Enkovaara, and T.T. Rantala, *Kohn-Sham potential with discontinuity for Band gap materials*, Physical review B 82, 115106 (2010) (https://doi.org/10.1103/PhysRevB.82.115106).

```
XC
Model [GLLB-SC|BGLLB-VWN|BGLLB-LYP]
GLLBKParameter val
End
```

The default value is K=0.382 (value obtained from the electron gas model in the original publication).

# 3.1.4 Non-Collinear Approach

## SpinOrbitMagnetization

(**Default=CollinearZ**) Most XC functionals have as one ingredient the spin polarization. Normally the direction of the spin quantization axis is arbitrary and conveniently chosen to be the z-axis. However, in a *spin-orbit* (page 33) calculation the direction matters, and it is arbitrary to put the z-component of the magnetization vector into the XC functional. It is also possible to plug the size of the magnetization vector into the XC functional. This is called the non-collinear approach. There is also the exotic option to choose the quantization axis along the x or y axis. To summarize, the value **NonCollinear** invokes the non-collinear method. The other three option **CollinearX**, **CollinearY** and **CollinearZ** causes either the x, y, or z component to be used as spin polarization for the XC functional.

# 3.1.5 LibXC Library Integration

Any publication employing calculations carried out with LibXC need to cite the current literature reference of LibXC, which is at the moment Ref. [S. Lehtola, C. Steigemann, M.J.T. Oliveira, M.A.L. Marques, SoftwareX 7, 1 (2018) (https://doi.org/10.1016/j.softx.2017.11.002)]<sup>14</sup>. Benchmark papers employing functionals from LibXC should especially include a reference to the employed DFT library, since as discussed in Ref. [S. Lehtola, M.A.L. Marques, J. Chem. Phys. 159, 114116 (2023) (https://doi.org/10.1063/5.0167763)]<sup>15</sup>, the implementations of a given functionals in various program packages may not lead to the same result, even at the complete basis set limit.

### LibXC functional

LibXC is a library of approximate XC functionals, see Ref. 42Page 22, 14. Version 7.0.0 of LibXC is used. See the LibXC website for the complete list of functionals: https://libxc.gitlab.io/functionals.

The following functionals can be evaluated with LibXC (incomplete list):

- LDA: LDA, PW92, TETER93
- GGA: AM05, BGCP, B97-GGA1, B97-K, BLYP, BP86, EDF1, GAM, HCTH-93, HCTH-120, HCTH-147, HCTH-407, HCTH-407P, HCTH-P14, PBEINT, HTBS, KT2, MOHLYP, MOHLYP2, MPBE, MPW, N12, OLYP, PBE, PBEINT, PBESOL, PW91, Q2D, SOGGA, SOGGA11, TH-FL, TH-FC, TH-FCFO, TH-FCO, TH1, TH2, TH3, TH4, XLYP, XPBE, HLE16
- MetaGGA: M06-L, M11-L, MN12-L, MS0, MS1, MS2, MVS, PKZB, TPSS, HLE17, rSCAN, r2SCAN
- Hybrids (only for non-periodic systems): B1LYP, B1PW91, B1WC, B3LYP, B3LYP\*, B3LYP5, B3LYP5, B3P86, B3PW91, B97, B97-1 B97-2, B97-3, BHANDH, BHANDHLYP, EDF2, MB3LYP-RC04, MPW1K, MPW1PW, MPW3LYP, MPW3PW, MPWLYP1M, O3LYP, OPBE, PBE0, PBE0-13, REVB3LYP, REVPBE, RPBE, SB98-1A, SB98-1B, SB98-1C, SB98-2A, SB98-2B, SB98-2C, SOGGA11-X, SSB, SSB-D, X3LYP

<sup>&</sup>lt;sup>14</sup> S. Lehtola, C. Steigemann, M.J.T. Oliveira, M.A.L. Marques, *Recent developments in Libxc – A comprehensive library of functionals for density functional theory*, SoftwareX 7, 1 (2018) (https://doi.org/10.1016/j.softx.2017.11.002)

<sup>&</sup>lt;sup>15</sup> S. Lehtola, M.A.L. Marques, *Reproducibility of density functional approximations: how new functionals should be reported*, Journal of Chemical Physics 159, 114116 (2023) (https://doi.org/10.1063/5.0167763)

<sup>&</sup>lt;sup>42</sup> M.A.L. Marques, M.J.T. Oliveira, and T. Burnus, *Libxc: a library of exchange and correlation functionals for density functional theory*, Computer Physics Communications 183, 2272 (2012) (https://doi.org/10.1016/j.cpc.2012.05.007).

- MetaHybrids (only for non-periodic systems): B86B95, B88B95, BB1K, M05, M05-2X, M06, M06-2X, M06-HF, M08-HX, M08-SO, MPW1B95, MPWB1K, MS2H, MVSH, PW6B95, PW86B95, PWB6K, REVTPSSH, TPSSH, X1B95, XB1K
- Range-separated (for periodic systems, only short range-separated functionals can be used, see *Range-separated hybrid functionals* (page 24)): CAM-B3LYP, CAMY-B3LYP, HJS-PBE, HJS-PBESOL, HJS-B97X, HSE03, HSE06, LRC\_WPBE, LRC\_WPBEH, LCY-BLYP, LCY-PBE, M11, MN12-SX, N12-SX, TUNED-CAM-B3LYP, WB97, WB97X

Example usage for the MVS functional:

```
XC
LibXC MVS
End
```

#### **Notes:**

- All electron basis sets should be used (see CORE NONE in section Basis set (page 54)).
- For periodic systems only short range-separated functionals can be used (see *Range-separated hybrid func-tionals* (page 24))
- In case of LibXC the output of the BAND calculation will give the reference for the used functional, see also the LibXC website https://libxc.gitlab.io/functionals.
- Do not use any of the subkeys LDA, GGA, METAGGA, MODEL in combination with the subkey LIBXC.
- One can use the DISPERSION key icw LIBXC. For a selected number of functionals the optimized dispersion parameters will be used automatically, please check the output in that case.
- BAND can not calculate VV10 dispersion dependent LibXC functionals, like VV10, LC-VV10, B97M-V, WB97X-V, WB97M-V. Before BAND2025 BAND would stop if one tries to calculate a VV10 dispersion dependent functional. Starting from BAND2025 BAND will not stop if one includes another dispersion method (with the DISPERSION key), in which case the VV10 dispersion dependent part of the functional will be replaced with the other dispersion method that is specified.
- If you use a GGA functional via LibXC then BAND will calculate the XC potential, similar to what happens with the normal GGA key. For some GGA functionals, however, this gives a problem for certain elements (during the RADIAL part of the code). This has been tested for all elements and no such problems are observed for functionals in this white list: "AM05 BCGP BP86 HCTH-93 HCTH-120 HCTH-147 HCTH-407 HCTH-P14 PBEINT MPBE MPW PBE PBESOL PW91 SOGGA TH-FL XPBE HLE16". When using a GGA not in the white list BAND will automatically switch to another strategy. This means that core orbitals will no longer correspond to the GGA, and also the calculation will be less efficient. If that happens this warning will be printed: WARNING: requested libxc gga functional may give numerical problems for certain atom types (in the RADIAL section))

### 3.1.6 Hartree-Fock

Pure Hartree-Fock is triggered by the key

```
XC
HartreeFock true
End
```

• The Hartree-Fock exchange matrix is calculated through a procedure known as Resolution of the Identity (RI). See *RIHartreeFock* (page 79) key.

There are some shortcomings to this option

- · It does not work with a frozen core
- There are no gradients available
- Does not work for periodic systems

# 3.1.7 Range-separated hybrid functionals

Short range-separated hybrid functionals, like the **HSE03** functional<sup>43</sup>, can be useful for prediction of more accurate band gaps compared to GGAs. These must be specified via the *LibXC* (page 22) key

```
XC
LibXC functional {omega=value}
End
```

#### functional

The functional to be used. (Incomplete) list of available functionals: **HSE06**, **HSE03**, **HJS-B97X**, **HJS-PBE** and **HJS-PBESOL** (See the LibXC website (https://libxc.gitlab.io/functionals) for a complete list of available functionals).

#### omega

*Optional*. You can optionally specify the switching parameter omega of the range-separated hybrid. Only possible for the **HSE03** and **HSE06** functionals (See<sup>Page 24, 43</sup>).

#### **Notes:**

- Hybrid functionals can only be used in combination with all-electron basis sets (see CORE NONE in section *Basis* set (page 54)).
- The Hartree-Fock exchange matrix is calculated through a procedure known as Resolution of the Identity (RI). See *RIHartreeFock* (page 79) key.
- Regular hybrids (such as B3LYP) and long range-separated hybrids (such as CAM-B3LYP) **cannot** be used in periodic boundary conditions calculations (they can only be used for non-periodic systems).
- There is some confusion in the scientific literature about the value of the switching parameter  $\omega$  for the HSE functionals. In LibXC, and therefore in BAND, the HSE03 functional uses  $\omega = 0.106066$  while the HSE06 functional uses  $\omega = 0.11$ .

#### Usage example:

```
XC
LibXC HSE06 omega=0.1
End
```

# 3.1.8 Double hybrids, MP2 and RPA

Starting from AMS2023, Many-body perturbation theory functionals (double hybrids, MP2, and RPA) as implemented in ADF can also be used in the BAND engine for the molecular (non-periodic) case, see the ADF documentation for an overview over the implemented functionals.

• RPA and double hybrids

Generally, BAND supports the same MBPT functionality as ADF. However, in Band, MP2, RPA, and double hybrids can not be used icw spin-orbit coupling.

<sup>&</sup>lt;sup>43</sup> J. Heyd, G.E. Scuseria and M. Ernzerhof, *Hybrid functionals based on a screened Coulomb potential*, J. Chem. Phys. 118, 8207 (2003) (https://doi.org/10.1063/1.1564060).

It is recommended to adjust the **Basis** key inside the **Dependency** block and the **DependencyThreshold** inside the **RIHartreeFock** block. Typically, MBPT calculations require a large basis set (See the MBPT documentation inside ADF), ideally of quadruple-zeta quality or larger. In this case, the following settings are recommended.

#### Usage example:

```
DoubleHybrid B2PLYP
End

Dependency
AllowBasisDependency True
Basis 1e-4
End

Softconfinement
Quality Excellent
End

RIHartreeFock
DependencyThreshold 1e-3
End
```

# 3.1.9 Defaults and special cases

- If the XC key is not used, the program will apply only the Local Density Approximation (no GGA terms). The chosen LDA form is then VWN.
- If only a GGA part is specified, omitting the *LDA* subkey, the LDA part defaults to VWN, except when the LYP correlation correction is used: in that case the LDA default is Xonly: pure exchange.
- The reason for this is that the LYP formulas assume the pure-exchange LDA form, while for instance the Perdew-86 correlation correction is a correction to a *correlated* LDA form. The precise form of this correlated LDA form assumed in the Perdew-86 correlation correction is not available as an option in ADF but the VWN formulas are fairly close to it.
- Be aware that typing only the subkey *LDA*, without an argument, will activate the VWN form (also if LYP is specified in the GGA part).

### 3.1.10 GGA+U

A special way to treat correlation is with so-called LDA+U, or GGA+U calculations. It is intended to solve the band gap problem of traditional DFT, the problem being an underestimation of band gaps for transition-metal complexes. A Hubbard like term is added to the normal Hamiltonian, to model on-site interactions. In its very simplest form it depends on only one parameter, U, and this is the way it has been implemented in BAND. The energy expression is equation (11) in the work of Cococcioni<sup>45</sup>. See also the review article<sup>44</sup>.

```
HubbardU
Atom
Element string
LValue [s | p | d | f]

(continues on next page)
```

<sup>&</sup>lt;sup>45</sup> M. Cococcioni, and S. de Gironcoli, *Linear response approach to the calculation of the effective interaction parameters in the LDA+U method*, Physical Review B 71, 035105 (2005) (https://doi.org/10.1103/PhysRevB.71.035105).

<sup>&</sup>lt;sup>44</sup> V.I. Anisimov, F. Aryasetiawan, and A.I. Lichtenstein, *First-principles calculations of the electronic structure and spectra of strongly correlated systems: the LDA + U method*, Journal Physics: Condensed Matter 9, 767 (1997) (https://doi.org/10.1088/0953-8984/9/4/002).

(continued from previous page)

```
UValue float
End
PrintOccupations Yes/No
Region
LValue [s | p | d | f]
Name string
UValue float
End
End
```

#### HubbardU

#### **Type**

Block

## **Description**

Options for Hubbard-corrected DFT calculations.

#### Atom

## **Type**

Block

#### Recurring

True

#### **Description**

Specify Hubbard parameters (U,l) for a certain element

#### Element

# **Type**

String

## **Description**

Name of the element, such as Cu or Zn

## LValue

## **Type**

Multiple Choice

#### **Default value**

S

# **Options**

[s, p, d, f]

# **Description**

L value of the shell to apply the Hubbard model to

## **UValue**

## **Type**

Float

## **Default value**

0.0

# Unit

Hartree

# **Description** Hubbard U value. PrintOccupations **Type** Bool **Default value** Yes Description Whether or not to print the occupations during the SCF. Region **Type** Block Recurring True **Description** Specify Hubbard parameters (U,l) for all atoms in a certain region LValue **Type** Multiple Choice **Default value** S **Options** [s, p, d, f] Description L value of the shell to apply the Hubbard model to Name **Type** String **Description** Name of the region UValue **Type** Float **Default value** 0.0

An example to apply LDA+U to the d-orbitals of NiO looks like:

Hubbard U value.

Unit Hartree Description

```
Atoms
Ni 0.000 0.000 0.000
0 2.085 2.085 2.085
End
...
HubbardU
printOccupations true
Atom element=Ni UValue=0.3 lValue=2
End
...
```

It is also possible to specify U per region.

### See also:

Example: Fixing the Band gap of NiO with GGA+U (page 222)

# 3.1.11 OEP

(Expert options) When you are using a meta-GGA you are by default using a generalized Kohn-Sham method. However, it is possible to calculate a local potential, as is required for a strict Kohn-Sham calculation, via OEP, (see<sup>46</sup>).

The main options are controlled with the MetaGGA subkey of the XC block if OEP is present.

```
XC
  [...]
  MetaGGA GGA OEP {approximation} {Fit} {Potential}
  [...]
End
```

### GGA

specifies the name of the used meta-GGA. In combination with OEP only PBE, TPSS, MVS, MS0, MS1, MS2, and SCAN can be used!

#### approximation

(Default: KLI) There are three flavors to approximate the OEP: KLI, Slater, and ELP

### Fit

By adding the string **Fit** on this line, one uses the fitted density instead of the exact density for the evaluation.

### Potential

If not specified, only the tau-dependent part of the OEP is evaluated and used. By adding the string **Potential** in addition the tau-independent part is added to the XC potential. (This is needed e.g. for plotting the 'vxc')

With the following subkeys of the XC blockkey you have extra control over the iterative OEP evaluation:

## MGGAOEPMaxIter

(**Default: 30**) defines the maximum number of cycles for the iterative OEP evaluation.

### MGGAOEPConvergence

(**Default: 1E-6**) defines convergence criterion for OEP evaluation.

### MGGAOEPWaitIter

(**Default: 0**) defines the number of SCF cycles with the regular meta-GGA before switching to the OEP scheme.

<sup>&</sup>lt;sup>46</sup> Zeng-hui Yang, Haowei Peng, Jianwei Sun, and John P. Perdew, *More realistic band gaps from meta-generalized gradient approximations: Only in a generalized Kohn-Sham scheme*, Physical Review B 93, 205205 (2016) (https://doi.org/10.1103/PhysRevB.93.205205).

#### MGGAOEPMaxAbortIter

(**Default: 0**) defines number of cycles for which the error is allowed to increase before the calculation is aborted. Here, zero means; do never abort.

### MGGAOEPMaxErrorIncrease

(**Default: 0.0**) defines the maximum rate of increasing error before the calculation is aborted. Here, zero means: do never abort.

An example for an OEP metaGGA calculation

```
XC
MetaGGA MVS OEP
End
```

Note that a very fine Becke grid is needed.

```
BeckeGrid
Quality USER
UserRadMulFactor 20.0
UserCoreL 11
UserInter1L 13
UserInter2L 21
UserExterL 31
UserExterLBoost 35
End
```

Note also: the gaps are typically not closer to experiment, and the calculations are more expensive. This option is mainly about academic interest.

### 3.1.12 DFT-1/2

The DFT-1/2 method due to Slater has been extended by Ferreira (PRB,78,125116,2008 (https://doi.org/10.1103/PhysRevB.78.125116)) to address the band gap problem. DFT-1/2 can be used in combination with any XC functional (this method is also referred to as LDA-1/2 or GGA-1/2, depending on the functional used).

The physical picture is that the hole is localized having substantial self energy. Adding an electron to the solid is assumed to go to a very delocalized state with little or no self energy. The method amounts to adding attractive spherical potentials at atomic sites and optimizing the screening parameter for maximal band gap, and can be used on top of any functional, relativistic option and spin option. From this viewpoint the only freedom in the method is the list of active atom types, the ones for which we will add the potential and optimize the gap. The l-dependent potential option from Ferreira is currently not supported.

The simplest approach is to optimize all the atom types. However, one can also look at the character of the top of the valence band, and determine which atoms are contributing to the PDOS there. This can be done by hand by using the bandstructure GUI module. In band there is an option to analyze this automatically, see the Prepare=true sub option.

#### See also:

Example: DFT-1/2 method for Silicon (page 227)

```
XC
DFTHalf
ActiveAtomType
AtomType string
IonicCharge float
ScreeningCutOffs float_list
```

(continues on next page)

(continued from previous page)

```
End
Enabled Yes/No
Prepare Yes/No
SelfConsistent Yes/No
End
End
```

### XC

### DFTHalf

### **Type**

Block

# **Description**

DFT-1/2 method for band gaps. See PRB vol 78,125116 2008. This method can be used in combination with any functional. For each active atom type (see ActiveAtomType) Band will perform SCF calculations at different screening cut-off values (see ScreeningCutOffs) and pick the cut-off value that maximizes the band gap. If multiple atom types are active, the screening cut-off optimizations are done one type at the time (in the same order as the ActiveAtomType blocks appear in the input).

### ActiveAtomType

### **Type**

Block

# Recurring

True

# Description

Use the DFT-1/2 method for the atom-type specified in this block.

# AtomType

### **Type**

String

# **Description**

Atom-type to use. You can activate all atom-types by specifying 'All'.

# IonicCharge

### **Type**

Float

### **Default value**

0.5

# **Description**

The amount of charge to be removed from the atomic HOMO.

### ScreeningCutOffs

# **Type**

Float List

# **Default value**

[0.0, 1.0, 2.0, 3.0, 4.0, 5.0]

### Unit

Bohr

### **Description**

List of screening cut-offs (to screen the asymptotic IonicCharge/r potential). Band will loop over these values and find the cut-off that maximizes the band-gap. If only one number is provided, Band will simply use that value.

### Enabled

### **Type**

**Bool** 

### **Default value**

No

### **GUI** name

Use method

#### **Description**

Whether the DFT-1/2 method will be used.

### Prepare

### **Type**

**Bool** 

### **Default value**

No

### **Description**

Analyze the band structure to determine reasonable settings for an DFT-1/2 calculation. If this is possible the list of active atom types is written to the output. This can be used in a next run as the values for ActiveAtomType. The DFTHalf%Enabled key should be set to false

# SelfConsistent

### **Type**

**Bool** 

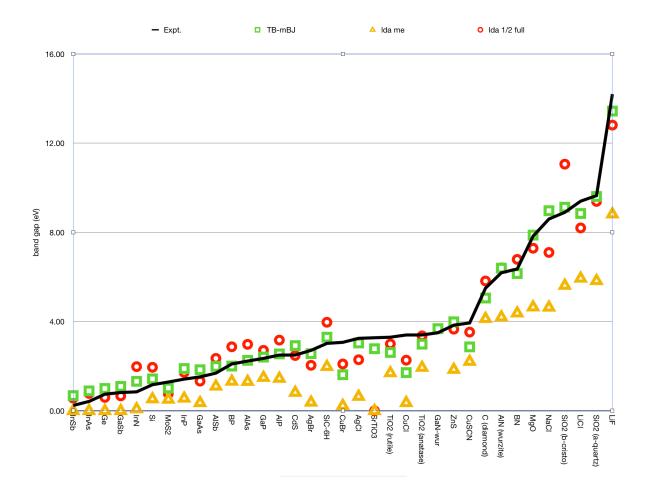
#### **Default value**

Yes

### **Description**

Apply the extra potential during the SCF, or only afterwards. Applying DFT-1/2 only post SCF increases the band gap, compared to the self-consistent one.

Here are some results showing that LDA-1/2 can work quite well, but that the TB-mBJ functional works better for this set.



# 3.2 Relativistic Effects and Spin

# 3.2.1 Spin polarization

By default Band calculations are spin-restricted. You can instruct Band to perform a spin-unrestricted via the Unrestricted key:

Unrestricted Yes/No

### Unrestricted

Type

Bool

**Default value** 

No

# Description

Controls whether Band should perform a spin-unrestricted calculation. Spin-unrestricted calculations are computationally roughly twice as expensive as spin-restricted.

The orbitals are occupied according to the aufbau principle.

If you want to enforce a specific spin-polarization (instead of occupying according to the aufbau principle) you can use the <code>EnforcedSpinPolarization</code> key:

EnforcedSpinPolarization float

### EnforcedSpinPolarization

**Type** 

Float

**GUI** name

Spin polarization

# Description

Enforce a specific spin-polarization instead of occupying according to the aufbau principle. The spin-polarization is the difference between the number of alpha and beta electron.

Thus, a value of 1 means that there is one more alpha electron than beta electrons.

The number may be anything, including zero, which may be of interest when searching for a spin-flipped pair, that may otherwise end up in the (more stable) parallel solution.

# 3.2.2 Relativistic Effects

Relativistic effects are treated with the accurate and efficient ZORA approach<sup>12</sup>, controlled by the Relativity keyword. Relativistic effects are negligible for light atoms, but grow to dramatic changes for heavy elements. A rule of thumb is: Relativistic effects are quite small for elements of row 4, but very large for row 6 elements (and later).

```
Relativity
Level [None | Scalar | Spin-Orbit]
End
```

#### Relativity

**Type** 

Block

### Description

Options for relativistic effects.

Level

**Type** 

Multiple Choice

**Default value** 

Scalar

**Options** 

[None, Scalar, Spin-Orbit]

**GUI** name

Relativity (ZORA)

**Description** 

None: No relativistic effects.

Scalar: Scalar relativistic ZORA.

<sup>&</sup>lt;sup>1</sup> P.H.T. Philipsen, E. van Lenthe, J.G. Snijders and E.J. Baerends, *Relativistic calculations on the adsorption of CO on the (111) surfaces of Ni, Pd, and Pt within the zeroth-order regular approximation*. Physical Review B 56, 13556 (1997) (https://doi.org/10.1103/PhysRevB.56.13556).

<sup>&</sup>lt;sup>2</sup> P.H.T. Philipsen, and E.J. Baerends, *Relativistic calculations to assess the ability of the generalized gradient approximation to reproduce trends in cohesive properties of solids.* Physical Review B 61, 1773 (2000) (https://doi.org/10.1103/PhysRevB.61.1773).

This option comes at very little cost.

SpinOrbit: Spin-orbit coupled ZORA.

This is the best level of theory, but it is (4-8 times) more expensive than a normal calculation. Spin-orbit effects are generally quite small, unless there are very heavy atoms in your system, especially with p valence electrons (like Pb).

See also the SpinOrbitMagnetization key.

See also the SpinOrbitMagnetization (page 22) key.

# 3.3 Solvation

Band offers two implicit solvent models, COSMO and SM12.

# 3.3.1 COSMO: Conductor like Screening Model and the Solvation-key

You can study chemistry in solution, as contrasted to the gas phase, with the implementation in BAND of the Conductor like Screening Model (COSMO) of solvation<sup>1</sup>.

In the COSMO model all solvents are roughly the same, and approximated by an enveloping metal sheet. One explicit dependency on the solvent is that the solvation energy is scaled by

$$f(\epsilon) = \frac{\epsilon - 1}{\epsilon + \chi}$$

and this depends on the dielectric constant of the solvent, and an empirical factor  $\chi$ . The other is that the shape of the surface is influenced by the *Rad* parameter, see below.

The solvent information is specified in the solvent key of the solvation block. The simplest option is to use one of the pre-defined solvents:

```
Solvation
Enabled Yes/No
Solvent
Name [...]
End
End
```

#### Solvation

**Type** 

Block

### Description

Options for the COSMO (Conductor like Screening Model) solvation model.

### Enabled

**Type** 

Bool

Default value

No

<sup>&</sup>lt;sup>1</sup> A. Klamt and G. Schüürmann, *COSMO: a new approach to dielectric screening in solvents with explicit expressions for the screening energy and its gradient.* Journal of the Chemical Society: Perkin Transactions 2, 799 (1993) (https://doi.org/10.1039/P29930000799).

### **GUI** name

Include COSMO solvation

### **Description**

Use the Conductor like Screening Model (COSMO) to include solvent effects.

### Solvent

### **Type**

Block

### **Description**

Solvent details

#### Name

### **Type**

Multiple Choice

### **Default value**

Water

### **Options**

[AceticAcid, Acetone, Acetonitrile, Ammonia, Aniline, Benzene, BenzylAlcohol, Bromoform, Butanol, isoButanol, tertButanol, CarbonDisulfide, CarbonTetrachloride, Chloroform, Cyclohexane, Cyclohexanone, Dichlorobenzene, DiethylEther, Dioxane, DMFA, DMSO, Ethanol, EthylAcetate, Dichloroethane, EthyleneGlycol, Formamide, FormicAcid, Glycerol, HexamethylPhosphoramide, Hexane, Hydrazine, Methanol, MethylEthylKetone, Dichloromethane, Methylformamide, Methypyrrolidinone, Nitrobenzene, Nitrogen, Nitromethane, PhosphorylChloride, IsoPropanol, Pyridine, Sulfolane, Tetrahydrofuran, Toluene, Triethylamine, TrifluoroaceticAcid, Water]

# **GUI** name

Solvent

# Description

Name of a pre-defined solvent. A solvent is characterized by the dielectric constant (Eps) and the solvent radius (Rad).

This is the list of possible solvents and their corresponding Eps and Rad values:

| Solvent Name        | Formula       | Eps  | Rad  |
|---------------------|---------------|------|------|
| AceticAcid          | CH3COOH       | 6.19 | 2.83 |
| Acetone             | CH3COCH3      | 20.7 | 3.08 |
| Acetonitrile        | CH3CN         | 37.5 | 2.76 |
| Ammonia             | NH3           | 16.9 | 2.24 |
| Aniline             | C6H5NH2       | 6.8  | 3.31 |
| Benzene             | С6Н6          | 2.3  | 3.28 |
| BenzylAlcohol       | C6H5CH2OH     | 13.1 | 3.45 |
| Bromoform           | CHBr3         | 4.3  | 3.26 |
| Butanol             | C4H9OH        | 17.5 | 3.31 |
| isoButanol          | (CH3)2CHCH2OH | 17.9 | 3.33 |
| tertButanol         | (CH3)3COH     | 12.4 | 3.35 |
| CarbonDisulfide     | CS2           | 2.6  | 2.88 |
| CarbonTetrachloride | CC14          | 2.2  | 3.37 |
| Chloroform          | CHC13         | 4.8  | 3.17 |
| Cyclohexane         | C6H12         | 2    | 3.5  |
| Cyclohexanone       | C6H10O        | 15   | 3.46 |

continues on next page

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Table 3.1 - continued from previous page

|                         | <u>'</u>     |       |      |
|-------------------------|--------------|-------|------|
| Dichlorobenzene         | C6H4Cl2      | 9.8   | 3.54 |
| DiethylEther            | (CH3CH2)2O   | 4.34  | 3.46 |
| Dioxane                 | C4H8O2       | 2.2   | 3.24 |
| DMFA                    | (CH3)2NCHO   | 37    | 3.13 |
| DMSO                    | (CH3)2SO     | 46.7  | 3.04 |
| Ethanol                 | CH3CH2OH     | 24.55 | 2.85 |
| EthylAcetate            | CH3COOCH2CH3 | 6.02  | 3.39 |
| Dichloroethane          | CICH2CH2CI   | 10.66 | 3.15 |
| EthyleneGlycol          | HOCH2CH2OH   | 37.7  | 2.81 |
| Formamide               | HCONH2       | 109.5 | 2.51 |
| FormicAcid              | HCOOH        | 58.5  | 2.47 |
| Glycerol                | C3H8O3       | 42.5  | 3.07 |
| HexamethylPhosphoramide | C6H18N3OP    | 43.3  | 4.1  |
| Hexane                  | C6H14        | 1.88  | 3.74 |
| Hydrazine               | N2H4         | 51.7  | 2.33 |
| Methanol                | СН3ОН        | 32.6  | 2.53 |
| MethylEthylKetone       | CH3CH2COCH3  | 18.5  | 3.3  |
| Dichloromethane         | CH2Cl2       | 8.9   | 2.94 |
| Methylformamide         | HCONHCH3     | 182.4 | 2.86 |
| Methypyrrolidinone      | C5H9NO       | 33    | 3.36 |
| Nitrobenzene            | C6H5NO2      | 34.8  | 3.44 |
| Nitrogen                | N2           | 1.45  | 2.36 |
| Nitromethane            | CH3NO2       | 35.87 | 2.77 |
| PhosphorylChloride      | POC13        | 13.9  | 3.33 |
| IsoPropanol             | (CH3)2CHOH   | 19.9  | 3.12 |
| Pyridine                | C5H5N        | 12.4  | 3.18 |
| Sulfolane               | C4H8SO2      | 43.3  | 3.35 |
| Tetrahydrofuran         | C4H8O        | 7.58  | 3.18 |
| Toluene                 | C6H5CH3      | 2.38  | 3.48 |
| Triethylamine           | (CH3CH2)3N   | 2.44  | 3.81 |
| TrifluoroaceticAcid     | CF3COOH      | 8.55  | 3.12 |
| Water                   | H2O          | 78.39 | 1.93 |
|                         |              |       |      |

Several other options can be defined in the Solvation block:

```
Solvation
  CVec [EXACT | FITPOT]
  Charge
    Conv float
    Corr Yes/No
    Iter integer
     Method [CONJ | INVER]
  Enabled Yes/No
  Radii # Non-standard block. See details.
  End
  SCF [VAR | PERT | NONE]
  Solvent
    Del float
    Emp float
     Eps float
     Name [...]
```

(continues on next page)

(continued from previous page)

```
Rad float
End
Surf [Delley | Wsurf | Asurf | Esurf | Klamt]
End
```

### Solvation

### **Type**

Block

# **Description**

Options for the COSMO (Conductor like Screening Model) solvation model.

### CVec

### **Type**

Multiple Choice

### **Default value**

**EXACT** 

### **Options**

[EXACT, FITPOT]

### **GUI** name

Calculate Coulomb interaction

### **Description**

Choose how to calculate the Coulomb interaction matrix between the molecule and the point charges on the surface:

- EXACT: use exact density, and integrate against the potential of the point charges. This may have inaccuracies when integration points are close to the point charges.
- FITPOT: evaluate the molecular potential at the positions of the point charges, and multiply with these charges.

# Charge

# **Type**

Block

### **Description**

Select the algorithm to determine the charges.

# Conv

# **Type**

Float

#### **Default value**

1e-08

# **Description**

Charge convergence threshold in iterative COSMO solution.

### Corr

### **Type**

Bool

# **Default value**

Yes

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### **GUI** name

Correct for outlying charge

### **Description**

Correct for outlying charge.

### Iter

### **Type**

Integer

### **Default value**

1000

### **Description**

Maximum number of iterations to solve COSMO equations.

### Method

# **Type**

Multiple Choice

### **Default value**

**CONJ** 

# **Options**

[CONJ, INVER]

#### **GUI** name

Charge determination method

### **Description**

INVER: matrix inversion, CONJ: biconjugate gradient method.

The CONJ method is guaranteed to converge with small memory requirements and is normally the preferred method.

#### Enabled

### **Type**

Bool

# **Default value**

No

### **GUI** name

Include COSMO solvation

### **Description**

Use the Conductor like Screening Model (COSMO) to include solvent effects.

### Radii

# **Type**

Non-standard block

# Description

The values are the radii of the atomic spheres. If not specified the default values are those by Allinger. Format: 'AtomType value'. e.g.: 'H 0.7'

# SCF

# **Type**

Multiple Choice

### **Default value**

**VAR** 

### **Options**

[VAR, PERT, NONE]

### **GUI** name

Handle charges

### **Description**

Determine the point charges either Variational (VAR) or after the SCF as a Perturbation (PERT).

### Solvent

### **Type**

Block

# **Description**

Solvent details

#### Del

### **Type**

Float

### **Description**

Del is the value of Klamt's delta\_sol parameter, only relevant in case of Klamt surface.

### Emp

### **Type**

Float

# **Description**

Emp is the empirical scaling factor x for the energy scaling.

# Eps

# Type

Float

## Description

User-defined dielectric constant of the solvent (overrides the Eps value of the solvent defined in 'Name')

### Name

### **Type**

Multiple Choice

# Default value

Water

# **Options**

[AceticAcid, Acetone, Acetonitrile, Ammonia, Aniline, Benzene, BenzylAlcohol, Bromoform, Butanol, isoButanol, tertButanol, CarbonDisulfide, CarbonTetrachloride, Chloroform, Cyclohexane, Cyclohexanone, Dichlorobenzene, DiethylEther, Dioxane, DMFA, DMSO, Ethanol, EthylAcetate, Dichloroethane, EthyleneGlycol, Formamide, FormicAcid, Glycerol, HexamethylPhosphoramide, Hexane, Hydrazine, Methanol, MethylEthylKetone, Dichloromethane, Methylformamide, Methypyrrolidinone, Nitrobenzene, Nitrogen, Nitromethane, PhosphorylChloride, IsoPropanol, Pyridine, Sulfolane, Tetrahydrofuran, Toluene, Triethylamine, TrifluoroaceticAcid, Water]

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### **GUI** name

Solvent

## Description

Name of a pre-defined solvent. A solvent is characterized by the dielectric constant (Eps) and the solvent radius (Rad).

#### Rad

### **Type**

Float

### Unit

Angstrom

### **Description**

User-defined radius of the solvent molecule (overrides the Rad value of the solvent defined in 'Name').

### Surf

### **Type**

Multiple Choice

### **Default value**

Delley

### **Options**

[Delley, Wsurf, Asurf, Esurf, Klamt]

#### **GUI** name

Surface type

# Description

Within the COSMO model the molecule is contained in a molecule shaped cavity.

Select one of the following surfaces to define the cavity:

- Wsurf: Van der Waals surface
- Asurf: solvent accessible surface
- Esurf: solvent excluding surface
- Klamt: Klamt surface
- Delley: Delley surface.

# 3.3.2 Additional keys for periodic systems

For the simulation of periodic structures ICW solvation, you may specify the following options:

```
PeriodicSolvation
RemovePointsWithNegativeZ Yes/No
NStar integer
End
```

# PeriodicSolvation

# **Type**

Block

### Description

Additional options for simulations of periodic structures with solvation.

### RemovePointsWithNegativeZ

**Type** 

Bool

**Default value** 

No

**GUI** name

Only above slab

# **Description**

Whether the COSMO surface is constructed on both sides of a surface.

If one is only interested in the solvation effect on the upper side of a surface (in the Z direction), then this option should be set to 'True'

### NStar

**Type** 

Integer

**Default value** 

4

### **Description**

This option, expecting an integer number (>2), handles the accuracy for the construction of the COMSO surface. The larger the given number the more accurate the construction.

**General remarks:** The accuracy of the result and the calculation time is influenced by the screening radius SCREEN-ING%RMADEL (see *Screening* (page 108) block). If the calculation does take too long, defining a smaller radius does help. **But:** too small radii, especially smaller than the lattice constants, will give unphysical results.

### 3.3.3 SM12: Solvation Model 12

Continuum solvation can be done with the Minnesota's Solvation Model 12 (SM12) (JCTC,9,609,2013 (https://pubs.acs.org/doi/10.1021/ct300900e)). Details on the implementation of SM12 in ADF can be found in Ref. (JCTC,12,4033,2016 (https://pubs.acs.org/doi/10.1021/acs.jctc.6b00410)). The energetics of solvation is calculated using:

$$\Delta G_S^{\otimes} = \Delta E_E + G_P + G_{CDS} + \Delta G_N + \Delta G_{conc}^{\otimes}$$

where the symbol  $\otimes$  denotes an arbitrary choice of standard states,  $\Delta E_E$  is the change in the solute's internal electronic energy in transferring from the gas phase to the liquid phase at the same geometry,  $G_P$  is the polarization free energy of the solute-solvent system when the solute is inserted,  $G_{CDS}$  is the component of the free energy that is nominally associated with cavitation, dispersion, and solvent structure,  $\Delta G_N$  is the change in  $\Delta G_S^0$  due to a change in nuclear coordinates, and  $\Delta G_{conc}^{\otimes}$  accounts for the difference in concentrations, if any, in the gas-phase standard state and the solution-phase one. In case of 1 M concentration in both solution and gas, then  $\Delta G_S^{\otimes} = 0$  kcal/mol, which yields  $\Delta G_S^*$ . If the same geometry is used in solution and gas phase calculation, then  $\Delta G_N$  is zero.

SM12 makes use of the Generalized Born approximation to calculate the bulk electrostatic contribution. This is comprised of several terms that are together known as the ENP (Electronic, Nuclear, and Polarization) term  $G_P$ . The SM12 model in Band uses CM5. CM5 is a class 4 charge model, making use of both empirical and density related terms. It is comprised of Hirshfeld charges, a simple bond order calculation, atomic distances, and atom specific parameters. The covalent radii utilized are based on the atomic covalent radius from the Handbook of Chemistry and Physics. The Coulomb integral is described with the use of an approximation from Still et al.. Several parameters go into describing this, which include:

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inter atomic distance, an empirical Born constant, and the Born area, which is calculated with the Analytical Surface Area (ASA) algorithm. The Born area is calculated using Legendre-Gauss quadrature from the atomic radii to a sphere that encapsulates the entire molecule.

The ASA algorithm is also used to calculate the solvent accessible surface area (SASA), which is computed within the CDS (Cavitation, Dispersion, Solvation) term of SM12. The CDS term depends on three terms:

- SASA (ASA Algorithm)
- Atomic surface tension
- · Macroscopic surface tension

Atomic surface tension is based on atom-atom distances and the solvent. Macroscopic surface tension is solvent specific. The SM12 implementation in Band reports energies in an atom specific way. You can attribute exact CDS and polarization energies to each atom in your solute. The parameters for SM12 are derived to explicitly incorporate organic elements (N, C, O, F, Si, P, S, Cl, Br, I), with less emphasis on non-organics. Also, while most solvents have a generic atomic surface tension reliance for atoms, water has it's own explicit set of parameters to better describe it.

### Input

The minimal input for the SM12 method is the following:

```
SolvationSM12
Enabled Yes/No
Solv [...]
End
```

# SolvationSM12

### Type

Block

### Description

Options for Solvation Model 12 (SM12).

#### Enabled

### **Type**

Bool

### **Default value**

No

#### **GUI** name

Include SM12 solvation

### **Description**

Whether to use the Solvation Model 12 (SM12) in the calculation.

### Solv

### **Type**

Multiple Choice

### **Default value**

WATER

# **Options**

[ACETICACID, ACETONITRILE, ACETOPHENONE, ANILINE, ANISOLE, BENZENE, BENZONITRILE, BENZYLALCOHOL, BROMOBENZENE, BROMOETHANE, BROMOFORM, BROMOOCTANE, N-BUTANOL, SEC-BUTANOL, BUTANONE,

BUTYLACETATE, N-BUTYLBENZENE, SEC-BUTYLBENZENE, T-BUTYLBENZENE, CARBONDISULFIDE, CARBONTETRACHLORIDE, CHLOROBENZENE, CHLORO-FORM, CHLOROHEXANE, M-CRESOL, CYCLOHEXANE, CYCLOHEXANONE, DECALIN, DECANE, DECANOL, 1-2-DIBROMOETHANE, DIBUTYLETHER, O-DICHLOROBENZENE, 1-2-DICHLOROETHANE, DIETHYLETHER, DIISO-PROPYLETHER, N-N-DIMETHYLACETAMIDE, N-N-DIMETHYLFORMAMIDE, 2-6-DIMETHYLPYRIDINE. DIMETHYLSULFOXIDE. DODECANE. ETHANOL. ETHOXYBENZENE, ETHYLACETATE, ETHYLBENZENE, FLUOROBENZENE, 1-FLUORO-N-OCTANE, HEPTANE, HEPTANOL, HEXADECANE, HEXADE-CYLIODIDE, HEXANE, HEXANOL, IODOBENZENE, ISOBUTANOL, ISOOC-TANE, ISOPROPANOL, ISOPROPYLBENZENE, P-ISOPROPYLTOLUENE, MESITYLENE, METHANOL, METHOXYETHANOL, METHYLENECHLORIDE, 2-METHYLPYRIDINE, 4-METHYL-2-PENTANONE, N-METHYLFORMAMIDE, NITROBENZENE, NITROETHANE, NITROMETHANE, O-NITROTOLUENE, NONANE, NONANOL, OCTANE, OCTANOL, PENTADECANE, PENTANE, PENTANOL, PERFLUOROBENZENE, PHENYLETHER, PROPANOL, PYRI-TETRACHLOROETHENE, TETRAHYDROFURAN, TETRAHYDROTH-IOPHENEDIOXIDE, TETRALIN, TOLUENE, TRIBUTYLPHOSPHATE, ETHYLAMINE, 1-2-4-TRIMETHYLBENZENE, UNDECANE, WATER, XYLENE, 1-2-DICHLOROETHANE WATER, 1-2-DIBROMOETHANE WATER, ZENE\_WATER, CARBONTETRACHLORIDE\_WATER, CHLOROBENZENE\_WATER, CHLOROFORM WATER, CYCLOHEXANE WATER, DIBUTYLETHER WATER, DIETHYLETHER\_WATER, ETHYLACETATE\_WATER, HEPTANE\_WATER, HEX-ANE WATER, NITROBENZENE WATER, OCTANOL WATER]

#### **GUI** name

Solvent

# **Description**

List of predefined solvents

This is the full list of input options for the SM12 method:

```
SolvationSM12
  ARO float
  Acid float
  Base float
  BornC float
  BornRadiusConfig
     MaxCellDistance float
     PointsPerBohr integer
     UseLegendreGrid Yes/No
  End
   Chgal float
   Cust string
   Debug string
  EPS float
  Enabled Yes/No
  HALO float
  Kappa float
   PostSCF Yes/No
  PrintSM12 Yes/No
  RadSolv float
  Ref float
  Solv [...]
  Tens float
   TopologicalExtrapolation
```

(continues on next page)

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(continued from previous page)

```
FirstCell integer
LastCell integer
Order integer
End
End
```

# SolvationSM12

# **Type**

Block

# Description

Options for Solvation Model 12 (SM12).

### ARO

### **Type**

Float

### **Default value**

0.0

# **Description**

Square of the fraction of non-hydrogen atoms in the solvent that are aromatic carbon atoms (carbon aromaticity)

# Acid

# **Type**

Float

# **Default value**

0.82

# **Description**

Abraham hydrogen bond acidity parameter

#### Base

### **Type**

Float

# **Default value**

0.35

# Description

Abraham hydrogen bond basicity parameter

### BornC

## **Type**

Float

# Default value

3.7

### **Description**

Coulomb constant for General Born Approximation

# ${\tt BornRadiusConfig}$

# Type

Block

# **Description** MaxCellDistance **Type** Float Default value 30.0 Unit Bohr **Description** Max distance from the centra cell used when computing the Born radii for periodic systems PointsPerBohr **Type** Integer **Default value** 10 **Description** UseLegendreGrid **Type** Bool **Default value** Yes **Description** Chgal Type Float **Default value** 2.474 **Description** Exponential of Pauli's bond order Cust **Type** String **Description** Custom solvent input Debug **Type** String Description Prints a lot of information about every pass on CDS and ENP code, keywords: ENP, CDS EPS

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# **Type** Float **Default value** 78.36 **Description** The dielectric constant Enabled **Type** Bool **Default value** No **GUI** name Include SM12 solvation **Description** Whether to use the Solvation Model 12 (SM12) in the calculation. HALO **Type** Float **Default value** 0.0 **Description** Square of the fraction of non-hydrogen atoms in the solvent molecule that are F, Cl, or Br (electronegative halogenicity) Kappa **Type** Float **Default value** 0.0 **Description** Factor for Debye screening PostSCF **Type** Bool **Default value** No Description Whether to apply the solvation potential during the SCF or only calculate the solvation energy after the SCF. PrintSM12

**Type** 

Bool **Default value**No

### **Description**

Prints out an in-depth breakdown of solvation energies

#### RadSolv

**Type** 

Float

**Default value** 

0.4

# **Description**

The radius distance between the solute and solvent

#### Ref

**Type** 

Float

### **Default value**

1.3328

### **Description**

Refractive index of solvent

#### Solv

**Type** 

Multiple Choice

### **Default value**

WATER

# **Options**

[ACETICACID, ACETONITRILE, ACETOPHENONE, ANILINE, ANISOLE, BEN-ZENE, BENZONITRILE, BENZYLALCOHOL, BROMOBENZENE, BROMOETHANE, BROMOFORM, BROMOOCTANE, N-BUTANOL, SEC-BUTANOL, BUTANONE, BUTYLACETATE, N-BUTYLBENZENE, SEC-BUTYLBENZENE, T-BUTYLBENZENE, CARBONDISULFIDE, CARBONTETRACHLORIDE, CHLOROBENZENE, CHLORO-FORM, CHLOROHEXANE, M-CRESOL, CYCLOHEXANE, CYCLOHEXANONE, DECALIN, DECANE, DECANOL, 1-2-DIBROMOETHANE, DIBUTYLETHER, O-DICHLOROBENZENE, 1-2-DICHLOROETHANE, DIETHYLETHER, PROPYLETHER, N-N-DIMETHYLACETAMIDE, N-N-DIMETHYLFORMAMIDE, 2-6-DIMETHYLPYRIDINE, DIMETHYLSULFOXIDE, DODECANE, ETHANOL, ETHOXYBENZENE, ETHYLACETATE, ETHYLBENZENE, FLUOROBENZENE, 1-FLUORO-N-OCTANE, HEPTANE, HEPTANOL, HEXADECANE, HEXADE-CYLIODIDE, HEXANE, HEXANOL, IODOBENZENE, ISOBUTANOL, ISOOC-TANE. ISOPROPANOL, ISOPROPYLBENZENE, P-ISOPROPYLTOLUENE, MESITYLENE. METHANOL. METHOXYETHANOL. METHYLENECHLORIDE. N-METHYLFORMAMIDE, 2-METHYLPYRIDINE, 4-METHYL-2-PENTANONE, NITROBENZENE, NITROETHANE, NITROMETHANE, O-NITROTOLUENE, NONANE, NONANOL, OCTANE, OCTANOL, PENTADECANE, PENTANE, PENTANOL, PERFLUOROBENZENE, PHENYLETHER, PROPANOL, PYRI-TETRACHLOROETHENE, TETRAHYDROFURAN, TETRAHYDROTH-IOPHENEDIOXIDE, TETRALIN, TOLUENE, TRIBUTYLPHOSPHATE, ETHYLAMINE, 1-2-4-TRIMETHYLBENZENE, UNDECANE, WATER, XYLENE, 1-2-DIBROMOETHANE\_WATER, 1-2-DICHLOROETHANE\_WATER, BEN-ZENE WATER, CARBONTETRACHLORIDE WATER, CHLOROBENZENE WATER, CHLOROFORM WATER, CYCLOHEXANE WATER, DIBUTYLETHER WATER,

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DIETHYLETHER\_WATER, ETHYLACETATE\_WATER, HEPTANE\_WATER, HEXANE\_WATER, NITROBENZENE\_WATER, OCTANOL\_WATER]

#### **GUI** name

Solvent

# **Description**

List of predefined solvents

#### Tens

# Type

Float

### **Default value**

103.62

### **Description**

Macroscopic surface tension of the solvent at the air/solvent interface at 298K (cal\*mol^-1\*Ang^-2)

### TopologicalExtrapolation

## **Type**

Block

# **Description**

Method to extrapolate the long range Coulomb potential, needed for periodic calculations

### FirstCell

### **Type**

Integer

# Default value

5

### **Description**

First cell for the topological extrapolation of the long range part of the Coulomb Potential.

### LastCell

## **Type**

Integer

### **Default value**

10

### **Description**

Last cell for the topological extrapolation of the long range part of the Coulomb Potential.

### Order

# **Type**

Integer

### **Default value**

3

# **Description**

Order of the topological extrapolation of the long range part of the Coulomb Potential.

# 3.4 Electric and Magnetic Fields

# 3.4.1 Electric Field

The external electric field is handled at the AMS level, see the documentation there.

The effect of a magnetic filed can be **approximated** by the following potential:  $\mu_B \vec{\sigma}_i \vec{B}$ , where  $\mu_B$  is the Bohr magneton,  $\vec{\sigma}_i$  are the Pauli matrices and  $\vec{B}$  is the magnetic field. For *Spin-unrestricted collinear* (page 32) calculations, the spin is assumed to be aligned with the z-axis.

# 3.4.2 Magnetic Field

```
BField

Bx float

By float

Bz float

Dipole Yes/No

DipoleAtom integer

Method [NR_SDOTB | NR_LDOTB | NR_SDOTB_LDOTB]

Unit [tesla | a.u.]

End
```

#### **BField**

**Type** 

Block

# Description

The effect of a magnetic filed can be approximated by the following potential: mu \* sigma\_i \* B, where mu is the Bohr magneton, sigma\_i are the Pauli matrices and B is the magnetic field

Вx

**Type** 

Float

Default value

0.0

Unit

Tesla

### **Description**

Value of the x component of the BField

ву

Type

Float

**Default value** 

0.0

Unit

Tesla

# Description

Value of the y component of the BField

#### Вz

### **Type**

Float

### **Default value**

0.0

### Unit

Tesla

# **Description**

Value of the z component of the BField

# Dipole

### **Type**

**Bool** 

### **Default value**

No

### **GUI** name

Bfield is: Atomic dipole

# Description

Use an atomic dipole as magnetic field instead of a uniform magnetic field.

### DipoleAtom

# Type

Integer

# **Default value**

1

### **GUI** name

on atom number

### **Description**

Atom on which the magnetic dipole should be centered (if using the dipole option)

## Method

### **Type**

Multiple Choice

### **Default value**

NR SDOTB

### **Options**

[NR\_SDOTB, NR\_LDOTB, NR\_SDOTB\_LDOTB]

## **Description**

There are two terms coupling to an external magnetic field.

One is the intrinsic spin of the electron, called S-dot-B, the other one is the orbital momentum call L-dot-B.

The L.B is implemented non-relativistically, using GIAOs in the case of a homogeneous magnetic field (not for the dipole case).

### Unit

**Type** 

Multiple Choice

#### **Default value**

tesla

# **Options**

[tesla, a.u.]

### **Description**

Unit of magnetic filed. The a.u. is the SI version of a.u.

# 3.4.3 Atom-wise fuzzy potential

```
FuzzyPotential # Non-standard block. See details.
...
End
```

## FuzzyPotential

### Type

Non-standard block

# Description

Atomic (fuzzy cell) based, external, electric potential. See example.

### Example:

```
FuzzyPotential
scale $scale
a1 v1 ! atom with index a1 gets potential coefficient v1 (a.u.)
a2 v2 ! atom a2 gets potential v2
...
End
```

### scale

Overall scaling factor to be applied.

If an atom is not in the list it gets a coefficient of zero. The potential of an atom is its number  $(v_i)$  as specified on input times its fuzzy cell

$$V(r) = \sum_{i}^{\text{atoms}} v_i \mathcal{P}_{i,U}(r)$$

using the same partition function  $\mathcal{P}$  as for the *BeckeGrid* (page 71). A partition function (or fuzzy cell) of an atom is close to one in the neighborhood of this atom.

The sign convention is: negative is favorable for electrons. (Unit: a.u.)

# 3.5 Nuclear Model

NuclearModel [PointCharge | Gaussian | Uniform]

### NuclearModel

### Type

Multiple Choice

# **Default value**

PointCharge

# **Options**

[PointCharge, Gaussian, Uniform]

# **Description**

Specify what model to use for the nucleus.

For the Gaussian model the nuclear radius is calculated according to the work of Visscher and Dyall (L. Visscher, and K.G. Dyall, Dirac-Fock atomic electronic structure calculations using different nuclear charge distributions, Atomic Data and Nuclear Data Tables 67, 207 (1997))

# **ACCURACY AND EFFICIENCY**

Given a *Model Hamiltonian* (page 15), the most important aspects determining the accuracy of a Band calculation are:

- Basis set (page 54)
- *K-Space* (page 66)

Also important, but to a lesser degree, are the following aspects:

- Numerical Integration (BeckeGrid) (page 71)
- Density fitting (ZlmFit) (page 75)
- Basis-set confinement (SoftConfinement) (page 62)
- SCF convergence (Convergence) (page 84)
- Hartree–Fock Resolution of the Identity (RIHartreeFock) (page 79) (only for hybrid functionals)
- For Many-body-perturbation theory (MBPT) options (page 100) (only for MBPT calculations)

The CPU time and memory requirements strongly depend on these options, as does the accuracy of the results.

### **General Numerical Quality**

A simple way of tweaking the accuracy of the calculation is via the **NumericalQuality** key. This sets the quality of several technical aspects of a Band calculation (with the notable exception of the *basis set* (page 54))

```
NumericalQuality [Basic | Normal | Good | VeryGood | Excellent]
```

# NumericalQuality

### **Type**

Multiple Choice

# Default value

Normal

### **Options**

[Basic, Normal, Good, VeryGood, Excellent]

### **Description**

Set the quality of several important technical aspects of a BAND calculation (with the notable exception of the basis set). It sets the quality of: BeckeGrid (numerical integration), ZlmFit (density fitting), KSpace (reciprocal space integration), and SoftConfinement (basis set confinement). Note: the quality defined in the block of a specific technical aspects supersedes the value defined in NumericalQuality (e.g. if I specify 'NumericalQuality Basic' and 'BeckeGrid%Quality Good', the quality of the BeckeGrid will be 'Good')

# 4.1 Basis set

Band represents the single-determinant electronic wave functions as a linear combinations of atom-centered basis functions (the basis set). See also: Wikipedia page on Basis Sets (https://en.wikipedia.org/wiki/Basis\_set\_(chemistry)).

The basis sets in Band consists of **NAOs** (Numerical Atomic Orbitals, obtained by solving numerically the Kohn-Sham equations for the isolated spherical atoms) augmented by a set of **STOs** (Slater Type Orbitals).

The choice of basis set is very important, as it influences heavily the accuracy, the CPU time and the memory usage of the calculation. Band comes with 6 predefined types of basis sets: **SZ**, **DZ**, **DZP**, **TZP**, **TZ2P**, **QZ4P** (SZ: Single Zeta, DZ: Double Zeta, DZP: Double Zeta + Polarization, TZP: Triple Zeta + Polarization, TZ2P: Triple Zeta + Double Polarization, QZ4P: Quadruple Zeta + Quadruple Polarization). See the sections *Which basis set should I use?* (page 55) and *Available Basis Sets* (page 58) for more details.

To speed up the calculation, Band can use the **frozen core approximation** in which core orbitals are kept frozen during the SCF procedure (and the valence orbitals are orthogonalized against the frozen orbitals). One can run an **all electron** calculation by specifying Core None in the Basis input block. Note: some features, such as *Hybrid functionals* (page 24), are incompatible with the frozen-core approximation, and require an all electron (i.e. Core None) basis set.

# 4.1.1 Basis input block

You can specify which basis set to use in the Basis input block.

```
Basis

Type [SZ | DZ | DZP | TZP | TZ2P | QZ4P | STO/TZ2P | STO/SZ | STO/DZ | STO/DZP |

STO/QZ4P |

CORR/QZ6P | CORR/TZ3P | GTO/CC-PV5Z | GTO/DEF2-QZVPPD | GTO/CC-PV6Z | GTO/CC-

PVQZ |

GTO/CC-PVTZ | GTO/CC-PVDZ | GTO/DEF2-SVP | GTO/DEF2-TZVP | GTO/DEF2-TZVPP |

GTO/DEF2-QZVP |

GTO/AUG-CC-PVDZ | GTO/AUG-CC-PVTZ | GTO/AUG-CC-PVQZ | GTO/POB-TZVP]

Core [None | Small | Medium | Large]

End
```

#### Basis

### **Type**

Block

### **Description**

Definition of the basis set

Type

#### **Type**

Multiple Choice

# **Default value**

DZ

### **Options**

[SZ, DZ, DZP, TZP, TZ2P, QZ4P, STO/TZ2P, STO/SZ, STO/DZ, STO/DZP, STO/QZ4P, CORR/QZ6P, CORR/TZ3P, GTO/CC-PV5Z, GTO/DEF2-QZVPPD, GTO/CC-PV6Z, GTO/CC-PVQZ, GTO/CC-PVTZ, GTO/CC-PVDZ, GTO/DEF2-SVP, GTO/DEF2-TZVP, GTO/DEF2-TZVPP, GTO/DEF2-QZVP, GTO/AUG-CC-PVDZ, GTO/AUG-CC-PVTZ, GTO/AUG-CC-PVQZ, GTO/POB-TZVP]

### **GUI** name

Basis set

### **Description**

Select the basis set to use.

SZ : Single Z

DZ: Double Z

DZP: Double Z, 1 polarization function

TZP: Triple Z, 1 polarization function

TZ2P: Triple Z, 2 polarization functions

QZ4P: Quadruple Z, 4 polarization function

The basis set chosen will apply to all atoms in your structure. If a matching basis is not found a better type might be used.

#### Core

### **Type**

Multiple Choice

### **Default value**

Large

### **Options**

[None, Small, Medium, Large]

#### **GUI** name

Frozen core

# **Description**

Select the size of the frozen core you want to use.

Small, Medium, and Large will be interpreted within the basis sets available (of the selected quality), and might refer to the same core in some cases.

### 4.1.2 Which basis set should I use?

The hierarchy of basis sets, from the smallest and least accurate (SZ) to the largest and most accurate (QZ4P), is SZ < DZ < DZP < TZP < TZ2P < QZ4P.

The choice of basis set is in general a trade off between accuracy and computation time: the more accurate the basis set, the more computationally demanding the calculation will be (both in term of CPU time and the memory usage).

As an example, in the following table we compare accuracy v.s. CPU time for a (24,24) carbon nanotube using different basis sets. "Energy error" is defined as the absolute error in the formation energy per atom using the QZ4P results as reference.

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<sup>&</sup>lt;sup>1</sup> Computational details: Single Point calculation, 'Good' NumericalQuality, no frozen core, 7 k-points, XC functional: GGA:PBE. Calculation performed on a 24 cores compute node. 96 atoms in the unit cell.

| Table 4.1: Accuracy and CPU time ratio for a (24,24) carbon nanotube | , |
|--|---|
| using different basis sets   |   |

| Basis Set | Energy Error [eV] | CPU time ratio (relative to SZ) |
|-----------|-------------------|---------------------------------|
| SZ        | 1.8               | 1                               |
| DZ        | 0.46              | 1.5                             |
| DZP       | 0.16              | 2.5                             |
| TZP       | 0.048             | 3.8                             |
| TZ2P      | 0.016             | 6.1                             |
| QZ4P      | reference         | 14.3                            |

It is worthwhile noting that the error in formation energies are to some extend systematic, and they partially cancel each other out when taking energy differences. For example, if one considers the difference in energy between two carbon nanotubes variants ((24,24) and (24-0)) with the same number of atoms, the basis set error is smaller than 1 millieV/atom already with a DZP basis set, which is much smaller than the absolute error in the individual energies. The same consideration holds for reactions barriers: the error in the energy difference between different conformations is much smaller than the error in the absolute energies themselves.

### **Band gaps:**

The following figure shows the convergence WRT basis set of band gaps (XC:PBE). While DZ is often inaccurate (since DZ lacks any polarization function, the description of the virtual orbital space is very poor), TZP captures the trends very well.

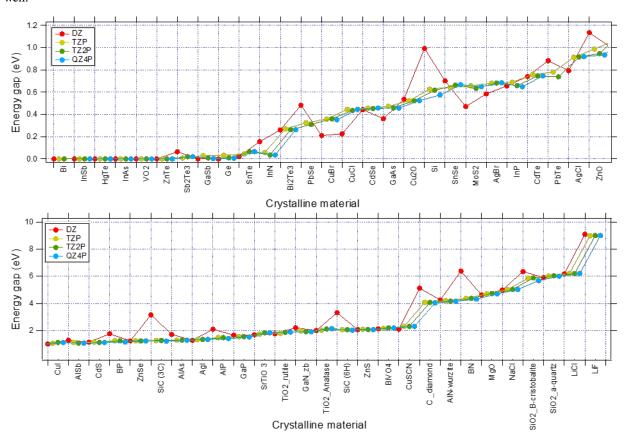


Fig. 4.1: Convergence of Band Gaps WRT basis set for various system. (XC:PBE, K-Space Quality: Good)

In general, since the basis set might have different effects on different properties, it is advisable to run a few simple

calculations to get an idea of the effect of the basis set with your property of interest.

### A summary of the basis sets:

- **SZ**: Single Zeta, the minimal basis set (only NAOs), serves mostly a technical purpose. The results are rather inaccurate, but it's computationally efficient. It can be useful for running a very quick test calculation. See also *SZ-SCF-Restart* (page 199).
- **DZ**: The Double Zeta basis set is computationally very efficient. It can be used for pre-optimization of structures (that should then be further optimized with a better basis set). Since it has no polarization functions, properties depending on the virtual orbital space will be rather inaccurate.
- **DZP**: Double zeta plus polarization function. Only available for main group elements up to Krypton. For other elements a TZP basis set will be used **automatically**. This is a reasonably good basis set for geometry optimizations of organic systems.
- TZP: The Triple Zeta plus Polarization basis set offers the best balance between performance and accuracy. This is the basis set we would generally recommend.
- **TZ2P**: The Triple Zeta plus Double Polarization basis set is an accurate basis set. It is qualitatively similar to TZP but quantitatively better. It should be used when a good description of the virtual orbital space is needed.
- QZ4P: Quadruple zeta plus Quadruple Polarization. This is the biggest basis set available. It can be used for benchmarking.

# 4.1.3 Frozen core

It is recommended to use the frozen core approximation, as it is faster, in particular for heavy elements.

In general, the frozen core approximation does not influence the results significantly (especially if one uses a small frozen core). For accurate results on certain properties (like *Properties at Nuclei* (page 130)) all electron basis sets are needed on the atoms of interest.

- For Meta-GGA XC functionals, it is recommended to use small or none frozen core (the frozen orbitals are computed using LDA and not the selected Meta-GGA)
- For optimizations under pressure, use small or none frozen core

### Small, Medium, and Large frozen cores:

The possible choices for the Basis%Core keyword are None, Small, Medium, and Large. How does this map to the actual *Available Basis Sets* (page 58)? Obviously this depends on the element. As all elements have an all electron basis set defined, None maps always to this set. For the element H there are no frozen core sets available and all options can only lead to the all electron basis set. For elements like C there is a single frozen core available and the three choices (Small, Medium, Large) lead to the same choice: C.1s. Most elements have two frozen cores defined. In such a case the choices Large and Medium point to the larger one, and Small to the smallest one. With four choices Large maps to the largest one, Medium to the one below it, and Small to the smallest one. This table summarizes the logic

Table 4.2: Mapping of the Basis%Core keyword to available cores (if any)

| #Available frozen cores | Example                    | None | Small | Medium | Large |
|-------------------------|----------------------------|------|-------|--------|-------|
| 0                       | Н                          | Н    | Н     | Н      | Н     |
| 1                       | C C.1s                     | C    | C.1s  | C.1s   | C.1s  |
| 2                       | Na Na.1s Na.2p             | Na   | Na.1s | Na.2p  | Na.2p |
| 3                       | Rb Rb.3p Rb.3d Rb.4p       | Rb   | Rb.3p | Rb.3d  | Rb.4p |
| 4                       | Pb Pb.4d Pb.4f Pb.5p Pb.5d | Pb   | Pb.4d | Pb.5p  | Pb.5d |

It is possible to control both the basis and core per atom type and per region, see *More Basis input options* (page 60).

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# 4.1.4 Available Basis Sets

The basis set files, containing the definition of the basis set, are located in \$AMSHOME/atomicdata/Band.

The next table gives an indication frozen core (fc) standard basis sets are available for the different elements in BAND. Note that all electron (ae) basis set are available for all basis sets types.

Table 4.3: Available standard basis sets for non-relativistic and ZORA calculations H-Ubn (Z=1-120)

| Element             | frozen core        | SZ, DZ | DZP | TZP, TZ2P, QZ4P |
|---------------------|--------------------|--------|-----|-----------------|
| H-He (Z=1-2)        | ae                 | Yes    | Yes | Yes             |
| Li-Ne (Z=3-10)      | ae .1s             | Yes    | Yes | Yes             |
| Na-Mg (Z=11-12)     | ae .1s .2p         | Yes    | Yes | Yes             |
| Al-Ar (Z=13-18)     | ae .1s .2p         | Yes    | Yes | Yes             |
| K-Ca (Z=19-20)      | ae .2p .3p         | Yes    | Yes | Yes             |
| Sc-Zn (Z=21-30)     | ae .2p .3p         | Yes    |     | Yes             |
| Ga-Kr (Z=31-36)     | ae .3p .3d         | Yes    | Yes | Yes             |
| Rb-Sr (Z=37-38)     | ae .3p .3d .4p     | Yes    |     | Yes             |
| Y-Cd (Z=39-48)      | ae .3d .4p         | Yes    |     | Yes             |
| In-Ba (Z=49-56)     | ae .4p .4d         | Yes    |     | Yes             |
| La-Lu (Z=57-71)     | ae .4d .5p         | Yes    |     | Yes             |
| Hf-Hg (Z=72-80)     | ae .4d .4f         | Yes    |     | Yes             |
| Tl (Z=81)           | ae .4d .4f .5p     | Yes    |     | Yes             |
| Pb-Rn (Z=82-86)     | ae .4d .4f .5p .5d | Yes    |     | Yes             |
| Fr-Ra (Z=87-88)     | ae .5p .5d         | Yes    |     | Yes             |
| Ac-Lr (Z=89-103)    | ae .5d .6p         | Yes    |     | Yes             |
| Rf-Og (Z=104-118)   | ae .5d .5f         | Yes    |     | Yes             |
| Uue-Ubn (Z=119-120) | ae .5f             | Yes    |     | Yes             |

• element name (without suffix): all electron (ae)

• .1s frozen: 1s

• .2p frozen: 1s 2s 2p

• .3p frozen: 1s 2s 2p 3s 3p

• .3d frozen: 1s 2s 2p 3s 3p 3d

• .4p frozen: 1s 2s 2p 3s 3p 3d 4s 4p

• .4d frozen: 1s 2s 2p 3s 3p 3d 4s 4p 4d

• .4f frozen: 1s 2s 2p 3s 3p 3d 4s 4p 4d 4f

• .5p frozen: 1s 2s 2p 3s 3p 3d 4s 4p 4d 5s 5p (La-Lu)

• .5p frozen: 1s 2s 2p 3s 3p 3d 4s 4p 4d 4f 5s 5p (other)

• .5d frozen: 1s 2s 2p 3s 3p 3d 4s 4p 4d 4f 5s 5p 5d

• .6p frozen: 1s 2s 2p 3s 3p 3d 4s 4p 4d 4f 5s 5p 5d 6s 6p (Ac-Lr)

• .5f frozen: 1s 2s 2p 3s 3p 3d 4s 4p 4d 4f 5s 5p 5d 5f 6s 6p

**Note:** Not all combinations of basis set Type and Core are available for all elements. If a specific combination is not available, Band will pick the first *better* basis set. E.g. if an element is not in the DZP database, it is taken from the TZP basis set.

# 4.1.5 Pure STO and GTO basis sets

Starting from AMS2023, BAND includes many basis sets to reproduce literature data. The basis sets are suitable (and recommended) for well converged *GW* (page 132), *RPA* (page 24), and *double hybrid* (page 24) calculations in which electron-electron interaction effects are accounted for explicitly beyond DFT. Available basis sets are:

### pure STO type basis sets

The TZ2P and QZ4P basis sets from ADF can now be used in BAND calculations as well. To get the same results as ADF, it is additionally necessary to disable the confinement of the basis functions *Confinement of basis functions* (page 62). Additionally, the CORR/TZ3P and the CORR/QZ6P basis sets of ADF can also be used with BAND. Band also includes the Corr/TZ3P\_G and the Corr/QZ6P\_GH basis sets which includes higher-angular momentum functions (g-functions fo TZ3P\_G, g-,h- (and sometimes i-)functions for QZ6P\_GH).

```
Basis Type=STO/TZ2PSTO
SoftConfinement Quality=Excellent # disable radial basis function confinement
```

Basis Set ADF

STO/TZ2PSTO ZORA/TZ2P
STO/QZ4PSTO ZORA/QZ4P
CORR/QZ6P CORR/QZ6P
CORR/Z6P\_GH
CORR/TZ3P
CORR/TZ3P CORR/TZ3P

Table 4.4: STO (all electron) basis sets for band

### Correlation consistent Gaussian type basis sets

### See also:

Examples GTO (page 239)

BAND includes the correlation-consistent (CC) Dunning Gaussian type (GTO) basis sets from double Zeta (DZ) to hextuple Zeta (6Z) quality. GTO basis sets can only be used in non-relativistic calculations and can not be used with the frozen core approximation. The basis sets cc-pVDZ to cc-pV5Z are only available for the first four rows of the periodic table, with the exception of K (potassium) (see also: the information on the Basis Set Exchange (https://www.basissetexchange.org/). The cc-pV6Z basis set is only available for the elements of the first three rows of the periodic table with the exception of Li, Na, and Mg.

```
Basis Type=GTO/CC-PVTZ
SoftConfinement Quality=Excellent # disable radial basis function confinement
Relativity Level=None # relativistic effects are currently not supported for GTOs
```

Table 4.5: GTO (all electron) basis sets for band

| Basis Set     | comment |
|---------------|---------|
| GTO/CC-PV5Z   |         |
| GTO/CC-PV6Z   |         |
| GTO/CC-PVDZ   |         |
| GTO/CC-PVQZ   |         |
| GTO/CC-PVTZ   |         |
| GTO/CC-PWCVQZ |         |

GTO type basis sets can be used to calculate high-quality RPA and MP2/double-hybrid energies as well as GW QP

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energies (MBPT based methods), often in combination with complete basis set (CBS) limit extrapolation of the form

$$E_{\text{CBS}} = \frac{Y^3 E_Y - X^3 E_X}{Y^3 - X^3}$$

where  $E_Y$  denotes an energy or QP energy calculated with the cc-pVYZ basis set and  $E_X$  denotes an energy or QP energy calculated with the cc-pVXZ basis set, and Y>X. Typically, an extrapolation from a cc-pVTZ and a cc-pVQZ calculation will give a result of cc-pV5Z quality at lower computational cost.

When used in conjunction with MBPT based methods, a highly accurate auxiliary fit set is needed (Also see the recommendations in the *MBPT section* (page 100)). It is recommended to generate the auxiliary fit sets directly from products of basis functions to saturate all higher angular momentum channels. See the *RIHartreeFock documentation* (page 79).

# 4.1.6 More Basis input options

```
Basis
Folder string
PerAtomType
Core [None | Small | Medium | Large]
File string
Symbol string
Type [SZ | DZ | DZP | TZP | TZ2P | QZ4P]
End
PerRegion
Core [None | Small | Medium | Large]
Region string
Type [SZ | DZ | DZP | TZP | TZ2P | QZ4P]
End
End
```

# Basis

Type

Block

# Description

Definition of the basis set

### Folder

**Type** 

String

### **Description**

Path to a folder containing the basis set files. This can be used for special use-defined basis sets. Cannot be used in combination with 'Type'

### PerAtomType

**Type** 

Block

#### Recurring

True

### **Description**

Defines the basis set for all atoms of a particular type.

Core

### **Type**

Multiple Choice

## **Options**

[None, Small, Medium, Large]

# **Description**

Size of the frozen core.

### File

# **Type**

String

### Description

The path to the basis set file. The path can be absolute or relative to \$AMSRE-SOURCES/Band. Specifying the path to the basis file explicitly overrides the automatic basis file selection via the Type and Core subkeys.

### Symbol

### **Type**

String

### **Description**

The symbol for which to define the basis set.

### Type

# **Type**

Multiple Choice

# **Options**

[SZ, DZ, DZP, TZP, TZ2P, QZ4P]

# Description

The basis sets to be used.

# PerRegion

# Type

Block

# Recurring

True

# **Description**

Defines the basis set for all atoms in a region. If specified, this overwrites the values set with the Basis%Type and Basis%PerAtomType keywords for atoms in that region. Note that if this keyword is used multiple times, the chosen regions may not overlap.

### Core

### **Type**

Multiple Choice

### **Default value**

Large

## **Options**

[None, Small, Medium, Large]

### Description

Size of the frozen core.

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### Region

### **Type**

String

### **Description**

The identifier of the region for which to define the basis set. Note that this may also be a region expression, e.g. 'myregion+myotherregion' (the union of two regions).

### Type

# **Type**

Multiple Choice

### **Default value**

DZ

### **Options**

[SZ, DZ, DZP, TZP, TZ2P, QZ4P]

### **Description**

The basis sets to be used.

See also: Example: Multiresolution (page 230), and Frozen core (page 57).

# 4.1.7 Confinement of basis functions

It is possible to alter the radial part of the basis functions in order to make them more compact, which will in turn speeds up the calculation.

```
SoftConfinement
Quality [Auto | Basic | Normal | Good | VeryGood | Excellent]
Radius float
Delta float
End
```

### SoftConfinement

# Type

Block

### **Description**

In order to make the basis functions more compact, the radial part of the basis functions is multiplied by a Fermi-Dirac (FD) function (this 'confinement' is done for efficiency and numerical stability reasons). A FD function goes from one to zero, controlled by two parameters. It has a value 0.5 at Radius, and the decay width is Delta.

### Quality

### **Type**

Multiple Choice

### **Default value**

Auto

### **Options**

[Auto, Basic, Normal, Good, VeryGood, Excellent]

### **GUI** name

Confinement

### **Description**

In order to make the basis functions more compact, the radial part of the basis functions is multiplied by a Fermi-Dirac (FD) function (this 'confinement' is done for efficiency and numerical stability reasons). A FD function goes from one to zero, controlled by two parameters. It has a value 0.5 at Radius, and the decay width is Delta.

This key sets the two parameters 'Radius' and 'Delta'.

Basic: Radius=7.0, Delta=0.7; Normal: Radius=10.0, Delta=1.0; Good: Radius=20.0, Delta=2.0;

VeryGood and Excellent: no confinement at all.

If 'Auto', the quality defined in the 'NumericalQuality' will be used.

#### Radius

**Type** 

Float

Unit

Bohr

#### **Description**

Explicitly specify the radius parameter of the Fermi-Dirac function.

#### Delta

**Type** 

Float

Unit

Bohr

#### **Description**

Explicitly specify the delta parameter of the Fermi-Dirac function (if not specified, it will be 0.1\*Radius).

For geometry optimizations under pressure, Basic soft confinement is recommended.

## 4.1.8 Manually specifying AtomTypes (expert option)

## AtomType (block-type)

(Expert Option) Description of the atom type. Contains the block keys Dirac, BasisFunctions and Fit-Functions. The key corresponds to one atom type. The ordering of the AtomType keys (in case of more than one atom type) is NOT arbitrary. It is interpreted as corresponding to the ordering of the Atoms keys. The n-th AtomType key supplies information for the numerical atom of the  $n^{th}$  type, which in turn has atoms at positions defined by the  $n^{th}$  Atoms key.

```
AtomType ElementSymbol
Dirac ChemSym
{option}
...
shells cores
shell_specification {occupation_number}
...
End
```

(continues on next page)

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(continued from previous page)

```
{BasisFunctions
shell_specification STO_exponent
...
End}
FitFunctions
shell_specification STO_exponent
...
End
End
END
```

The argument *ElementSymbol* to AtomType is the symbol of the element that is referred to in the Atoms key block.

#### Dirac (block-type)

Specification of the numerical ('Herman-Skillman') free atom, which defines the initial guess for the SCF density, and which also (optionally) supplies Numerical Atomic Orbitals (NOs) as basis functions, and/or as STO fit functions for the crystal calculation. The argument *ChemSym* of this option is the symbol of the element of the atom type. The data records of the Dirac key are:

- 1. the number of atomic shells (1s,2s,2p,etc.) and the nr. of core-shells (two integers on one line).
- 2. specification of the shell and its electronic occupation.

This specification can be done via quantum numbers or using the standard designation (e.g. '10' is equivalent to '1s'). Optionally one may insert anywhere in the Dirac block a record *Valence*, which signifies that all numerical valence orbitals will be used as basis functions (NOs) in the crystal calculation. You can also insert *NumericalFit* followed by a number (max. l-value) in the key block, which causes the program to use numerical STO fit functions. For example NumericalFit 2 means that the squares of all s,p, and d NOs will be used as STO fit functions with l=0, since the NOs are spherically symmetric. If you insert *Spinor*, a spin-orbit relativistic calculation for the single-atom will be carried out.

The Herman-Skillman program generates all its functions (atomic potential, charge density, one-electron states) as tables of values in a logarithmic radial grid. The number of points in the grid, and the min. and max. r-value are defaulted at 3000, 0.000001, and 100.0 (a.u.) respectively. These defaults can be overwritten by specifying anywhere in the Dirac block the (sub)keys *radial*, *rmin* and *rmax*.

The program will do a spin-unrestricted calculation for the atoms in addition to the restricted one. The occupation of the spin-orbitals will be of maximum spin-multiplicity and cannot be controlled in the Dirac key-block.

## BasisFunctions (block-type)

Slater-type orbitals, specified by quantum numbers n,:math:l or by the letter designation (e.g. 2p) and one real (alpha) per STO. One STO per record. Use of this key is optional in the sense that Slater-type functions are not needed if other basis functions have been specified (i.e. the numerical atomic orbitals, see key Dirac).

#### FitFunctions (block-type)

Slater-type fit functions, described in the same way as in BasisFunctions. Each FitFunctions key corresponds to one atom type, the type being the one of the preceding Dirac key. The selection choice of a 'good' fit set is a matter of experience. Fair quality sets are included in the database of the molecular program ADF.

#### Example:

```
AtomType C :: Carbon atom
Dirac C
3 1
VALENCE
1s
```

(continues on next page)

(continued from previous page)

```
2s
2p 2.0
End
BasisFunctions
1s 1.7
...
End
FitFunctions
1s 13.5
2s 11.0
...
End
```

#### TestFunctions (block-type)

An optional subkey of the AtomType key block is TestFunctions which has the same format as the BasisFunctions and FitFunctions blocks. The TestFunctions block specifies STOs to be used as test functions in the numerical integration package. For the time being the  $\ell$  value is ignored. A possible application is to include a very tight function, to increase the accuracy near a nucleus.

## 4.1.9 Basis Set Superposition Error (BSSE)

The Ghost Atom feature enables the calculation of Basis Set Superposition Errors (BSSE). Normally, if you want to know the bonding energy of system A with system B you calculate three energies

```
1) E(A + B)
```

- E(A)
- 3) E(B)

The bond energy is then E(A + B) - E(A) - E(B)

The BSSE correction is about the idea that we can also calculate E(A) including basis functions from molecule B.

You can make a ghost atom by simply adding "Gh." in front of the element name, for instance "Gh.H" for a ghost hydrogen , "Gh.C" for a ghost Carbon atom.

You will get a better bonding energy, closer to the basis set limit by calculating

```
E(A+B)-E({\rm A~with~B~as~ghost})-E({\rm B~with~A~as~ghost})
```

The BSSE correction is

```
E(A) - E(A \text{ with B as ghost}) + E(B) - E(B \text{ with A as ghost})
```

See also:

Example: BSSE correction (page 232)

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## 4.1.10 Alternative elements / Virtual crystal approximation

It is possible to define an alternative nuclear charge for an element. If a certain site in a crystal has, say, a 50% occupation of C (Z=6) and a 50% occupation of B (Z=5) you can use one alternative atom with Z=5.5

### Example:

```
Atoms
Si 0.0 0.0 0.0
C 0.0 0.0 nuclear_charge=5.5! this site has a mixture of 50% C and B
End
```

In this example the basis set is taken from the C atom, but you could equally well specify the B atom here. (In fact any atom type can be specified here, but why would you like to use an Au basis set for this situation.). Defining such an average element is in the spirit of the Virtual Crystal Approximation (VCA), however, the fractional nuclear charge approach does not work well when for instance the fractional z is near the value of a noble gas. For instance when mixing Si (Z=14) and C(Z=8) atoms you may get near Ne (Z=10), and the corresponding lattice will be way too diffuse.

If you want to perform a scan it can be useful to use the ModifyAlternativeElement option

### Example:

```
System

ModifyAlternativeElements true

Atoms

Si 0.0 0.0 0.0

H 0.0 0.0 0.0 nuclear_charge=5.6 ! Element H is ignored and will be "rounded" to nearest atom (for the basis set) in this case C

End
```

In band an alternative element works well with the frozen core approximation, using a smaller or no core has little effect. The VCA relies on defining an average pseudopotential (commonly used in plane wave programs) and is not identical to the alternative element approach (defining an average nuclear charge).

# 4.2 K-Space

The K-Space sampling (i.e., the k-points used to sample the Brillouin Zone) is an important technical aspect of Band, as it influences heavily the accuracy, the CPU time and the memory usage of the calculation (see section *Recommendations for k-space* (page 69)).

## 4.2.1 KSpace input block

The K-Space can be controlled via the KSpace input block. Two different k-space integration methods are available: the *Regular Grid* (**default**) and the *Symmetric Grid*.

```
KSpace
Type [Regular | Symmetric]
Quality [Auto | GammaOnly | Basic | Normal | Good | VeryGood | Excellent]
End
```

#### **KSpace**

```
Type
Block
```

### **Description**

Options for the k-space integration (i.e. the grid used to sample the Brillouin zone)

## Type

#### **Type**

Multiple Choice

#### **Default value**

Regular

## **Options**

[Regular, Symmetric]

## **GUI** name

K-space grid type

### **Description**

The type of k-space integration grid used to sample the Brillouin zone (BZ) used.

'Regular': simple regular grid.

'Symmetric': symmetric grid for the irreducible wedge of the first BZ (useful when high-symmetry points in the BZ are needed to capture the correct physics of the system, graphene being a notable example).

### Quality

### **Type**

Multiple Choice

#### **Default value**

Auto

## **Options**

[Auto, GammaOnly, Basic, Normal, Good, VeryGood, Excellent]

#### **GUI** name

K-space

### **Description**

Select the quality of the K-space grid used to sample the Brillouin Zone. If 'Auto', the quality defined in the 'NumericalQuality' will be used. If 'GammaOnly', only one point (the gamma point) will be used.

The actual number of K points generated depends on this option and on the size of the unit cell. The larger the real space cell, the fewer K points will be generated.

The CPU-time and accuracy strongly depend on this option.

## Regular K-Space grid

By default, Band will look at the size of a lattice vectors and the KSpace quality to determine the number of k-points. The larger the lattice vector in real space, the smaller the reciprocal space vectors are, and as a result fewer k-points are needed. The following intervals will be distinguished: 0-5 Bohr, 5-10 Bohr, 10-20 Bohr, 20-50 Bohr, and beyond. Here is the table explaining how many k-points will be used along a lattice vector.

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| Lattice vector length | Basic | Normal | Good | VeryGood | Excellent |
|-----------------------|-------|--------|------|----------|-----------|
| 0-5 Bohr              | 5     | 9      | 13   | 17       | 21        |
| 5-10 Bohr             | 3     | 5      | 9    | 13       | 17        |
| 10-20 Bohr            | 1     | 3      | 5    | 9        | 13        |
| 20-50 Bohr            | 1     | 1      | 3    | 5        | 9         |
| 50- Bohr              | 1     | 1      | 1    | 3        | 5         |

By preferring odd-numbered values we can use a quadratic interpolation method, and have the  $\Gamma$  point in the grid. It is then reasonable to assume a decaying error when going to a better quality setting.

It is also possible to manually specify the number of k-space points along each reciprocal lattice vector

```
KSpace
Regular
NumberOfPoints integer_list
End
End
```

### **KSpace**

## Type

Block

## **Description**

Options for the k-space integration (i.e. the grid used to sample the Brillouin zone)

#### Regular

#### Type

Block

### **Description**

Options for the regular k-space integration grid.

### NumberOfPoints

## **Type**

Integer List

#### **Description**

Use a regular grid with the specified number of k-points along each reciprocal lattice vector.

For 1D periodic systems you should specify only one number, for 2D systems two numbers, and for 3D systems three numbers.

## Symmetric K-Space grid (tetrahedron method)

The tetrahedron method can be useful when high symmetry points in the BZ are needed to capture the correct physics of the system, graphene being a notable example.

The number of k-points in the symmetric grid depends on the KSpace quality and on the length of the shortest lattice vector.

It is also possible to manually specify the symmetric k-space integration parameter:

```
KSpace
Symmetric
KInteg integer
```

(continued from previous page)

End End

### **KSpace**

**Type** 

Block

### Description

Options for the k-space integration (i.e. the grid used to sample the Brillouin zone)

### Symmetric

**Type** 

Block

#### **Description**

Options for the symmetric k-space integration grid.

### KInteg

**Type** 

Integer

### **GUI** name

Accuracy

### **Description**

Specify the accuracy for the Symmetric method.

- 1: absolutely minimal (only the G-point is used)
- 2: linear tetrahedron method, coarsest spacing
- 3: quadratic tetrahedron method, coarsest spacing
- 4,6,... (even): linear tetrahedron method
- 5,7.... (odd): quadratic method

The tetrahedron method is usually by far inferior.

**General Remark**: The tetrahedron method samples the irreducible wedge of the first BZ, whereas the regular grid samples the whole, first BZ. As a rule of thumb you need to choose roughly twice the value for the regular grid. For example kspace 2 compares to grid 4 4 4, kspace 3 to grid 5 5 5, etc.. Sticking to this rule the number of unique k-points will be roughly similar.

## 4.2.2 Recommendations for k-space

Which K-Space quality to use depends very much a) the system you are studying and b) the property you are interested in. We strongly recommend you to test the effect of different K-Space qualities on your system and properties of interest.

As an example, in the following table we list the errors on formation energy and band gap for diamond using regular k-space grids of different qualities (using Excellent kSpace quality as reference).

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| Table 4.6: Accuracy of formation energy for diamond (primitive unit cell) |
|---|
| using different KSpace grids  |

| KSpace quality | Energy error / atom [eV] | CPU time ratio |
|----------------|--------------------------|----------------|
| Gamma-Only     | 3.3                      | 1              |
| Basic          | 0.6                      | 2              |
| Normal         | 0.03                     | 6              |
| Good           | 0.002                    | 16             |
| VeryGood       | 0.0001                   | 35             |
| Excellent      | reference                | 64             |

It is worthwhile noting that the errors due to finite k-space sampling in formation energies are to some extend systematic, and they partially cancel each other out when taking energy differences.

In general, metals (or narrow-gap semiconductor) require higher K-Space sampling than insulators. For insulators and wide-gap semiconductors, Normal K-Space quality often suffices. For Narrow-gap semiconductor and metals, Good K-Space quality is highly recommended. For geometry optimizations under pressure, Good K-Space quality is recommended.

Furthermore for certain properties, such as band gaps, Normal K-Space quality might not be enough to obtain reliable results. For example, in following figure we see how Normal K-Space quality is often not enough for computing band gaps (especially for the narrow-gap semiconductor of the top panel). For band gap prediction, it is recommended to use Good K-Space quality.

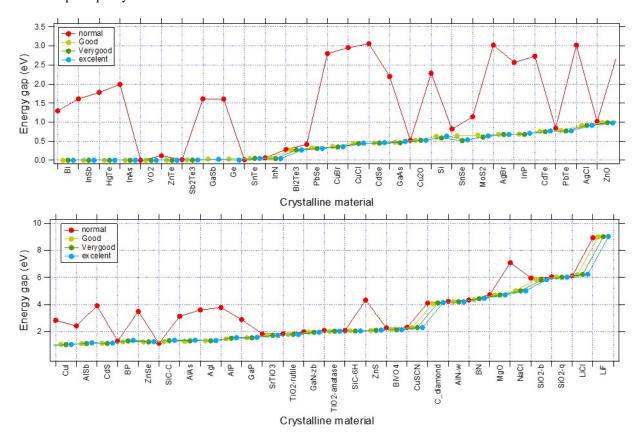


Fig. 4.2: Convergence of band gaps WRT the k-space quality set for various system. (XC:PBE, Basis:TZP)

## High symmetry points and the regular grid

Using the symmetric k-grid it is ensured that points with high symmetry are included. However, for the default regular grid this is not the case. An important example is graphene. Its band structure has a conical intersection at the point labeled "K", in all other k-points there is a gap.

Table 4.7: The magical values for which the regular k-grid includes the high symmetry point "K" (with fractional coordinates 1/3,1/3) for a honeycomb lattice.

| grid  | point "K" included? | K-grid quality for Graphene |
|-------|---------------------|-----------------------------|
| 5x5   | No                  | Normal                      |
| 7x7   | Yes                 |                             |
| 9x9   | No                  | Good                        |
| 11x11 | No                  |                             |
| 13x13 | Yes                 | VeryGood                    |
| 15x15 | No                  |                             |

But in general it makes more sense to use the symmetric k-grid if points of high symmetry are deemed important.

# 4.3 Numerical Integration

Many of the integrals needed by Band are computed via numerical integration. See also: Wikipedia page on Numerical Integration (https://en.wikipedia.org/wiki/Numerical\_integration).

## 4.3.1 Becke Grid

The numerical integration grid is a refined version of the fuzzy cells integration scheme developed by Becke<sup>1</sup>. The implementation in BAND is described in Ref.<sup>2</sup>.

The quality of the Becke integration grid can be changed within the BeckeGrid block:

```
BeckeGrid
Quality [Auto | Basic | Normal | Good | VeryGood | Excellent]
RadialGridBoost float
QualityPerRegion
Quality [Basic | Normal | Good | VeryGood | Excellent]
Region string
End
End
```

## BeckeGrid

Type

Block

### **Description**

Options for the numerical integration grid, which is a refined version of the fuzzy cells integration scheme developed by Becke.

<sup>&</sup>lt;sup>1</sup> A.D. Becke, *A multicenter numerical integration scheme for polyatomic molecules*, Journal of Chemical Physics 88, 2547 (1988) (https://doi.org/10.1063/1.454033).

<sup>&</sup>lt;sup>2</sup> M. Franchini, P.H.T. Philipsen, L. Visscher, *The Becke Fuzzy Cells Integration Scheme in the Amsterdam Density Functional Program Suite*, Journal of Computational Chemistry 34, 1818 (2013) (https://doi.org/10.1002/jcc.23323).

#### Quality

#### **Type**

Multiple Choice

#### **Default value**

Auto

#### **Options**

[Auto, Basic, Normal, Good, VeryGood, Excellent]

## **Description**

Quality of the integration grid. For a description of the various qualities and the associated numerical accuracy see reference. If 'Auto', the quality defined in the 'NumericalQuality' will be used

### RadialGridBoost

### **Type**

Float

#### **Default value**

1.0

#### **Description**

The number of radial grid points will be boosted by this factor. Some XC functionals require very accurate radial integration grids, so BAND will automatically boost the radial grid by a factor 3 for the following numerically sensitive functionals: LibXC M05, LibXC M05-2X, LibXC M06-2X, LibXC M06-HF, LibXC M06-L, LibXC M08-HX, LibXC M08-SO, LibXC M11-L, LibXC MS0, LibXC MS1, LibXC MS2, LibXC MS2H, LibXC MVS, LibXC MVSH, LibXC N12, LibXC N12-SX, LibXC SOGGA11, LibXC SOGGA11-X, LibXC TH1, LibXC TH2, LibXC WB97, LibXC WB97X, MetaGGA M06L, MetaHybrid M06-2X, MetaHybrid M06-HF, MetaGGA MVS.

## QualityPerRegion

## Type

Block

### Recurring

True

## Description

Sets the grid quality for all atoms in a region. If specified, this overwrites the globally set quality.

## Quality

#### **Type**

Multiple Choice

### **Options**

[Basic, Normal, Good, VeryGood, Excellent]

## Description

The region's integration grid quality.

#### Region

## Type

String

### Description

The identifier of the region for which to set the quality.

Example: Multiresolution (page 230) illustrates how to use the QualityPerRegion option.

#### **Notes:**

• The space-partition function used in BAND differs from the one described in Ref. Page 71, 2. The unnormalized partition function used in the program is defined as ( $\Omega_I$  is an element-dependent parameter: 0.1 Bohr for H, 0.3 Bohr for He-Xe and 0.6 Bohr for Cs-Ubn):

$$\mathcal{P}_{i,U} = \begin{cases} 1 & \text{if } r_{i,U} < \Omega_I \\ 0 & \text{if } \exists j : r_{j,U} < \Omega_J \\ \eta_i \frac{e^{-2(r_{i,U} - \Omega_I)/a_0}}{(r_{i,U} - \Omega_I)^2} & \text{elsewhere} \end{cases}$$

• The Becke grid is not very well suited to calculate Voronoi deformation density (VDD) charges. For accurate calculation of VDD charges the Voronoi integration scheme is recommended.

## 4.3.2 Radial grid of NAOs

```
RadialDefaults

NR integer

NRPerType integer_list

RMax float

RMin float

RMinPerType float_list

End
```

#### RadialDefaults

### **Type**

Block

## **Description**

Options for the logarithmic radial grid of the basis functions used in the subprogram Dirac

NR

## Type

Integer

## **Default value**

3000

#### Description

Number of radial points. With very high values (like 30000) the Dirac subprogram may not converge.

### NRPerType

#### **Type**

Integer List

## Description

If present overrides NR. The list needs to be as long as there are atom types

#### RMax

#### **Type**

Float

## Default value

100.0

#### Unit

Bohr

### **Description**

Upper bound of the logarithmic radial grid

### RMin

### **Type**

Float

### Default value

1e-06

## Unit

Bohr

### **Description**

Lower bound of the logarithmic radial grid

### RMinPerType

**Type** 

Float List

## Unit

Bohr

## **Description**

If specified overrides RMin. The list needs to be as long as there are atom types (different elements)

## 4.3.3 Voronoi grid (deprecated)

It is possible to use an alternative numerical integration scheme to the Becke Grid, namely the Voronoi Grid.

```
IntegrationMethod [Becke | Voronoi]
```

## ${\tt Integration Method}$

## **Type**

Multiple Choice

### **Default value**

Becke

## **Options**

[Becke, Voronoi]

### **Description**

Choose the real-space numerical integration method. Note: the Voronoi integration scheme is deprecated.

The options for the Voronoi Grid are specified in the Integration block:

```
Integration
AccInt float
End
```

## Integration

### **Type**

Block

## Description

Options for the Voronoi numerical integration scheme. Deprecated. Use BeckeGrid instead.

#### AccInt

**Type** 

Float

**Default value** 

3.5

## **Description**

General parameter controlling the accuracy of the Voronoi integration grid. A value of 3 would be basic quality and a value of 7 would be good quality.

# 4.4 Density Fitting

The Coulomb potential in Band is computed using a method called density fitting. The density fitting scheme in BAND is called **Zlm Fit**, and it is described in reference<sup>1</sup>. The ZlmFit is also used to compute (when needed) the gradient and hessian of the electron density.

## 4.4.1 Zlm Fit

The idea behind Zlm Fit can be summarized as follows: the total electron density is split into localized atomic densities (in a similar way as the volume is partitioned in the Becke grid). These atomic densities are then approximated by a combination of radial spline functions and real spherical harmonics (Zlm), for which the Coulomb potential can be easily computed.

```
ZlmFit
   Quality [Auto | Basic | Normal | Good | VeryGood | Excellent]
   QualityPerRegion
   Quality [Basic | Normal | Good | VeryGood | Excellent]
   Region string
   End
End
```

## ZlmFit

**Type** 

Block

### Description

Options for the density fitting scheme 'ZlmFit'.

### Quality

**Type** 

Multiple Choice

**Default value** 

Auto

4.4. Density Fitting

<sup>&</sup>lt;sup>1</sup> M. Franchini, P.H.T. Philipsen, E. van Lenthe, L. Visscher, *Accurate Coulomb Potentials for Periodic and Molecular Systems through Density Fitting*, Journal of Chemical Theory and Computation 10, 1994 (2014) (https://doi.org/10.1021/ct500172n).

### **Options**

[Auto, Basic, Normal, Good, VeryGood, Excellent]

#### **GUI** name

Spline Zlm fit

## **Description**

Quality of the density-fitting approximation. For a description of the various qualities and the associated numerical accuracy see reference. If 'Auto', the quality defined in the 'NumericalQuality' will be used.

### QualityPerRegion

## **Type**

Block

#### Recurring

True

## **Description**

Sets the ZlmFit quality for all atoms in a region. If specified, this overwrites the globally set quality.

### Quality

#### **Type**

Multiple Choice

#### **Options**

[Basic, Normal, Good, VeryGood, Excellent]

### **Description**

The region's quality of the ZlmFit.

## Region

## Type

String

### **Description**

The identifier of the region for which to set the quality.

Example: Multiresolution (page 230) illustrates how to use the QualityPerRegion option.

## **Expert options**

```
ZlmFit
   LMargin integer
   AllowBoost Yes/No
   DensityThreshold float
   PartitionFunThreshold float
   FGaussianW float
   FGridSpacing float
   FKSpaceCutOff float
   FirstTopoCell integer
   LastTopoCell integer
   OrderTopoTrick integer
   NumStarsPartitionFun integer
End
```

#### ZlmFit

## Type

Block

### **Description**

Options for the density fitting scheme 'ZlmFit'.

### LMargin

## **Type**

Integer

## **Description**

User-defined l-margin, i.e., l\_max for fitting is max(lMargin + l\_max\_basis\_function, 2\*l\_max\_basis\_function). Depends on Quality and normally is 4

#### AllowBoost

### **Type**

**Bool** 

## **Default value**

Yes

## Description

Allow automatic atom-dependent tuning of maximum 1 of spherical harmonics expansion. Whether or not this boost is needed for a given atom is based on an heuristic estimate of how complex the density around that atom is.

## DensityThreshold

## Type

Float

## Description

Threshold below which the electron density is considered to be negligible. Depends on Quality and is normally 1.0e-7

#### PartitionFunThreshold

### **Type**

Float

## Default value

0.0

## Description

Threshold for the partition functions: if an integration point has a partition function weight smaller than this threshold, it will be discarded.

### **FGaussianW**

## Type

Float

## Default value

1.0

#### **Description**

Only for 3D periodic systems. Width of the Gaussian functions replacing the S and P Zlms for Fourier transform.

## **FGridSpacing**

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### **Type**

Float

### **Description**

Only for 3D periodic systems. Spacing for the Fourier grid. By default, this depends on the quality.

## FKSpaceCutOff

### **Type**

Float

## **Description**

Only for 3D periodic systems. Cut-off of the grid in k-space for the Fourier transform.

## FirstTopoCell

## **Type**

Integer

## **Default value**

5

### **Description**

First cell for the topological extrapolation of the long range part of the Coulomb Potential.

## LastTopoCell

## **Type**

Integer

### **Default value**

10

## Description

Last cell for the topological extrapolation of the long range part of the Coulomb Potential.

## OrderTopoTrick

### **Type**

Integer

## Default value

3

## Description

Order of the topological extrapolation of the long range part of the Coulomb Potential.

#### NumStarsPartitionFun

### **Type**

Integer

## **Default value**

5

## Description

Number of cell stars to consider when computing the partition function.

## 4.4.2 STO Fit (Deprecated)

In previous version of BAND (pre2014) this was the default option, which is now replaced by Zlm Fit. It is still used in the context of NMR and OldResponse calculations.

## 4.5 Hartree-Fock RI

The Hartree-Fock exchange matrix is calculated through a procedure known as Resolution of the Identity (RI). The implementation of the RI scheme in BAND is loosely based on work by Ren *et al.*<sup>1</sup>. For more information on hybrid functionals in BAND, see the *XC section* (page 15).

Technical aspects of the RI scheme can be tweaked in the RIHartreeFock block:

```
RIHartreeFock

Quality [Auto | VeryBasic | Basic | Normal | Good | VeryGood | Excellent]

FitSetQuality [Auto | VeryBasic | Basic | Normal | Good | VeryGood | Excellent |

FromBasisProducts]

DependencyThreshold float

QualityPerRegion

Quality [VeryBasic | Basic | Normal | Good | VeryGood | Excellent]

Region string

End

End
```

#### RIHartreeFock

```
Type
```

Block

**Description** 

Quality

**Type** 

Multiple Choice

**Default value** 

Auto

**Options** 

[Auto, VeryBasic, Basic, Normal, Good, VeryGood, Excellent]

#### Description

Numerical accuracy of the RI procedure. If 'Auto', the quality specified in the 'NumericalQuality' will be used.

### FitSetQuality

**Type** 

Multiple Choice

**Default value** 

Auto

**Options** 

[Auto, VeryBasic, Basic, Normal, Good, VeryGood, Excellent, FromBasisProducts]

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<sup>&</sup>lt;sup>1</sup> X. Ren, P. Rinke, V. Blum, J. Wieferink, A. Tkatchenko, A. Sanfilippo, K. Reuter and M. Scheffler, *Resolution-of-identity approach to Hartree–Fock, hybrid density functionals, RPA, MP2 and GW with numeric atom-centered orbital basis functions*, New J. Phys. 14 053020 (https://doi.org/10.1088/1367-2630/14/5/053020).

### **Description**

The quality of auxiliary fit set employed in the RI scheme.

If 'Auto', the value of the RIHartreeFock Quality option will be used.

Normal quality is generally sufficient for basis sets up to and including TZ2P.

For larger basis sets (or for benchmarking purposes) a VeryGood fit set is recommended.

Note that the FitSetQuality heavily influences the computational cost of the calculation.

## DependencyThreshold

### **Type**

Float

#### **Default value**

0.001

## **Description**

To improve numerical stability, almost linearly-dependent combination of basis functions are removed from the Hartree-Fock exchange matrix.

If you obtain unphysically large bond energy in an Hybrid calculation, or an unphysically low correlation energy in an RPA, MP2, or double hybrid calculation, you might try setting the DependencyThreshold to a larger value (e.g. 3.0E-3)

Note, that in GW calculations and GW-BSE calculations the default for this key is 5.0e-3.

### QualityPerRegion

### **Type**

Block

## Recurring

True

#### **Description**

Sets the fit-set quality for all atoms in a region. If specified, this overwrites the globally set quality.

#### Quality

## **Type**

Multiple Choice

### **Options**

[VeryBasic, Basic, Normal, Good, VeryGood, Excellent]

#### **Description**

This region's quality of the auxiliary fit set employed in the RI scheme.

## Region

## **Type**

String

#### **Description**

The identifier of the region for which to set the quality.

For efficiency and numerical stability reasons, it is advisable to include:

```
SoftConfinement
Quality Basic
End
```

See the *Confinement of basis functions* (page 62) section for more info.

**Notes:** for periodic systems it is only possible to use short-range hybrid functionals (*e.g.* HSE06) and all-electron basis sets.

#### Note:

• In AMS2019.3 the fit set for FitSetQuality Good has been improved.

# 4.6 Self Consistent Field (SCF)

The SCF procedure searches for a self-consistent density. The self-consistent error is the square root of the integral of the squared difference between the input and output density of the cycle operator.

$$err = \sqrt{\int dx \; (\rho_{out}(x) - \rho_{in}(x))^2}$$

When the SCF error is below a certain criterion, controlled by subkey Criterion of block key Convergence, convergence is reached. The default criterion depends on the NumericalQuality key.

Table 4.8: The default Convergence%Criterion depends on the NumericalQuality and on the number of atoms in the unit cell.

| NumericalQuality | Convergence%Criterion            |
|------------------|----------------------------------|
| Basic            | 1e-5 $\sqrt{N_{\rm atoms}}$      |
| Normal           | 1e-6 $\sqrt{N_{\rm atoms}}$      |
| Good             | 1e-7 $\sqrt{N_{\mathrm{atoms}}}$ |
| VeryGood         | 1e-8 $\sqrt{N_{ m atoms}}$       |

The default method is the so-called MultiStepper. The MultiStepper is flexible, but somewhat harder to control by the user.

## See also:

Troubleshooting: SCF does not converge (page 199)

## 4.6.1 SCF block

```
Eigenstates Yes/No
Iterations integer
Method [DIIS | MultiSecant | MultiStepper]
Mixing float
MultiStepperPresetPath string
PMatrix Yes/No
PrintAllOccupiedBands Yes/No
PrintAllVirtualBands Yes/No
PrintAlwaysBandRanges Yes/No
Rate float
VSplit float
End
```

### SCF

### **Type**

Block

## Description

Controls technical SCF parameters.

### Eigenstates

### **Type**

Bool

## **Description**

The program knows two alternative ways to evaluate the charge density iteratively in the SCF procedure: from the P-matrix, and directly from the squared occupied eigenstates. By default the program actually uses both at least one time and tries to take the most efficient. If present, Eigenstates turns off this comparison and lets the program stick to one method (from the eigenstates).

#### Iterations

#### **Type**

Integer

#### **Default value**

300

### **GUI** name

Maximum number of cycles

#### **Description**

The maximum number of SCF iterations to be performed.

## Method

## Type

Multiple Choice

#### **Default value**

MultiStepper

### **Options**

[DIIS, MultiSecant, MultiStepper]

## Description

Choose the general scheme used to converge the density in the SCF. In case of scf problems one can try the MultiSecant alternative at no extra cost per SCF cycle. For more details see the DIIS and MultiSecantConfig block.

## Mixing

#### Type

Float

### **Default value**

0.075

### **Description**

Initial 'damping' parameter in the SCF procedure, for the iterative update of the potential: new potential = old potential + mix (computed potential-old potential). Note: the program automatically adapts Mixing during the SCF iterations, in an attempt to find the optimal mixing value.

### MultiStepperPresetPath

### **Type**

String

#### **Default value**

DFTB/default2023.inc

## **Description**

Name of file containing a SCFMultiStepper key block. This will be used if no Explicit SCF-MultiStepper block is in the input, and Method=MultiStepper.

If the path is not absolute, it is relative to \$AMSHOME/data/presets/multi\_stepper'

#### **PMatrix**

### **Type**

**Bool** 

### **Description**

If present, evaluate the charge density from the P-matrix. See also the key Eigenstates.

### PrintAllOccupiedBands

**Type** 

Bool

#### **Default value**

Yes

## **Description**

When printing the ranges of the bands, include all occupied ones.

#### PrintAllVirtualBands

**Type** 

Bool

#### Default value

No

### **Description**

When printing the ranges of the bands, include all virtual ones.

## PrintAlwaysBandRanges

**Type** 

**Bool** 

#### **Default value**

No

### **Description**

Normally the ranges of the bands are only printed at the last SCF cycle

## Rate

## **Type**

Float

### **Default value**

0.99

## **Description**

Minimum rate of convergence for the SCF procedure. If progress is too slow the program will take measures (such as smearing out occupations around the Fermi level, see key Degenerate of block Convergence) or, if everything seems to fail, it will stop

#### **VSplit**

#### **Type**

Float

### **Default value**

0.05

#### **Description**

To disturb degeneracy of alpha and beta spin MOs the value of this key is added to the beta spin potential at the startup.

## 4.6.2 Convergence

All options and parameters related to the convergence behavior of the SCF procedure are defined in the Convergence block key. Also the finite temperature distribution is part of this

```
Convergence
  Criterion float
  CriterionFactor float
  Degenerate string
  ElectronicTemperature float
  InitialDensity [rho | psi | frompot]
  LessDegenerate Yes/No
  ModestCriterion float
  NoDegenerate Yes/No
  NumBoltz integer
  SpinFlip integer_list
  SpinFlipEnabled Yes/No
  SpinFlipRegion string
  StartWithMaxSpin Yes/No
  StartWithMaxSpinForSO Yes/No
End
```

### Convergence

## Type

Block

#### Description

Options and parameters related to the convergence behavior of the SCF procedure.

#### Criterion

### **Type**

Float

#### **Description**

Criterion for termination of the SCF procedure. The default depends on the NumericalQuality and on the number of atoms in the system.

Can be used for EngineAutomations

### CriterionFactor

## **Type**

Float

### **Default value**

1.0

### **Description**

Multiply Criterion (which depends on system and quality) with this factor.

Can be used for EngineAutomations

### Degenerate

#### Type

String

#### **Default value**

default

## **Description**

Smooths (slightly) occupation numbers around the Fermi level, so as to insure that nearly-degenerate states get (nearly-) identical occupations. Be aware: In case of problematic SCF convergence the program will turn this key on automatically, unless the key 'Nodegenerate' is set in input. The smoothing depends on the argument to this key, which can be considered a 'degeneration width'. When the argument reads default, the program will use the value 1e-4 a.u. for the energy width.

### ElectronicTemperature

**Type** 

Float

#### **Default value**

0.0

Unit

Hartree

## **Description**

(KT) Specify this key for a gradient independent electronic temperature

## InitialDensity

## Type

Multiple Choice

### **Default value**

rho

### **Options**

[rho, psi, frompot]

### **Description**

The SCF is started with a guess of the density. There are the following choices RHO: the sum of atomic density. PSI: construct an initial eigensystem by occupying the atomic orbitals. The guessed eigensystem is orthonormalized, and from this the density is calculated/

## LessDegenerate

## **Type**

Bool

### **Default value**

No

## **Description**

If smoothing of occupations over nearly degenerate orbitals is applied (see Degenerate key), then, if this key is set in the input file, the program will limit the smoothing energy range to

1e-4 a.u. as soon as the SCF has converged 'halfway', i.e. when the SCF error has decreased to the square root of its convergence criterion.

#### ModestCriterion

### **Type**

Float

#### **Default value**

-1.0

## **Description**

If this is specified band will consider the SCF converged if the error is below this criterion (after using the maximum number of iterations).

## NoDegenerate

## **Type**

Bool

### **Default value**

No

### **Description**

This key prevents any internal automatic setting of the key DEGENERATE.

#### NumBoltz

### **Type**

Integer

#### **Default value**

10

## **Description**

The electronic temperature is done with a Riemann Stieltjes numerical integration, between zero and one occupation. This defines the number of points to be used.

## SpinFlip

## Type

Integer List

## **GUI** name

Flip spin for atoms

### **Description**

List here the atoms for which you want the initial spin polarization to be flipped. This way you can distinguish between ferromagnetic and anti ferromagnetic states. Currently, it is not allowed to give symmetry equivalent atoms a different spin orientation. To achieve that you have to break the symmetry.

## SpinFlipEnabled

### **Type**

Bool

#### **Default value**

Yes

## **Description**

If set to False, the keys SpinFlip and SpinFlipRegion are ignored. Only useful/convenient when trying to compare in a script the effect of spin flip.

#### SpinFlipRegion

**Type** 

String

## Recurring

True

#### **GUI** name

Flip spin for region

## **Description**

Specify here the region for which you want the initial spin polarization to be flipped. This way you can distinguish between ferromagnetic and anti ferromagnetic states. Currently, it is not allowed to give symmetry equivalent atoms a different spin orientation. To achieve that you have to break the symmetry.

## StartWithMaxSpin

**Type** 

**Bool** 

#### **Default value**

Yes

#### **Description**

To break the initial perfect symmetry of up and down densities there are two strategies. One is to occupy the numerical orbitals in a maximum spin configuration. The alternative is to add a constant to the potential. See also Vsplit key.

### StartWithMaxSpinForSO

Type

Bool

#### Default value

No

#### **Description**

Same as the StartWithMaxSpin option. In case of spin-orbit band always used to split the potential. Now will use maxspin in case of SpinFlip. With this option it will always do that.

## 4.6.3 DIIS

The DIIS procedure to obtain the SCF solution depends on several parameters. Default values can be overruled with this block.

```
DIIS

Adaptable Yes/No
CHuge float
CLarge float
Condition float
DiMix float
DiMixMax float
DiMixMax float
DiMixMin float
NCycleDamp integer
NVctrx integer
Variant [DIIS | LISTi | LISTb | LISTd]
End
```

#### DIIS

### **Type**

Block

## Description

Parameters for the DIIS procedure to obtain the SCF solution

## Adaptable

## **Type**

Bool

## **Default value**

Yes

### **Description**

Change automatically the value of dimix during the SCF.

## CHuge

### **Type**

Float

#### **Default value**

20.0

### **GUI** name

No DIIS (but damping) when coefs >

#### **Description**

When the largest coefficient in the DIIS expansion exceeds this value, damping is applied

## CLarge

## Type

Float

#### **Default value**

20.0

## **GUI** name

Reduce DIIS space when coefs >

## Description

When the largest DIIS coefficient exceeds this value, the oldest DIIS vector is removed and the procedure re-applied

#### Condition

### **Type**

Float

## **Default value**

1000000.0

### **Description**

The condition number of the DIIS matrix, the largest eigenvalue divided by the smallest, must not exceed this value. If this value is exceeded, this vector will be removed.

## DiMix

## **Type**

Float

### **Default value**

0.2

#### **GUI** name

Bias DIIS towards latest vector with

## **Description**

Mixing parameter for the DIIS procedure

#### DiMixMax

## Type

Float

### **Default value**

-1.0

### **Description**

For adaptive diis: A negative value means automatic, see DiMixatnvctrx. If positive it is an absolute upper bound for (adaptive) dimix

#### DiMixMin

### **Type**

Float

#### **Default value**

0.01

## Description

An absolute lower bound for adaptive dimix.

## NCycleDamp

## **Type**

Integer

#### **Default value**

1

## **GUI** name

Do not start DIIS before cycle

## Description

Number of initial iterations where damping is applied, before any DIIS is considered

## NVctrx

### **Type**

Integer

## Default value

20

## **GUI** name

Size of DIIS space

## **Description**

Maximum number of DIIS expansion vectors

## Variant

## **Type**

Multiple Choice

### **Default value**

DIIS

#### **Options**

[DIIS, LISTi, LISTb, LISTd]

## **Description**

Which variant to use. In case of problematic SCF convergence, first try MultiSecant, and if that does not work the LISTi is the advised method. Note: LIST is computationally more expensive per SCF iteration than DIIS.

## 4.6.4 Multisecant

For more detais on the multisecant method see ref<sup>1</sup>.

```
MultiSecantConfig
CMax float
InitialSigmaN float
MaxSigmaN float
MaxVectors integer
MinSigmaN float
End
```

### MultiSecantConfig

### **Type**

Block

### **Description**

Parameters for the Multi-secant SCF convergence method.

## CMax

## Type

Float

### **Default value**

20.0

## **GUI** name

Max coeff

## **Description**

Maximum coefficient allowed in expansion

## InitialSigmaN

Type

Float

## Default value

0.1

## **GUI** name

Initial

### **Description**

This is a lot like a mix factor: bigger means bolder

<sup>&</sup>lt;sup>1</sup> L. D. Marks and D. R. Luke, *Robust mixing for ab initio quantum mechanical calculations*, Phys. Rev. B 78, 075114 (2008) (https://doi.org/10.1103/PhysRevB.78.075114)

#### MaxSigmaN

**Type** 

Float

**Default value** 

0.3

**GUI** name

Max

**Description** 

Upper bound for the SigmaN parameter

#### MaxVectors

**Type** 

Integer

**Default value** 

20

**GUI** name

Number of cycles to use

Description

Maximum number of previous cycles to be used

### MinSigmaN

**Type** 

Float

Default value

0.01

**GUI** name

Min

**Description** 

Lower bound for the SigmaN parameter

## 4.6.5 MultiStepper

The MultiStepper introduces the concept of alternating between different steppers (methods). Methods are not switched at every SCF cycle, but rather after a sequence of them, called a stint. At the end of a stint it is considered whether it makes sense to try another stepper.

The key component is the Stepper. This wraps the type of the Stepper, say DIIS or SimpleMixing. Another important component is the MixAdapter. A step is controlled by a mix factor  $\sigma$ , also often called greed. The next guess density is a linear combination of previous input and output densities

$$\rho^{\mathrm{guess}} = \sum_i c_{i-1}^N (\rho_i^{\mathrm{in}} + \sigma(\rho_i^{\mathrm{out}} - \rho_i^{\mathrm{in}}))$$

The larger the mix factor the more aggressive the algorithm. Choosing it too small may simply stall the progress and choosing it too large can cause the error to grow. That is why using a MixAdapter is useful. It tries to predict a reasonable mix value, based on the progress of the error and also based on the number of previous iterations N that can be used without running into numerical problems.

A whole SCFMultiStepper block can be loaded from a file as a preset, and many reside in \$AMSHOME/data/presets/multi\_stepper. Normal users are not recommended to try to improve the standard preset. Which

preset to loaded is controlled by the SCF%MultiStepperPresetPath key, and this may be an absolute path to your own preset.

The the log file (ams.log) shows the active stepper and mix factor.

```
\langle Nov22-2022 \rangle \langle 15:24:28 \rangle cyc= 0 err=0.00E+00 cpu= 75s ela= 76s
<Nov22-2022> <15:25:26> cyc= 1 err=4.26E+00 meth=1 nvec= 1 mix=0.0750 cpu=
→ela= 58s fit=7.06E-02
<Nov22-2022> <15:26:26> cyc= 2 err=8.33E+00 meth=1 nvec= 2 mix=0.1455 cpu=
                                                                                59s.
\rightarrowela= 60s fit=6.49E-02
<Nov22-2022> <15:27:23> cyc= 3 err=7.85E+00 meth=1 nvec= 3 mix=0.1499 cpu=
                                                                                56s_
\rightarrowela= 57s fit=6.42E-02
<Nov22-2022> <15:28:24> cyc= 4 err=7.09E+00 meth=1 nvec= 4 mix=0.1544 cpu=
→ela= 61s fit=6.37E-02
<Nov22-2022> <15:29:21> cyc= 5 err=9.49E+00 meth=2 nvec= 1 mix=0.0060 cpu=
                                                                                57s_
\rightarrowela= 57s fit=7.91E-02
<Nov22-2022> <15:30:20> cyc= 6 err=2.63E+00 meth=2 nvec= 2 mix=0.0062 cpu=
                                                                                59s_
→ela= 59s fit=7.88E-02
<Nov22-2022> <15:31:18> cyc= 7 err=3.82E+00 meth=2 nvec= 3 mix=0.0060 cpu=
→ela= 58s fit=7.84E-02
<Nov22-2022> <15:32:16> cyc= 8 err=3.53E+00 meth=2 nvec= 4 mix=0.0062 cpu=
                                                                                58s_
→ela= 58s fit=7.81E-02
```

From cycle 5 (cyc=5) on the second stepper is tried (meth=2), in this case because the error has grown too much since the start. Furthermore it restarts from the first density, not shown in the log file, using only one older density (nvec=1). Note that the second stepper starts with using a much more conservative mix factor (mix=0.006).

```
SCF
   SCFMultiStepper
      AlwaysChangeStepper Yes/No
      ErrorGrowthAbortFactor float
      FractionalStepFactor float
      MinStintCyclesForAbort integer
      Stepper header
         AbortSlope float
         DIISStepper
           EDIISAlpha float
           MaxCoefficient float
           MaxVectors integer
           MinVectors integer
            Mix float
         End
         ErrorGrowthAbortFactor float
         ExpectedSlope float
         FractionalStepFactor float
         MaxInitialError float
         MaxIterationNumber integer
         MaxStintNumber integer
         MinInitialError float
         MinIterationNumber integer
         MinStintCyclesForAbort integer
         MinStintNumber integer
         MixAdapter
            ErrorGrowthPanicFactor float
            GrowthFactor float
            MaxMix float
            MinMix float
            NTrialMixFactors integer
```

(continues on next page)

(continued from previous page)

```
TrialMode [CurrentMixCentered | FullRange]
            Type [Error | Energy | UnpredictedStep | Trial]
         End
         MixStepper
            Mix float
         End
         MultiSecantStepper
            MaxCoefficient float
            MaxVectors integer
            Mix float
            Variant [MSB1 | MSB2 | MSR1 | MSR1s]
         End
         StintLength integer
      End
      StintLength integer
      UsePreviousStintForErrorGrowthAbort Yes/No
   End
End
```

### SCF

### SCFMultiStepper

## Type

Block

## **Description**

To solve the self-consistent problem multiple steppers can be tried during stints using the ones that give the best progress.

## AlwaysChangeStepper

## **Type**

Bool

### **Default value**

No

## **Description**

When the progress is fine there is no reason to change the stepper. In practice this is always set to true, because also the Stepper%ExpectedSlope can be used to achieve similar behavior.

#### ErrorGrowthAbortFactor

### **Type**

Float

## Default value

1000.0

#### Description

Abort stint when the error grows too much, compared to the error at the start of the stint.

## FractionalStepFactor

## **Type**

Float

#### **Default value**

-1.0

### **Description**

Multiply the step by this factor. If smaller than zero this is not used.

## MinStintCyclesForAbort

### **Type**

Integer

### **Default value**

0

### **Description**

Look at ErrorGrowthAbortFactor only when a number of steps has been completed since the start of the stint. A value of 0 means always.

## Stepper

## **Type**

Block

## Recurring

True

### **Description**

??

## AbortSlope

## **Type**

Float

### **Default value**

100.0

# Description

If the slope (at the end of a stint) is larger than this: abort the stepper

## DIISStepper

## **Type**

Block

## **Description**

DIIS stepper

## EDIISAlpha

## **Type**

Float

## **Default value**

0.01

## **Description**

The extra energy vector is weighed by this factor. .

#### MaxCoefficient

#### **Type**

Float

## **Default value**

20.0

### **Description**

The largest allowed value of the expansion coefficients. If exceed the number of vectors is reduces until the criterion is met.

### MaxVectors

## **Type**

Integer

#### **Default value**

10

### **Description**

Maximum number of previous densities to be used (size of the history).

#### MinVectors

### **Type**

Integer

## **Default value**

-1

### **Description**

Try to prevent to make nVectors shrink below this value, by allowing for significantly larger coefficients.

#### Mix

## **Type**

Float

## Default value

0.2

## Description

Also known as greed. It determines the amount of output density to be used. May be changed by the MixAdapter.

### ErrorGrowthAbortFactor

### **Type**

Float

#### **Default value**

-1.0

## **Description**

Abort stint when the error grows too much, compared to the error at the start of the stint. Overrides global ErrorGrowthAbortFactor when set to a value > 0

## ExpectedSlope

### **Type**

Float

### **Default value**

-100.0

## **Description**

If the slope of the total SCF is better than this keep on going.

## FractionalStepFactor

### **Type**

Float

#### **Default value**

-1.0

## **Description**

Multiply the step by this factor. If smaller than zero this is not used.

#### MaxInitialError

## **Type**

Float

## **Description**

Only use the stepper when error is smaller than this.

### MaxIterationNumber

### **Type**

Integer

#### **Default value**

-1

## **Description**

Stepper will only be active for iterations smaller than this number. (Negative value means: Ignore this option)

#### MaxStintNumber

### **Type**

Integer

## Default value

-1

### **Description**

Stepper will only be active for stints smaller than this number. (Negative value means: Ignore this option)

### MinInitialError

## **Type**

Float

## **Description**

Only use the stepper when error is larger than this.

## MinIterationNumber

### **Type**

Integer

### **Default value**

-1

### **Description**

Stepper will only be active for iterations larger than this number.

## MinStintCyclesForAbort

## **Type**

Integer

#### **Default value**

0

### **Description**

Look at ErrorGrowthAbortFactor only when a number of steps has been completed since the start of the stint. A value of 0 means always. Overrides global value.

#### MinStintNumber

### **Type**

Integer

### **Default value**

-1

### **Description**

Stepper will only be active for stints larger than this number.

## MixAdapter

## **Type**

Block

### **Description**

Generic mix adapter

### ErrorGrowthPanicFactor

#### **Type**

Float

### **Default value**

10.0

## Description

When the error increases more than this factor, this mix is reduced a lot.

#### GrowthFactor

## Type

Float

## Default value

1.1

## Description

When the mix is considered too low it is multiplied by this factor. Otherwise it is divided by it.

## MaxMix

### **Type**

Float

### **Default value**

0.3

### **Description**

Do not grow the mix above this value.

## MinMix

## **Type**

Float

```
Default value
           0.1
        Description
           Do not shrink the mix below this value.
   NTrialMixFactors
        Type
           Integer
        Default value
           3
        Description
           Only used with Type=Trial. Must be an odd number.
    TrialMode
        Type
           Multiple Choice
        Default value
           CurrentMixCentered
        Options
           [CurrentMixCentered, FullRange]
        Description
           How are the NTrialMixFactors chosen?
    Type
        Type
           Multiple Choice
        Default value
           Error
        Options
           [Error, Energy, UnpredictedStep, Trial]
        Description
           Adapt the mix factor based on the observed progress (slope).
MixStepper
      Type
        Block
      Description
        Simple mixing stepper, only using the previous (in/out) density.
   Mix
        Type
           Float
        Default value
           0.1
        Description
           ???.
MultiSecantStepper
```

```
Block
          Description
            Multi secant stepper.
       MaxCoefficient
            Type
              Float
            Default value
              20.0
            Description
              ???.
       MaxVectors
            Type
              Integer
            Default value
            Description
              ???.
       Mix
            Type
              Float
            Default value
              0.2
            Description
              ???.
       Variant
            Type
              Multiple Choice
            Default value
              MSB2
            Options
              [MSB1, MSB2, MSR1, MSR1s]
            Description
              There are several version of the Multi secant method.
    StintLength
          Type
            Integer
          Description
            Override global StintLength.
StintLength
        Type
```

**Type** 

Integer

#### **Default value**

10

### **Description**

A stepper is active during a number of SCF cycles, called a stint.

### UsePreviousStintForErrorGrowthAbort

**Type** 

Bool

### **Default value**

No

# **Description**

The error is normally checked against the first error of the stint. With this option that will be the one from the previous stint, if performed with the same stepper.

# 4.6.6 **DIRIS**

In the DIRIS block, which has the same options as the DIIS block, you can specify the DIIS options to be used in the Dirac subprogram, for numerical single atom calculations, which constructs the radial tables for the NAOs.

# 4.7 MBPT scheme

**Note:** This page describes technical aspects of the MBPT (Many-Body Perturbation Theory) module which is used in double-hybrid and MP2, RPA, GW and GW-BSE calculations. In order to use double-hybrids, MP2 or RPA in your calculation you should request it in the *XC input block* (page 15). In order to perform a GW calculation, you should request it in the *GW input block* (page 137).

BAND implements RPA, GW, and SOS-MP2 (spin-opposite-scaled) using a newly designed algorithm which in all cases scales quadratically with system size<sup>13</sup>. Full MP2 is at the moment only implemented using the canonical RI-algorithm which scales to the fifth power with system size. Thus, we strongly discourage using full MP2 or double-hybrids employing full MP2 for system larger than 1000-1500 basis functions. At the moment BAND features a large number of double-hybrids using SOS-MP2 only (For a list of implemented functionals see *XC input block* (page 15)) which are significantly faster than conventional double-hybrids while offering the same level of accuracy<sup>2</sup>.

GW, MP2, RPA and double-hybrid functionals can be used icw scalar relativistic effects within the ZORA, X2C, or RA-X2C formalism. In BAND2022 in case of ZORA by default the so called scaled ZORA orbital energies are used in the MBPT expressions.

The Formalism used in the double-hybrid calculation can be changed using the Formalism key. By default, BAND selects the most appropriate algorithm for your system and functional.

The calculation of the independent-particle polarizability or Kohn-Sham density response function in imaginary time is the key step in SOS-MP2, RPA and GW. The equations are solved in the atomic orbital basis exploiting sparsity via advanced density fitting techniques (so-called pair-atomic resolution of the identity or pair-atomic density fitting)<sup>Page 100, 1</sup>.

<sup>&</sup>lt;sup>1</sup> A. Förster, M. Franchini, E. van Lenthe, L. Visscher, A Quadratic Pair Atomic Resolution of the Identity Based SOS-AO-MP2 Algorithm Using Slater Type Orbitals, Journal of Chemical Theory and Computation 16 875-891 (2020) (https://doi.org/10.1021/acs.jctc.9b00854)

<sup>&</sup>lt;sup>3</sup> A. Förster, L. Visscher, *Low-order scaling* |*GOW0*| *by pair atomic density fitting*, Journal of Chemical Theory and Computation 16 (12), 7381 (2020) (https://doi.org/10.1021/acs.jctc.0c00693)

<sup>&</sup>lt;sup>2</sup> A. Förster, L. Visscher, *Double hybrid DFT calculations with Slater type orbitals*, Journal of Computational Chemistry 41 1660-1684 (2020) (https://doi.org/10.1002/jcc.26209)

In case of a SOS-MP2 or RPA calculation, the polarizability is than contracted with the Coulomb potential. For SOS-MP2, the correlation energy is then immediately evaluated in imaginary time while in a RPA calculation the product of Coulomb potential and polarizability is Fourier transformed to the imaginary frequency axis where the correlation energy is evaluated using a matrix logarithm. In a GW calculation, the polarizability is Fourier transformed to the imaginary frequency axis as well where the so-called screened interaction is calculated. The QP states are then evaluated along the real-frequency axis using analytical continuation techniques.

# 4.7.1 Recommended numerical settings

For all calculations using the MBPT scheme (which includes GW, GW-BSE, RPA, MP2, and double hybrids), we recommend to consider the following points:

# **Dependency**

In BAND a similar method is implemented as in the RIHARTREEFOCK scheme to improve stability of the results with the subkey DEPENDENCY of the key MBPT:

```
MBPT
Dependency Yes/No
End
```

#### MBPT

### Dependency

Type

Bool

### **Default value**

Yes

# **Description**

If true, to improve numerical stability, almost linearly-dependent combination of basis functions are removed from the Green's function that are used in the MBPT equations. Disabling this key is strongly discouraged. Its value can however be changed. The key to adjust this value is RiHartreeFock%DependencyThreshold

In addition one may remove linear dependencies in the basis set, by using the Dependency key. The following is recommended

```
Dependency
AllowBasisDependency True
Basis 1e-4
End
```

in case a large auxiliary fit set is used

Starting from AMS2022 BAND will use a Dependency bas=5e-3 and RIHartreeFock DependencyThreshold=5e-3 in case of (any variant of) GW and GW-BSE. One can override these values in the input.

# **Numerical Quality**

Augmented basis sets: Numerical quality should be VeryGood

Non-augmented basis sets, TZ: Numerical quality should range from Normal to VeryGood Non-augmented basis sets, QZ: Numerical quality should range from Good to VeryGood

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There is also the option to choose a different numerical quality for the MBPT and the preceding DFT calculation:

```
MBPT
NumericalQuality Good
END
```

sets the numerical quality to Good only for the MBPT calculation.

The sizes of the imaginary time and imaginary frequency grids can be controlled with the nTime and nFrequency keys. For example:

```
MBPT
nTime 32
nFrequency 32
END
```

In case of SOS-MP2, nFrequency is ignored. The numerical quality automatically sets the number of grid points for imaginary time and frequency integration in case of a GW or RPA calculation:

| Numerical quality | Number of points |
|-------------------|------------------|
| VERYBASIC         | 8                |
| BASIC             | 12               |
| NORMAL            | 16               |
| GOOD              | 20               |
| VERYGOOD          | 24               |
| EXCELLENT         | 32               |

For a MP2 or double hybrid calculation, see default is always 9 points, independently of the numerical quality. Note, that the requirements for this parameter are in general lower than for a GW or RPA calculation. Starting from AMS2022, the number of points is automatically adjusted in SOS-MP2 as well.

**Note** the following: The number of points actually used in a calculation can differ. At runtime, the MBPT algorithm decides what is the maximum number of integration points which is reasonable to use. So the actual number of points which has been used will be equal or smaller. In case the number of points is set by hand, some info is printed in the output. In case of a GW calculation, the numbers of points can also be found in band.rkf file in the GW section under the names nTime and nFrequency.

Changing the defaults can be necessary in case your system contains 4th row elements or heavier and/or your basis set is very large and/or your system has a very small HOMO-LUMO gap. For a GW calculation, 24 points should be sufficient for 5th row elements. 32 points might be required for 6th row elements. The maximum number of points which can be used is 42. For a MP2 calculation, 16 points will usually be sufficient if your systems contains 4th row elements, and 20 points will usually suffice in case of 5th row elements. Note, that also a very small HOMO-LUMO.

```
MBPT
Formalism [Auto | RI | LT | All]
FrequencyGridType [LeastSquare | GaussLegendre]
nTime integer
nFrequency integer
nLambda integer
FitSetQuality [Auto | VeryBasic | Basic | Normal | Good | VeryGood]
IntegrationQuality [VeryBasic | Basic | Normal | Good | VeryGood]
ThresholdQuality [VeryBasic | Basic | Normal | Good | VeryGood | Excellent]
Dependency Yes/No
UseScaledZORA Yes/No
```

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 $\label{eq:sigmaFunctionalParametrization [W1 | W2 | S1 | S2 | S1re]} End$ 

### MBPT

### **Type**

Block

# Description

Technical aspects of the MP2 algorithm.

#### Formalism

### **Type**

Multiple Choice

# **Default value**

Auto

# **Options**

[Auto, RI, LT, All]

# **Description**

Specifies the formalism for the calculation of the MP2 correlation energy.

'LT' means Laplace Transformed MP2 (also referred to as AO-PARI-MP2),

'RI' means that a conventional RI-MP2 is carried out.

If 'Auto', LT will be used in case of DOD double hybrids and SOS MP2, and RI will be used in all other cases.

'All' means that both RI and LT formalisms are used in the calculation.

For a RPA or GW calculation, the formalism is always LT, irrespective of the formalism specified with this key.

# FrequencyGridType

# **Type**

Multiple Choice

# Default value

LeastSquare

# **Options**

[LeastSquare, GaussLegendre]

### **Description**

Use Gauss-legendre grid for imaginary frequency integration in RPA and GW calculations instead of the usually used Least-Square optimized ones. Has the advantage that it can be systematically converged and an arbitrary number of grid points can be used. Typically more grid points will be needed to get the same level of accuracy. However, the convergence of the results with the size of the grid can be more systematic. These grids can only be used when Formalism is set to RI.

### nTime

# **Type**

Integer

### **GUI** name

Number of time points

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Number of imaginary time points (only relevant in case the Laplace Transformed (LT) formalism is used).

In the many-body-perturbation theory module in ADF, the polarizability (or Kohn-Sham density response function) is evaluated in imaginary time to exploit sparsity in the AO basis. For MP2, this is often referred to as a Laplace transform. For MP2, 9 points are the default. This is a safe choice, guaranteeing accuracies higher than 1 Kj/mol for most systems (For many simple organic systems, 6 points are sufficient for good accuracy).

Only for systems with a very small HOMO-LUMO gap or low-lying core states (heavy elements starting from the 4th row of the periodic table) more points might be necessary.

In principle, the same considerations apply for RPA and GW as well, however, the accuracy requirements are somewhat higher and 12 point are the default for RPA. In a GW calculation, the number of points is adjusted according to the numerical quality. Using less than 9 points is strongly discouraged except for the simplest molecules.

In ADF2019, it can happen that the algorithm determining the imaginary time grid does not converge. In this case, the usual reason is that the number of points is too small and more points need to be specified. Starting from AMS2020, this does not happen any more. In case the imaginary time grid does not converge, the number of points is automatically adjusted until it does.

The computation time of AO-PARI-MP2, RPA, and GW scales linearly with the number of imaginary time points.

# nFrequency

# Type

Integer

# Default value

12

#### **GUI** name

N freq points

# **Description**

Number of imaginary frequency points. This key is only relevant for RPA and GW and will be ignored if used in an AO-PARI-MP2 calculation. 12 Points is the default for a RPA calculation. It is technically possible to use a different number of imaginary frequency points than for imaginary time. The maximum number of points which can be requested for imaginary frequency integration is 42. Important note: The computation time and memory requirements roughly scale linearly with the number of imaginary frequency points. However, memory can be an issue for RPA and GW when the number of imaginary frequency points is high. In case a job crashes, it is advised to increase the number of nodes since the necessary memory distributes over all nodes.

### nLambda

### **Type**

Integer

#### **Default value**

1

# **GUI** name

Number of lambda points

Size of coupling constant integration grid for SOSEX variants in RPA. Default is 4 points

# FitSetQuality

### **Type**

Multiple Choice

### **Default value**

Auto

# **Options**

[Auto, VeryBasic, Basic, Normal, Good, VeryGood]

### **Description**

Specifies the fit set to be used in the MBPT calculation.

'Normal' quality is generally sufficient for basis sets up to and including TZ2P.

For larger basis sets (or for benchmarking purposes) a 'VeryGood' fit set is recommended. Note that the FitSetQuality heavily influences the computational cost of the calculation.

If not specified or 'Auto', the RIHartreeFock%FitSetQuality is used.

# IntegrationQuality

### **Type**

Multiple Choice

### **Options**

[VeryBasic, Basic, Normal, Good, VeryGood]

# **Description**

Specifies the integration quality to be used in the MBPT calculation. If not specified, the RI-HartreeFock%IntegrationQuality is used.

# ThresholdQuality

### **Type**

Multiple Choice

#### **Options**

[VeryBasic, Basic, Normal, Good, VeryGood, Excellent]

# **Description**

Controls the distances between atomic centers for which the product of two basis functions is not fitted any more. Especially for spatially extended, large systems, 'VERYBASIC' and 'BASIC' can lead to large computational savings, but the fit is also more approximate. If not specified, the RIHartreeFock%ThresholdQuality is used.

### Dependency

# **Type**

Bool

### **Default value**

Yes

### **Description**

If true, to improve numerical stability, almost linearly-dependent combination of basis functions are removed from the Green's function that are used in the MBPT equations. Disabling this key is strongly discouraged. Its value can however be changed. The key to adjust this value is RiHartreeFock%DependencyThreshold

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### UseScaledZORA

**Type** 

Bool

# **Default value**

Yes

# Description

If true, use the scaled ZORA orbital energies instead of the ZORA orbital energies in the MBPT equations.

# SigmaFunctionalParametrization

### **Type**

Multiple Choice

# **Default value**

S1re

# **Options**

[W1, W2, S1, S2, S1re]

# **Description**

Only relevant if a sigma-functional calculation is performed. Possible choices for the parametrization of the sigma-functional. Not all options are supported for all functionals.

# 4.8 More Technical Settings

There are of course many other settings influencing the precision and performance. Usually the user does not need to care about them.

# 4.8.1 Linear Scaling

```
Tails
Bas float
End
```

### Tails

**Type** 

Block

# **Description**

Ignore function tails.

Bas

**Type** 

Float

Default value

1e-06

**GUI** name

Basis functions

# **Description**

Cut off the basis functions when smaller than the specified threshold.

# 4.8.2 Dependency

```
Dependency
AllowBasisDependency Yes/No
Basis float
Core float
CoreValence float
Fit float
End
```

# Dependency

# **Type**

Block

# **Description**

Criteria for linear dependency of the basis and fit set

# AllowBasisDependency

Type

Bool

### **Default value**

Yes

# **Description**

Project out the dependent part of the basis set (associated with small eigenvalues of the overlap matrix).

#### Basis

# **Type**

Float

# Default value

1e-08

#### **GUI** name

Dependency criterion

#### Description

Criteria for linear dependency of the basis: smallest eigenvalue of the overlap matrix of normalized Bloch functions.

#### Core

# **Type**

Float

# Default value

0.8

# Description

The program verifies that the frozen core approximation is reasonable, by checking the smallest eigen value of the overlap matrix of the core (Bloch) orbitals (which should ideally be one) is bigger than this criterion.

# CoreValence

# Type

Float

### **Default value**

1e-05

# Description

Criterion for dependency of the core functions on the valence basis. The maximum overlap between any two normalized functions in the two respective function spaces should not exceed 1.0-corevalence

#### Fit

# **Type**

Float

# **Default value**

5e-06

# Description

Criterion for dependency of the total set of fit functions. The value monitored is the smallest eigenvalue of the overlap matrix of normalized Bloch sums of symmetrized fit functions.

# 4.8.3 Screening

Band performs many lattice summations which are in practice truncated. The two prime examples are the construction of the Bloch basis and the calculation of the solvation potential. The precision of the lattice summations is controlled by the Screening key

```
Screening
CutOff float
DMadel float
NoDirectionalScreening Yes/No
RCelx float
RMadel float
End
```

### Screening

# **Type**

Block

# **Description**

For the periodic solvation potential and for the old (not default anymore) fitting method, BAND performs lattice summations which are in practice truncated. The precision of the lattice summations is controlled by the options in this block.

# CutOff

# Type

Float

# Description

Criterion for negligibility of tails in the construction of Bloch sums. Default depends on Accuracy.

# **DMadel**

# **Type**

Float

One of the parameters that define the screening of Coulomb-potentials in lattice sums. Depends by default on Accuracy, rmadel, and rcelx. One should consult the literature for more information

# NoDirectionalScreening

#### **Type**

**Bool** 

# Description

Real space lattice sums of slowly (or non-) convergent terms, such as the Coulomb potential, are computed by a screening technique. In previous releases, the screening was applied to all (long-range) Coulomb expressions. Screening is only applied in the periodicity directions. This key restores the original situation: screening in all directions

### RCelx

# Type

Float

# **Description**

Max. distance of lattice site from which tails of atomic functions will be taken into account for the Bloch sums. Default depends on Accuracy.

### **RMadel**

# Type

Float

# **Description**

One of the parameters that define screening of the Coulomb potentials in lattice summations. Depends by default on Accuracy, dmadel, rcelx. One should consult the literature for more information.

# 4.8.4 Direct (on the fly) calculation of basis and fit

BAND usually calculates basis functions and theirs derivatives on the fly. However, for small bulk systems it can be faster to write the information to disk. Then one can set the <code>DirectBas</code> key to false. (**Default = true**)

```
Programmer
DirectBas bool
End
```

# 4.8.5 Fermi energy search

```
Fermi
Delta float
Eps float
MaxTry integer
RefinePostSCFFactor integer
End
```

# Fermi

# Type

Block

Technical parameter used in determining the Fermi energy, which is carried out at each cycle of the SCF procedure.

# Delta

### **Type**

Float

#### **Default value**

0.0001

# **Description**

Convergence criterion: upper and lower bounds for the Fermi energy and the corresponding integrated charge volumes must be equal within delta.

### Eps

# **Type**

Float

### **Default value**

1e-10

# Description

After convergence of the Fermi energy search procedure, a final estimate is defined by interpolation and the corresponding integrated charge volume is tested. It should be exact, to machine precision. Tested is that it deviates not more than eps.

# MaxTry

# **Type**

Integer

# **Default value**

15

# **Description**

Maximum number of attempts to locate the Fermi energy. The procedure is iterative in nature, narrowing the energy band in which the Fermi energy must lie, between an upper and a lower bound. If the procedure has not converged sufficiently within MaxTry iterations, the program takes a reasonable value and constructs the charge density by interpolation between the functions corresponding to the last used upper and lower bounds for the Fermi energy.

# RefinePostSCFFactor

# **Type**

Integer

# **Description**

Use a finer k-grid after the scf to calculate a refined fermi level. Makes only sense for metals. Works like DoubleCount. Use 1,2,3

# 4.8.6 Block size

CPVector integer

# **CPVector**

Type

Integer

**Default value** 

128

**GUI** name

Vectorlength (blocksize)

# Description

The code is vectorized and this key can be used to set the vector length

KGrpX integer

# KGrpX

Type

Integer

**Default value** 

5

**GUI** name

Number of K-points at once

# **Description**

Absolute upper bound on the number of k-points processed together. This only affects the computational performance.

**CHAPTER** 

**FIVE** 

# SPECTROSCOPY AND PROPERTIES

# 5.1 Frequencies and Phonons

Frequencies and Phonons and can be computed via numerical differentiation by the AMS diver. See the Normal Modes section or the Phonon section of the AMS manual.

Several thermodynamic properties, such as Zero-point Energy, Internal Energy, Entropy, Free Energy and Specific Heat are computed by default when calculating Phonons.

# 5.2 Elastic Tensor

The elastic tensor (and related elastic properties such as Bulk modulus, Shear modulus and Young modulus) can be computed via numerical differentiation by AMS. See the Elastic Tensor section of the AMS manual.

When calculating the elastic tensors using Band one should disable *Symmetry* (page 189).

# 5.3 Optical Properties: Time-Dependent Current DFT

Time-Dependent Current Density Functional Theory (**TD-CDFT**) is a theoretical framework for computing optical response properties, such as the frequency-dependent dielectric function.

In this section, the TD-CDFT implementation for extended systems (1D, 2D and 3D) in BAND is described. The input keys are described in *NewResponse* (page 115) or in *OldResponse* (page 121).

Some examples are available in the \$AMSHOME/examples/band directory and are discussed in the Examples section.

- Tutorial: Silicon (OldResponse)
- Tutorial: MoS2 Monolayer (NewResponse)
- Example: TD-CDFT for bulk diamond (OldResponse) (page 284)

# 5.3.1 Insulators, semiconductors and metals

The TD-CDFT module enables the calculation of real and imaginary parts of the material property tensor  $\chi_e(\omega)$ , called the **electric susceptibility**. The electric susceptibility is related to the macroscopic **dielectric function**,  $\varepsilon_M(\omega)$ .

For semi-conductors and insulator, for which the bands are either fully occupied or fully unoccupied, the dielectric function  $\varepsilon_M(\omega)$  comprises only of the so called interband component:

$$\varepsilon_M(\omega) = 1 + 4\pi \chi_e(\omega)$$

In general  $\chi_e(\omega)$  and  $\varepsilon_M(\omega)$  are tensors. They, however, simplify to scalars in isotropic systems.

For metals, for which partially-occupied bands exist, there is a so called intraband component arising due to transitions within a partially-occupied band:

$$\varepsilon_M(\omega) = 1 + 4\pi \chi_e(\omega) - 4\pi i \sigma_e(\omega)/\omega$$

# 5.3.2 Frequency dependent kernel

It is known that the exact Vignale-Kohn (VK) kernel greatly improves the static polarizabilities of infinite polymers and nanotubes (see reference (https://doi.org/10.1063/1.2102899)), but gives bad results for the optical spectra of semi-conductors and metals. For the low frequency part one needs a frequency dependent kernel, since Drude-like tails are completely absent in the adiabatic local density approximation (ALDA). With a modified VK kernel, which neglects  $\mu_{xc}$  so that it reduces to the ALDA form in the static limit (see reference (https://doi.org/10.1103/PhysRevB.74.245117)), much better results can be obtained. BAND currently only supports the modified VK kernel in either the QV or CNT parametrization, and it should **only be used for metals**.

# 5.3.3 **EELS**

From the macroscopic dielectric function it is possible to calculate the electron energy loss function (EELS). In transmission EELS one studies the inelastic scattering of a beam of high energy electrons by a target. The scattering rates obtained in these experiments are related to the dynamical structure factor  $S(q,\omega)$  [A1]. In the special case with wavevector q=0,  $S(q,\omega)$  is related to the longitudinal macroscopic dielectric function. This is the long-wave limit of EELS. For isotropic system the dielectric function is simply a scalar  $(1/3\mathrm{Tr}(\varepsilon_M(\omega)))$ . In this case the long-wave limit of the electron energy loss function assumes the trivial form

$$\lim_{q \to 0} 2\pi \frac{S(q, \omega)}{q^2 V} = \frac{\varepsilon_2}{\varepsilon_1^2 + \varepsilon_2^2}$$

with  $\varepsilon_1$  and  $\varepsilon_2$  as real and imaginary part of the dielectric function.

# References

The three related Ph.D. theses, due to F. Kootstra (on TD-DFT for insulators), P. Romaniello (on TD-CDFT for metals), and A. Berger (on the Vignale-Kohn functional in extended systems) contain much background information, and can be downloaded from the SCM website (http://www.scm.com).

The most relevant publications on this topic due to the former "Groningen" group of P.L. de Boeij are 1234.

[A1] S. E. Schnatterly, in Solid State Physics Vol.34, edited by H. Ehrenreich, F. Seitz, and D. Turnbull (Academic Press, Inc., New York, 1979).

<sup>&</sup>lt;sup>1</sup> F. Kootstra, P.L. de Boeij and J.G. Snijders, Efficient real-space approach to time-dependent density functional theory for the dielectric response of nonmetallic crystals. Journal of Chemical Physics 112, 6517 (2000). (https://doi.org/10.1063/1.481315)

<sup>&</sup>lt;sup>2</sup> P. Romaniello and P.L. de Boeij, *Time-dependent current-density-functional theory for the metallic response of solids.* Physical Review B 71, 155108 (2005) (https://doi.org/10.1103/PhysRevB.71.155108).

<sup>&</sup>lt;sup>3</sup> J.A. Berger, P.L. de Boeij and R. van Leeuwen, *Analysis of the viscoelastic coefficients in the Vignale-Kohn functional: The cases of one- and three-dimensional polyacetylene.*, Physical Review B 71, 155104 (2005) (https://doi.org/10.1103/PhysRevB.71.155104).

<sup>&</sup>lt;sup>4</sup> P. Romaniello and P.L. de Boeij, *Relativistic two-component formulation of time-dependent current-density functional theory: application to the linear response of solids.*, Journal of Chemical Physics 127, 174111 (2007) (https://doi.org/10.1063/1.2780146).

# 5.3.4 Input Options

In the 2017 release of BAND there are two implementations of the TD-CDFT formalism. The original implementation, relying on obsolete algorithms of BAND, is accessible via the *OldResponse* (page 121) key block. The new code section, relying on more modern algorithms of BAND, is accessible via the *NewResponse* (page 115), *NewResponseSCF* (page 117) and *NewResponseKSpace* (page 120) key blocks. The differences between the two flavors are summarized in the following table:

|                 | OldResponse | NewResponse |
|-----------------|-------------|-------------|
| 3D-systems      | yes         | yes         |
| 2D-systems      | no          | yes         |
| 1D-systems      | (yes)       | yes         |
| Semiconductors  | yes         | yes         |
| Metals          | yes         | (yes)       |
| ALDA            | yes         | yes         |
| Vignale-Kohn    | yes         | no          |
| Berger2015 (3D) | yes         | yes         |
| Scalar ZORA     | yes         | yes         |
| Spin Orbit ZORA | yes         | no          |

Besides these differences, one should not expect both flavors to give the exact same result, if the reciprocal space limit is not reached! This can be explained by different approaches to evaluate the integration weights of single-particle transitions in reciprocal space.

Attention: Response properties converge slowly with respect to k-space sampling (number of k-points). Always check the convergence of  $\varepsilon_M$  with respect to K-Space (page 66) options!!!

# **NewResponse**

The dielectric function is computed when the key block *NewResponse* (page 115) is present in the input. Several important settings can be defined in this key block.

Additional details can be specified via the NewResponseKSpace (page 120) and NewResponseSCF (page 117) blocks.

```
NewResponse
NFreq integer
FreqLow float
FreqHigh float
EShift float
ActiveESpace float
DensityCutOff float
ActiveXYZ string
End
```

### NewResponse

Type

Block

### **Description**

The TD-CDFT calculation to obtain the dielectric function is computed when this block is present in the input. Several important settings can be defined here.

NFreq

**Type** 

```
Integer
         Default value
         Description
             Number of frequencies for which a linear response TD-CDFT calculation is performed.
FreqLow
         Type
             Float
         Default value
             1.0
         Unit
             eV
         Description
             Lower limit of the frequency range for which response properties are calculated.
             (omega_{low})
FreqHigh
         Type
             Float
         Default value
             3.0
         Unit
             eV
         Description
             Upper limit of the frequency range for which response properties are calculated
             (omega_{high}).
EShift
         Type
             Float
         Default value
             0.0
         Unit
             eV
         GUI name
             Shift
         Description
             Energy shift of the virtual crystal orbitals.
ActiveESpace
         Type
             Float
         Default value
             5.0
```

### Unit

eV

#### **GUI** name

Active energy space

# **Description**

Modifies the energy threshold (DeltaE^{max}\_{thresh} = omega\_{high} + ActiveESpace) for which single orbital transitions (DeltaEpsilon\_{ia} = Epsilon\_{a}^{virtual} - Epsilon\_{i}^{occupied}) are taken into account.

# DensityCutOff

# **Type**

Float

### **Default value**

0.001

# **GUI** name

Volume cutoff

# **Description**

For 1D and 2D systems the unit cell volume is undefined. Here, the volume is calculated as the volume bordered by the isosurface for the value DensityCutoff of the total density.

### ActiveXYZ

# **Type**

String

### **Default value**

t

# Description

Expects a string consisting of three letters of either 'T' (for true) or 'F' (for false) where the first is for the X-, the second for the Y- and the third for the Z-component of the response properties. If true, then the response properties for this component will be evaluated.

```
NewResponseSCF

Bootstrap integer

COApproach Yes/No

COApproachBoost Yes/No

Criterion float

DIIS

Enabled Yes/No

MaxSamples integer

MaximumCoefficient float

MinSamples integer

MixingFactor float

End

LowFreqAlgo Yes/No

NCycle integer

XC integer

End
```

# NewResponseSCF

# Type

Block

Details for the linear-response self-consistent optimization cycle. Only influencing the NewResponse code.

# Bootstrap

# **Type**

Integer

#### **Default value**

0

# **Description**

defines if the Berger2015 kernel (Bootstrap 1) is used or not (Bootstrap 0). If you chose the Berger2015 kernel, you have to set NewResponseSCF%XC to '0'. Since it shall be used in combination with the bare Coulomb response only. Note: The evaluation of response properties using the Berger2015 is recommend for 3D systems only!

# COApproach

### **Type**

**Bool** 

#### **Default value**

Yes

# **Description**

The program automatically decides to calculate the integrals and induced densities via the Bloch expanded atomic orbitals (AO approach) or via the cyrstal orbitals (CO approach). The option COApproach overrules this decision.

# COApproachBoost

# **Type**

Bool

### **Default value**

No

### **GUI** name

CO Approach Boost

# **Description**

Keeps the grid data of the Crystal Orbitals in memory.

Requires significantly more memory for a speedup of the calculation. One might have to use multiple computing nodes to not run into memory problems.

### Criterion

### **Type**

Float

### Default value

0.001

### **Description**

For the SCF convergence the RMS of the induced density change is tested. If this value is below the Criterion the SCF is finished.

Furthermore, one can find the calculated electric susceptibility for each SCF step in the output and can therefore decide if the default value is too loose or too strict.

DIIS

# **Type**

Block

# Description

Parameters influencing the DIIS self-consistency method

### Enabled

# **Type**

Bool

### **Default value**

Yes

# **Description**

If not enabled simple mixing without DIIS acceleration will be used.

# MaxSamples

# **Type**

Integer

### **Default value**

10

# Description

Specifies the maximum number of samples considered during the direct inversion of iteration of subspace (DIIS) extrapolation of the atomic charges during the SCC iterations. A smaller number of samples potentially leads to a more aggressive convergence acceleration, while a larger number often guarantees a more stable iteration. Due to often occurring linear dependencies within the set of sample vectors, the maximum number of samples is reached only in very rare cases.

# MaximumCoefficient

# **Type**

Float

### **Default value**

10.0

# **Description**

When the diis expansion coefficients exceed this threshold, the solution is rejected. The vector space is too crowded. The oldest vector is discarded, and the expansion is re-evaluated.

# MinSamples

### **Type**

Integer

# Default value

-1

# Description

When bigger than one, this affects the shrinking of the DIIS space on linear dependence. It will not reduce to a smaller space than MinSamples unless there is extreme dependency.

# MixingFactor

# Type

Float

### **Default value**

0.2

The parameter used to mix the DIIS linear combination of previously sampled atomic charge vectors with an analogous linear combination of charge vectors resulting from population analysis combination. It can assume real values between 0 and 1.

# LowFreqAlgo

### **Type**

**Bool** 

### **Default value**

Yes

### **GUI** name

Low Frequency Algorithm

### **Description**

Numerically more stable results for frequencies lower than  $1.0~{\rm eV}$ . Note: for a graphene monolayer the conical intersection results in a very small band gap (zero band gap semi-conductor). This leads to a failing low frequency algorithm. One can then chose to use the algorithm as originally proposed by Kootstra by setting the input value to \*false\*. But, this can result in unreliable results for frequencies lower than  $1.0~{\rm eV}$ !

# NCycle

# **Type**

Integer

# **Default value**

20

# **GUI** name

Cycles

# **Description**

Number of SCF cycles for each frequency to be evaluated.

### XC

# **Type**

Integer

### **Default value**

1

# **Description**

Influences if the bare induced Coulomb response (XC 0) is used for the effective, induced potential or the induced potential derived from the ALDA kernel as well (XC 1).

```
NewResponseKSpace
Eta float
SubSimp integer
End
```

# NewResponseKSpace

### Type

Block

# **Description**

Modify the details for the integration weights evaluation in reciprocal space for each single-particle transition. Only influencing the NewResponse code.

#### Eta

### **Type**

Float

# **Default value**

1e-05

# **Description**

Defines the small, finite imaginary number i\*eta which is necessary in the context of integration weights for single-particle transitions in reciprocal space.

# SubSimp

# **Type**

Integer

# **Default value**

3

# **Description**

determines into how many sub-integrals each integration around a k point is split. This is only true for so-called quadratic integration grids. The larger the number the better the convergence behavior for the sampling in reciprocal space. Note: the computing time for the weights is linear for 1D, quadratic for 2D and cubic for 3D!

# **OldResponse**

```
OldResponse
   Berger2015 Yes/No
   CNT Yes/No
   CNVI float
   CNVJ float
   Ebndtl float
  Enabled Yes/No
  Endfr float
  Isz integer
  Iyxc integer
   NewVK Yes/No
  Nfreq integer
   OV Yes/No
   Shift float
   Static Yes/No
   Strtfr float
End
```

# OldResponse

# **Type**

Block

#### Description

Options for the old TD-CDFT implementation.

# Berger2015

**Type** 

Bool

### **Default value**

No

# **Description**

Use the parameter-free polarization functional by A. Berger (Phys. Rev. Lett. 115, 137402). This is possible for 3D insulators and metals. Note: The evaluation of response properties using the Berger2015 is recommend for 3D systems only!

# CNT

# **Type**

**Bool** 

# **Description**

Use the CNT parametrization for the longitudinal and transverse kernels of the XC kernel of the homogeneous electron gas. Use this in conjunction with the NewVK option.

# CNVI

# **Type**

Float

### **Default value**

0.001

# **Description**

The first convergence criterion for the change in the fit coefficients for the fit functions, when fitting the density.

### CNVJ

# **Type**

Float

# Default value

0.001

# Description

the second convergence criterion for the change in the fit coefficients for the fit functions, when fitting the density.

# Ebndt1

# **Type**

Float

### **Default value**

0.001

# Unit

Hartree

# Description

the energy band tolerance, for determination which routines to use for calculating the numerical integration weights, when the energy band posses no or to less dispersion.

#### Enabled

# **Type**

Bool

# **Default value**

No

If true, the response function will be calculated using the old TD-CDFT implementation

#### Endfr

# **Type**

Float

#### Default value

3.0

### Unit

eV

# **Description**

The upper bound frequency of the frequency range over which the dielectric function is calculated

#### Isz

# **Type**

Integer

### **Default value**

0

# **Description**

Integer indicating whether or not scalar zeroth order relativistic effects are included in the TD-CDFT calculation. 0 = relativistic effects are not included, 1 = relativistic effects are included. The current implementation does NOT work with the option XC%SpinOrbitMagnetization equal NonCollinear

# Iyxc

# Type

Integer

### **Default value**

0

# **Description**

integer for printing yxc-tensor (see http://aip.scitation.org/doi/10.1063/1.1385370). 0 = not printed, 1 = printed.

#### NewVK

# Type

**Bool** 

### **Description**

Use the slightly modified version of the VK kernel (see https://aip.scitation.org/doi/10.1063/1.1385370). When using this option one uses effectively the static option, even for metals, so one should check carefully the convergence with the KSPACE parameter.

### Nfreq

### **Type**

Integer

# **Default value**

5

# **Description**

the number of frequencies for which a linear response TD-CDFT calculation is performed.

QV

### **Type**

Bool

# **Description**

Use the QV parametrization for the longitudinal and transverse kernels of the XC kernel of the homogeneous electron gas. Use this in conjunction with the NewVK option. (see reference).

#### Shift

# **Type**

Float

### **Default value**

0.0

#### Unit

eV

# **Description**

energy shift for the virtual crystal orbitals.

#### Static

# **Type**

Bool

# Description

An alternative method that allows an analytic evaluation of the static response (normally the static response is approximated by a finite small frequency value). This option should only be used for non-relativistic calculations on insulators, and it has no effect on metals. Note: experience shows that KSPACE convergence can be slower.

# Strtfr

# Type

Float

### **Default value**

1.0

Unit

eV

### **Description**

is the lower bound frequency of the frequency range over which the dielectric function is calculated.

# 5.4 ESR/EPR

BAND is capable to calculate electron paramagnetic resonance (EPR) parameters for paramagnetic defects in solids: hyperfine A-tensor and the Zeeman g-tensor.

The implementation of EPR parameters in BAND is described in the publications by Kadantsev and co-workers<sup>1</sup> and<sup>2</sup>.

<sup>&</sup>lt;sup>1</sup> E.S. Kadantsev and T. Ziegler, *Implementation of a Density Functional Theory-Based Method for the Calculation of the Hyperfine A-tensor in Periodic Systems with the Use of Numerical and Slater Type Atomic Orbitals: Application to Paramagnetic Defects.* Journal of Physical Chemistry A 112, 4521 (2008) (https://doi.org/10.1021/jp800494m).

<sup>&</sup>lt;sup>2</sup> E.S. Kadantsev and T. Ziegler, *Implementation of a DFT Based Method for the Calculation of Zeeman g-tensor in Periodic Systems with the use of Numerical and Slater Type Atomic Orbitals.* Journal of Physical Chemistry A 113, 1327 (2009) (https://doi.org/10.1021/jp805466c).

### **Hyperfine A-tensor**

The A-tensor is implemented within the non-relativistic and scalar relativistic, spin-polarized Kohn-Sham scheme.

```
ATensor
Enabled Yes/No
End
```

#### **ATensor**

```
Type
Block

Description
Hyperfine A-tensor.
```

#### Enabled

```
Type
Bool

Default value
No

GUI name
:A-tensor
```

# Description

Compute the hyperfine A-tensor.

Note: Unrestricted calculation is required.

Two methods are used for A-tensor calculation:

- Method 1: involves the gradient of the spin-polarized density and integration by parts. The isotropic component of the A-tensor obtained through integration, in a "non-local fashion".
- Method 2: the A-tensor is computed from spin-polarized density and does not relies on the integration by parts. The isotropic component is obtained in a "local fashion" from the value of the spin-polarized density on the grid points near the nuclei.

The user should be aware that numerical integration in A- and g-tensor routines is carried out over the Wigner-Seitz (WS) cell, and, therefore, to obtain a meaningful result, the defect in question should lie at or very close to the WS cell origin. This might require, on the user's part, some modification of the input geometry.

It also might happen that the size of the WS cell is not large enough for the adequate description of the paramagnetic defect in question. In this case, Method 1 will fails, since it relies on the integration by parts and assumes that the spin-polarized density is localized inside the WS cell. For the same reason, we recommend that the user removes diffuse basis set functions that describe the defect subsystem.

Finally, we note that the final result for A-tensor as presented by BAND is not scaled by the nuclear spin (as it is done in ADF) and the user is responsible for making necessary adjustments.

### g-tensor

The calculation of the Zeeman g-tensor is invoked within the ESR block:

```
ESR
Enabled Yes/No
End
```

#### **ESR**

5.4. ESR/EPR 125

# **Type**

Block

# Description

Zeeman g-tensor. The Zeeman g-tensor is implemented using two-component approach of Van Lenthe and co-workers in which the g-tensor is computed from a pair of spinors related to each other by time-reversal symmetry. Note: the following options are necessary for ESR: 'Relativistic zora spin' and 'Kspace 1'

#### Enabled

**Type** 

**Bool** 

#### **Default value**

No

### **GUI** name

ESR: g-tensor

### **Description**

Compute Zeeman g-tensor.

The Zeeman g-tensor is implemented using two-component approach of Van Lenthe and coworkers in which the g-tensor is computed from a pair of spinors related to each other by time-reversal symmetry.

Note: the following options are necessary for ESR: 'Relativistic zora spin' and 'Kspace 1'

( $\Gamma$ -only calculation). The g-tensor is then computed from the HOMO spinor at the  $\Gamma$  point. In the output, the user can find two-contributions to the g-tensor: one that stems from the  $K_{\sigma}$  operator and a second one, that stems from the orbital angular momentum. By default, GIAO and spin-Zeeman corrections are **not** included. From our experience, these corrections are quite small.

# 5.5 Nuclear Quadrupole Interaction (EFG)

```
EFG
Enabled Yes/No
End
```

#### EFG

#### **Type**

Block

### Description

The electronic charge density causes an electric field, and the gradient of this field couples with the nuclear quadrupole moment, that some (non-spherical) nuclei have and can be measured by several spectroscopic techniques. The EFG tensor is the second derivative of the Coulomb potential at the nuclei. For each atom it is a 3x3 symmetric and traceless matrix. Diagonalization of this matrix gives three eigenvalues, which are usually ordered by their decreasing absolute size and denoted as  $V_{xx}, V_{yy}, V_{zz}$ . The result is summarized by the largest eigenvalue and the asymmetry parameter.

# Enabled

# Type

Bool

### **Default value**

No

#### **GUI** name

EFG (electric field gradient): Calculate

### **Description**

Compute the EFG tensor (for nuclear quadrupole interaction).

This option honors the SelectedAtoms key, in which case only the EFG will be calculated for the selected atoms.

# **5.6 NMR**

**Warning:** The calculations of NMR shielding with BAND has not been thoroughly tested and the results might be unreliable. One should be extra careful when running NMR calculation, and validate the results by using different super-cells and different technical parameters.

With the NMR option the *shielding tensor* is calculated. There are two methods implemented: the super cell method and the single-dipole method.

- I) The super cell method is according to the implementation by Skachkov *et al.*<sup>1</sup> The symmetry will be automatically disabled. The unit cell should not be chosen too small.
- II) The other method is the single-dipole method. In principle one can now use the primitive cell<sup>2</sup>. In practice also this method needs to be converged with super cell size. However, depending on the system the required super cell may be much smaller. At a given super cell size this method is more expensive than the super cell method.

```
NMR
Enabled Yes/No
SuperCell Yes/No
End
```

#### **NMR**

### **Type**

Block

# **Description**

Options for the calculations of the NMR shielding tensor.

### Enabled

**Type** 

Bool

Default value

No

# Description

Compute NMR shielding.

### SuperCell

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<sup>&</sup>lt;sup>1</sup> D. Skachkov, M. Krykunov, E. Kadantsev, and T. Ziegler, *The Calculation of NMR Chemical Shifts in Periodic Systems Based on Gauge Including Atomic Orbitals and Density Functional Theory*. Journal of Chemical Theory and Computation 6, 1650 (2010) (https://doi.org/10.1021/ct100046a).

<sup>&</sup>lt;sup>2</sup> D. Skachkov, M. Krykunov, and T. Ziegler, *An improved scheme for the calculation of NMR chemical shifts in periodic systems based on gauge including atomic orbitals and density functional theory*, Canadian Journal of Chemistry 89, 1150 (2011) (https://doi.org/10.1139/V11-050).

**Type** 

Bool

#### **Default value**

Yes

### **Description**

This is the switch between the two methods, either the super cell (true), or the single-dipole method (false)

This option honors the SelectedAtoms key, in which case only the NMR properties will be calculated for the selected atoms only.

# 5.7 Effective Mass

The physics of the effective mass tensor is explained in this tutorial

A rather important aspect is the determination of the top of the valence band (TOVB or HOMO) and the bottom of the conduction band (BOCB or LUMO). There are two ways to do this

- 1) IPOL: from the interpolated bands
- 2) PATH: from the calculated bands along the high symmetry path, as used by amsbands.

In case that the HOMO and LUMO are located on the high symmetry path the PATH method is more accurate. A good example are 2D systems with a triangular lattice. Often the HOMO or LUMO are at the point called "K", which may not be present in the standard k-grid, but is always present on the high symmetry path. Also, along the path a dense k-grid is used. It remains possible that the extrema or not on the path and then the IPOL method should be used (possibly using a denser k-grid than usual). When chooising the PATH method some feedback is printed about whether IPOL and PATH produce the same HOMO and LUMO energy and k coordinates.

# 5.7.1 Input key block

```
EffectiveMass
Enabled Yes/No
KPointCoord float_list
NumAbove integer
NumBelow integer
StepSize float
UseBandStructureInfoFromPath Yes/No
End
```

#### **EffectiveMass**

**Type** 

Block

# Description

In a semi-conductor, the mobility of electrons and holes is related to the curvature of the bands at the top of the valence band and the bottom of the conduction band.

With the effective mass option, this curvature is obtained by numerical differentiation.

The estimation is done with the specified step size, and twice the specified step size, and both results are printed to give a hint on the accuracy. The easiest way to use this key is to enabled it without specifying any extra options.

### Enabled

```
Type
             Bool
         Default value
             No
         GUI name
             Effective mass
         Description
             Compute the EffectiveMass.
KPointCoord
         Type
             Float List
         Unit
             1/Bohr
         Recurring
             True
         GUI name
             At K-point
         Description
             Coordinate of the k-points for which you would like to compute the effective mass.
NumAbove
         Type
             Integer
         Default value
             1
         GUI name
             Include N bands above
         Description
             Number of bands to take into account above the Fermi level.
NumBelow
         Type
             Integer
         Default value
         GUI name
             Include N bands below
         Description
```

5.7. Effective Mass

Number of bands to take into account below the Fermi level.

StepSize

**Type** 

Float **Default value**0.001

Size of the step taken in reciprocal space to perform the numerical differentiation

### UseBandStructureInfoFromPath

```
Type
```

Bool

# **Default value**

Yes

# **Description**

The (automatic) location of the HOMO and LUMO can be determined via band interpolation, or from the path as used by the BandStructure feature. The latter works better when they are located on the path. See also comments in the BandStructure block. To reproduce results from before ams2025 set to no.

# 5.8 Properties at Nuclei

# PropertiesAtNuclei (block-type)

A number of properties can be obtained near the nucleus. An average is taken over a tiny sphere around the nucleus. The following properties are available.

```
PropertiesAtNuclei
  vxc[rho(fit)]
  rho(fit)
  rho(scf)
  v(coulomb/scf)
  rho(deformation/fit)
  rho(deformation/scf)
End
```

The electron density, rho (scf), is physically the most relevant one.

# 5.9 X-Ray Form Factors

X-ray structure factors (Fourier analysis of the charge density) are computed by default after termination of the SCF procedure.

Form factors options:

```
FormFactors integer
```

### FormFactors

Type

Integer

### **Default value**

2

# Description

Number of stars of K-vectors for which the form factors are computed

# 5.10 Dipole moment and Berry Phase

By default, Band computes (and prints to the output) only the components of the dipole moment orthogonal to the periodic direction(s).

Since the position operator is ill-defined in periodic systems, computing the longitudinal components of the dipole moment (i.e. the components along periodic directions) is not completely trivial. To obtain the longitudinal components of the dipole moment, you can perform a Berry Phase calculation:

BerryPhase Yes/No

# BerryPhase

Type

Bool

Default value

No

### **Description**

Boolean that determines whether the dipole as determined through the Berry phase approach should be calculated.

In the graphical user interface (AMSinput) you can find the Berry Phase checkbox in the **Details** → **Expert BAND** panel.

In a Berry phase calculation, the dipole moment of a unit cell is calculated with the help of the geometric phase within the unit cell. The theoretical framework of the calculations originates from the 'Modern theory of polarization' that was come up with in the early 1990s by King-Smith, Vanderbilt and Resta. <sup>12</sup> The implementation in BAND is a generalization of the one-dimensional Berry phase approach for quantum chemistry codes with local basis sets devised by Kudin and Car. <sup>3</sup>

**Warning:** The Berry phase implementation in BAND has been thoroughly for 1D systems. Nonetheless, the implementation seems to break down for 2D and 3D systems, thus requiring careful testing and validation of the calculations for such systems.

# Warning:

- Berry phase calculations require orthorhombic unit cells, differently shaped unit cells are not currently supported.
- For Berry Phase calculations you should use an all electron basis set (page 54) (i.e. Set the core to none)
- Using a good k-space sampling is recommended for Berry Phase calculations

An option that can be useful when validating the Berry Phase calculation is ShiftCoordinates in the AMS System block:

```
System header
ShiftCoordinates float_list
End
```

<sup>&</sup>lt;sup>1</sup> R. King-Smith, D. Vanderbilt, *Theory of polarization of crystalline solids*. Physical Review B 47, 1651 (1993) (https://doi.org/10.1103/PhysRevB.47.1651).

<sup>&</sup>lt;sup>2</sup> R. Resta, *Macroscopic polarization in crystalline dielectrics: the geometric phase approach.* Reviews of Modern Physics 66, 899 (1994) (https://doi.org/10.1103/RevModPhys.66.899).

<sup>&</sup>lt;sup>3</sup> K. Kuding, R. Car, Berry phase approach to longitudinal dipole moments of infinite chains in electronic-structure methods with local basis sets Journal of Chemical Physics 126, 234101 (2007) (https://doi.org/10.1063/1.2743018).

# System

#### ShiftCoordinates

**Type** 

Float List

Unit

Bohr

### **Description**

Translate the atoms by the specified shift (three numbers).

# 5.11 GW

# **5.11.1 General**

This page describes the basic procedure, usage and scope of a GW calculation. Technical details of the algorithm can be tweaked in the *MBPT input block* (page 102).

#### See also:

Examples GW (page 291)

The GW method is a relatively accurate method to obtain information about so-called charged excitations, or single-particle excitations. We refer to them as Quasiparticle energies. These are especially important to interpret and predict the outcome of direct and inverse photo-emission spectroscopy and can be used to obtain e.g. very accurate ionization potentials and electron affinities which gives access to the so-called fundamental gap (not to be confused with the optical gap).

GW can be used icw scalar relativistic effects within the ZORA formalism.

In practice, fully self-consistent GW is rarely used for molecular systems. Instead, perturbative approximations, so called quasiparticle GW methods are used since they are cheaper and also more accurate than fully self-consistent GW. The most popular approach is  $G_0W_0^{-1}$ , in which quasiparticle energies are obtained as a one-shot perturbative correction to KS eigenvalues. A downside of this approach is the rather pronounced starting-point dependence. This can be overcome to a large extent in eigenvalue-only self-consistent GW (evGW), in which the quasiparticle energies (but not the density) are iteratively updated until self-consistency is reached. In quasiparticle self-consistent GW, also the density is updated in each iteration, so that the results become completely starting point independent. The partially self-consistent GW variants usually converge within 6-8 iterations which make these approaches a factor of 6 to 8 more expensive than  $G_0W_0$ .

A GW calculation as implemented in BAND proceeds in five steps as has been described in detail in a series of papers Page 132, 156. The technique is also known as the as GW space-time method. Our implementation is closely related to the scheme outlined in<sup>4</sup>.

A DFT single-point calculation is performed. This can be an LDA, GGA or hybrid calculation. At the moment, BAND does not support the use of XCfun, but libXC is supported. Valid choices could be LDA, PBE, BLYP, PBE0, BHandHLYP and many more. The default is LDA. As usual, the functional to be used during the SCF is requested in the XC input block (page 15) block. The following requests a G<sub>0</sub>W<sub>0</sub> calculation with default settings using a PBE reference:

<sup>&</sup>lt;sup>1</sup> Arno Förster, Lucas Visscher, *Low-order scaling G0W0 by pair atomic density fitting*, Journal of Chemical Theory and Computation 16 (12), 7381–7399 (2020) (https://doi.org/10.1021/acs.jctc.0c00693)

<sup>&</sup>lt;sup>5</sup> Arno Förster, Lucas Visscher, *GW100: A Slater-Type Orbital Perspective*, Journal of Chemical Theory and Computation 17 (8), 5080–5097 (2021) (https://doi.org/10.1021/acs.jctc.1c00308)

<sup>&</sup>lt;sup>6</sup> Arno Förster, Lucas Visscher, Low-Order Scaling Quasiparticle Self-Consistent GW for Molecules, frontiers in Chemistry 9, 736591 (2021) (https://doi.org/10.3389/fchem.2021.736591)

<sup>&</sup>lt;sup>4</sup> J. Wilhelm, D. Golze, L. Talirz, J. Hutter, C.A. Pignedoli, *Toward GW Calculations on Thousands of Atoms*, Journal of Physical Chemistry Letters 9 (2), 306-312 (2012) (https://doi.org/10.1021/acs.jpclett.7b02740)

```
XC
GGA PBE
END
GW
End
```

Hybrid starting points can be requested with the LibXC key.

- From the KS orbitals and orbital energies, a Green's function (G) is evaluated and from the Green's function the so-called independent-particle polarizability is calculated. This is done in imaginary time.
- The polarizability is Fourier transformed to the imaginary frequency axis where the screened Coulomb potential (W) is evaluated using the Coulomb potential and the polarizability.
- The screened Coulomb potential is Fourier transformed to imaginary time. Here, the self-energy is calculated using G and W (that why it is called GW) which gives access to spectroscopic properties.
- The self-energy is transformed to the molecular orbital basis from where it is Fourier transformed to the imaginary
  frequency axis from where it is analytically continued to the complex plane. Along the real frequency axis, the
  quasiparticle energies are evaluated.
- In case of evGW (evGW<sub>0</sub>), the input KS eigenvalues are replaced by the quasiparticle energies from the previous iteration and the scheme is iterated until self-consistency in the quasiparticle energies is reached.
- In case of qsGW (qsGW<sub>0</sub>), A non-local, hermitian, and static exchange-correlation potential is constructed from the self-energy. This exchange-correlation potential replaces the KS exchange-correlation potential. Diagonalization gives a new set of single-particle orbitals and quasiparticle energies. The procedure is repeated until self-consistency is reached.
- By default, the DIIS algorithm is used to accelerate and stabilize convergence of the self-consistent GW schemes. A linear-mixing scheme can also be used.

The GW space-time method has the distinct advantage that it can be very fast, while a full frequency, conventional GW calculation scales to the 6th power of the system size and is prohibitive for systems larger than a few tens of atoms. BAND used advanced density fitting options to accelerate the space-time method further and in practice nearly quadratic scaling can be reached. This enables the routine application of the method to systems of several hundreds of atoms. A  $G_0W_0$  calculation (without the preceding SCF) is usually not much slower than a hybrid calculation. The downside of the approach is that the analytical continuation technique produces large errors (up to several eV) for core states which are in example important in X-ray spectroscopy. Thus, the GW implementation in BAND should only be used to predict quasiparticle energies for states in the valence-region. In fact, we have only tested it for HOMO and LUMO states (which are arguably most important)

The states of interest can be requested in the GW block

```
GW
nStates 5
End
```

is the default and calculates 5 occupied and five unoccupied states.

```
GW nStates 1 End
```

calculates the HOMO and LUMO quasiparticle energy only.

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# 5.11.2 Levels of self-consistency

# Eigenvalue-only self-consistent GW

An evGW calculation is requested by

```
GW
SelfConsistency evGW
End
```

One can also only iterate G by keep W fixed which reduces the cost of each iteration by roughly 50 %. This is requested by

```
GW
SelfConsistency evGW0
End
```

On the other hand, much of the starting point dependence of the  $G_0W_0$  method remains in the evGW $_0$  method and it is generally not recommended.

# quasiparticle self-consistent GW

An qsGW calculation is requested by

```
GW
SelfConsistency qsGW
End
```

One can also only iterate G by keep W fixed which reduces the cost of each iteration by roughly 50 %. This is requested by

```
GW
SelfConsistency qsGW0
End
```

On the other hand, much of the starting point dependence of the  $G_0W_0$  method remains in the qsGW $_0$  method and it is generally not recommended.

More options:

# QPHamiltonian

In quasiparticle self-consistent GW, the frequency-dependent self-energy is mapped to a static exchange-correlation in each iteration. The mapping is not unique and different schemes have been suggested. They differ in the way the frequency dependence of the self-energy is treated. In BAND, three variants can be used. KSF1 and KSF2 are from the paper by Kotani et al.<sup>7</sup>. KSF1 refers to eq. 10 therein and is most commonly implemented, KSF2 refers to eq. 11 therein. KSF2 is the default in BAND since it is numerically more stable. The variant denoted as Kutepov has been suggested bu Kutepov et al.<sup>8</sup> and uses a first-order expansion around the chemical potential.

### FixedGrids

Per default, the imaginary frequency and imaginary time grids used in the GW calculation are updated in each iteration in a qsGW calculation since this is necessary to make the results strictly starting point dependent. This can also be turned off, and fixed grids are used throughout. This might be helpful in case of convergence problems.

<sup>&</sup>lt;sup>7</sup> A.L. Kutepov, V.S. Oudovenko, G. Kotliar, *Linearized self-consistent quasiparticle GW method: Application to semiconductors and simple metals*, Computer Physics Communications 407-414 (2017) (http://dx.doi.org/10.1016/j.cpc.2017.06.012)

<sup>&</sup>lt;sup>8</sup> Takao Kotani, Mark Van Schilfgaarde, Sergey V. Faleev, *Quasiparticle self-consistent GW method: A basis for the independent-particle approximation*, Physical Review B 76 (16) 1-24 (2007) (https://doi.org/10.1103/PhysRevB.76.165106)

## Convergence

The self-consistency can be controlled by a few parameters: For example

```
GW
Converge HOMO=5e-3
End
```

requests that the  $\operatorname{evGW}(0)$  calculation is considered converged if the difference between the HOMO quasiparticle energies of 2 consecutive iterations does not change by more than 5 meV. The default is 1 meV which is in practice usually a little too tight. We recommend to adjust this parameter according to your requirements, for example the experimental resolution you would like to match.

For qsGW (qsGW<sub>0</sub>), the change in the norm of the density matrix is used as an additional criterion to control convergence. In evGW (evGW<sub>0</sub>) it is ignored.

```
GW
Converge Density=1e-07
End
```

is the default. For very large systems and when QZ basis sets are used, it is recommended to decrease that value, for example to

```
GW Converge Density=1e-08 End
```

```
GW linearmixing 0.2 End
```

turns of DIIS and request to use linear mixing instead with a mixing parameter of 0.2. This can be useful if for some reason, convergence using DIIS cannot be achieved. However, it is usually better to adjust the number of vectors in the DIIS expansion. This is achieved by (for example)

```
GW
DIIS 5
End
```

The default are 10 expansion vectors. In case of difficulties converging

# 5.11.3 Second-order self-energy

Starting from ADF2BAND, it is possible to go beyond the GW approximation for the self-energy (expansion in screened interaction to first order) and also take into account the next term (expansion in the screened interaction to second order). The algorithm has been described in this paper. ...<sup>10</sup>

- This can be used with all variants of self-consistency.
- The second-order self-energy is always applied as a perturbative correction of the GW quasiparticle energies, using a statically screened interaction W. More precisely,

$$\Sigma^{GW} = G(\omega)*W(\omega)$$
 
$$\Sigma^{GW+G3W2} = G(\omega)*W(\omega) + G(\omega)*W(\omega=0)*G(\omega)*G(\omega)*W(\omega=0)$$

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<sup>&</sup>lt;sup>10</sup> A. Förster, L. Visscher, *EGW100: A Slater-Type Orbital Perspective*, Journal of Chemical Theory and Computation 17(8), 5080-5097 (2021) (https://doi.org/10.1021/acs.jctc.1c00308)

• The second-order self-energy is activated by typing

```
GW selfenergy G3W2 End
```

It has been shown that the second-order correction is especially accurate for electron affinities and HOMO-LUMO gaps. Page 135, 10 Since it effectively scales as the fourth power of the system size, it should not be used for systems much larger than 50 atoms.

## 5.11.4 Recommendations

#### **Basis sets**

The recommended numerical settings depend strongly on the basis set. The recommended basis set depends on system size and the property of interest. The following are recommendations which should be seen as guidelines and not as definite. We recommend to always verify the basis set convergence of for the property of interest. Details about basis set convergence can be found in this paper Page 135, 10

#### **Basis sets**

**All-electron:** All-electron calculations are always recommended.

Basis set size: Larger basis sets are needed to achieve the same accuracy as in a DFT calculation

**Augmented basis sets:** Should only be used if absolutely necessary. They are however often necessary for an accurate description of the electron affinity for molecules with LUMO above the vacuum level.

Ionization Potentials: TZ2P or larger

**Electron affinities, bound LUMO:** Corr/TZ3P or larger **Electron affinities, unbound LUMO:** AUG/ATZ2P or larger

Fundamental Gaps: Triple-zeta quality basis sets are typically sufficient.

The GW-BSE excitation energies and the screened Coulomb interaction do only depend on the QP gaps and not on their absolute values. Therefore, triple-zeta quality basis sets are typically sufficient.

For **highly accurate results** we recommend to perform an extrapolation to the complete basis set limit: For this, perform 2 calculations using the Corr/TZ3P and Corr/QZ6P. The basis set limit is then calculated according to

$$\epsilon_n^{CBS} = \epsilon_n^{QZ} - \frac{1}{N_{bas}^{QZ}} \frac{\epsilon_n^{QZ} - \epsilon_n^{TZ}}{\frac{1}{N_{bas}^{QZ}} - \frac{1}{N_{bas}^{TZ}}}$$

The values for  $N_{bas}^{TZ}$  and  $N_{bas}^{QZ}$  can be found in the BAND.rkf file in the GW section under the entry nBas. Additional explanations can be found in the references and Page 135, 10

<sup>&</sup>lt;sup>3</sup> M.J. Van Setten, F. Caruso S. Sharifzadeh X. Ren M. Scheffler F. Liu J. Lischner L. Lin J. Deslippe S.G. Louie C. Yang F. Weigend J.B. Neaton F. Evers P. Rinke, *GW100: Benchmarking G0W0 for Molecular Systems*, Journal of Chemical Theory and Computation 11 (12), 5665-5687 (2015) (https://doi.org/10.1021/acs.jctc.5b00453)

## **Numerical aspects**

- According to the choice of basis set, the recommended numerical settings can differ. For a discussion, see the MBPT page (page 102).
- qsGW has the highest requirements on the numerical parameters than evGW and  $G_0W_0$ . Usually, it is necessary to use a larger value in the Dependency key, see the *MBPT page* (page 102). Note that starting from AMS2022 BAND will use a Dependency bas=5e-3 and RIHartreeFock DependencyThreshold=5e-3 in case of (any variant of) GW. One can override these values in the input.
- The implemented GW algorithm is very sensitive to numerical noise and depending on the numerical settings and/or
  the underlying exchange-correlation functional, (occupied) quasiparticle energies from partially self-consistent GW
  calculations performed on different hardware can differ by a few meV. The discrepancies are generally more pronounced for core states for which the analytical continuation technique is rather inaccurate.
- In our experience, these differences only occur with large basis sets (QZ4P or larger) and when Minnesota functionals (we tested M06, M06-2X and M06-HF) are used to calculate the KS reference. When the DIIS algorithm is used to converge the quasiparticle energies, the number of iterations needed for convergence can then differ as well.
- For optimal accuracy, full cores should be used. Note, that frozen cores do not require in large computational savings for GW calculations.

## Choosing the KS reference

- As for ground-state properties, it is far from trivial to recommend a universal functional. However, for  $G_0W_0$  calculations, a few general guidelines can be offered. A good discussion is found in<sup>2</sup>. It should be noted, that GGA functional should not be used, even though a PBE starting point is a popular choice. We rather recommend to use a hybrid functional. The relevant parameter in the choice of the hybrid is usually the fraction of exact exchange. In our experience, PBE0 with 40-50 % exact exchange is a good choice. You might also use a range-separated hybrid via LibXC, for example LRC-wPBEH.
- If in doubt, one of the partially self-consistent schemes should be used. evGW is almost starting point independent and qsGW is completely starting point independent. Therefore, for qsGW, it is in principle irrelevant what starting point is chosen. Convergence properties can in principle be affected, although the number of iterations until convergence is reached is also more or less independent of the starting point Page 132, 6. Also for evGW, starting from a hybrid functional or a range-separated functional usually results in the highest accuracy.

# 5.11.5 GW key

```
GW

nStates integer

SelfEnergy [HF | GW | G3W2 | SOSEX | GWGamma | G3W2dynamic | GWGammaInf | □

GWGammaInfDyn]

SelfConsistency [G0W0 | EVGW0 | EVGW | QSGW0 | QSGW]

QPHamiltonian [KSF1 | KSF2 | SRG | LQSGW]

nIterations integer_list

Converge

Density float_list

HOMO float

End
```

(continues on next page)

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<sup>&</sup>lt;sup>2</sup> F. Bruneval, M.A.L., Marques, *Benchmarking the starting points of the GW approximation for molecules*, Journal of Chemical Theory and Computation 9 (1), 324-329 (2013) (https://dx.doi.org/10.1021/ct300835h)

(continued from previous page)

```
LinearMixing float_list
AdaptiveMixing float_list
DIIS integer
LinearizeQPequations Yes/No
ScissorShift Yes/No
End
```

#### GW

#### **Type**

Block

#### **Description**

Perform a GW calculation. G0W0 is the default for GW%SelfConsistency.

#### nStates

## **Type**

Integer

### **Default value**

-

### **GUI** name

N states

#### **Description**

Number of Quasiparticle States to be printed to output.

The default is 5 states which in this case means that min(5, Number of particle states) occupied and min(5, Number of hole states) hole states are printed. The whole list of states can be printed by setting this parameter to -1'

## SelfEnergy

#### **Type**

Multiple Choice

## Default value

GW

# **Options**

[HF, GW, G3W2, SOSEX, GWGamma, G3W2dynamic, GWGammaInf, GWGammaInfDyn]

#### **Description**

Controls the form of the self-energy.

GW is the default and corresponds to the standard GW calculation.

G3W2 is a GW calculation plus a perturbative second-order statically screened exchange correction (second order expansion in the self-energy). Note, that there the self-energy is always static.

#### SelfConsistency

#### **Type**

Multiple Choice

#### **Default value**

G0W0

### **Options**

[G0W0, EVGW0, EVGW, QSGW0, QSGW]

## **Description**

Sets the level of self-consistency in a GW calculation.

G0W0 calculates a one-shot, perturbative correction to the KS eigenvalues.

In evGW and evGW0, the quasi-particle energies are updated until self-consistency is reached.

evGW0 requests that the Green's function is evaluated self-consistently but not the screened interaction.

In qsGW, the density is updated as well, however, the self-energy is mapped to a static effective potential and the Dyson equation is solved by diagonalization instead of inversion. The results of a qsGW are independent of the choice of the underlying exchange-correlation functional and are usually the most accurate ones.

The same is done in qsGW0, but the screened interaction is not updated.

### QPHamiltonian

#### **Type**

Multiple Choice

#### **Default value**

KSF2

## **Options**

[KSF1, KSF2, SRG, LQSGW]

#### Description

The quasi-particle Hamiltonian can be constructed in different ways.

KSF1 refers to the original construction by Kotani, Van Schilfgaarde anf Faleev (KSF) which is also implemented in TURBOMOLE.

KSF2 refers to an alternative construction by KSF.

KSF1 is not recommended since it is numerically less stable than KSF2 in case analytical continuation is used (the default).

In case the analytical integration algorithm is used, only KSF1 is implemented. Therefore, make sure that KSF1 is specified. The results are typically very similar.

The QP energies at which the matrix elements are evaluated can be tweaked further, see the two subsequent keys: However, KSF2 is recommended since it typically leads to QP energies with the best agreement with experiment.

Ignored when not a quasi-particle self-consistent GW calculation is performed

#### nIterations

#### **Type**

Integer List

#### **Default value**

[10]

#### **GUI** name

Number of iterations

## **Description**

The maximum number of iterations within the (partially or fully) self-consistent GW calculation has to converge.

Ignored when Formalism is set to G0W0

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#### Converge

#### **Type**

Block

## **Description**

Sets convergence criteria for the GW calculation in self-consistent case

#### Density

## **Type**

Float List

#### **Default value**

[1e-08, 1e-05]

#### **Description**

First Criterion for self-consistency procedure to terminate.

Criterion is the trace of the density matrix. Ignored in non-selfconsistent Calculation and in eigenvalue self-consistent GW

It is possible to run a qsGW calculation with an inner SCF loop which updates the static part of the elf-energy only. This can be useful to accelerate the convergence in case linear mixing is used. It is not recommended to use linear mixing, so it is also not recommended to use that inner loop as well. The second number in this list specifies the convergence criterion for the inner SCF loop.

#### HOMO

#### **Type**

Float

## **Default value**

0.003

#### Unit

eV

#### **GUI** name

HOMO energy convergence

## Description

Criterion for self-consistency procedure to terminate.

The self-consistent GW calculation terminates, when the difference between the HOMO QP energies between 2 consecutive iterations is below this number.

The LUMO energy converged faster than the HOMO energy so when the HOMO energy is converged according to this criterion, the LUMO energy will be converged as well.

In non-selfconsistent Calculation, this criterion is ignored.

## LinearMixing

#### **Type**

Float List

## **Description**

Requests to use linear mixing instead of DIIS and sets the mixing parameter for linear mixing of Green's function in case of self-consistency.

It is ignored in non-selfconsistent calculation and overwritten by DIIS when DIIS is also present.

#### AdaptiveMixing

## **Type**

Float List

## Description

Requests to use adaptive mixing instead of DIIS and sets the staring mixing parameter for mixing of Green's function in case of self-consistency.

Adapative mixing is recommended in case a qsGW calculation does not converge with DIIS.

It is ignored in non-selfconsistent calculation and overwritten by DIIS when DIIS is also present.

#### DIIS

### **Type**

Integer

#### **Default value**

10

## **Description**

Requests to use DIIS. This is the Default. Number of expansion coefficients can be requested as well. Ignored in non-selfconsistent calculation

### LinearizeQPequations

## **Type**

**Bool** 

#### **Default value**

No

#### **Description**

Instead of solving the non-linear QP equations in a G0W0 (or evGW calculation) by bisection exacly, linearize them by first-order Taylor expansion.

This is not recommended since it does not save computational time when used together with analytical continuation (as implemented in AMS). It might however be useful for benchmarking or for validating results.

If the results os the linearization differ by a lot (for instance, more than 0.1 eV in frontier QP energies) from the non-linearized results, this might indicate that the GW calculation is not reliable.

## ScissorShift

## **Type**

**Bool** 

#### **Default value**

No

### Description

Only calculate the HOMO and LUMO QP energies and shift the remaining QP energies by the same amount.

This is a rather crude approximation and not recommended.

It might again be useful for benchmarking purposes.

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**CHAPTER** 

SIX

# **ANALYSIS**

# 6.1 Density of States (DOS)

```
CalcDOS Yes/No
CalcPDOS Yes/No
CalcPODOS Yes/No
CalcPopulationAnalysis Yes/No
CompensateDeltaE Yes/No
DeltaE float
Energies integer
File string
IntegrateDeltaE Yes/No
Max float
Min float
StoreCoopPerBasPair Yes/No
End
```

# DOS

**Type** 

Block

# **Description**

Density-Of-States (DOS) options

# CalcDOS

**Type** 

Bool

## **Default value**

Yes

## **GUI** name

Calculate DOS

# Description

Whether or not to calculate the density of states.

### CalcPDOS

**Type** 

Bool

## **Default value**

No

### **GUI** name

Calculate PDOS

## **Description**

Whether or not to calculate the partial DOS (projections on basis functions). This can be significantly more expensive than calculating the total DOS

## CalcPopulationAnalysis

#### **Type**

Bool

#### **Default value**

Yes

### **GUI** name

Calculate Mulliken charges

## **Description**

Whether or not to calculate the population analysis. Population analysis can become very expensive when there are many symmetry operators, such as in a super cell.

### CompensateDeltaE

### **Type**

Bool

### **Default value**

Yes

#### **Description**

Only relevant when IntegrateDeltaE=yes. If set to true then after integrating each interval over DeltaE the result is divided by DeltaE, so that the unit is DOS.

#### DeltaE

# **Type**

Float

#### **Default value**

0.005

#### Unit

Hartree

## **GUI** name

Delta E

#### **Description**

Energy step for the DOS grid. Using a smaller value (e.g. half the default value) will result in a finer sampling of the DOS.

## **Energies**

### **Type**

Integer

# Description

Number of equidistant energy-values for the DOS grid. This keyword is superseded by the 'DeltaE' keyword.

### File

## **Type**

String

## Description

Write the DOS (plain text format) to the specified file instead of writing it to the standard output.

## IntegrateDeltaE

**Type** 

Bool

#### **Default value**

Yes

## **Description**

This subkey handles which algorithm is used to calculate the data-points in the plotted DOS. If true, the data-points represent an integral over the states in an energy interval. Here, the energy interval depends on the number of Energies and the user-defined upper and lower energy for the calculation of the DOS. The result has as unit [number of states / (energy interval \* unit cell)]. If false, the data-points do represent the number of states for a specific energy and the resulting plot is equal to the DOS per unit cell (unit: [1/energy]). Since the resulting plot can be a wild function and one might miss features of the DOS due to the step length between the energies, the default is set to the integration algorithm.

#### Max

## **Type**

Float

#### Unit

Hartree

# Description

User defined upper bound energy (with respect to the Fermi energy)

#### Min

## **Type**

Float

## Unit

Hartree

## Description

User defined lower bound energy (with respect to the Fermi energy)

## StoreCoopPerBasPair

**Type** 

Bool

#### **Default value**

No

#### **GUI** name

Calculate COOP

#### **Description**

Calculate the COOP (crystal orbital overlap population).

An example input:

```
DOS
Enabled True
Energies 500
Min -0.35
Max 1.05
File plotfile
End
```

According to this example, DOS values will be generated in an equidistant mesh of 500 energy values, ranging from 0.35 a.u. below the Fermi level to 1.05 a.u. above it. All information will be written to a file plotfile. The information on the plot file is a long list of pairs of values (energy and DOS), with some informative text-headers and general information. DOS values are generated for the total DOS and optionally also for some partial DOS (see the keys *GrossPopulations* (page 146) and *OverlapPopulations* (page 147)).

A common problem is that of missing DOS: an energy interval with bands but no DOS. This is caused by an insufficient k-space sampling. Try to *Restart the DOS* (page 189) with a better k-grid.

In the **DOS** and **Band Structure GUI modules**, it is possible to visualize partial density of states (**p-DOS**). The partial contributions are obtained from the total DOS by following the **Mulliken population analysis** partitioning prescription (see wikipedia (https://en.wikipedia.org/wiki/Mulliken\_population\_analysis)).

**Tip:** The tutorial Calculation of Band Structure and COOP of CsPbBr3 with BAND contains some advanced usage of the **DOS** and **BAND Structure GUI modules**.

# 6.1.1 Gross populations

```
GrossPopulations # Non-standard block. See details.
...
End
```

## GrossPopulations

#### **Type**

Non-standard block

## Description

Partial DOS (pDOS) are generated for the gross populations listed under this key. See example.

#### Syntax:

```
GrossPopulations
{iat lq}
{FragFun jat ifun}
{Frag kat}
{Sum
...
EndSum}
End
```

## iat

pDOS is generated for atom lq.

#### FragFun

pDOS is generated for atom *jat* with all real spherical harmonics belonging to *l*-value *ifun*.

#### Frag

pDOS of the functions belonging to atom kat will be calculated.

#### Sum

sum all pDOS, specified in this block.

#### Example:

```
GrossPopulations
FragFun 1 2:: Second function of first atom
Frag 2 :: Sum of all functions from second atom
SUM:: sum following PDOSes
Frag 1::Atom nr.1
FragFun 2 1::First function of second atom
5 1:: All pfunctions of fifth atom
EndSum
End
```

# 6.1.2 Overlap populations

```
OverlapPopulations # Non-standard block. See details.
...
End
```

#### OverlapPopulations

## **Type**

Non-standard block

#### **Description**

Overlap population weighted DOS (OPWDOS), also known as the crystal orbital overlap population (COOP).

Overlap population weighted DOS are generated for the overlap populations listed:

```
OVERLAPPOPULATIONS

Left
{ iat lq }
{ FragFun jat ifun }
{ Frag kat }
Right
...
End
```

You can use this to get the OPWDOS of two functions, or, if you like, one bunch of functions with another bunch of functions. The key-block should consist of left-right pairs. After a line with left you enter lines that specify one or more functions (according to *GrossPopulations* (page 146)), followed by a similar structure beginning with right, which will produce the OPWDOS of the left functions with the right functions.

### Example:

```
OVERLAPPOPULATIONS

LEFT::First OPWDOS

Frag 1

RIGHT

Frag 2

LEFT:: Next OPWDOS
```

(continues on next page)

(continued from previous page)

```
FragFun 1 1
RIGHT
2 1
FragFun 3 5
End
```

# 6.2 Band Structure

BAND can calculate the band structure for the standard k-path in the Brillouin zone<sup>1</sup> and saves the corresponding data to the binary file RUNKF.

The band structure is best examined with the GUI module BandStructure see:

Advanced BAND tutorial: Calculation of Band Structure and COOP of CsPbBr3 with BAND

```
BandStructure
Enabled Yes/No
Automatic Yes/No
DeltaK float
FatBands Yes/No
UseSymmetry Yes/No
EnergyAboveFermi float
EnergyBelowFermi float
End
```

#### BandStructure

```
Type
```

Block

## Description

Options for the calculation of the band structure.

#### Enabled

**Type** 

Bool

**Default value** 

No

**GUI** name

Calculate band structure

### **Description**

If True, Band will calculate the band structure and save it to file for visualization.

## Automatic

**Type** 

Bool

**Default value** 

Yes

<sup>&</sup>lt;sup>1</sup> W. Setyawan and S. Curtarolo, *High-throughput electronic band structure calculations: Challenges and tools*, Computational Materials Science 49 (2010) 299–312 (https://doi.org/10.1016/j.commatsci.2010.05.010).

### **GUI** name

Automatic generate path

## Description

If True, BAND will automatically generate the standard path through the Brillouin zone.

If False BAND will use the user-defined path in BZPath.

#### DeltaK

### **Type**

Float

### **Default value**

0.1

#### Unit

1/Bohr

#### **GUI** name

Interpolation delta-K

#### **Description**

Step (in reciprocal space) for band structure interpolation.

Using a smaller number (e.g. 0.03) will result in smoother band curves at the cost of an increased computation time.

#### **FatBands**

#### **Type**

**Bool** 

## Default value

Yes

#### **GUI** name

Calculate fatbands

### **Description**

If True, BAND will compute the fat bands (only if BandStructure%Enabled is True).

The Fat Bands are the periodic equivalent of the Mulliken population analysis.

## UseSymmetry

## **Type**

Bool

## **Default value**

Yes

### **GUI** name

Use symmetry

### **Description**

If True, only the irreducible wedge of the Wigner-Seitz cell is sampled.

If False, the whole (inversion-unique) Wigner-Seitz cell is sampled.

Note: The Symmetry key does not influence the symmetry of the band structure sampling. Only available for Setyawan and Curtarolo convention (see KPathFinderConvention).

## EnergyAboveFermi

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#### **Type**

Float

#### **Default value**

0.75

#### Unit

Hartree

#### **GUI** name

Energy above Fermi level

## **Description**

Bands with minimum energy larger then FermiEnergy + EnergyAboveFermi are not saved to file. Increasing the value of EnergyAboveFermi will result in more unoccupied bands to be saved to file for visualization.

## EnergyBelowFermi

```
Type
```

Float

### **Default value**

10.0

### Unit

Hartree

#### **GUI** name

Energy below Fermi level

### **Description**

Bands with maximum energy smaller then FermiEnergy - EnergyBelowFermi are not saved to file. Increasing the value of EnergyBelowFermi will result in more occupied core bands to be saved to file for visualization. Note: EnergyBelowFermi should be a positive number!

Information on the k-path used for band structure plotting (including the fractional coordinates of high-symmetry k-points) can be found in the section  $\mathtt{KPath}$  of the output file.

# 6.2.1 User-defined path in the Brillouin zone

If BZStruct%Automatic is False, BAND will compute the band structure for the user-defined path in the BZPath block.

```
BZPath

path # Non-standard block. See details.

...

End

End
```

## BZPath

## Type

Block

#### **Description**

Definition of the user-defined path in the Brillouin zone for band structure plotting.

### path

## **Type**

Non-standard block

## Recurring

True

#### **Description**

Definition of the k-points in a path. The vertices of your path should be defined in fractional coordinates (wrt the reciprocal lattice vectors)

You should define the vertices of your path in fractional coordinates (wrt the reciprocal lattice vectors) in the Path sub-block. If you want to make a *jump* in your path, you need to specify a new Path sub-block.

In the following example we define the path Gamma-X-W-K | U-X for a FCC lattice:

```
BZPath
Path
0.000 0.000 0.000
0.500 0.000 0.500
0.500 0.250 0.750
0.375 0.375 0.750
End
Path
0.625 0.250 0.625
0.500 0.000 0.500
End
End
End
```

## 6.2.2 Definition of the Fat Bands

The fat bands (page 148)  $F_{i,n,\sigma,\vec{k}}$  are the periodic equivalent of the Mulliken population. They are defined as:

$$F_{i,n,\sigma,\vec{k}} = \sum_{j} C_{i,n,\sigma,\vec{k}} C_{j,n,\sigma,\vec{k}} S_{i,j,\vec{k}}$$

where  $C_{i,n,\sigma,\vec{k}}$  and  $S_{i,j,\vec{k}}$  are the orbital coefficients and the overlap matrix elements respectively. The indices i and j denote basis functions, n is the band index,  $\sigma$  is the spin index and  $\vec{k}$  is a reciprocal vector in the Brillouin zone.

# 6.2.3 Band Gap

The band gap (if any) is printed in the output. Here is an example for the NaCl crystal:

```
Band gap information

Number of valence electrons

Top of valence Band (a.u.)

Bottom of conduction Band (a.u.)

Band gap (a.u.)

Band gap (eV)

Band gap (kcal)

16

Valence Band index

8

Top of valence Band (a.u.)

-0.192

Bottom of conduction Band (a.u.)

0.153

Band gap (eV)

4.173

96.235
```

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# 6.2.4 Calculation of the Fermi Surface

If the system has no band gap it is a metal, and that means that the Fermi surface is a complex shape in the Brillouin zone. As multiple bands may be crossing the fermi energy there may be multiple surfaces. In case of a spin unrestricted calculation both spins have a Fermi surface of their own. For 2D systems the "surface" consists of one or more lines. The result can be viewed with the GUI module AMSbands.

· Advanced BAND tutorial: Bands, dos and Fermi surface with BAND

```
FermiSurface
Enabled Yes/No
KIntegForSymmetricKGrid integer
NMesh integer
End
```

#### FermiSurface

#### **Type**

Block

## Description

Calculation of the Fermi surface for metals

#### Enabled

## Type

Bool

## **Default value**

No

# **GUI** name

Calculate Fermi surface

#### Description

Calculate the Fermi surface if the system has no band gap (i.e. is a metal). The result can be visualized with amsbands.

## KIntegForSymmetricKGrid

## **Type**

Integer

#### **Default value**

-1

### **Description**

If the (default) regular k-grid is used, a symmetric one is created to determine the Fermi surface. If this key is not specified an automatic value of kInteg is used. Odd values trigger quadratic interpolation.

#### NMesh

#### **Type**

Integer

### **Default value**

7

## **Description**

Improves the matching of the interpolated quadratic surface. For better results it makes more sense to increases KIntegForSymmetricKGrid.

# 6.3 Charges

# 6.3.1 Default Atomic Charge Analysis

By default BAND computes the following atomic charge analyses:

- Hirshfeld Charges<sup>12</sup>
- Voronoi Deformation Charges (VDD, Voronoi Deformation Density)
- Mulliken Charges (note: not calculated for Spin-Orbit (page 33) calculations)
- CM5 (Charge Model 5)<sup>34</sup>

These atomic charges are printed to the output file and can be visualized using the AMSview GUI module.

A more detailed output of the atomic charges can be printed by specifying following print option (note: in Band 2017 and previous versions this detailed output was printed by default):

```
Print AtomicChargesDetails
```

# 6.3.2 Bader Analysis (AIM)

The QTAIM (Quantum Theory of Atoms in Molecules), also known as Bader Analysis can be enabled in the Grid-BasedAIM input block:

```
GridBasedAIM
Enabled Yes/No
Iterations integer
SmallDensity float
UseStartDensity Yes/No
End
```

## GridBasedAIM

Type

Block

## Description

Invoke the ultra fast grid based Bader analysis.

#### Enabled

**Type** 

Bool

Default value

No

**GUI** name

Bader (AIM): Atomic properties

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<sup>&</sup>lt;sup>1</sup> F.L. Hirshfeld, *Bonded-atom fragments for describing molecular charge densities*, Theoretica Chimica Acta 44, 129 (1977) (https://doi.org/10.1007/BF00549096)

<sup>&</sup>lt;sup>2</sup> K.B. Wiberg and P.R. Rablen, *Comparison of atomic charges derived via different procedures*, Journal of Computational Chemistry 14, 1504 (1993) (https://doi.org/10.1002/jcc.540141213)

<sup>&</sup>lt;sup>3</sup> A.V. Marenich, S.V. Jerome, C.J. Cramer, D.G. Truhlar, *Charge Model 5: An Extension of Hirshfeld Population Analysis for the Accurate Description of Molecular Interactions in Gaseous and Condensed Phases*, Journal of Chemical Theory and Computation 8, 527 (2012) (https://doi.org/10.1021/ct200866d)

<sup>&</sup>lt;sup>4</sup> C.A. Peeples and G. Schreckenbach, *Implementation of the SM12 Solvation Model into ADF and Comparison with COSMO*, Journal of Chemical Theory and Computation 12, 4033 (2016) (https://doi.org/10.1021/acs.jctc.6b00410)

## **Description**

Invoke the ultra fast grid based Bader analysis.

#### Iterations

### **Type**

Integer

#### **Default value**

40

## **Description**

The maximum number of steps that may be taken to find the nuclear attractor for a grid point.

## SmallDensity

#### **Type**

Float

### **Default value**

1e-06

## **Description**

Value below which the density is ignored. This should not be chosen too small because it may lead to unassignable grid points.

# UseStartDensity

**Type** 

Bool

#### **Default value**

No

## **Description**

Whether the analysis is performed on the startup density (True) or on the final density (False).

```
AIMCriticalPoints
Enabled Yes/No
EqvPointsTol float
GridPadding float
GridSpacing float
End
```

## **AIMCriticalPoints**

#### **Type**

Block

## **Description**

Compute the critical points of the density (Atoms In Molecules). The algorithm starts from a regular mesh of points, and from each of these it walks towards its corresponding critical point.

## Enabled

**Type** 

Bool

#### **Default value**

No

## **GUI** name

: Critical points and bond paths

## **Description**

Compute the critical points of the density (Atoms In Molecules). The algorithm starts from a regular mesh of points, and from each of these it walks towards its corresponding critical point.

## EqvPointsTol

**Type** 

Float

#### **Default value**

0.27

Unit

Bohr

# Description

If the distance between two critical points is smaller than this value, the two critical points are considered to be the same point.

### GridPadding

**Type** 

Float

#### **Default value**

0.7

Unit

Bohr

#### **Description**

How much extra space is added to the starting guess domain in the search for the critical points

## GridSpacing

**Type** 

Float

## **Default value**

0.5

Unit

Bohr

## Description

The distance between the initial trial points.

**Note:** The Bader (AIM) analysis is performed on the fitted density (see *ZlmFit* (page 75)). We advise to use a Good (or better) ZlmFit quality.

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# 6.4 Fragments

A fragment feature is available albeit rather primitive. It allows for the analysis of the DOS in a fragment basis and for the calculation of the deformation density with respect to fragment densities. A typical application is the periodical adsorption of one or more molecules on a surface. For instance, consider periodic adsorption of hydrogen molecules over a surface. First you calculate the free molecule in the same orientation as when adsorbed to the substrate. Since you would like to use a molecular fragment, it makes sense to put the molecules far apart (large lattice spacing) and force dispersion to be neglected (KSPACE 1). To use the fragment in the next run you need to rename the result file ("rkf"), to something like "frag.rkf", see the example script discussed below or the *example* (page 292) covering this topic.

```
Fragment
AtomMapping # Non-standard block. See details.
...
End
Filename string
Labels # Non-standard block. See details.
...
End
End
End
```

#### Fragment

Type

Block

## Recurring

True

## **Description**

Defines a fragment. You can define several fragments for a calculation.

## AtomMapping

**Type** 

Non-standard block

### **Description**

Format 'indexFragAt indexCurrentAt'. One has to associate the atoms of the fragment to the atoms of the current calculation. So, for each atom of the fragment the indexFragAt has to be associated uniquely to the indexCurrentAt for the current calculation.

#### Filename

Type

String

### **Description**

Filename of the fragment. Absolute path or path relative to the executing directory.

## Labels

**Type** 

Non-standard block

#### Description

This gives the possibility to introduce labels for the fragment orbitals. See examples.

## Example:

```
Fragment
filename test.rkf
AtomMapping
1 3 ! atom 1 of this fragment is assigned to third atom
2 4 ! atom 2 of this fragment is assigned to fourth atom
End
Labels
Sigma
Sigma
Pi_x
Pi_y
Pi_x*
Pi_y*
End
End
End
```

In this example the first six fragment orbitals will be labeled as stated in the body of this key. The remaining orbitals are labeled by the default labeling system (e.g. 1/FO/5, etc.). The labels are used in combination with options like Print Eigens and Print OrbPop. (See also Print OrbLabels). This key can be given once for each fragment.

### Tip: Specifying:

```
Print Eigens
```

for a calculation produces output concerning the eigen states, thereby providing a means to identify the eigen states (e.g. to be sigma, pi, et cetera). So, one can label the orbitals of a fragment according to this information.

# 6.5 Energy Decomposition Analysis

In BAND there are two fragment-based energy decomposition methods available: the periodic energy decomposition analysis (PEDA)<sup>1</sup> and the periodic energy decomposition analysis combined with the natural orbitals of chemical valency method (PEDA-NOCV)<sup>Page 157, 1</sup>.

# 6.5.1 Periodic Energy Decomposition Analysis (PEDA)

```
PEDA Yes/No
```

#### PEDA

Type

Bool

Default value

No

### Description

If present in combination with the fragment block, the decomposition of the interaction energy between fragments is invoked.

<sup>&</sup>lt;sup>1</sup> M. Raupach and R. Tonner, A periodic energy decomposition analysis method for the investigation of chemical bonding in extended systems, The Journal of Chemical Physics 142, 194105 (2015) (https://doi.org/10.1063/1.4919943).

If used in combination with the fragment keyblocks the decomposition of the interaction energy between fragments is invoked and the resulting energy terms ( $\Delta E_{int}$ ,  $\Delta E_{disp}$ ,  $\Delta E_{Pauli}$ ,  $\Delta E_{elstat}$ ,  $\Delta E_{orb}$ ) presented in the output file. (See the *example* (page 306) or the tutorial)

**Attention:** In case of the error message "Fragments cannot be assigned by a simple translation!", BAND does only allow for fragments which can be transformed to the structure in the PEDA calculation by a simple translation. So, a rotation is not allowed.

# 6.5.2 Periodic Energy Decomposition Analysis and natural orbitals of chemical valency (PEDA-NOCV)

```
PEDANOCV (block-type)
```

If present in combination with the fragment keyblocks and the PEDA key the decomposition of the orbital relaxation term is performed. The binary result file will contain the information to *plot NOCV Orbitals and NOCV deformation densities* (page 186).

#### See also:

- *example* (page 310)
- tutorial
- · advanced tutorial

```
PEDANOCV
EigvalThresh float
Enabled Yes/No
End
```

## PEDANOCV

#### **Type**

Block

#### Description

Options for the decomposition of the orbital relaxation (pEDA).

## EigvalThresh

**Type** 

Float

#### **Default value**

0.001

## **GUI** name

Use NOCVs with ev larger than

#### **Description**

The threshold controls that for all NOCV deformation densities with NOCV eigenvalues larger than EigvalThresh the energy contribution will be calculated and the respective pEDA-NOCV results will be printed in the output

### Enabled

## **Type**

Bool

#### **Default value**

No

#### **GUI** name

Perform PEDA-NOCV analysis

## Description

If true in combination with the fragment blocks and the pEDA key, the decomposition of the orbital relaxation term is performed.

# 6.6 Local Density of States (STM)

In the **VIEW GUI module**, you can visualize the local density of states, see also the *LDOS (STM)* (page 187) key.

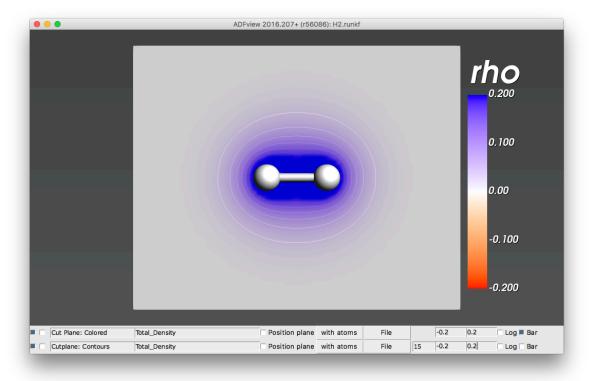
# 6.7 3D field visualization with BAND

With AMSview you can visualize three-dimensional fields from the results of a BAND Calculation (runkf file).

Following is a list of relevant fields, with a short explanation and illustrative pictures (from a simple non-periodic H<sub>2</sub> calculation). All fields are in atomic units (a.u.) (https://en.wikipedia.org/wiki/Atomic\_units).

## Total\_Density (rho)

The electronic density  $\rho(r)$ . The integral of the electronic density over the whole space (or, for periodic systems, over the unit cell) equals the total number of electrons (valence + core).



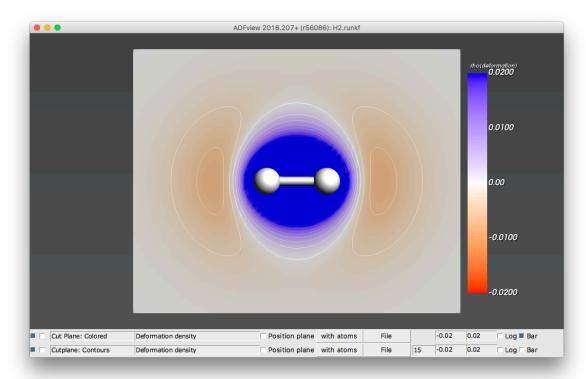
## **Deformation density (rho(deformation))**

The deformation density is the difference between total density  $\rho(r)$  and reference density  $\rho_{\text{reference}}(r)$ 

$$\rho_{\mathrm{deformation}}(r) = \rho(r) - \rho_{\mathrm{reference}}(r)$$

The reference density  $\rho_{\text{reference}}(r)$  is defined as the sum of densities of spherical spin-unrestricted isolated atoms.

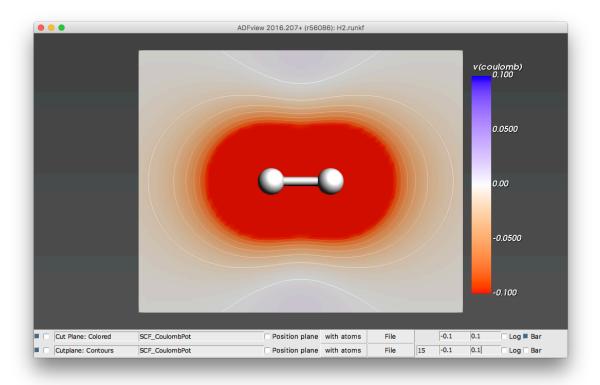
The deformation density is electrically neutral, i.e. its integral over the whole space (or, for periodic systems, over the unit cell) is zero. Positive values of deformation density indicate density accumulation wrt isolated atoms; negative values represent density depletion. In our  $H_2$  example, the deformation density shows how there is electron accumulation in the bonding region between the two hydrogen atoms.



## SCF\_CoulombPot (v(coulomb))

The total Coulomb potential (nuclear + electronic potentials). BANDs convention for the Coulomb potential: the potential of positive charges (like nuclei) is **negative**, while the potential of negative charges (like electrons) is **positive**. In our example, the nuclear potential (negative) is larger than the electronic potential (positive) in the region of space near the  $H_2$  molecule.

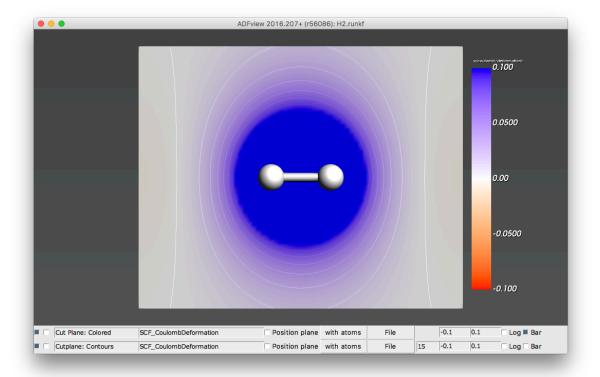
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**Note:** The sign convention for potentials in **BAND** is the opposite to the **ADF** sign convention.

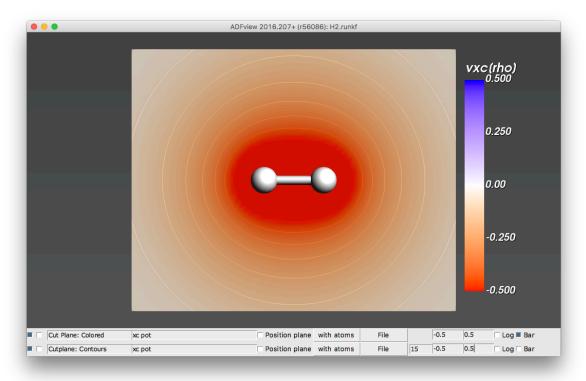
# $SCF\_CoulombDeformation \ (v(coulomb/deformation))$

The Coulomb potential originating from the (overall neutral) deformation density.



# xc pot (vxc(rho))

The Exchange Correlation (XC) potential. Electrons are *attracted* by negative XC potentials (just like they are *attracted* by the negative nuclear Coulomb potential)



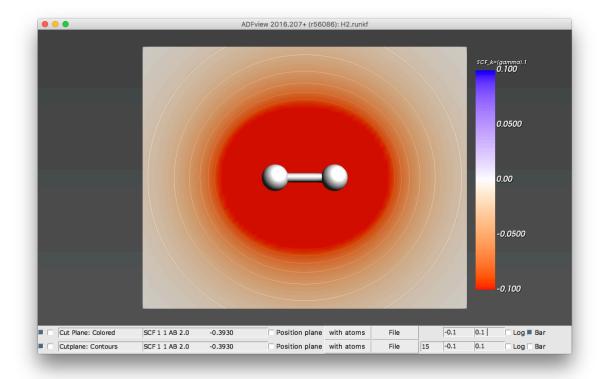
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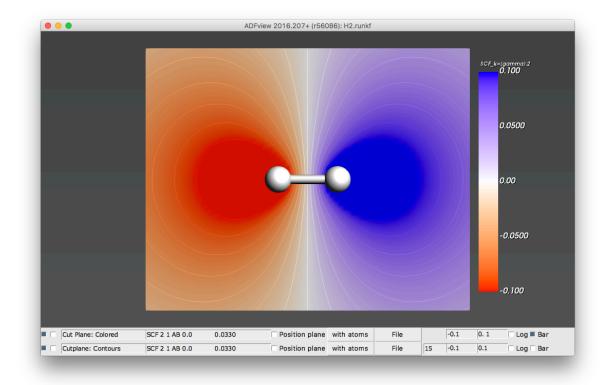
# Orbitals (occupied/virtual)

The Kohn-Sham orbitals.

Note: Be aware that there is an over-all arbitrariness in the sign of the orbitals

Here we show the occupied and first virtual orbital of H<sub>2</sub>.





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**CHAPTER** 

# **SEVEN**

# **ELECTRONIC TRANSPORT (NEGF)**

#### See also:

### **BAND-NEGF GUI tutorial**

Some examples are available in the \$AMSHOME/examples/band directory and are discussed in the Examples section.

Example: Main NEGF flavors (page 253)
Example: NEGF with bias (page 260)

**Note:** In the BAND-GUI it is possible to choose between three NEGF methods (*flavors*):

### **Self consistent**

This is the internal BAND-NEGF implementation, which is described in this page.

# Self consistent + align

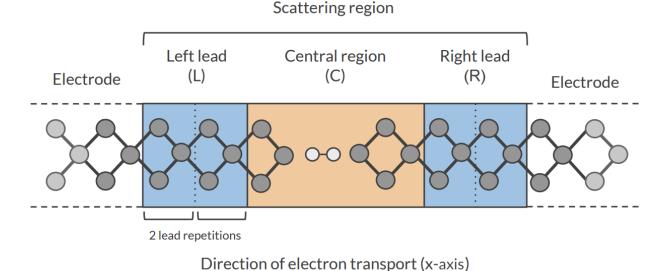
This is the internal BAND-NEGF implementation with an extra alignment-run (workflow step 3a)

### Non self consistent

Computationally cheap method, equivalent to the DFTB-NEGF approach with H and S matrix elements computed by BAND (instead of DFTB).

# 7.1 Transport with NEGF in a nutshell

The **Non-Equilibrium Green's Functions** formalism (**NEGF**) is a theoretical framework for modeling electron transport through nano-scale devices. Electron transport is treated as a one-dimensional coherent scattering process in the "scattering region" for electrons coming in from the electrodes:



Our goal is to compute the **transmission function** T(E), which describes the rate at which electrons of energy E are transferred from the left electrode to the right electrode by propagating through the scattering region. From the transmission function we can calculate the electric current for given **Bias Voltage** V applied between the electrodes:

$$I(V) = \frac{2e}{h} \int_{-\infty}^{\infty} T(E, V) \left( f(E - \mu_L) - f(E - \mu_R) \right) dE$$

where f(E) is the Fermi-Dirac distribution function for a given temperature, and  $\mu_L$  ( $\mu_R$ ) is  $\epsilon_F + eV/2$  ( $\epsilon_F - eV/2$ ),  $\epsilon_F$  being the Fermi energy of the electrodes.

The transmission function T(E) can be computed from the **Green's function** of our system.

The Green's function G(E) of the scattering region is obtained solving the following equation:

$$(ES - H)G(E) = I$$

where S is the overlap matrix, H is the Hamiltonian and I is the identity matrix. The Hamiltonian is composed as follows (**L**, **C** and **R** denote the **left lead**, the **central region** and the **right lead** respectively):

$$H = \begin{pmatrix} H_L + \Sigma_L & H_{LC} & 0 \\ H_{LC} & H_C & H_{RC} \\ 0 & H_{RC} & H_R + \Sigma_R \end{pmatrix}$$

The two self-energies  $\Sigma_L$  and  $\Sigma_R$  model the two semi-infinite electrodes.

The transmission function T(E) can be calculated from the Green's function G(E) and the so-called *broadening matrices*  $\Gamma_L(E)$  and  $\Gamma_R(E)$ :

$$T(E) = Tr[G(E)\Gamma_R(E)G(E)\Gamma_L(E)]$$

The broadening matrix being

$$\Gamma_L(E) = -2\Im\Sigma_L(E)$$

# 7.1.1 Self consistency

The density matrix is determined self consistently<sup>1</sup>:

$$P_{\rm in} \to H_{KS} \xrightarrow{\rm shifts} H_{\rm aligned} + \Sigma_L(E) + \Sigma_R(E) \to G(E) \xrightarrow{\int de} P_{\rm out}$$

From a guess of the density matrix the corresponding KS Hamiltonian is calculated. This Hamiltonian is aligned, and then the NEGF Hamiltonian in the complex plane is constructed by adding the self energies, representing the influence of the electrodes. From the resulting Green's function a new density matrix follows.

From the difference between input and output density a next input is guessed. This is repeated until the input and output densities converge.

For the alignment of the Hamiltonian there are two shifts. The first shift aligns the potential in the leads to the electrodes.

$$\text{shift } 1 = \frac{1}{n} \sum_{i \text{ in lead}}^{n} \frac{H_{ii}^{TB} - H_{ii}^{KS}}{S_{ii}}$$

The second and usually smaller shift results from the alignment run. A shift  $\Delta$  is applied globally

$$H_{ij}^{\text{aligned}} = H_{ij} + \Delta S_{ij}$$

# 7.1.2 Contour integral

Without bias the density matrix follows from

$$P(\mu) = -\frac{1}{\pi} \int_{-\infty}^{\infty} de \ f(e, \mu) \ \Im G(e)$$

As the Green's function is singular on the real axis we add a small imaginary value (eta) to the energy. Still, the integrand will be very wild function, and it is numerically better to do a contour integral instead.

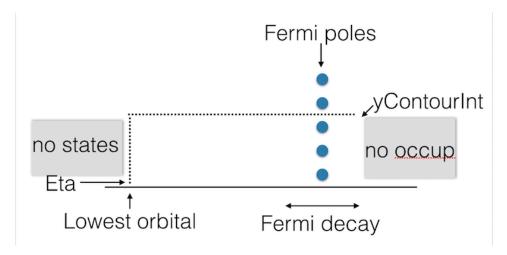


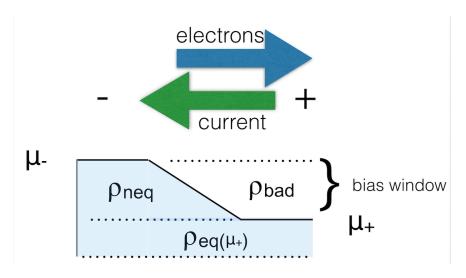
Fig. 7.1: Figure: BAND uses a rectangular contour in the complex energy plane to integrate the (integrand of the) density matrix. The integrand also needs to be evaluated in the enclosed FD poles (three in this picture).

<sup>&</sup>lt;sup>1</sup> C. J. O. Verzijl and J. M. Thijssen *DFT-Based Molecular Transport Implementation in ADF/BAND*, J. Phys. Chem. C, 2012, 116 (46), pp 24393–24412 (https://doi.org/10.1021/jp3044225).

# 7.1.3 Gate potential

There is no direct key for the gate potential. You can model this with the *FuzzyPotential* (page 51) key. Setting up the gate potential for NEGF is most conveniently done with the GUI.

# 7.1.4 Bias potential



When there is a bias specified there are two important things to keep in mind.

First of all you need to define a ramp potential. In the negative lead this should have the value +V/2 and in the negative lead -V/2. The ramp should smoothly go from one to the other value. For metals one could start the ramp at the surface atoms of the lead material. For semi-conductors it is less clear. The ramp potential can be specified with the *FuzzyPotential* (page 51) key. The GUI can be helpful here.

Secondly, the expression for the density is different from the zero-bias case:

$$\rho = \rho_{\rm eq}(\mu_+) + \rho_{\rm neq}$$

The first (equilibrium) term is calculated with a contour integral as before, the second (non-equilibrium) part cannot be calculated with a contour integral. Instead, an integral in the complex plane (close to the real axis) is performed, the range covering the bias energy window.

#### See also:

PhD Thesis (https://www.scm.com/wp-content/uploads/Verzijl2012.pdf) of C. Verzijl (BAND-NEGF developer)

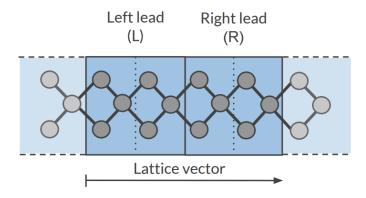
# 7.2 Workflow

The computation of the transmission function T(E) within the BAND-NEGF<sup>Page 167, 1</sup> formalisms requires three or four individual simulations.

Tip: Use ADFInput (GUI) to set up your BAND-NEGF calculation (see the BAND-NEGF GUI tutorial)

# 1): Lead calculation

A 1D-periodic BAND calculation of the lead (including StoreHamiltonian2 (page 177)):



A tight binding (TB) representation is calculated for the overlap (S(R=0)) and S(R=a)) and Fock matrix (H(R=0)) and H(R=a)). This is not an approximation provided that the functions do not extend beyond the neighboring cells. You should choose a sufficiently large super cell for this to be true. For this reason we recommend setting the *SoftConfinement* (page 62) Quality to Basic, thus reducing the range of the functions.

#### 2): SGF calculation

A small program that determines the fermi energy  $\epsilon_F$  corresponding to the TB representation, and the specified temperature. This fermi energy is typically a bit higher than the one from the lead calculation. This also tests the contour integration.

## 3a): Alignment run (optional)

The idea is to fill the central region with bulk material. Then one expects to have zero charge in the central region. In practice this is not exactly true. In the alignment run the shift is determined that makes the central region neutral. This global shift is to be used in the next run.

## 3b): Transport calculation

Computes the NEGF transmission function T(E). The density matrix is determined fully self-consistently. Without alignment (3a) one should set NEGF % Apply Shift 2 to False.

To get the current as a function of bias potential you need to repeat calculation 3b for a various bias potentials.

# 7.3 Input options

# 7.3.1 SGF Input options

SGF is a small separate program. An input looks like:

```
$AMSBIN/sgf << eor
TITLE Test for NEGF inputs
SAVE SIGMA
SURFACEGF
SCMCode True
KT 0.001
ContourQuality normal
END
eor
```

It looks for a file RUNKF and the output is a file named SigmaSCM. The only important parameter is KT which is the Boltzmann constant times the temperature in Hartree. The other parameter of interest is the ContourQuality, which can be set to Basic, Normal, Good, VeryGood, or Excellent.

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# 7.3.2 NEGF Input options (no bias)

The NEGF functionality is controlled by the NEGF block key.

```
NEGF
LeadFile string
SGFFile string
ContourQuality [basic | normal | good | verygood]
EMin float
EMax float
NE integer
End
```

#### NEGF

## **Type**

Block

## Description

Options for the NEGF (non-equilibrium green function) transport calculation.

### LeadFile

### **Type**

String

### **Default value**

## **Description**

File containing the tight binding representation of the lead.

### SGFFile

## **Type**

String

## Default value

## **Description**

The result from the SGF program. Contains the Fermi energy of the lead.

# ContourQuality

#### **Type**

Multiple Choice

## Default value

good

## **Options**

[basic, normal, good, verygood]

### **Description**

The density matrix is calculated numerically via a contour integral. Changing the quality influences the number of points. This influences a lot the performance.

## **EMin**

# **Type**

Float

### **Default value**

-5.0

#### Unit

eV

### Description

The minimum energy for the transmission grid (with respect to the Fermi level of the lead)

#### **EMax**

### **Type**

Float

### **Default value**

5.0

#### Unit

eV

### **Description**

The maximum energy for the transmission grid (with respect to the Fermi level of the lead)

### NE

## **Type**

Integer

### **Default value**

100

## **Description**

The number of energies for the transmission energy grid.

The following are expert / technical options:

```
NEGF
CheckOverlapTol float
Eta float
ApplyShift1 Yes/No
ApplyShift2 Yes/No
YContourInt float
DEContourInt float
End
```

#### NEGF

## Type

Block

## **Description**

Options for the NEGF (non-equilibrium green function) transport calculation.

## CheckOverlapTol

### **Type**

Float

### **Default value**

0.01

## Description

BAND checks how well the TB overlap matrix S(R=0) represents the overlap matrix in the lead region. Elements corresponding to the outer layer are neglected, because when using a frozen core they have bigger errors.

Eta

7.3. Input options 171

### **Type**

Float

#### **Default value**

1e-05

### **Description**

Small value used for the contour integral: stay at least this much above the real axis. This value is also used for the evaluation of the Transmission and dos.

## ApplyShift1

### **Type**

**Bool** 

#### Default value

Yes

## **Description**

Apply the main shift, obtained from comparing matrix elements in the leads with those from the tight-binding run. Strongly recommended.

### ApplyShift2

### **Type**

**Bool** 

### **Default value**

Yes

#### **Description**

Apply the smaller alignment shift. This requires an extra alignment run. Usually this shift is smaller.

### YContourInt

## Type

Float

#### **Default value**

0.3

## **Description**

The density is calculated via a contour integral. This value specifies how far above the real axis the (horizontal part of the) contour runs. The value is rounded in such a way that it goes exactly halfway between two Fermi poles. There is a trade off: making it bigger makes the integrand more smooth, but the number of enclosed poles increases. For low temperatures it makes sense to lower this value, and use a smaller deContourInt.

#### **DEContourInt**

### **Type**

Float

### **Default value**

-1.0

### **Description**

The energy interval for the contour grid. Defaults depends on the contour quality

## 7.3.3 NEGF Input options (with bias)

With a bias potential there are some extra keys.

```
NEGF
BiasPotential float
NonEqDensityMethod integer
BoundOccupationMethod integer
YRealaxisInt float
DERealAxisInt float
End
```

#### NEGF

## **Type**

Block

#### **Description**

Options for the NEGF (non-equilibrium green function) transport calculation.

### BiasPotential

**Type** 

Float

#### **Default value**

0.0

## **Description**

Apply a bias potential (atomic units). Can be negative. One has to specify the ramp potential with the FuzzyPotential key. This is mostly conveniently done with the GUI.

## NonEqDensityMethod

```
Type
```

Integer

### **Default value**

1

## **Description**

See text.

## BoundOccupationMethod

```
Type
```

Integer

## **Default value**

1

### **Description**

See text. Only relevant with NonEqDensityMethod equal 2 or 3.

## YRealaxisInt

#### **Type**

Float

## **Default value**

1e-05

## Description

The non-Equilibrium density is calculated near the real axis.

7.3. Input options

**DERealAxisInt** 

**Type** 

Float

**Default value** 

-1.0

#### **Description**

The energy interval for the real axis grid. Defaults depends on the contour quality.

### NonEqDensityMethod

Let us introduce some terms<sup>2</sup>. First of all the total density in the bias window (ignoring occupation)

$$D = \frac{1}{2\pi} \int A (f_{-} - f_{+})$$

And then there are the side resolved densities

$$D_{+/-} = \frac{1}{2\pi} \int A_{+/-} (f_{-} - f_{+})$$

The issue here is that the side resolved densities do not sum to the total one

$$D = D_+ + D_- + D_{\text{bound states}}$$

The NonEqDensityMethod is about how these integrals are calculated. With option 1, or 2 a contour integral is used for D: they are essentially the same. However, when choosing option 2, you can choose a BoundOccupationMethod, leading to other physics. If set to 3, the total density in the bias window (D) will be calculated near the real axis: this way one avoids the possibility of a negative nr. of bound states (deviating from Page 174, 2).

#### BoundOccupationMethod

Only relevant with NonEqDensityMethod equal 2 or 3. If set to one, the density of bound states (ignoring occupation) is simply multiplied by a half. If set to two, atoms closer to the negative lead will get a higher occupation<sup>2</sup>. Atoms coupled to the right lead will have a low occupation. For this we recommend setting NonEqDensityMethod to 3, to avoid a possible negative number of bound states.

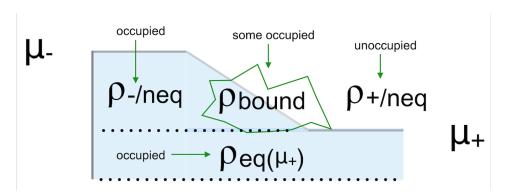


Fig. 7.2: Figure: The non-equilibrium density consists of three parts: the left and right parts ( $\rho$ -/neq and  $\rho$ +/neq) and the bound states ( $\rho$ -bound). We want to know the occupied part.

Setting the method BoundOccupationMethod to 1, leads to

$$\rho = \rho(\mu_+) + \rho_{\text{-/neq}} + \frac{1}{2}\rho_{\text{bound}}$$

<sup>&</sup>lt;sup>2</sup> Rui Li, Jiaxing Zhang, Shimin Hou, Zekan Qian, Ziyong Shen, Xingyu Zhao, Zengquan Xue, *A corrected NEGF + DFT approach for calculating electronic transport through molecular devices: Filling bound states and patching the non-equilibrium integration*, Chemical Physics 336 (2007) 127-135 (https://doi.org/10.1016/j.chemphys.2007.06.011).

By setting the method to 2, each atom gets its own weight in the density matrix

$$ho_{ij} = 
ho_{ij}(\mu_+) + 
ho_{-\text{/neq}} + \sqrt{w_i w_j} 
ho_{ij}^{ ext{bound}}$$

with<sup>2</sup>

$$w_i = \frac{\operatorname{Tr}[D_-]_i}{\operatorname{Tr}[D_-]_i + \operatorname{Tr}[D_+]_i}$$

These weights are the same for all functions on an atom. The intended effect is: bound states that are coupled more strongly to the negative electrode get a higher occupation than the ones that are coupled more strongly to the positive electrode.

To summarize here are three reasonable settings

| NonEqDensityMethod | BoundOccupationMethod | intention                                    |
|--------------------|-----------------------|--|
| 1                  | 1                     | Multiply the bound states with a half        |
| 2                  | 2                     | Occupy bound states with atom-resolved $w_i$ |
| 3                  | 2                     | and prevent a negative nr. of bound states   |

To get the current from a calculation you can use amsprep:

```
$AMSBIN/amsreport RUNKF 'NEGF%current'
```

## 7.3.4 NEGF Input options (alignment)

For the (optional) alignment run there are some extra keys.

```
NEGF
DoAlignment Yes/No
Alpha float
AlignChargeTol float
CDIIS Yes/No
End
```

#### NEGF

**Type** 

Block

#### Description

Options for the NEGF (non-equilibrium green function) transport calculation.

### DoAlignment

**Type** 

Bool

**Default value** 

No

### **Description**

Set this to True if you want to do an align run. Between the leads there should be lead material. The GUI can be of help here.

### Alpha

7.3. Input options

**Type** 

Float

#### **Default value**

1e-05

## Description

A charge error needs to be translated in a potential shift. DeltaV = alpha \* DeltaQ

#### AlignChargeTol

**Type** 

Float

#### **Default value**

0.1

#### **Description**

In an alignment run you want to get the number of electrons in the center right. This number specifies the criterion for that.

#### CDIIS

**Type** 

**Bool** 

#### **Default value**

No

## Description

Make the normal DIIS procedure aware of the align charge error

# 7.4 Troubleshooting

The self consistent approach, unique to BAND, may be difficult to converge. If this is true for the alignment run it can be decided to skip this run. For the final transport run, here are some tips / considerations.

- Use a SZ basis for the metal atoms
- Restart (the density matrix) from the result of a smaller (such as the SZ) basis. (See "Save (page 189) DensityMatrix" and the Restart (page 179) key)
- Restart (the density matrix) from the result obtained with a smaller bias (only relevant for calculations with bias potential).
- Setting NEGF%BoundOccupationMethod to 2 (and NEGF%NonEqDensityMethod to 3) might help. Note that this affects the physics: you are differently occupying the bound states.
- Use a better NEGF%ContourQuality (there comes a computational price tag with this).

If everything fails it is possible to use BAND in a **non-self consistent way**, similar to the way DFTB-NEGF works. This option is available via the GUI.

# 7.5 Miscellaneous remarks on BAND-NEGF

- You should make sure that your results are converged with respect to the number of lead repetitions; the results should not change significantly if you increase the number of lead repetitions.
- It's good practice to include at least one lead repetition in the central region.

## 7.5.1 Store tight-binding Hamiltonian

Let us consider a Fourier transformation of a 1D Bloch matrix

$$S(R = na) = \int_{k} e^{-ikR} S(k)$$

In (the tight-binding) case that the functions do not extend beyond the neighboring cells only S(R=0) and S(R=a) are nonzero. (And S(R=a) is equivalent to S(R=a))

StoreHamiltonian2 Yes/No

#### StoreHamiltonian2

Type

Bool

Default value

No

### Description

determine the tight-binding representation of the overlap an fock matrix. Used for (at least) NEGF.

Adding StoreHamiltonian2 to the input cause band to determine the tight-binding representation of the overlap an fock matrix. Currently this only works for 1D periodic systems. For the overlap matrix you will get two parts. The first S(R=0) is the (symmetric) overlap matrix of atoms in the unit cell. The second S(R=a) is a non symmetric matrix describing the coupling of functions in the central cell with functions in its right neighboring cell. On the RUNKF file you will find the TB representations of the overlap and Hamiltonian stored in the 'Matrices' section as "S(R)" and "H(R)", being dimensioned (nBas,nBas,2).

**CHAPTER** 

**EIGHT** 

## **EXPERT OPTIONS**

## 8.1 Restarts

The main results of a BAND calculation are stored in the rkf file. If you save this file you can use it to restart your calculation. The input for the restart calculation is essentially the same, except for some extra keys, like Restart, Grid, and DensityPlot.

Plots of the density (and many other symmetric properties) can can be obtained with the key <code>DensityPlot</code>. Density and orbital plot restarts require the specification of the <code>Grid</code> key. With the subkey <code>SCF</code> you can start the SCF procedure with the last solution from the restart file. This can be useful if the SCF did not converge or if you want to compute some post-SCF properties (e.g. the <code>DOS</code> (page 143) or the <code>band structure</code> (page 148)). Similarly, a geometry optimization can be restarted with the subkey <code>GeometryOptimization</code> You can use the geometry of a previous calculation.

Usually the input for a restarted job is the same as for the original calculation, with some extra options, described in this section.

 $Some\ examples\ are\ available\ in\ the\ \$AMSHOME/examples/band\ directory\ and\ are\ discussed\ in\ the\ Examples\ section.$ 

Example: Restart SCF for properties calculation (page 245)
Example: Restart the SCF (page 239)
Example: Properties on a grid (page 246)
Example: DOS and BandStructure from a previous calculation (page 249)

## 8.1.1 Restart key

```
Restart
File string
SCF Yes/No
DensityPlot Yes/No
OrbitalPlot Yes/No
NOCVdRhoPlot Yes/No
NOCVOrbitalPlot Yes/No
UseDensityMatrix Yes/No
BandStructure Yes/No
DOS Yes/No
End
```

#### Restart

Type Block

## **Description**

Tells the program that it should restart with the restart file, and what to restart.

#### File

## **Type**

String

#### **Default value**

#### **GUI** name

Restart using

## **Description**

Name of the restart file. The file should be a band.rkf file from a previous run.

#### SCF

#### **Type**

**Bool** 

### **Default value**

No

### **GUI** name

Restart: SCF

## **Description**

Continue the SCF procedure using the orbital coefficients and occupations from the restart file.

## DensityPlot

### **Type**

Bool

## **Default value**

No

### **Description**

Goes together with the DensityPlot block and Grid blocks

### OrbitalPlot

## Type

Bool

#### **Default value**

No

## **Description**

Goes together with the OrbitalPlot and Grid

## ${\tt NOCVdRhoPlot}$

## Type

Bool

### **Default value**

No

## **Description**

Goes together with the NOCVdRhoPlot and Grid blocks.

### NOCVOrbitalPlot

### **Type**

Bool

#### **Default value**

No

### **Description**

Goes together with the NOCVOrbitalPlot and Grid blocks.

### UseDensityMatrix

### **Type**

**Bool** 

#### **Default value**

No

### **Description**

If set to True: For restarting the SCF the density matrix will be used. Requires you to set 'Save DensityMatrix' in the previous run.

#### BandStructure

#### **Type**

Bool

#### **Default value**

No

### **Description**

Calculate the band structure from a previous calculation. Does not work with model potentials and Hubbard.

#### DOS

## Type

Bool

#### **Default value**

No

### **Description**

Calculate the DOS from a previous calculation. Does not work with model potentials and Hubbard.

## 8.1.2 Grid

The Grid block is used for restart options <code>OrbitalPlot</code>, <code>DensityPlot</code>, <code>NOCVOrbitalPlot</code> and <code>NOCVdRho-Plot</code>. There are two ways to define your grid. The most easy way is to use the Type key, which automatically generates a grid around the atoms in the unit cell:

```
Grid
Type [coarse | medium | fine]
End
```

### Grid

## Type

Block

8.1. Restarts

### Description

Options for the regular grid used for plotting (e.g. density plot). Used ICW the restart option.

## Type

### **Type**

Multiple Choice

#### **Default value**

coarse

### **Options**

[coarse, medium, fine]

#### **Description**

The default regular grids.

One alternative is to specify everything by hand via the 'UserDefined' sub-block.

```
Grid
UserDefined header # Non-standard block. See details.
...
End
End
```

#### Grid

### **Type**

Block

#### **Description**

Options for the regular grid used for plotting (e.g. density plot). Used ICW the restart option.

### UserDefined

#### **Type**

Non-standard block

## **Description**

One can define the regular grid specification in this block. See example. Default unit is Bohr

The following input would create a cube from (-1,-1,-1) to (1,1,1) bohr:

```
Grid
UserDefined
-1 -1 -1 ! Starting point
1 0 0 0.1 ! vec1 and dvec1
0 1 0 0.1 ! vec2 and dvec2
0 0 1 0.1 ! vec3 and dvec3
20 20 20 ! nr. of steps along three directions
End
End
```

Note: The grid is specified in bohr

One can also specify a text file from which the grid is imported:

```
Grid
FileName string
End
```

#### Grid

### **Type**

Block

### **Description**

Options for the regular grid used for plotting (e.g. density plot). Used ICW the restart option.

#### FileName

### **Type**

String

### **Default value**

### **Description**

Read in the grid from a file. The file format of the grid is: three numbers per line (defining the x, y and z coordinates of the points).

## 8.1.3 Plots of the density, potential, and many more properties

```
DensityPlot # Non-standard block. See details.
...
End
```

#### DensityPlot

#### **Type**

Non-standard block

### Description

Plots of the density. Goes together with the Restart%DensityPlot and Grid keys.

The DensityPlot block goes together with the Restart%DensityPlot and Grid keys. Example input:

```
Restart
File my_file.rkf
DensityPlot
End

Grid
Type Coarse
End

DensityPlot
rho(fit)
vxc[rho]
End
...
```

After such a run you get a TAPE41 file that you should rename to my.t41, and view with AMSview.

The most common properties to plot are:

- rho(fit) The fitted density.
- v (coulomb) The Coulomb potential.
- vxc[rho(fit)] the XC potential (using the fitted density)
- vxc[rho] XC potential of the exact density

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- rho The density
- | gradRho | The norm of the gradient of the density
- tau The symmetric kinetic energy density
- LDOS The local density of states. (See LDOS key (page 187))
- elf[rho] The electron localization function
- X The *Electron energy density function* (page 188) from Ref<sup>12</sup>. Equivalently X (fit) may be used as an approximation, employing the density fit.

Some more specialized options are:

- rho (deformation/fit) the fitted deformation density
- rho (atoms) The density of the startup atoms
- v (coulomb/atoms) The Coulomb potential of the start density
- s[rho] Reduced density gradient. Common ingredient for XC functionals
- s[rho(fit)] Same as above, now for the fit density
- alpha[rho] Ingredient for some meta-GGAs

In the BAND example directory there is the *Frags\_COCu* (page 292) example which shows how this can be used in combination with the Fragment key.

## 8.1.4 Orbital plots

```
OrbitalPlot # Non-standard block. See details.
...
End
```

#### OrbitalPlot

#### **Type**

Non-standard block

### **Description**

Goes together with the Restart%OrbitalPlot and Grid keys. See Example.

The OrbitalPlot block goes together with the Restart%OrbitalPlot and Grid keys. Example input:

```
Restart
File my_file.rkf
OrbitalPlot
End

Grid
Type Coarse
End

OrbitalPlot
```

(continues on next page)

<sup>&</sup>lt;sup>1</sup> Stefano Racioppi, Martin Rahm. In-Situ Electronegativity and the Bridging of Chemical Bonding Concepts. Chemistry – A European Journal 72 (2021): 18156-18167 (https://doi.org/10.1002/chem.202103477)

<sup>&</sup>lt;sup>2</sup> Stefano Racioppi, Per Hyldgaar, Martin Rahm. Quantifying Atomic Volume, Partial Charge, and Electronegativity in Condensed Phases. The Journal of Physical Chemistry C 128.9 (2024): 4009 (https://doi.org/10.1021/acs.jpcc.3c07677)

(continued from previous page)

```
1 Band 5 8 ! for k-point 1 plot bands 5 to 8
5 Band 6 ! for k-point 5 plot band 6
6 -0.2 +0.3 ! for k-point 6 plot bands between -0.2 and +0.3 a.u. w.r.t Fermi level
End
...
```

After such a run you get a TAPE41 file that you should rename to my.t41, and view with AMSview.

## 8.1.5 Induced Density Plots of Response Calculations

```
ResponseInducedDensityPlot # Non-standard block. See details.
...
End
```

### ResponseInducedDensityPlot

Type

Non-standard block

#### **Description**

Goes together with Restart%ResponseInducedDensityPlot and Grid.

ResponseInducedDensityPlot (block-type) The ResponseInducedDensityPlot block goes together with the Restart%ResponseInducedDensityPlot and Grid keys. In the BAND example directory there is the *TD-CDFT for MoS2 Monolayer* (page 278) example that shows how this can be used. Example input:

```
Restart
  File my_file.rkf
   ResponseInducedDensityPlot
End
Grid
   Type Coarse
ResponseInducedDensityPlot
  {\tt XCOMPONENT~5~8~!~plot~x~component~of~induced~densities}
                   ! for frequencies number 5 to 8
                 ! plot y component of induced densities
   YCOMPONENT 6
                   ! for frequency number 6
   ZCOMPONENT 1
                   ! plot z component of induced densities
                   ! for frequency number 1
End
```

After such a run you get a TAPE41 file that you should rename to my.t41, and view with AMSview.

**Attention:** The plotting capability works only with response calculation RUNKF files based on the *NewResponse* (page 115) method!

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## 8.1.6 NOCV Orbital Plots

```
NOCVOrbitalPlot # Non-standard block. See details.
...
End
```

#### **NOCVOrbitalPlot**

#### Type

Non-standard block

### **Description**

Goes together with the Restart%NOCVOrbitalPlot and Grid keys. See example.

The NOCVOrbitalPlot blockg oes together with the Restart%NOCVOrbitalPlot and Grid keys. See example *PEDANOCV\_MgO+CO* (page 310). Example input:

```
Restart
File my_file.rkf
NOCVOrbitalPlot
End

Grid
Type Coarse
End

NOCVOrbitalPlot
1 Band 5 8 ! for k-point 1 plot NOCV Orbitals 5 to 8
End
...
```

After such a run you get a TAPE41 file that you should rename to my.t41, and view with AMSview.

# 8.1.7 NOCV Deformation Density Plots

```
NOCVdRhoPlot # Non-standard block. See details.
...
End
```

#### NOCVdRhoPlot

#### **Type**

Non-standard block

### **Description**

Goes together with the Restart%NOCVdRhoPlot and Grid keys. See example.

The NOCVdRhoPlot blockg oes together with the Restart%NOCVdRhoPlot and Grid keys. See example  $PEDANOCV\_MgO+CO$  (page 310). Example input:

```
Restart
File my_file.rkf
NOCVdRhoPlot
End
```

(continues on next page)

(continued from previous page)

```
Grid
Type Coarse
End

NOCVdRhoPlot
1 Band 5 8 ! for k-point 1 plot NOCV deformation densities 5 to 8
End
...
```

After such a run you get a TAPE41 file that you should rename to my.t41, and view with AMSview.

## 8.1.8 LDOS (STM)

The local density of states (LDOS) represents a partial density, (see wikipedia (https://en.wikipedia.org/wiki/Density\_of\_states#Local\_density\_of\_states)): it is the density arising from states within an energy window.

```
LDOS
DeltaNeg float
DeltaPos float
Shift float
End
```

#### LDOS

#### Type

Block

### Description

Local Density-Of-States information. This can be used to generate STM images in the Tersoff-Hamann approximation (see https://doi.org/10.1103/PhysRevB.31.805)

### DeltaNeg

**Type** 

Float

### **Default value**

0.0001

Unit

Hartree

## Description

Lower bound energy (Shift-DeltaNeg)

#### DeltaPos

**Type** 

Float

## Default value

0.0001

Unit

Hartree

### **Description**

Upper bound energy (Shift+DeltaPos)

8.1. Restarts

#### Shift

**Type** Float

**Default value** 

0.0

Unit

Hartree

## **Description**

The energy bias with respect to the Fermi level.

Integrating from minus infinity (DeltaNeg=1e6) to the fermi level (DeltaPos=0) produces the total (valence) density.

The local density of states is integrated over the resulting interval. Example of an LDOS restart:

```
Restart
File my_file.rkf
DensityPlot
End

Grid
Type Coarse
End

DensityPlot
LDOS
End

LDOS
Shift 0.1
DeltaNeg 0.001
DeltaPos 0.0
End
```

According to this example, we restart from the result file of a previous calculation. The calculation will generate a file TAPE41 which can be viewed with AMSview. (Rename the file to my.t41)

See also Restart (page 179), and DensityPlot (page 183).

## 8.1.9 Electron Energy Density

The electron energy density is defined as Page 184, 1

$$X(r) = -\left\{\frac{1}{2}\sum_{i}^{\text{occ}}\nabla\psi_{i}\cdot\nabla\psi_{i} - \frac{1}{4}\nabla^{2}\rho - V_{\text{effective}}\rho\right\}$$

It can be obtained by requesting X or X (fit) in a restart, see also Restart (page 179), and DensityPlot (page 183).

## 8.1.10 Save

Save string

#### Save

Type

String

Recurring

True

#### Description

Save scratch files or extra data that would be otherwise deleted at the end of the calculation. e.g. 'TAPE10' (containing the integration grid) or 'DensityMatrix'

## 8.1.11 Restarting the DOS and/or BandStructure

Perhaps you did a calculation, optimizing the geometry, and now want to see the band structure and partial DOS. This can be achieved by using Restart%DOS and Restart%BandStructure. This way you can easily refine your plots, or solve a missing DOS problem, without having to repeat the whole SCF.

With the restarting of the DOS there is the special possibility to use a better k-grid than was used during the SCF. Whereas the Band structure is not very k-grid sensitive, the DOS depends strongly on the k-grid. A common problem is that of missing DOS: where there are bands there is no DOS, being an artifact of insufficient k-sampling. Using only a better k-grid for the DOS calculation may produce a DOS that is almost as good as if a full calculation (SCF and DOS) was done with the better k-grid. Notice that the effective potential in such a restart with a better k-grid corresponds to the k-grid as was used during the SCF. Therefore the band structure is not affected by using a better k-grid for the restart. See also the *RestartDosAndBandStructure* (page 249) example.

This figure shows that the DOS obtained from restarting with a better k-grid is very close to the one obtained with a full calculation with the better k-grid.

Below we show how a missing DOS issue can be solved by either using a better k-grid, or more efficiently by only using a better k-grid for the DOS (using the DOS%Restart option)

While restarts for plotting should be done with the Grid key, the restarting of the DOS/BandStructure should not.

## 8.2 References

# 8.3 Symmetry

The symmetry of the system is automatically detected. Normally the symmetry of the initial system is maintained. One can lower the symmetry with the Symmetry key. In such cases the keyword POTENTIALNOISE can force the solution away from the initial symmetry.

Whether or not symmetry should be used can be controlled vial the UseSymmetry key

UseSymmetry Yes/No

#### UseSymmetry

**Type** 

Bool

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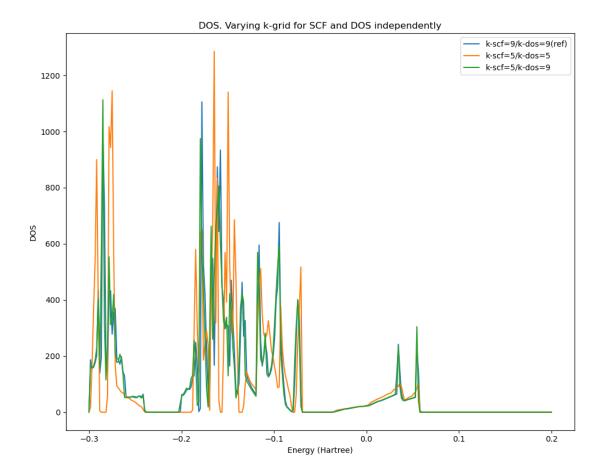


Fig. 8.1: DOS of a Mo3WSeS7 slab. The best result is when using a 9x9 k-grid for both the SCF and the DOS calculation (blue curve). Using a worse 5x5 grid for both the SCF and the DOS produces a quite different DOS (amber). Doing the SCF with the coarser 5x5 grid and restarting the DOS with the finer 9x9 grid gives the green DOS, matching closely, and mostly hides, the best DOS (blue).

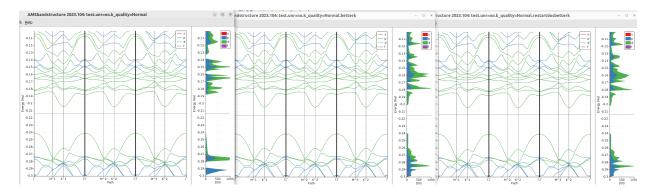


Fig. 8.2: Illustration of the missing DOS problem for a Mo3WSeS7 slab. Left panel: calculation with a normal k-grid. We clearly see DOS missing in the energy intervals -0.20 to -0.19, and -0.29 to -0.28. Middle panel: using a good k-grid, now the missing DOS appears. The band structure is not much affected, only the lowest band at Gamma being slightly higher with a good k-grid. Right panel: restart from the normal k-grid calculation, but using a good k-grid for the restart. The restarted DOS is very close to the one obtained with the good k-grid for both the SCF and the DOS.

## **Default value**

Yes

## **Description**

Whether or not to exploit symmetry during the calculation.

One can also select a sub set of symmetry operators:

```
SubSymmetry integer_list
```

## SubSymmetry

## **Type**

Integer List

## Description

The indices of the symmetry operators to maintain.

To get the indices of the symmetry operators, you should first run the calculation with the following options added to your input:

| print symmetry stopafter gemtry |                         |                         |                         |                         |                         |        |                |
|---------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|--------|----------------|
| and t                           | then you look           | in the                  | output <b>fo</b>        | (here the fi            | rst four o              | perato | rs are listed) |
| ::                              |                         |                         |                         |                         |                         |        |                |
| 64 SYMMETRY OPERATORS:          |                         |                         |                         |                         |                         |        |                |
| NO                              |                         | MATRIX                  |                         | TRANSL                  | AXIS                    | DET    | ROTATION       |
| 1)                              |                         | 0.000                   |                         | 0.000                   |                         | 1.0    | 1              |
|                                 | 0.000                   | 1.000                   | 0.000                   |                         | 0.000                   |        |                |
| 2)                              | 1.000                   | 0.000                   | 0.000                   | 0.000                   | 0.000                   | 1.0    | 1              |
|                                 | 0.000                   | 0.000                   | 1.000                   | 0.000                   | 1.000                   |        |                |
| 3)                              | 1.000<br>0.000<br>0.000 | 0.000<br>1.000<br>0.000 | 0.000<br>0.000<br>1.000 | 5.400<br>0.000<br>0.000 | 0.000<br>0.000<br>1.000 | 1.0    | 1              |
| 4)                              | 1.000                   | 0.000                   | 0.000                   | 5.400                   | 0.000                   | 1.0    | 1              |
|                                 | 0.000                   | 1.000                   | 0.000                   | 5.400                   | 0.000                   |        |                |

from this list you should select the desired operators and use that in your final calculation, for example:

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```
SubSymmetry 1 7 21 31
```

## 8.3.1 Symmetry breaking for SCF

```
PotentialNoise float
```

#### PotentialNoise

**Type** 

Float

#### **Default value**

0.0001

#### **Description**

The initial potential for the SCF procedure is constructed from a sum-of-atoms density. Added to this is some small noise in the numerical values of the potential in the points of the integration grid. The purpose of the noise is to help the program break the initial symmetry, if that would lower the energy, by effectively inducing small differences between (initially) degenerate orbitals.

# 8.4 Advanced Occupation Options

By default the levels are occupied according to the aufbau principle. In some cases it is possible to create holes below the Fermi level or uneven occupation for alpha and beta electrons with the Occupations ( $\Gamma$ -only) and alternatively the EnforcedSpinPolarization (for an arbitrary number of k-points) key.

```
Occupations # Non-standard block. See details.
...
End
```

### Occupations

#### **Type**

Non-standard block

### Description

Allows one to input specific occupations numbers. Applies only for calculations that use only one k-point (i.e. pseudo-molecule calculations). See example.

#### Example:

```
OCCUPATIONS
1 occupations_alpha {// occupations_beta}
End
```

- occupations\_beta and the separating double slash (//) must not be used in a spin-restricted calculation.
- occupations\_alpha/beta is a sequence of values assigned to the states ('bands') in energy ordering.

```
ElectronHole
BandIndex integer
SpinIndex integer
End
```

#### ElectronHole

### **Type**

Block

### Description

Allows one to specify an occupied band which shall be depopulated, where the electrons are then moved to the Fermi level. For a spin-restricted calculation 2 electrons are shifted and for a spin-unrestricted calculation only one electron is shifted.

#### BandIndex

**Type** 

Integer

### **Description**

Which occupied band shall be depopulated.

#### SpinIndex

**Type** 

Integer

## Description

Defines the spin of the shifted electron (1 or 2).

See the example Si\_ElectronHole (page 322)

Finally, also the Convergence%StartWithMaxSpin influences (indirectly) the final state. Running for instance the H2 molecule with a PBE functional preserves the triplet state through the SCF (not being the ground state).

**CHAPTER** 

NINE

## **TROUBLESHOOTING**

## 9.1 Recommendations

### 9.1.1 Model Hamiltonian

### Relativistic model

By default we do not use relativistic effects. The best approximation is to use spin-orbit coupling, however that is computationally very expensive. The scalar relativistic option comes for free, and for light elements will give very similar results as non-relativistic theory, and for heavy ones better results w. r. t. experiment. We recommend to always use this (scalar ZORA). To go beyond to the spin-orbit level can be important when there are heavy elements with *p* valence electrons. Also the band gap appears quite sensitive for the spin-orbit effect.

### **XC** functional

The default functional is the LDA, that gives quite good geometries but terrible bonding energies. GGA functionals are usually better at bonding energies, and among all possibilities the PBE is a common choice. Using a GGA is not a lot more expensive than using plain LDA. For the special problem of band gaps there are a number *Model Hamiltonians* (page 15) available (eg. TB-mBJ and GLLC-SC). The *Unrestricted* (page 32) option will be needed when the system is not closed shell. For systems interacting through dispersion interactions it is advised to use the *Grimme corrections* (page 19). Unfortunately there is no clear-cut answer to this problem, and one has to try in practice what works best.

#### 9.1.2 Technical Precision

#### See also:

- Which basis set should I use? (page 55)
- Recommendations for k-space (page 69)

The easiest way to control the technical precision is via the *NumericalQuality* (page 53) key. One can also independently tweak the precision of specific technical aspects, e.g.:

```
BeckeGrid
Quality Good ! tweak the grid
End
KSpace
Quality Good ! tweak the k-space grid
End
ZlmFit
```

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```
Quality Normal ! tweak the density fit
End
SoftConfinement
Quality Basic ! tweak the radial confinement of basis functions
End
```

Here are per issue hints for when to go for a better quality (but it is by no means complete)

- **BeckeGrid**: Increase quality if there are geometry convergence problems. Also negative frequencies can be caused by an inaccurate grid.
- KSpace: Increase quality for metals
- **ZlmFit**: Increase quality if the SCF does not converge.
- SoftConfinement: Increase quality for weakly bonded systems, such as layered materials

### 9.1.3 Performance

The performance is influenced by the model Hamiltonian and basis set, discussed above. Here follow more technical tips.

## **Reduced precision**

One of the simplest things to try is to run your job with NumericalQuality Basic. For many systems this will work well, and it can be used for instance to pre-optimize a geometry. However, it can also cause problems such as problematic SCF convergence, geometry optimization, or simply bad results. See above how to tweak more finely the *Technical Precision* (page 195).

## Memory usage

Another issue that is the choice CPVector (say the vector length of you machine) and the number of k-points processed together during the calculation of the parameters. In the output you see the used value

```
______
                 = Numerical Integration =
TOTAL NR. OF POINTS
                                                    4738
BLOCK LENGTH
                                                     256
NR. OF BLOCKS
                                                      20
MAX. NR. OF SYMMETRY UNIQUE POINTS PER BLOCK
                                                      35
NR. OF K-POINTS PROCESSED TOGETHER IN BASPNT
                                                       5
NR. OF SYMMETRY OPERATORS (REAL SPACE)
                                                      48
SYMMETRY OPERATORS IN K-SPACE
                                                      48
```

If you want to change the default settings you can specify the CPVector and KGRPX keywords. The optimal combination depends on the calculation, on the machine. Example

```
CPVector 512
KGRPX 3
```

**Note**: bigger is not necessarily better.

#### Reduced basis set

When starting work on a large unit cell it is wise to start with a DZ basis. With such a basis, one can test for instance the quality of the k-space integration. However, for most properties, the DZ basis is probably not very accurate. You can next go for the DZP (if available) or TZP basis set, but that may be a bit of overkill.

## Performance on machines with many cores

When running a not so big system (1000 basis functions) on a single machine with many cores you may observe a large discrepancy between the cpu and the elapsed time.

In the logfile you see for instance

```
cyc= 1 err=1.99E+00 meth=m nvec= 1 mix=0.0750 cpu= 3s ela= 27s fit=3.75E-02
```

indicating that the cpu time is 3 seconds, but the ela(psed) time was 27 seconds, much longer.

The (likely) reason is the use of shared arrays when calculating the matrix elements, which requires locking within a node.

A way to avoid this problem is to emulate as if you are using multiple nodes. Say you have a 128 core machine and add to your script

```
export SCM_SHAR_NCORES=8

$AMSBINB/ams ...
```

and tell ams that a node is made of 8 cores. When you run the job (on a single node 128 core machine) you should see in the logfile

```
AMS 2020.203 RunTime: Jan16-2021 18:05:27 Nodes: 16 Procs: 128
```

and now it is as if you are running on 16 nodes with 8 cores each. This requires more memory but this only becomes an issue with large system (10000 basis functions). In this example we need 16 times as much memory. You can use other values for the SCM\_SHAR\_NCORES variable, and it also works when using more than one (physical) node.

Since ams2021 a physical node may already be split automatically when ams recognizes that it uses multiple slots.

Is memory an issue for your calculation? The number of basis functions is printed in the output

You take this number squared and multiply this with 16 (complex number) and you have the size of a single matrix. Then you multiply with 10 or 30 to get an estimate of the memory needed (per "node"). You should compare is to the memory per core times the nr, of cores per node. If it does not fit then the performance drop will be even more dramatic, as the system needs to swap.

Systems with many basis functions are either systems with many atoms (1000) or systems with heavy elements and a small core (gold slab).

## 9.1.4 Band gap calculations

The simplest method is to compare the difference between the HOMO and the LUMO to the experimental band gap. It is quite well known that regular GGAs, such as the PBE functional, underestimate the band gap this way. However, there are some functionals designed to make the HOMO LUMO gap resemble the experimental gap. These are either MetaGGAs or model potentials (for which there is no energy expression). When using MetaGGAs it is recommended to use a small frozen core, as the core orbitals will be calculated at the LDA level. The KSpace%Quality should be set to Good. The TZP basis set gives already good gaps.

We have calculated with these settings for some functionals the band gap on the Crowley (https://doi.org/10.1021/acs.jpclett.5b02870) test set (leaving out transition metal oxides and 2D slabs). The spin orbit change to the band gap was calculated only at the PBE level and used for all other functionals.

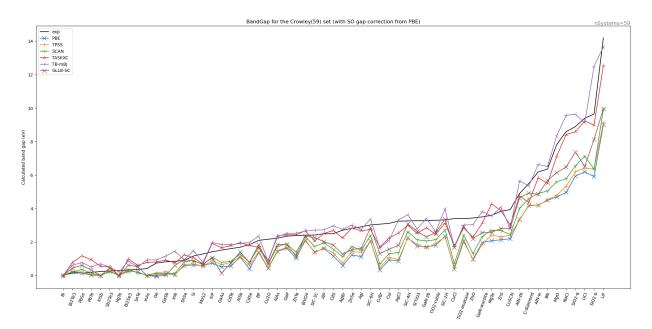


Table 9.1: Error statistics for calculated band gaps

| XC      | MAE   | MARE  |
|---------|-------|-------|
| PBE     | 1.374 | 0.520 |
| TPSS    | 1.288 | 0.489 |
| SCAN    | 1.042 | 0.425 |
| TASKXC  | 0.469 | 0.510 |
| TB-mBJ  | 0.462 | 0.414 |
| GLLB-SC | 0.850 | 0.459 |

We can conclude that the TB-mBK functional appears to be best one, but the TASKXC is also doing quite well.

Here is the (Band engine) input used for the TASKXC functional

```
Basis Type=TZP Core=Small # for metaGGA a small core is recommended

KSpace Quality=Good

XC

metaGGA TASKXC

End

BandStructure Enabled=yes # this gives you also the gap estimated along the standard path through the BZ zone (in the text output)
```

The gap is either obtained from the kf file from the Variable BandStructure%BandGap, or from the text output. in a plams script both can be obtained this way

```
try:
    gap = job_result.readrkf("BandStructure", "BandGap", "band")

gap=Units.convert(gap, 'hartree', 'eV')

except:
    gap=0.0

try:
    grep_string=job_result.grep_output('band gap from band-structure')
    assert len(grep_string) == 1
    words=grep_string[0].split(':')
    gap_from_bs=float(words[-1].strip())

except:
    gap_from_bs=0.0
```

Usually the difference is very small between the two methods.

# 9.2 Troubleshooting

## 9.2.1 SCF does not converge

Some systems are more difficult to converge than others. A Pd slab for instance is easier to converge than an Fe slab. Generally, what you do in a problematic case is to go for more conservative settings. The two main option are to decrease SCF%Mixing and/or DIIS%Dimix.

```
SCF
Mixing 0.05 ! more conservative mixing
End

Diis
DiMix 0.1 ! also more conservative strategy for DIIS procedure
Adaptable false ! disable automatic changing of dimix
End

Convergence
Degenerate Default ! For most calculations this is quite a good idea anyway
End
```

An other option is to first run the system with a SZ basis, which may be easier to converge. Then you can *Restart* (page 179) the SCF with a larger basis set from this result.

Sometimes SCF convergence problems are caused by bad precision. An indication of this is when there are many iteration after the HALFWAY message. The simplest thing to try is to see whether increasing the NumericalAccuracy helps. Specifically an insufficient quality of the **density fit** may cause problems. For systems with heavy elements the quality of the **Becke grid** may also play a role. Another potential problem is using **only one k-point**.

Next thing to try is the MultiSecant method. This one comes at no extra cost per SCF cycle compared to the DIIS method.

```
SCF
Method MultiSecant
End

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```

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```
MultiSecantConfig
! put here optional keywords to tweak the MultiSecant method
End
```

An alternative is to try a **LIST** method. For sure the cost of a single SCF iteration will increase, but it may reduce the number of SCF cycles, see *Diis%Variant* (page 87).

```
Diis
Variant LISTi ! invoke the LISTi method
End
```

For heavy elements the use of a small or no frozen core may complicate the SCF convergence.

### Finite temperature during geometry optimization

Often systems are more easy to converge when applying a finite electronic temperature. By doing so your energy will deviate from the ground state. If you are optimizing, say, a fancy molecule over a Ni slab, then you do not care too much about this when the geometry is not nearly converged yet (when the gradients are still big).

Using so-called automations, it is possible to instruct band to use a higher electronic temperature in the beginning of a geometry optimization, and a lower one at the end. Similarly you can allow for more loose SCF convergence at the start of the geometry optimization. You specify such "automations" inside the ams-level GeometryOptimization block, for example

```
GeometryOptimization

EngineAutomations
Gradient variable=Convergence%ElectronicTemperature InitialValue=0.01

FinalValue=0.001 HighGradient=0.1 LowGradient=1.0e-3
Iteration variable=Convergence%Criterion InitialValue=1.0e-3 FinalValue=1.0e-6

FirstIteration=0 LastIteration=10
Iteration variable=SCF%Iterations InitialValue=30 FinalValue=300

FirstIteration=0 LastIteration=10
End
End
```

Let us concentrate on the first "automation". What this will do is the following.

- At the first step Convergence%ElectronicTemperature (kT) will be set to InitialValue, i.e. 0.01. (Temperatures are entered as kT in Hartree.)
- If at any step the gradient is bigger than HighGradient the temperature will be InitialValue
- If at any step the gradient is smaller than LowGradient the temperature will be FinalValue, i.e. 0.001
- If the gradient is in between HighGradient and LowGradient, a linear interpolation is done (on a logarithmic scale)
- At the last calculation FinalValue will be used, even if the geometry did not converge

Another trigger for automation is the number of geometry steps taken, shown in the two automations with "Iteration".

Let us look at the second automation.

- At the first geometry the Convergence%Criterion is relaxed to 1.0e-3.
- After the tenth geometry step this will be 1.0e-6
- In between an interpolated value will be used

The third automation shows that you can also automate SCF%Iterations. Currently only three band keywords can be automated this way.

## 9.2.2 Geometry does not converge

One thing that you should make sure is that at least the **SCF converges**. If that is so, then maybe the **gradients are not accurate enough**. Here are some settings to improve the accuracy of the gradients

```
RadialDefaults
NR 10000 ! more radial points
End
NumericalQuality Good
```

## 9.2.3 Lattice optimization does not converge for gga

What can help is use to use analytical stress rather than numerical stress. There are three things to change for this to work.

```
SoftConfinement Radius=10.0

StrainDerivatives Analytical=yes

XC

libxc PBE  # may also be another GGA, but not a metaGGA

End
```

Here follows an explanation why. The SoftConfinement is set to a fixed value, rather than one depending on the lattice vectors, because that is not covered by the analytical stress code. The normal value for this radius is 10, except for 3D bulk systems with a small lattice where it is set to something like the size of the lattice vector.

The other two bits are needed to trigger the use of analytical stress (which are strain derivatives of the energy). The use of the libxc library is needed, as it affords derivatives required for the stress.

## 9.2.4 I see two band gaps, which one is best?

The band gap is the difference between the bottom of the conduction band (BOCB) and the top of the valence band (TOVB).

It is now important to distinguish two methods to arrive at the information of TOVB, BOCB, and hence the gap. The first is the one coming from the analytical k-space integration scheme determining the fermi level and occupations, let us call this the interpolation method. The second one is a strictly post SCF method that cannot be used to determine the fermi energy and occupations. This is about calculating the bands along a path through the BZ assuming a certain fixed density/potential. Let us call this second method the from band structure method.

The advantage of the "band structure" method is that is you can use a very dense sampling of k-points along the chosen path (DeltaK). Normally this is the better way to get the gap, but you assume that both the TOVB and BOCB are on the specified path, which is not a mathematical necessity (although in practice very often true). The advantage of the "interpolation" method that is really follows the (quadratically interpolated) bands over the whole BZ. Typically the spacing between k-points is much larger than DeltaK from the plot (as the number of points for the first grows cubic and the latter only linear).

Finally the gap printed in the kf file is the one from the "interpolation method".

## 9.2.5 Band structure does not match the DOS

This problem may be related to the previous topic. The DOS is derived from true k-space integration called the "interpolation method" in the previous topic: it samples through interpolation the whole BZ. For the band structure plot a single line is used, and typically a much denser grid can be afforded. So make sure that the DOS is converged with respect to the KSpace%Quality paramter: try a better (or worse) value.

Ultimately a converged DOS may still not match a band structure as it is possible that the chosen line misses some features (it does not cover the whole BZ).

Finally the energy grid for the DOS may be too coarse, it can be made smaller with DOS%DeltaE.

## 9.2.6 Missing core bands or DOS peaks in amsbands

If you do a calculation on, say, an Al chain you may expect a core like band and corresponding DOS peak at -1500 eV. To achieve this you first need to set the frozen core to None. Even then it still does not show up, because by default BandStructure%EnergyBelowFermi is 10 Hartree (~300 eV). If you set it to something large (10000) you will now see the 1s band showing up near -1500 eV, however the corresponding DOS peak appears invisible... that is, until you zoom in the y-axis appropriately. If the DeltaE used for the dos is smaller than the height of a pixel the peak will be invisible, or very faint.

## 9.2.7 Negative frequencies in phonon spectra

When doing a phonon calculation one sometimes encounter unphysical negative frequencies. There are two likely causes: either the **geometry was not in the minimum geometry**, or the **step size** used in the Phonon run is too large. Also **general accuracy** issues may be the cause, such as numerical integration, k-space integration and fit error.

## 9.2.8 Too much scratch disk space is used

For systems having either many basis functions or many k-points the required disk space demand can grow and te program can crash. The needed scratch space is overwhelmingly determined by (temporary) matrices written to disk. How this is done is determined by an input key. In case of this problem set

```
Programmer Kmiostoragemode=1 ! 1 means: fully distributed, 2 is the default meaning_distributed only within (ShM) nodes
```

You can increase the available scratch disk space by using more nodes. The number of nodes (according to the AMS definition) is printed in the output and logfile

```
AMS 2021.105 RunTime: Feb08-2022 19:27:17 ShM Nodes: 1 Procs: 24
```

and you can search for "ShM Nodes".

## 9.2.9 Basis set dependency

A calculation aborts with the message: dependent basis. It means that for at least one k-point in the BZ the set of Bloch functions, constructed from the elementary basis functions is so close to linear dependency that the numerical accuracy of results is in danger. To check this, the program computes, for each k-point separately, the overlap matrix of the Bloch basis (normalized functions) and diagonalizes it. If the smallest eigenvalue is zero, the basis is linearly dependent. (Negative values should not occur at all!). Given the limited precision of numerical integrals and other aspects in the calculation, you are bound for trouble already if the smallest eigenvalue is very small, even if not exactly zero. The program compares it against a criterion that can be set in input (key Dependency option Bas).

If you encounter such an error abort, you are strongly advised not to adjust the criterion so as to pass the internal test: there were good reasons to implement the test and to set the default criterion at its current value. Rather, you should adjust your basis set. There are two ways out: using confinement or removing basis functions.

### **Using confinement**

Usually the dependency problem is due to the diffuse basis functions. This is especially so for highly coordinated atoms. One way to reduce the range of the functions is to use the Confinement key. In a slab you could consider to use confinement only in the inner layers, and to use the normal basis to the surface layers. The idea is that basis functions of the surface atoms can describe the decay into the vacuum properly, and that inside the slab the diffuseness of the functions is not needed. If all the atoms of the slab are of the same type, you should make a special type for the inner layers: simply put them in a separate Atoms block. The confinement can be specified per type.

## 9.2.10 Frozen core too large

BAND calculates the overlap matrix of the core functions, and this should approximate the unit matrix. To validate that this is the case two numbers are checked: the smallest eigenvalue of the overlap matrix (which should be larger than Dependency%Core) and the maximum absolute deviation of diagonal elements from one, which should be smaller than 1-Dependency%Core. When the deviation is larger then the frozen-core overlap criterion the program stops. The default (Dependency%Core = 0.80) is fairly tolerant. The safest solution is to choose a smaller *Frozen core* (page 57), this can also be controller per atom type (element) or per region, see *More Basis input options* (page 60). In the text output the name of the element is printed that seeems to be most responsible for the frozen core too large error. For performance reasons, however, this may not be the preferred option. In practice you might still get reliable results by setting the criterion to 0.5, see the *Dependency* (page 107) block. For the 5d transition metals, for instance, you can often freeze the 4f orbital (using the more tolerant setting), thus reducing the basis set considerably. If you loosen the criterion we strongly advise you to compare these results to a calculation with a smaller core. Such tests can be performed with a smaller unit cell or with a lower quality for the KSPACE block key.

## 9.2.11 requested kgrid appears to break the symmetry

Band creates by default a regular k-grid. In the case of 3D the size is determined by k1,k2, and k3. Normally the values are derived from KSpace%Quality and the length of the trhee reciprocal lattice vectors. If a lattice vector is long enough the corresponding k may be set to 1, which is a special value. For instance if you get for your system a 3x5x1 grid it means that effectively we have a 2 dimensional problem.

This can be problematic when there is symmetry. Perhaps there are symmetry relations between the "remaining" two vectors and the "removed" lattice vector. If such is the case the error "kgrid appears to break the symmetry" is raised.

You can either disable the symmetry

UseSymmetry No # inside the BandEngine block

or you can figure out what the automatic values for k1,k2, and k3 are.

In case of the error you see in the output

```
grid method with params 3 3 1

ERROR: requested kgrid appears to break the symmetry there are two solutions

1) Disable symmetry for the engine
2) Avoid a automatic grid like 3x3x1. Try a better KSpace%Quality.
```

So that in this case a 3x3x1 grid was chosen. In this example you probably want to increase k3

```
KSpace
Regular
NumberOfPoints 3 3 3 # specify k1,k2,k3 "by hand"
End
end
```

## 9.3 Various issues

## 9.3.1 Understanding the logfile

In practice you will look often at the logfile to see whether the calculation is going fine. Here is a logfile for a single point calculation.

```
<Oct16-2019> <11:44:37> AMS 2019 RunTime: Oct16-2019 11:44:37 Nodes: 1 Procs: 4
<Oct16-2019> <11:44:37> BAND 2019 RunTime: Oct16-2019 11:44:37 Nodes: 1 Procs: 4
<0ct16-2019> <11:44:37> All basis functions smoothly confined at radius: 10.0
<Oct16-2019> <11:44:37> >>>> RADIAL
<Oct16-2019> <11:44:38> >>>> POINTS
<Oct16-2019> <11:44:38> >>> KPNT
<Oct16-2019> <11:44:39> >>>> CELLS
<Oct16-2019> <11:44:39> >>>> NUMGRD
<Oct16-2019> <11:44:39> >>>> ELSTAT
<Oct16-2019> <11:44:39> >>>> ATMFNC
<Oct16-2019> <11:44:39> CalcAtomicProperties
<Oct16-2019> <11:44:39> >>>> PREPAREBAS
<Oct16-2019> <11:44:39> ----- K ...
<Oct16-2019> <11:44:39> >>>> PREPAREHAM
<Oct16-2019> <11:44:39>
                        ---- K ..
<Oct16-2019> <11:44:39> >>>> PREPAREFIT
<Oct16-2019> <11:44:39> calling scf
<Oct16-2019> <11:44:39> start of SCF loop
<Oct16-2019> <11:44:39> initial density from psi
<0ct16-2019> <11:44:40> cyc= 0 err=0.00E+00 cpu=
                                                   0s ela=
                                                              0s
<Oct16-2019> <11:44:40> cyc= 1 err=5.88E-01 meth=m nvec= 1 mix=0.0750 cpu=
→ela= 0s fit=9.96E-03
<Oct16-2019> <11:44:40> cyc= 2 err=5.35E-01 meth=d nvec= 2 mix=0.2000 cpu=
\rightarrowela= 0s fit=6.79E-03
<Oct16-2019> <11:44:41> cyc= 3 err=8.63E-02 meth=d nvec= 3 mix=0.2000 cpu=
                                                                              OsL
\rightarrowela= 0s fit=8.05E-03
<Oct16-2019> <11:44:41> cyc= 4 err=2.10E-02 meth=d nvec= 3 mix=0.2200 cpu=
                                                                              0s.
→ela= 0s fit=8.19E-03
<Oct16-2019> <11:44:42> cyc= 5 err=1.46E-02 meth=d nvec= 3 mix=0.2420 cpu=
→ela= 0s fit=8.29E-03
```

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(continued from previous page)

```
<Oct16-2019> <11:44:42> cyc= 6 err=9.90E-03 meth=d nvec= 4 mix=0.2420 cpu=
→ela= 0s fit=8.28E-03
<Oct16-2019> <11:44:42> HALFWAY
<Oct16-2019> <11:44:42>
                        cyc= 7 err=5.85E-04 meth=d nvec= 4 mix=0.2662 cpu=
                                                                               OsL
→ela= 0s fit=8.28E-03
<Oct16-2019> <11:44:43>
                        cyc= 8 err=3.76E-04 meth=d nvec= 5 mix=0.2662 cpu=
                                                                               0s_
⇒ela= 0s fit=8.29E-03
<Oct16-2019> <11:44:43>
                        cyc= 9 err=7.20E-05 meth=d nvec= 3 mix=0.2928 cpu=
                                                                               0s.
\rightarrowela= 0s fit=8.29E-03
<Oct16-2019> <11:44:43>
                        cyc= 10 err=2.80E-05 meth=d nvec= 4 mix=0.2928 cpu=
                                                                               0s.
\rightarrowela= 0s fit=8.29E-03
<Oct16-2019> <11:44:44> cyc= 11 err=9.03E-06 meth=d nvec= 5 mix=0.2928 cpu=
                                                                               0s.
\rightarrowela= 0s fit=8.29E-03
<Oct16-2019> <11:44:44> SCF CONVERGENCE
<Oct16-2019> <11:44:44> cyc= 12 err=1.59E-06 meth=d nvec= 5 mix=0.3221 cpu=
→ela= 0s fit=8.29E-03
<Oct16-2019> <11:44:44> cyc= 13 err=1.59E-06 meth=d nvec= 1 mix=1.0000 cpu=
                                                                              0s.
⇒ela= 0s fit=8.29E-03
<Oct16-2019> <11:44:44> ENERGY OF FORMATION: -1.1620 A.U.
<Oct16-2019> <11:44:44>
                                               -31.6196 E.V.
                                             -729.1660 KCAL/MOL
<Oct16-2019> <11:44:44>
<Oct16-2019> <11:44:44> FERMI ENERGY:
                                               -0.2051 A.U.
<Oct16-2019> <11:44:44>
                                                -5.5801 E.V
<Oct16-2019> <11:44:44> Band gap:
                                                0.2204 A.U.
<Oct16-2019> <11:44:44>
                                                5.9986 E.V
<Oct16-2019> <11:44:44> >>> CHARGE
<Oct16-2019> <11:44:44> >>>> HIRSH
<Oct16-2019> <11:44:44> >>>> CM5CHARGES
<Oct16-2019> <11:44:44> >>> DOS
<Oct16-2019> <11:44:44> Storing all partial DOS
<Oct16-2019> <11:44:44> Integrate over delta E
<Oct16-2019> <11:44:44> partial dos
<Oct16-2019> <11:44:44>
                        copy T(V/VOC)
<Oct16-2019> <11:44:44>
                        copy eigensystem
<Oct16-2019> <11:44:45> NORMAL TERMINATION
```

There are three different phases. The first phase is the preparation phase. The second phase is the SCF procedure. The third part is the properties phase. Particularly important are the SCF CONVERGENCE and NORMAL TERMINATION messages.

The preparation phase is the part up to "start of SCF loop". The first entries are usually not very costly. The section PREPAREBAS is about the overlap matrix, core orthogonalization, and the transformation to the orthogonal basis. In PREPAREHAM the fixed part of the Hamiltonian is calculated (mostly kinetic energy).

Let us take a closer look at a line during the SCF.

The meaning of cyc is the iteration number, so it is the third iteration. The self consistent error (err) is 4.35E-02. The method (meth) to guess the density for the next cycle is d, meaning DIIS, being a linear combination (nvec) of two vectors. The density is biased (mix) by 0.2 towards output densities. The SCF cycle took 1 second of cpu time (per core), and needed 1 seconds of real time. Finally the error of the density fitting was 1.60E-02

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## 9.3.2 Breaking the symmetry

In some cases you want to break the symmetry. An example of this is when you want to get the antiferromagnetic state of Fe. Another common example is when you want to apply geometry constraints on atoms.

The easiest way to do this is of course to disable all symmetry, see *UseSymmetry key* (page 189), but this might make your calculation more expensive than is needed. A bit more elegant way is to define separate types for the equivalent atoms. Here follows an example input for antiferromagnetic iron

```
! The two iron atoms have different "types" to break the symmetry
System
   Atoms
     Fe.a
           0.0 0.0
                        0.0
     Fe.b -1.435 -1.435 1.435
End
Lattice
  -1.435 1.435 1.435
   1.435 -1.435 1.435
   2.87 2.87 -2.87
End
Band Engine
  . . .
 CONVERGENCE
     CRITERION 1.0e-4
     Degenerate default
     SpinFlip 2 ! Flip (startup) spin density at second atom
 END
EndEngine
```

Another solution is to use the expert SYMMETRY keyword.

### 9.3.3 Labels for the basis functions

You see the labels for the basis functions in for instance the DOS section of the output. The labels are also used in combination with options like Print Eigens and Print OrbPop.

What do the labels look like? A normal atomic basis function, i.e. a numerical orbital or a Slater type orbital, gets a label like <atom number>/<element>/<orbital type>/<quantum numbers description>/<exp in sto>

Example with a Li and a H atom:

```
1/LI/NO/1s
1/LI/NO/2s
1/LI/STO/2s/1.4
1/LI/STO/2p_y/1.3
1/LI/STO/2p_z/1.3
1/LI/STO/2p_x/1.3
2/H/NO/1s
```

(continues on next page)

```
2/H/STO/1s/1.9
...
```

Core states will just get simple numbers as labels:

```
CORE STATE 1
CORE STATE 2
```

With the Fragment key you can give meaningful names to the fragment option, see Fragment % Labels and Dos-Bas.

#### 9.3.4 Reference and Startup Atoms

The formation energy of the crystal is calculated with respect to the reference atoms. BAND gives you the formation energy with respect to the spherically symmetric spin-restricted LDA atoms. If you want the program to do the spin-unrestricted calculation for the atoms you can give key Unrestricted the extra option Reference. We do not recommend this as it would give you the false (except in special cases) feeling that you've applied the right atomic correction energy so as to obtain the 'true' bonding energy with respect to isolated atoms. The true atomic correction energy is the difference in energy between the used artificial object, i.e. the spherically symmetric, spin-restricted atom with possibly fractional occupation numbers, and the appropriate multiplet state. The spin-unrestricted reference atom would still be spherically symmetric, with possibly fractional occupations: it would only have the probably correct (Hund's rule) net spin polarization.

The startup density is normally the sum of the restricted atoms. In case you do an unrestricted calculation you may want to get the sum of the unrestricted atoms as startup density by giving key Unrestricted the extra option StartUp. This does not always provide a better startup density since all atoms will have their net-spins pointing up. If a frozen core is used this option can sometimes lead to a negative valence density, because the frozen core is derived from the restricted atom. The program will stop in such a case.

No matter what reference or startup atoms you use, core orbitals and NOs originate always from the restricted free-atom calculation, because we don't want a spatial dependence of the *basis functions* on spin.

#### 9.3.5 Numerical Atoms and Basis functions

The program starts with a calculation of the free atoms, assuming spherical symmetry. The formation energy is calculated w.r.t such atoms. You have to specify the configuration (i.e. which orbitals are occupied) in the Dirac subkey of the block key AtomType, and you can for instance use the experimental configuration. Keep in mind, however, that this is not necessarily the optimal configuration for your density functional. For instance, Ni has experimentally two electrons in the 4s shell, but with LDA you will find that it is energetically more profitable to move one electron from the 4s to the 3d. The configuration of the reference atoms does not (i.e. should not) affect the final (SCF) density.

Besides the available basis sets in \$AMSHOME/atomicdata/band, you could in principle use the basis functions from the database of the molecular ADF program (see the documentation of ADF for how this database is organized). The functions you will find there are STOs, which is not optimal since BAND offers you the option to use NOs from the numerical atom. The most efficient approach is to use the NOs and remove from the ADF basis set those STOs that are already well described by the NOs.

As an example we will construct a basis for the Ni atom with orbitals frozen up to the 2p shell, derived from a triple-zeta ADF basis. In the Dirac subkey of the block key AtomType you specify that the NOs up to 2p should be kept frozen and that the 3d and 4s NOs be included in the valence basis. Copy from the ADF database all 3d, 4s and the polarization functions into the BasisFunctions subkey of the block key AtomType and remove the middle STOs of the 3d and the 4s.

Usually it is already quite adequate for a good-quality basis to augment each NO with one STO. You could then take a double zeta ADF basis and remove one of the 3d and one of the 4s STOs. We often find that such a basis, with one STO

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added per NO, has a quality that is comparable to *triple* zeta STO sets. We strongly recommend that you use combined NO/STO bases. Of course, you may want to verify the quality of the basis set by calculations on a few simple systems.

# 9.4 Warnings

## 9.4.1 Warnings specific to periodic codes (BAND, DFTB)

```
WARNING: GUESS: INPUT LATTICE MAY BE INACCURATE

WARNING: KSPACE ONLY GAMMA POINT.

WARNING: LINEAR INTEGRATION IN K-SPACE

WARNING: Problem with Brillouin zone k-path generation (see output file)

WARNING: problematic mapping to central cell

WARNING: QUAD2: electronic temperature problem
```

**CHAPTER** 

TEN

#### **EXAMPLES**

## 10.1 Introduction

The ADF package contains a series of sample runs for the BAND program. Provided are UNIX scripts to run the calculations and the resulting output files.

The examples serve:

- To check that the program has been installed correctly: run the sample inputs and compare the results with the
  provided outputs. Read the remarks below about such comparisons.
- To demonstrate how to do calculations: an illustration to the User manuals. The number of options available in BAND is substantial and the sample runs do not cover all of them. They should be sufficient, however, to get a feeling for how to explore the possibilities.
- To work out special applications that do not fit well in the User's Guide.

Where references are made to the operating system (OS) and to the file system on your computer the terminology of UNIX type OSs is used.

All sample files are stored in subdirectories under \$AMSHOME/examples/, where \$AMSHOME is the main directory of the ADF package. The main subdirectory for the BAND examples is \$AMSHOME/examples/band. Each sample run has its own directory. For instance, \$AMSHOME/examples/band/NaCl/ contains a BAND calculation on the NaCl bulk crystal. Each sample subdirectory contains:

- · A file TestName.run: the UNIX script to execute the calculation or sequence of calculations of the example
- A file TestName\_orig.out: the resulting output(s) against which you can compare the outcome of your own calculation.

#### Notes:

- Running the examples on Windows: You can run an example calculation by double-clicking on the appropriate .run file. After the calculation has finished, you can compare the TestName.out file with the reference Test-Name\_orig.out file. See remarks about comparing output files below.
- The UNIX scripts make use of the *rm* (remove) command. Some UNIX users may have aliased the *rm* command. They should accordingly adapt these commands in the sample scripts so as to make sure that the scripts will remove the files. New users may get stuck initially because of files that are lingering around after an earlier attempt to run one of the examples. In a subsequent run, when the program tries to open a similar (temporary or result) file again, an error may occur if such a file already exists. Always make sure that no files are left in the run-directory except those that are required specifically.
- It is a good idea to run each example in a separate directory that contains no other important files.
- The run-scripts use the environment variables AMSBIN and AMSRESOURCES. They stand respectively for the
  directory that contains the program executables and the main directory of the database. To use the scripts as they

are you must have defined the variables AMSBIN and AMSRESOURCES in your environment. If a parallel version has been installed, it is preferable to have also the environment variable NSCM. This defines the default number of parallel processes that the program will try to use. Consult the Installation Manual for details.

- As you will note the sample run scripts refer to the programs by names like 'adf', 'band', and so on. When you inspect your \$AMSBIN directory, however, you may find that the program executables have names 'adf.exe', 'band.exe'. There are also files in \$AMSBIN with names 'adf', 'band', but these are in fact scripts to execute the binaries. We strongly recommend that you use these scripts in your calculations, in particular when running parallel jobs: the scripts take care of some aspects that you have to do otherwise yourself in each calculation.
- You need a license file to run any calculations successfully. If you have troubles with your license file, consult the Installation manual. If that doesn't help contact us at <a href="mailto:successfully.">successfully.</a> If you have troubles with your license file, consult the Installation manual. If that doesn't help contact us at <a href="mailto:successfully.">successfully.</a> If you have troubles with your license file, consult the Installation manual. If that doesn't help contact us at <a href="mailto:successfully.">successfully.</a> If you have troubles with your license file, consult the Installation manual. If that doesn't help contact us at <a href="mailto:successfully.">successfully.</a> If you have troubles with your license file, consult the Installation manual. If that doesn't help contact us at <a href="mailto:successfully.">successfully.</a> If you have troubles with your license file, you have troubles with your license file.

Many of the provided samples have been devised to be short and simple, at the expense of physical or chemical relevance and precision or general quality of results. They serve primarily to illustrate the use of input, necessary files, and type of results. The descriptions have been kept brief. Extensive information about using keywords in input and their implications is given in the User's Guide and the Utilities and Property Programs documents (NMR, DIRAC, and other utility programs).

When you compare your own results with the sample outputs, you should check in particular (as far as applicable):

- Occupation numbers and energies of the one-electron orbitals;
- The optimized geometry;
- · Vibrational frequencies;
- The bonding energy and the various terms in which it has been decomposed;
- The dipole moment;
- The logfile. At the end of a calculation the logfile is automatically appended (by the program itself) to the standard output.

#### General remarks about comparisons:

- For technical reasons, discussion of which is beyond the scope of this document, differences between results obtained on different machines, or with different numbers of parallel processes, may be much larger than you would expect. They may significantly exceed the machine precision. What you should check is that they fall well (by at least an order of magnitude) within the *numerical integration* precision used in the calculation.
- For similar reasons the orientation of the molecule used by the program may be different on different machines, even when the same input is supplied. In such cases the different orientations should be related and only differ in some trivial way, such as by a simple rotation of all coordinates by 90 degrees around the z-axis. When in doubt, contact an ADF representative.
- A BAND run may generate, apart from result files that you may want to save, a few scratch files. The UNIX scripts
  that run the samples take care of removing these files after the calculations have finished, to avoid that the program
  aborts in the next run by attempting to open a 'new' file that is found to exist already.
- A sample calculation may use one or more data files, in particular fragment files. The samples are self-contained:
  they first run the necessary pre-calculations to produce the fragment files. In 'normal' research work you may have
  libraries of fragments available, first for the 'basic atoms', and later, as projects are developing, also for larger
  fragments so that you can start immediately on the actual system by attaching the appropriate fragment files.

Default settings of print options result in a considerable amount of output. This is also the case in some of the sample runs, although in many of them quite a bit of 'standard' output is suppressed by inserting applicable print control keys in the input file. Consult the User's Guide about how to regulate input with keys in the input file.

## 10.2 Model Hamiltonians

## 10.2.1 Example: Spin polarization: antiferromagnetic iron

Download BetaIron.run

```
#! /bin/sh
# By setting 'Unrestricted Yes' we do a spin polarized calculation. Normally
# this would converge to the ferromagnetic solution.
# With the SpinFlipRegion keyword we make sure that we start with an antiferromagnetic
# density.
# For antiferromagnetic iron we need a larger unit cell of two atoms. Since
# these atoms appear to the program as symmetry equivalent we have to specify
# them as separate types.
$AMSBIN/ams <<eor
Task SinglePoint
System
 Atoms
  Fe 0.0 0.0 0.0
  Fe -1.435 -1.435 1.435 region=flip
 end
 Lattice
   -1.435 1.435 1.435
    1.435 -1.435 1.435
     2.87 2.87 -2.87
 End
End
Engine Band
 Title Beta iron
 Convergence
   Criterion 1.0e-4
    SpinFlipRegion flip # Flip (startup) spin density at second atom
 Unrestricted Yes
 Print AtomicChargesDetails
EndEngine
eor
```

#### 10.2.2 Example: Applying a Magnetic Field (S.B)

Download BFieldB.run

```
#!/bin/bash
myreport () {
 mX=`$AMSBIN/amsreport $AMS_JOBNAME.results -k "Properties%Value(2)#6.3f" | awk '
→{print $1}'`
 my=`$AMSBIN/amsreport $AMS_JOBNAME.results -k "Properties%Value(2)#6.3f" | awk '
→{print $2}'`
 mZ=`$AMSBIN/amsreport $AMS_JOBNAME.results -k "Properties%Value(2)#6.3f" | awk '
→{print $3}'`
 energy=`$AMSBIN/amsreport $AMS_JOBNAME.results -k "AMSResults%Energy#10.6f"`
 nCycles=`$AMSBIN/amsreport $AMS_JOBNAME.results -k "SCCLogger%nEntries"
 printf "%20s %10s %10.3f %10.3f %10.3f %10s\n" $BFieldComp $nCycles $mX $mY $mZ
⇒$energy
LC_NUMERIC=en_US.UTF-8
export NSCM=1
BField=1.0e-1
BFieldComp=bY
report=report.txt
echo "" > $report
echo "We run a H atom with three different directions of a finite B field" >> $report
echo "with the NonCollinear model." >> $report
echo "This way the magnetization vector m should align with the B field vector." >>
→$report
printf "\n%20s %10s %10s %10s %10s %10s \n" "BField" "nCycles" "mX" "mY" "mZ" "energy"_
→>> $report
for BFieldComp in bX bY bZ
export AMS_JOBNAME=H.$BFieldComp
$AMSBIN/ams --delete-old-results <<eor
Task SinglePoint
System
 Atoms
  н 0 0 0
 End
End
Engine Band
 Relativity
   Level Spin-Orbit
```

```
End

xc
    SpinOrbitMagnetization NonCollinear
End

BField
    Unit a.u.
    $BFieldComp $BField
End

Print AtomicChargesDetails
EndEngine
eor

myreport >> $report

done

echo "begin report"
cat $report
echo "end report"
```

## 10.2.3 Example: Applying a Magnetic Field (L . B)

Download BFieldLdotB.run

```
#!/bin/sh
export AMS_JOBNAME=run1
$AMSBIN/ams <<EOF
Task SinglePoint
  GeometryFile $AMSHOME/atomicdata/Molecules/TestMols/Methane.xyz
Engine Band
  BField
  Unit A.U.
  BZ 0.001
  Method NR_LDOTB
  end
  Basis
   Type QZ4P
   Core None
EndEngine
EOF
```

```
echo "Begin of shielding row for all atoms, unit-=ppm"
$AMSBIN/amsreport $AMS_JOBNAME'.results/band.rkf' -k 'Magnetic properties
→%ShieldingRowAtNuclei(ppm)#12.5f##3'
echo "End of shielding row"
export AMS_JOBNAME=run2
$AMSBIN/ams <<EOF
Task SinglePoint
System
  GeometryFile $AMSHOME/atomicdata/Molecules/TestMols/Methane.xyz
Engine Band
  BField
   Unit A.U.
  BZ 0.001
   Method NR_LDOTB
   Dipole true
  DipoleAtom 1
  end
  Basis
    Type QZ4P
   Core None
  End
EndEngine
EOF
echo "Begin of shielding row for all atoms, unit-=ppm"
$AMSBIN/amsreport $AMS_JOBNAME'.results/band.rkf' -k 'Magnetic properties
→%ShieldingRowAtNuclei(ppm) #12.5f##3'
echo "End of shielding row"
```

#### 10.2.4 Example: Graphene sheet with dispersion correction

Download Graphene\_Dispersion.run

```
#! /bin/sh

# A normal GGA would give only negligible interaction between two graphene
# sheets.

# Use the dispersion option in the XC key block.

# In the first run we use BP86-D, in the second BLYP-D3 and in the third run
# BLYP-D3(BJ).

# == First run: dispersion default ==
```

```
AMS_JOBNAME=default $AMSBIN/ams <<eor
Task SinglePoint
System
  GeometryFile $AMSHOME/examples/band/Graphene_Dispersion/Graphene_double_layer.xyz
Engine Band
  XC
    gga scf bp86
     dispersion default
  NumericalQuality Basic
  Basis
    Type TZP
     Core Large
  End
EndEngine
eor
# == Second run: dispersion Grimme3 ==
AMS_JOBNAME=grimme3 $AMSBIN/ams <<eor
Task SinglePoint
System
  GeometryFile $AMSHOME/examples/band/Graphene_Dispersion/Graphene_double_layer.xyz
Properties
 Gradients True
Engine Band
  Output
   Print Section=Properties Level=Detail
  XC
     gga scf blyp
     dispersion Grimme3
  NumericalQuality Basic
  Basis
    Type TZP
     Core Large
  End
EndEngine
eor
# == Third run: dispersion Grimme3 bjdamp ==
                                                                         (continues on next page)
```

```
AMS_JOBNAME=grimme3_bjdamp $AMSBIN/ams <<eor
Task SinglePoint
System
  GeometryFile $AMSHOME/examples/band/Graphene_Dispersion/Graphene_double_layer.xyz
Properties
Gradients True
End
Engine Band
  Output
     Print Section=Properties Level=Detail
     gga scf blyp
     dispersion Grimme3 bjdamp
  end
  NumericalQuality Basic
  Basis
    Type TZP
     Core Large
  End
EndEngine
eor
```

#### 10.2.5 Example: H on perovskite with the COSMO solvation model

Download HonPerovskite\_Solvation.run

```
#! /bin/sh

# We want to model H adsorption on a Perovskite surface in a solution, modeled

# by a COSMO surface.

# We create only the COSMO surface above the slab with the

# RemovePointsWithNegativeZ option.

$AMSBIN/ams <<eor

Task SinglePoint

System

Atoms

H 0.0 0.000000000 0.900000000

Ca 0.0 0.000000000 0.000000000

Ca 0.0 3.535533906 -3.535533906

Ti -2.5 -3.535533906 0.000000000

Ti -2.5 0.000000000 -3.535533906
```

```
0 0.0 -3.535533906 0.000000000
     0 2.5 1.767766953 -1.767766953
     0 2.5 -1.767766953 -1.767766953
  End
  Lattice
    5.0 0.000000000 0.0
    0.0 7.071067812 0.0
End
Properties
  Gradients True
End
Engine Band
  TITLE Hydrogen on Perovskite wit solvation
  Solvation
     Enabled True
     Surf Delley
     charge method=inver
     Solvent
      Eps 78.4
       Rad 1.4
     End
  End
  PeriodicSolvation
    nstar 3
     SymmetrizeSurfacePoints true
     RemovePointsWithNegativeZ true
  End
  Screening
     rmadel 30 ! to speed up the calculation
  Convergence
   Criterion 1.0e-4
  End
     Type SZ
     Core Large
  End
EndEngine
eor
```

## 10.2.6 Example: Applying a homogeneous electric field

Download EField.run

```
#!/bin/sh
# With the EFIELD keyword you can specify a static electric field in the
# z-direction.
# == first run: field of 1.5427 Volt/Angstrom ==
$AMSBIN/ams <<eor
Task SinglePoint
System
  lattice [Bohr]
   15.0 0.0 0.0
    0.0 15.0 0.0
  Atoms [Bohr]
    н 0.0 0.0 0.0
    Li 0.0 1.0 3.0
  ElectrostaticEmbedding
     ElectricField 0.0 0.0 1.5427
Properties
Gradients True
End
Engine Band
  Output
    Print Section=Properties Level=Detail
  KSpace
    Quality GammaOnly
  End
  Basis
   Type TZP
    Core Large
  End
EndEngine
rm -r ams.results
# == second run: field of -1 Volt/Angstrom ==
$AMSBIN/ams <<eor
Task SinglePoint
System
  lattice [Bohr]
```

```
15.0 0.0 0.0
     0.0 15.0 0.0
  End
  Atoms [Bohr]
    н 0.0 0.0 0.0
     Li 0.0 1.0 3.0
  End
  ElectrostaticEmbedding
    ElectricField 0.0 0.0 -1.0
  End
End
Properties
Gradients True
Engine Band
Output
  Print Section=Properties Level=Detail
  KSpace
     Quality GammaOnly
  End
  Basis
    Type TZP
     Core Large
  End
EndEngine
```

## 10.2.7 Example: Finite nucleus

Download FiniteNucleus.run

```
#! /bin/sh

# Normally the nucleus is approximated as a point charge. However we can change
# this to a finite size. Properties that might be affected are EFG, and the
# A-tensor. For such calculations one needs to crank up the precision and also
# use a relativistic Hamiltonian.

# == First run: NuclarModel PointCharge ==

AMS_JOBNAME=PointCharge $AMSBIN/ams <<eor

Task SinglePoint

System
    lattice
    30.0 0.0 0.0</pre>
```

```
End
 Atoms
  Au 0.000000 0.000000 0.000000
End
Engine Band
 NuclearModel PointCharge
 Efg
  Enabled True
 Atensor
  Enabled True
 Unrestricted
 Relativity
  Level Scalar
 PropertiesAtNuclei
  rho
  rho(deformation/scf)
  vxc[rho(fit)]
  rho(fit)
  v(coulomb)
 End
 RadialDefaults
   nr 10000
 NumericalQuality Good
 Basis
   Type TZ2P
   Core None
    gga PBE
 END
EndEngine
# == Second run: NuclearModel Gaussian ==
AMS_JOBNAME=Gaussian $AMSBIN/ams <<eor
Task SinglePoint
System
 lattice
  30.0 0.0 0.0
```

```
End
 Atoms
  Au 0.000000 0.000000 0.000000
End
Engine Band
 NuclearModel Gaussian
 Efg
  Enabled True
 End
 Atensor
  Enabled True
 Unrestricted
 Relativity
  Level Scalar
 PropertiesAtNuclei
  rho
  rho(deformation/scf)
  vxc[rho(fit)]
  rho(fit)
  v(coulomb)
 End
 RadialDefaults
  nr 10000
 NumericalQuality Good
 Basis
  Type TZ2P
   Core None
    gga PBE
 END
EndEngine
```

#### 10.2.8 Example: Fixing the Band gap of NiO with GGA+U

Download NiO\_Hubbard.run

```
#! /bin/sh
# With the UNRESTRICTED keyword we do a spin polarized calculation.
# With the HubbardU key block we set up the GGA+U calculation. You need to
# specify per atom type (only two here, Ni, and O) the U and the 1-value to
# which it should be applied.
$AMSBIN/ams <<eor
Task SinglePoint
System
    Ni 0.0 0.0 0.0
   0 2.085 2.085 2.085
  Lattice
    0.000 2.085 2.085
     2.085 0.000 2.085
     2.085 2.085 0.000
End
Engine Band
  Title NiO GGA+U (Hubbard)
  Unrestricted Yes
  HubbardU
    Enabled True
    PrintOccupations True
     Atom Element=Ni uValue=0.3 lValue=d
  KSpace
    Symmetric KInteg=3
     Type Symmetric
  End
    Type TZP
     Core Large
  End
   GGA Becke Perdew
  Print AtomicChargesDetails
EndEngine
```

eor

## 10.2.9 Example: Hubbard combined with spin flip

The Hubbard model can be combined with spin flip. The toy system consists of two Cr atoms and two H atoms, the spin is flipped on one of the Cr atoms.

In this example it is shown how to achieve the same using three different input methods, of which the first (atom) is almost always the most convenient.

Download HubbardInputOptions.run

```
#!/bin/bash
report=report.txt
print_header() {
  echo "* This is a fairly advanced application of the Hubbard model"
  echo "* The toy model is a Cr dimer surrounded by two H atoms"
  echo "* It is a spin polarized calculation with the spin is flipped on one of the
→Cr atoms"
  echo "* The d orbitals of the two Cr atoms get a Hubbard U correction"
  echo "* Three equivalent input setups are shown to achieve the same"
  echo "* In the output feedback is given after the header: H U B B A R D
                                                                              S E T T_
→I N G S"
  printf "\n%10s %10s %10s\n" "input" "Energy" "E(hubbard)"
myreport () {
  energy=`$AMSBIN/amsreport $AMS_JOBNAME.results -k "AMSResults%Energy#10.6f"`
  hubbard_energy=`$AMSBIN/amsreport $AMS_JOBNAME.results/band.rkf -k "Bond energy_
→terms%Hubbard Energy#10.6f"`
  printf "%10s %10s %10s\n" $1 $energy $hubbard_energy
print_header > $report
# Method 1, set hubbard for all Cr atoms
export AMS_JOBNAME=inp=atom
$AMSBIN/ams --delete-old-results <<EOF
Task SinglePoint
System
  Atoms
            0.0000
                       0.0000
                                   0.0000
            10.0
                       0.0
                                   0.0
            0.0000
                       0.0000
                                   1.6790 region=SpinFlip
      Cr
            -10.0
                       0.0
                                   0.0
      Н
```

```
End
End
Engine band
  Unrestricted yes
  Convergence SpinFlipRegion=SpinFlip
  HubbardU
    Enabled yes
     PrintOccupations No
     Atom Element=Cr UValue=0.02 lValue=d
  End
EndEngine
EOF
myreport "atoms" >> $report
# Method 2, set hubbard for atoms in a region
export AMS_JOBNAME=inp=region
$AMSBIN/ams --delete-old-results <<EOF
Task SinglePoint
System
  Atoms
     Cr 0.0000 0.0000 0.0000 region=AllCr

H 10.0 0.0 0.0

Cr 0.0000 0.0000 1.6790 region=SpinFlip,AllCr

H -10.0 0.0 0.0
   End
End
Engine band
  Unrestricted yes
  Convergence SpinFlipRegion=SpinFlip
  HubbardU
     Enabled yes
      PrintOccupations No
      Region Name=AllCr UValue=0.02 lValue=d
   End
EndEngine
EOF
myreport "regions" >> $report
# Method 3: the old and inconvenient way, relying on knowledge of Band's internal.
```

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```
→atom type system.
export AMS_JOBNAME=inp=obsolete
$AMSBIN/ams --delete-old-results <<EOF
Task SinglePoint
System
  Atoms
    Cr 0.0000 0.0000 0.0000

H 10.0 0.0 0.0

Cr 0.0000 0.0000 1.6790 region=SpinFlip

H -10.0 0.0 0.0
End
Engine band
  Unrestricted yes
  Convergence SpinFlipRegion=SpinFlip
  HubbardU
    Enabled yes # band sees three types, 1 and 3 are the Cr atoms
     PrintOccupations No
     UValue 0.02 0.0 0.02
     lValue 2 -1
  End
EndEngine
EOF
myreport "obsolete" >> $report
echo "begin report"
cat $report
echo "end report"
```

## 10.2.10 Example: Fixing the band gap of ZnS with the TB-mBJ model potential

Download ZnS\_ModelPotential.run

```
#! /bin/sh

# With the XC subkey model we invoke the so-called TB-mBJ model potential, which
# increases band gaps for solids.

AMS_JOBNAME=TB-mBJ $AMSBIN/ams <<eor
Task SinglePoint</pre>
```

```
System
  ATOMS
   Zn 0.0000 0.0000 0.0000
S 1.3525 1.3525 1.3525
  END
  Lattice
   0.000 2.705 2.705
    2.705 0.000 2.705
     2.705 2.705 0.000
  End
End
Engine Band
  TITLE ZnS pot=TB-mBJ
  scf method=DIIS
   model TB-mBJ
  Basis
  Type DZ
Core Large
  End
EndEngine
eor
AMS_JOBNAME=GLLB-SC $AMSBIN/ams <<eor
Task SinglePoint
System
  ATOMS
   Zn 0.0000 0.0000 0.0000
   s 1.3525 1.3525 1.3525
  END
  Lattice
   0.000 2.705 2.705
    2.705 0.000 2.705
    2.705 2.705 0.000
  End
End
Engine Band
  TITLE ZnS pot=GLLB-SC
  scf method=DIIS
   model GLLB-SC
  END
  Basis
  Type DZ
```

```
Core Large
EndEngine
eor
AMS_JOBNAME=1b94 $AMSBIN/ams <<eor
Task SinglePoint
System
  Atoms
  H 0.00000000 0.00000000 -0.370500000
H 0.00000000 0.00000000 0.370500000
End
Engine Band
  Title H2 pot=lb94
   scf method=DIIS
     model 1b94
   end
   Basis
    Type TZP
    Core Large
  End
EndEngine
```

## 10.2.11 Example: DFT-1/2 method for Silicon

Download DFTHalf\_Si.run

```
#! /bin/sh

"$AMSBIN/ams" <<eor

Task SinglePoint
System
   Atoms
        Si -0.67875 -0.67875 -0.67875
        Si 0.67875 0.67875 0.67875
   End
   Lattice
        0.0 2.715 2.715
        2.715 0.0 2.715
        2.715 2.715 0.0
   End
End
End
Engine BAND
```

# 10.3 Precision and performance

#### 10.3.1 Example: Convenient way to specify a basis set

Download BasisDefaults.run

```
#! /bin/sh
# This example shows some of the flexibility of the Basis key. The
# defaults are set to a DZ basis set with a Large frozen core. As the example
# shows, it is possible to override the defaults per atom type or to directly
# specify basis set files for particular atom types.
$AMSBIN/ams <<eor
Task SinglePoint
System
  Atoms [Bohr]
   C 0.0 0.0 0.0
    O.large_basis 0.0 2.13 0.0
    H.large_basis 4.0 0.0 0.0
     H.custom 4.0 1.43 0.0
  End
End
Engine Band
  Title CO + H2: fine tuning the basis defaults
  NumericalQuality Basic
  Basis
  ! Cheap defaults
```

```
Type DZ
Core Large
PerAtomType Symbol=C Core=None ! This C has no frozen core
PerAtomType Symbol=O.large_basis Type=TZ2P ! This O with a larger basis
PerAtomType Symbol=H.large_basis Type=V ! This one also with a larger.

Symbol=H.custom File=DZ/H
End
EndEngine
eor
```

#### 10.3.2 Example: Tuning precision and performance

Download Peptide\_NumericalQuality.run

```
#! /bin/sh
# This example shows how to tune the numerical quality of the calculation. This
# will influence both efficiency and accuracy of the calculation.
$AMSBIN/ams <<eor
Task SinglePoint
System
  ATOMS
    C -2.543276676 0.646016253 -0.226282061
     C -1.380007216 -0.349821933 -0.099968062
     C 1.066549862 -0.581911934 -0.064823014
     C 2.223931363 0.423839954 -0.118070453
     N -0.149937993 0.193000383 -0.179010633
     N 3.452833267 -0.128914507 -0.101813389
     0 -1.589886979 -1.564606357 0.062390357
       2.010772661
                      1.647347397 -0.186192833
     \circ
                      1.422845016
                                   0.554868474
     H -2.480330907
                                  -0.018098016
     Η
        3.629655835
                    -1.142731500
       -2.511564496
                      1.180719545
                                    -1.193540463
        0.024515371
                      1.206808884 -0.244500253
     H 1.160598100 -1.320381370 -0.884522980
     H 1.071343640 -1.136930542 0.888913220
  END
  Lattice
    7.211585775 0.00000000 0.000000000
  End
End
Engine Band
  TITLE Quality
  NumericalQuality Normal
  ZlmFit
    Quality Normal
  End
```

```
BeckeGrid
Quality Basic
End

KSpace
Quality Basic
End

SoftConfinement
Quality VeryGood
End

Basis
Type DZ
Core Large
End

Relativity Level=None

XC
GGA PBE
END
EndEngine
eor
```

#### 10.3.3 Example: Multiresolution

Download Multiresolution H2O.run

```
#! /bin/sh
# This example demonstrates how to use different levels of numerical precision
# for different regions, with the aim of increasing computational efficiency.
# Let us assume that we are interested in having an accurate description only
# for a subregion of a large chemical system (in this simple example, the
# central water molecule). The system can be divided into sub-regions and
# different levels of numerical accuracy can be used for each of these sub-
# regions.
# In this example we will tweak:
# - the basis set (Basis)
# - the numerical integration (BeckeGrid)
# - the density fitting for Coulomb potential (ZlmFit)
# - the fit-set used in the Hartree-Fock Resolution of identity (RIHartreeFock)
# Note: For the regions for which no quality has been explicitly defined
# through a QualityPerRegion keyword, the quality defined in NumericalQuality
# will be used (Normal, in this example).
$AMSBIN/ams <<eor
Task SinglePoint
System
```

```
Atoms
   0.0000000
                     0.00000000
                                   0.00000000
                                               region=Accurate
   Н 0.40399229
                    -0.65578342
                                   0.63241539 region=Accurate
   Н
      0.81410032
                     0.55624569
                                   -0.23129097
                                               region=Accurate
                    -0.08473104
      -3.02535626
                                  -0.47678489
                     0.62644005
                                   0.07759386
      -2.56531481
    Η
      -2.25289644
                                   -0.80790649
    Н
                     -0.61700366
       2.95394790
                     -0.54939973
                                   -0.38206034
                     -0.21304908
   Н
       3.91427727
                                   -0.44738291
                     -1.13241278
   H
       2.87780992
                                   -1.20853726
                     -0.56764616
-0.46969096
                                  -0.02016682 region=Far
   0 -5.95425742
   н -5.26308282
                                   0.69255963 region=Far
   н -5.42117992
                    -0.54361203
                                  -0.86443121 region=Far
   0 6.25171470 -0.62004899
                                  -0.03702467 region=Far
   H 6.16508647 -1.38696453 0.58541903 region=Far
H 7.09161199 -0.16700550 0.23679419 region=Far
 End
End
Engine Band
   LibXC B3LYP
  End
  · ------
  ! Set different basis sets for atoms in different regions:
  ! -----
  Basis
    Type DZ
    Core None
    PerRegion Region=Accurate Type=TZP
    PerRegion Region=Far Type=SZ
  End
  ! Set the NumerialQuality to be used for the atoms that are not
  ! explicitly defined through a QualityPerRegion keyword
  NumericalQuality Normal
  ! -----
  ! Numerical integration:
  | -----
    QualityPerRegion Region=Accurate Quality=Good
    QualityPerRegion Region=Far Quality=Basic
  End
  · -----
  ! Density fitting for Coulomb potential:
  ZlmFit
```

#### 10.3.4 Example: BSSE correction

Download BSSE.run

```
#!/bin/bash
# This example shows how to calculate the basis set superposition error for the
# interaction of CO with H2. In this shell script we loop over progressively
# better basis sets.
for bas in DZ TZ2P QZ4P
$AMSBIN/ams <<eor
Task SinglePoint
System
 Atoms [Bohr]
   C 0 0 0
   0 2.13 0 0
  н 0 0 6
  н 1.5 0 6
 End
End
Engine Band
 Basis
  Type $bas
End
EndEngine
ECOH2=`$AMSBIN/amsreport ams.results/band.rkf -r 'Bond energies%final bond energy'`
rm -r ams.results
$AMSBIN/ams <<eor
Task SinglePoint
```

```
System
 Atoms [Bohr]
  н 0 0 6
  н 1.5 0 6
End
End
Engine Band
Basis
  Type $bas
 End
EndEngine
EH2=`$AMSBIN/amsreport ams.results/band.rkf -r 'Bond energies%final bond energy'`
rm -r ams.results
$AMSBIN/ams <<eor
Task SinglePoint
System
Atoms [Bohr]
  Gh.C 0 0 0
  Gh.O 2.13 0 0
  н 0 0 6
  н 1.5 0 6
End
End
Engine Band
 Basis
  Type $bas
End
EndEngine
eor
EH2_GHOST_CO=`$AMSBIN/amsreport ams.results/band.rkf -r 'Bond energies%final bond_
→energy'`
rm -r ams.results
$AMSBIN/ams <<eor
Task SinglePoint
System
 Atoms [Bohr]
   C 0 0 0
   0 2.13 0 0
 End
End
Engine Band
Basis
  Type $bas
End
EndEngine
```

```
ECO=`$AMSBIN/amsreport ams.results/band.rkf -r 'Bond energies%final bond energy'`
rm -r ams.results
$AMSBIN/ams <<eor
Task SinglePoint
System
 Atoms [Bohr]
  C 0 0 0
  0 2.13 0 0
   Gh.H 0 0 6
  Gh.H 1.5 0 6
 End
End
Engine Band
 Basis
   Type $bas
 End
EndEngine
eor
ECO_GHOST_H2=`$AMSBIN/amsreport ams.results/band.rkf -r 'Bond energies%final bond_
→energy'`
rm -r ams.results
EV=27.212
echo "Start report"
echo "basis set: $bas"
echo "H2 + CO : $ECOH2"
echo "H2 : $EH2"
echo "H2 (with ghost CO) : $EH2_GHOST_CO"
echo "CO : $ECO"
echo "CO (with ghost H2) : $ECO_GHOST_H2"
BSSEEV=`$AMSBIN/amspython -c "print (( $EH2 - $EH2_GHOST_CO + $ECO_FHOST_H2 ) *
→$EV) "`
echo "BSSE correction: $BSSEEV (eV)"
BOND1EV=`$AMSBIN/amspython -c "print (( $ECOH2 - $EH2 - $ECO ) *$EV)"`
BOND2EV=`$AMSBIN/amspython -c "print ($BOND1EV + $BSSEEV)"`
echo "Bond energy: $BOND1EV (eV)"
echo "Bond energy + BSSE: $BOND2EV (eV)"
echo "End report"
done
```

#### 10.3.5 Example: Speed up SCF during geometry optimization

Generally the SCF converges more quickly when using a finite electronic temperature.

In this example it is shown (for a toy system that does not need the trick) how this can be done.

The report shows how the value of kT varies during a geometry optimization.

Download report BandAutomations.txt

```
We use a gradient dependent KT value (finite electronic temperature)
The value of kT gets progressively lower during the optimization
For two optimizers we do 3 steps and they do not converge. Yet the last single point.
\rightarrowshould be done at KTlow=0.001
kT series for optimizer: Quasi-Newton
0.010000
0.007196
0.005094
0.002040
0.001000
0.001000
(the last kT should be 0.001)
scf converge serie for optimizer: Quasi-Newton
1.0E-03
1.0E-06
1.0E-06
1.0E-06
1.0E-06
kT series for optimizer: FIRE
0.010000
0.010000
0.010000
0.009000
0.007105
0.004077
0.001000
(the last kT should be 0.001)
scf converge serie for optimizer: FIRE
1.0E-03
1.0E-06
1.0E-06
1.0E-06
1.0E-06
1.0E-06
```

Download BandAutomations.run

```
#!/bin/bash (continues on next page)
```

```
# the System is extremely artificial but the calculation points out something useful
# The system has two CO molecules, one of which is compressed.
# We freeze the coordinates of the compressed CO molecules
# We define a gradient dependent electronic temperature (excluding the gradient of...
→the constrained atoms)
# When far from convergence a higher value is used to ease SCF convergence (not_
→relevant to this system)
# When the gradients become small the temperature is lowered, so that is will have-
→negligible influence on the energy
# Here we let on purpose not converge the geometry optimization
# The final calculation should be performed as a normal single point and we_
→explicitly set in band the ElectronicTemperature to 0.001
report=report.txt
echo "We use a gradient dependent KT value (finite electronic temperature)" > $report
printf "\nThe value of kT gets progressively lower during the optimization\n\n" >>
printf "\nFor two optimizers we do 3 steps and they do not converge. Yet the last...
→single point should be done at KTlow=0.001\n\n" >> $report
for optim in Quasi-Newton FIRE
do
export AMS_JOBNAME=test.optim=$optim
rm -rf $AMS JOBNAME.results
$AMSBIN/ams<<EOF
  Debug AutomationInteractionModule
Task GeometryOptimization
GeometryOptimization
 Method $optim
  MaxIterations 5
  EngineAutomations
     Gradient variable=Convergence%ElectronicTemperature InitialValue=0.01_
→FinalValue=0.001 HighGradient=0.1 LowGradient=1.0e-3
     Iteration variable=Convergence%Criterion InitialValue=1.0e-3 FinalValue=1.0e-6_
→FirstIteration=0 LastIteration=1
     Iteration variable=SCF%Iterations InitialValue=1 FinalValue=300_
→FirstIteration=0 LastIteration=1
  End
End
Constraints
  At.om 3
  Atom 4
End
```

```
System
  Atoms
     C 0.0 0.0 0.0
     0 1.13 0.0 0.0
     C 0.0 5.0 0.0
     0 1.0 5.0 0.0
End
Engine Band
  Basis Type=DZ
  Convergence
     ElectronicTemperature 0.001
     NumBoltz 100
  NumericalQuality Basic
EndEngine
EOF
echo "kT series for optimizer: $optim" >> $report
grep "temperature kT" $AMS_JOBNAME.results/ams.log | awk '{print $NF}' >> $report
echo "(the last kT should be 0.001)" >> $report
echo "">>$report
echo "">>$report
echo "scf converge series for optimizer: $optim" >> $report
grep "automated value for Convergence%Criterion" $AMS_JOBNAME.results/ams.log | awk '
\hookrightarrow{print $NF}' >> $report
echo "">>$report
tmp='.0'
echo "">>$report
echo "scf max Iterations series for optimizer: $optim" >> $report
echo " (converted to a real number to be able to catch a diff)" >> $report
grep "automated value for SCF%Iterations" $AMS_JOBNAME.results/ams.log | awk '{print
→$NF ".0"}' >> $report
echo "">>$report
done
echo "begin report"
cat $report
echo "end report"
```

#### 10.4 GTO

# 10.4.1 Example: eigenvalue-only self-consistent GW@PBE calculation: Ne with GTO type basis set

Download GW\_Ne\_GTO.run

```
#! /bin/sh
# GW calculation for Water. By default. The highest 5 occupied and lowest 5
# unoccupied states are calculated.
# We use an all-electron basis set since core-correlation effects are important.
# A large basis set is recommended for relatively well converged QP energies.
# With the 5Z GTO type basis set, we expect the QP energies to be converged
# within 0.1 eV.
# Fot this example we will use the GGA PBE.
# This is NOT a recommended starting point for a GOWO calculation.
# However, the eigenvalue-only self-consistency removes most of the
# starting point dependence of the functional.
# Thererfore, PBE is a reasonable choice.
# RECOMMENDED: VeryGood numerical quality,
# especially in self-consistent GW calculations.
# We calculate the auxiliary fit set from products of primary basis functions.
# When the primary basis contains functions with angular momenta of 1=5, like
# the cc-pV5Z one, we need functions in the fit with angular momenta of 1=10.
# Building the auxiliary basis from fit functions ensures this.
$AMSBIN/ams << eor
Symmetry
 SymmetrizeTolerance 0.001
End
System
 Atoms
   Ne 0.0 0.0 0.0
 Symmetrize Yes
End
task SinglePoint
Engine band
 Basis
   Core None
   Type GTO/CC-PVTZ
 NumericalQuality VeryGood
 Dependency
    AllowBasisDependency True
    basis 1e-4
```

```
End
 RIHartreeFock
  DependencyCoreRange 0.0
  FitGenerationDetails
     Method FromBasisProducts
     OneCenterDependencyThreshold 1e-08
   FitSetQuality FromBasisProducts
 End
 SoftConfinement
  Quality Excellent
 relativity
   level None
 usesymmetry False
  gga PBE
 end
 GW
   nStates 3
    selfconsistency evGW
 END
EndEngine
```

#### 10.5 Restarts

#### 10.5.1 Example: Restart the SCF

Download RestartSCF.run

(continues on next page)

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```
System
  Lattice [Bohr]
    4.0 0.0 0.0
  Atoms [Bohr]
    в 0.0 0.0 0.0
End
Engine Band
  Title B chain
  NumericalQuality Good
  skip dos
   GGA Becke Perdew
   END
  UNRESTRICTED
  Relativity Level=None
  DITS
   NCycleDamp 0
     Adaptable false ! Otherwise it converges to a spin-restricted solution
  End
  Basis
    Type TZ2P
    Core Large
  End
EndEngine
eor
# --- let us first try a EngineRestart
AMS_JOBNAME=restart_engine $AMSBIN/ams <<eor
Task SinglePoint
EngineRestart BChain.results/band.rkf
System
  Lattice [Bohr]
   4.0 0.0 0.0
  Atoms [Bohr]
   в 0.0 0.0 0.0
  End
End
Engine Band
Title B chain restart via EngineRestart
```

```
NumericalQuality Good
    GGA Becke Perdew
  END
  UNRESTRICTED
  Relativity Level=None
  Basis
   Type TZ2P
   Core Large
EndEngine
eor
                 ----- second run -----
AMS_JOBNAME=restart_1 $AMSBIN/ams <<eor
Task SinglePoint
System
  Lattice [Bohr]
    4.0 0.0 0.0
  Atoms [Bohr]
   в 0.0 0.0 0.0
  End
End
Engine Band
  Title B chain restart
  NumericalQuality Good
   GGA Becke Perdew
  END
  UNRESTRICTED
  Relativity Level=None
  Restart
  File BChain.results/band.rkf
    scf
  end
  Basis
   Type TZ2P
    Core Large
  End
EndEngine
                                                                      (continues on next page)
```

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```
----- third run
AMS_JOBNAME=BChain_SZ $AMSBIN/ams <<eor
Task SinglePoint
System
 Lattice [Bohr]
  4.0 0.0 0.0
  Atoms [Bohr]
   в 0.0 0.0 0.0
  End
End
Engine Band
  Title B chain bas_SZ
  NumericalQuality Good
  Save DensityMatrix
  skip dos
   GGA Becke Perdew
  END
  UNRESTRICTED
  Relativity Level=None
  DIIS
   NCycleDamp 0
    DiMix 0.3
    Adaptable false! Otherwise it converges to a spin-restricted solution
  End
  Basis
   Type SZ
   Core Large
EndEngine
                ----- fourth run -----
AMS_JOBNAME=restart_2 $AMSBIN/ams <<eor
Task SinglePoint
System
Lattice [Bohr]
```

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```
4.0 0.0 0.0
  Atoms [Bohr]
   B 0.0 0.0 0.0
End
Engine Band
  Title B chain restart bas_SZ from density matrix
  NumericalQuality Good
  XC
   GGA Becke Perdew
  UNRESTRICTED
  Relativity Level=None
  Restart
   File BChain_SZ.results/band.rkf
    useDensityMatrix true
  end
  Basis
   Type SZ
   Core Large
  End
EndEngine
eor
          ----- fifth run
AMS_JOBNAME=BChain_TZ2P $AMSBIN/ams <<eor
Task SinglePoint
System
  Lattice [Bohr]
   4.0 0.0 0.0
  Atoms [Bohr]
   в 0.0 0.0 0.0
  End
End
Engine Band
 Title B chain restart bas=TZ2P from orbitals
  NumericalQuality Good
   GGA Becke Perdew
  END
                                                                    (continues on next page)
```

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```
UNRESTRICTED
  Relativity Level=None
  Restart
    File BChain_SZ.results/band.rkf
    useDensityMatrix false
  Basis
  Type TZ2P
Core Large
  End
EndEngine
eor
# ----- sixth run -----
$AMSBIN/ams <<eor
Task SinglePoint
System
 Lattice [Bohr]
  4.0 0.0 0.0
  Atoms [Bohr]
   в 0.0 0.0 0.0
  End
End
Engine Band
  Title B chain restart bas=TZ2P from density matrix (bas_SZ)
  NumericalQuality Good
  XC
   GGA Becke Perdew
  UNRESTRICTED
  Relativity Level=None
  Restart
   File BChain_SZ.results/band.rkf
    useDensityMatrix true
  end
  Basis
  Type TZ2P
   Core Large
EndEngine
eor
```

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#### 10.5.2 Example: Restart SCF for properties calculation

Download RestartProperties.run

```
#!/bin/sh
# This example shows how to restart the SCF and compute various properties, like
# a density of states, and a band structure plot, or the effective mass.
# -----
# polyethylene .xyz file:
# -----
cat <<eor > polyethylene.xyz
      -0.623348981 -0.055000000 0.425969423

      0.633348981
      0.015000000
      -0.422636089

      -0.633348981
      0.964974570
      1.055290696

      -0.623348981
      -0.914974570
      1.055290696

       0.633348981 0.904974570 -1.051957363
0.613348981 -0.914974570 -1.061957363
VEC1 2.553395923 0.000000000 0.000000000
eor
# Simple single point calculation (no properties)
AMS_JOBNAME=ToBeRestarted $AMSBIN/ams <<eor
Task SinglePoint
System
 GeometryFile polyethylene.xyz
End
Engine Band
 Unrestricted True
EndEngine
# -----
# Restart and compute some properties
AMS_JOBNAME=prop $AMSBIN/ams <<eor
Task SinglePoint
System
 GeometryFile polyethylene.xyz
End
Engine Band
 Unrestricted True
  Restart
                                                                                   (continues on next page)
```

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```
SCF
   File ToBeRestarted.results/band.rkf
 DOS
   CalcDOS True
 BandStructure
   Enabled True
   DeltaK 0.3
  EnergyAboveFermi 10.0
 EffectiveMass
   Enabled True
 End
EndEngine
eor
echo 'Extract some properties from the rkf file:'
echo "Density of States:"
$AMSBIN/amsreport prop.results/band.rkf -r 'DOS%Total DOS##1'
echo "Band curve:"
$AMSBIN/amsreport prop.results/band.rkf -r 'band_curves%Edge_1_bands##1'
echo "Fab bands:"
$AMSBIN/amsreport prop.results/band.rkf -r 'band_curves%Edge_1_fatBands##1'
echo "Effective Mass:"
$AMSBIN/amsreport prop.results/band.rkf -r 'EffectiveMass%EffectiveMasses##1'
echo 'Done extracting properties'
```

#### 10.5.3 Example: Properties on a grid

Download BeO\_tape41.run

```
#!/bin/sh

# Saving the RUNKF file of a calculation gives rise to the opportunity to
# restart from it to calculate properties on a grid, like densities, potentials,
# or crystal orbitals. Find more details in the user documentation (Restarts).

# Regarding the following example, in the first run we perform a single-point
# calculation for a bulk BeO system. After the calculation finished the RUNKF
# file shall be renamed to BeO.kf. In the second run we restart from this
# file. We specify to use a regular grid and ask the program to calculate a
# bunch of properties on that grid.

# == First Job: ==
```

```
AMS_JOBNAME=First $AMSBIN/ams <<eor
Task SinglePoint
System
 FractionalCoords True
 Atoms
 Be 0.
                  0.
 Be 0.333333333333 0.333333333333 0.5
  0 0. 0. 0.375
 0 0.33333333333 0.33333333333 0.875
 END
 Lattice [Bohr]
  5.10 0
  2.55 4.416729559300 0
  0 0 8.328265125462
 End
End
Engine Band
 Title BeO
 NumericalQuality Basic
  GGA BP86
 end
 Basis
  Type DZ
  Core large
EndEngine
eor
# == Second Job: ==
AMS_JOBNAME=Second $AMSBIN/ams <<eor
Task SinglePoint
System
 FractionalCoords True
 Atoms
  Be 0.333333333333 0.333333333333 0.5
          0.
  0 0.33333333333 0.33333333333 0.875
 END
 Lattice [Bohr]
  2.55 4.416729559300 0
              8.328265125462
                                                                (continues on next page)
```

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```
End
End
Engine Band
 Title BeO_restart
  Restart
   File First.results/band.rkf
   DensityPlot
  End
  Grid
  Type Coarse
  DensityPlot
   rho(deformation/fit) ! FITDENSITY_deformation_scf
     rho(fit) ! FITDENSITY_total_scf
rho(atoms) ! ATOMIC_density
v(coulomb/atoms) ! ATOMIC_coulombPot
v(coulomb) ! COULOMBPOTENTIAL_scf
     v(coulomb) ! COULOMBPOTENTIAL
vxc[rho(fit)] ! XCPOTENTIAL_scf
                             ! Electron Energy Density
     X
                   ! Electron Energy Density, using the fit
     X(fit)
  NumericalQuality Basic
   GGA BP86
  end
  Basis
   Type DZ
   Core large
 end
EndEngine
eor
NSCM=1
export NSCM
echo ""
echo "Begin TOC of tape41"
$AMSBIN/dmpkf -n 1 Second.results/TAPE41 --toc
echo "End TOC of tape41"
```

### 10.5.4 Example: DOS and BandStructure from a previous calculation

It is quite likely that you did a calculation, but forgot to request the DOS or BandStructure. Or maybe you did but want to use different settings.

Fortunately it is possible to calculate these directly from the previous calculation. The traditional way was to restart the SCF, but this is no longer needed.

With the restart of the DOS it has become possible to calculate the DOS with a finer k-space sampling. In this example it is shown that the DOS calculated this way matches well the DOS obtained from a full calculation with a dense k-grid (for both the SCF and DOS).

The example below features some concepts

- use environment variables to control in one place repeated settings
- DOS calculated on a fixed grid. This is not really needed, but it makes the comparison between different DOS results much more stable.
- KSpace%Regular%DoubleCount key. Useful when you want to check against a k-grid that is twice as dense.
- A text report is created, useful for automatic testing.
- -delete-old-results. Allow ams to overwrite an existing results folder.

Download RestartDosAndBandStructure.run

```
#!/bin/bash
# some env. variables to control settings
k_quality=Normal
DOSLINE="DOS CalcPDos=true Energies=300 AbsoluteMaxMin=yes Min=-0.3 Max=0.2"
BANDSTTRUCTURELINE="BandStructure Enabled=true DeltaK=0.03"
cat << eor > convergence.inc
SCF Method=DIIS
Convergence Criterion=1e-8
eor
report=report.txt
echo "" > $report
base=test.unr=$unr.k_quality=$k_quality
export AMS JOBNAME=$base
# First calculation, where we "forget" to request the PDOS and BandStructure, or did-
→not satisfactory settings for those.
$AMSBIN/ams --delete-old-results << eor
Task SinglePoint
System
   Atoms
       Cu -1.014698231 -0.585836297
                                             0.414248818
              1.014698231
                              0.585836297
                                             -0.414248818
   End
   Lattice
```

(continues on next page)

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```
4.0587929240.0000000002.0293964623.515017781
                                              0.000000000
                                               0.000000000
    End
End
Engine Band
Unrestricted $unr
KSpace Quality=$k_quality
@include convergence.inc
$DOSLINE
$BANDSTTRUCTURELINE
EndEngine
eor
export AMS_JOBNAME=$base.betterk
\# We could have done the calculation with a better k-grid
$AMSBIN/ams --delete-old-results << eor
Task SinglePoint
System
   Atoms
       Cu -1.014698231 -0.585836297 0.414248818
Ni 1.014698231 0.585836297 -0.414248818
   End
   Lattice

      4.058792924
      0.000000000
      0.00000000

      2.029396462
      3.515017781
      0.000000000

    End
End
Engine Band
Unrestricted $unr
KSpace
    Quality $k_quality
    Regular DoubleCount=1 # use a twice as dense k-grid. You could also use a better_
→KSpace%Quality, or set a more dense grid otherwise.
End
@include convergence.inc
$DOSLINE
$BANDSTTRUCTURELINE
```

Chapter 10. Examples

```
EndEngine
eor
$AMSBIN/amspython $AMSHOME/scripting/tools/comparekf.py --dot-product $base.results/
→band.rkf $base.betterk.results/band.rkf "DOS%Total DOS" >> $report
# We can request the dos and band structure simply restarting the SCF.
export AMS_JOBNAME=$base.restartscf
$AMSBIN/ams --delete-old-results << eor
Task SinglePoint
LoadSystem
 File $base.results/ams.rkf
Engine Band
Unrestricted $unr
KSpace Quality=$k_quality
Restart
  File $base.results/band.rkf
   SCF true
End
@include convergence.inc
$DOSLINE
$BANDSTTRUCTURELINE
EndEngine
eor
# We can also request both the DOS and BandStructure in a restart, avoiding.
\rightarrow restarting the SCF
export AMS_JOBNAME=$base.restartdos
$AMSBIN/ams --delete-old-results << eor
Task SinglePoint
LoadSystem
File $base.results/ams.rkf
Engine Band
                                                                           (continues on next page)
```

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```
Unrestricted $unr
KSpace Quality=$k_quality
Restart
  File $base.restartscf.results/band.rkf
                                            # can as well be restarted from
→$base.results/band.rkf. this restart used for the most precise comparison
   BandStructure true
End
@include convergence.inc
$DOSLINE
$BANDSTTRUCTURELINE
EndEngine
eor
$AMSBIN/amspython $AMSHOME/scripting/tools/comparekf.py --dot-product $base.results/
→band.rkf $base.restartscf.results/band.rkf "DOS%Total DOS" >> $report
$AMSBIN/amspython $AMSHOME/scripting/tools/comparekf.py --dot-product $base.results/
→band.rkf $base.restartdos.results/band.rkf "DOS%Total DOS" >> $report
$AMSBIN/amspython $AMSHOME/scripting/tools/comparekf.py --dot-product $base.
→restartscf.results/band.rkf $base.restartdos.results/band.rkf "DOS*Total DOS" >>
⇒$report
# We can even use a better k-space sampling which improves the DOS. BandStructure not.
→affected.
export AMS_JOBNAME=$base.restartdosbetterk
$AMSBIN/ams --delete-old-results << eor
Task SinglePoint
LoadSystem
File $base.results/ams.rkf
Engine Band
Unrestricted $unr
KSpace
   Quality $k_quality
   Regular DoubleCount=1 # use a twice as dense k-grid. You could also use a better_
→KSpace%Quality, or set a more dense grid otherwise.
End
Restart
  File $base.results/band.rkf
   Dos true
   BandStructure true
```

#### **10.6 NEGF**

#### 10.6.1 Example: Main NEGF flavors

Download NEGF\_Cr\_wire.run

(continues on next page)

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```
ATOMS
   Cr.1 0.0 0.0 0.0
   Cr.2 2.5 0.0 0.0
 END
 Lattice
  5.0 0.0 0.0
End
Engine Band
 Title method_1_run_1
 KSpace
  Quality Good
 NumericalQuality Basic
 SCF Method=DIIS
 Basis
  Type DZ
   Core Large
 Unrestricted
 StoreHamiltonian2
EndEngine
eor
# ================= Method #1. Run #2 =======================
AMS_JOBNAME=scattering_1 $AMSBIN/ams <<eor
Task SinglePoint
System
    Cr.1L -10.0 0.0 0.0
    Cr.2L -7.5 0.0 0.0
    Cr.C -5.0 0.0 0.0
    Cr.C -2.5 0.0 0.0
    Cr.C 0.0 0.0 0.0
    Cr.C 2.5 0.0 0.0
    Cr.C 5.0 0.0 0.0
    Cr.1R 7.5 0.0 0.0
    Cr.2R 10.0 0.0 0.0
 END
 Lattice
  22.5 0.0 0.0
 End
End
Engine Band
```

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```
Title method_1_run_2
 NumericalQuality Basic
 SCF Method=DIIS
 Basis
   Type DZ
   Core Large
 Unrestricted
 StoreHamiltonian2
 StoreHamAsMol
EndEngine
eor
$AMSBIN/conductance <<EOF
EnergyGrid min=-5 max=5 num=200
Files
 Leads lead_1.results/band.rkf
 Scattering scattering_1.results/band.rkf
End
EOF
# Copy the content of the "results" section from ConductanceResults.kf to band.rkf_
→and rename the section to NEGF
$AMSBIN/cpkf "ConductanceResults.kf" "scattering_1.results/band.rkf" "results --
→rename NEGF"
echo "Extract transmisstion from rkf file (Method 1)"
$AMSBIN/amsreport scattering_1.results/band.rkf -r "NEGF%transmission#12.5f##1"
      Method #2: self consistent NEGF without alignment
# ----- Method #2. Run #1 -----
AMS_JOBNAME=lead_2 $AMSBIN/ams <<eor
Task SinglePoint
System
 ATOMS
    Cr.1 0.0 0.0 0.0
    Cr.2 2.5 0.0 0.0
 Lattice
                                                               (continues on next page)
```

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```
5.0 0.0 0.0
End
Engine Band
 Title method_2_run_1
 KSpace
  Quality Good
 NumericalQuality Basic
 SCF Method=DIIS
 Basis
  Type DZ
  Core Large
 Unrestricted
 StoreHamiltonian2
EndEngine
eor
              ========= Method #2. Run #2 =======
$AMSBIN/sgf <<eor
TITLE Test for NEGF inputs
SAVE SIGMA
SURFACEGF
  RKFFileName lead_2.results/band.rkf
  SCMCode
  KT 0.001
  ContourQuality normal
END
eor
mv SigmaSCM Sigma.kf
# ======== Method #2. Run #3 ===============
AMS_JOBNAME=scattering_2 $AMSBIN/ams <<eor
Task SinglePoint
System
 ATOMS
    Cr.1L -10.0 0.0 0.0
    Cr.2L -7.5 0.0 0.0
    Cr.C -5.0 0.0 0.0
    Cr.C -2.5 0.0 0.0
     Cr.C 0.0 0.0 0.0
     Cr.C 2.5 0.0 0.0
     Cr.C 5.0 0.0 0.0
```

```
Cr.1R 7.5 0.0 0.0
    Cr.2R 10.0 0.0 0.0
 END
End
Engine Band
 Title method_2_run_3
 NumericalQuality Basic
 SCF Method=DIIS
 Basis
  Type DZ
  Core Large
 Unrestricted
 NEGE
  LeadFile lead_2.results/band.rkf
  SGFFile Sigma.kf
ApplyShift2 False
  ContourQuality normal
  EMin -5
  EMax
  NE 200
End
EndEngine
eor
echo "Extract transmisstion from rkf file (Method 2)"
$AMSBIN/amsreport scattering_2.results/band.rkf -r "NEGF%transmission#12.5f##1"
            Method #3: self consistent NEGF wit alignment run
# -----
AMS_JOBNAME=lead_3 $AMSBIN/ams <<eor
Task SinglePoint
System
   Cr.1 0.0 0.0 0.0
    Cr.2 2.5 0.0 0.0
 END
 Lattice
 5.0 0.0 0.0
 End
End
Engine Band
 Title method_3_run_1
```

(continues on next page)

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```
KSpace
    Quality Good
 NumericalQuality Basic
 SCF Method=DIIS
 Basis
  Type DZ
  Core Large
 End
 Unrestricted
 StoreHamiltonian2
EndEngine
eor
# ======= Method #3. Run #2 =======
$AMSBIN/sgf <<eor
TITLE Test for NEGF inputs
SAVE SIGMA
SURFACEGF
 RKFFileName lead_3.results/band.rkf
  SCMCode
 KT 0.001
  ContourQuality normal
END
mv SigmaSCM Sigma.kf
AMS_JOBNAME=align $AMSBIN/ams <<eor
Task SinglePoint
System
 ATOMS
    Cr.1L 0.0 0.0 0.0
    Cr.2L 2.5 0.0 0.0
    Cr.C 5.0 0.0 0.0
    Cr.C 7.5 0.0 0.0
    Cr.C 10.0 0.0 0.0
    Cr.C 12.5 0.0 0.0
    Cr.1R 15.0 0.0 0.0
    Cr.2R 17.5 0.0 0.0
 END
End
Engine Band
 Title method_3_run_3
NumericalQuality Basic
                                                              (continues on next page)
```

```
SCF Method=DIIS
 Basis
  Type DZ
  Core Large
 Unrestricted
 NEGF
  DoAlignment True
  LeadFile lead_3.results/band.rkf
  SGFFile Sigma.kf
  ContourQuality normal
  EMin -5.0
  EMax 5.0
  NE 200
  AlignChargeTol 0.0001
 End
EndEngine
AMS_JOBNAME=scattering_3 $AMSBIN/ams <<eor
Task SinglePoint
System
 ATOMS
    Cr.1L -10.0 0.0 0.0
    Cr.2L -7.5 0.0 0.0
    Cr.C -5.0 0.0 0.0
     Cr.C -2.5 0.0 0.0
    Cr.C 0.0 0.0 0.0
    Cr.C 2.5 0.0 0.0
    Cr.C 5.0 0.0 0.0
    Cr.1R 7.5 0.0 0.0
    Cr.2R 10.0 0.0 0.0
 END
End
engine Band
 Title method_3_run_4
 NumericalQuality Basic
 SCF Method=DIIS
 Basis
  Type DZ
  Core Large
 Unrestricted
 NEGF
                                                                (continues on next page)
```

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```
LeadFile lead_3.results/band.rkf
    SGFFile Sigma.kf
    AlignmentFile align.results/band.rkf
    ContourQuality normal
    EMin -5.0
    EMax 5.0
    NE 200
    End
EndEngine
eor

echo "Extract transmisstion from rkf file (Method 2)"

$AMSBIN/amsreport scattering_3.results/band.rkf -r "NEGF%transmission#12.5f##1"
```

#### 10.6.2 Example: NEGF with bias

Download NEGF\_bias.run

```
#! /bin/sh
# This example shows how to use the NEGF key when including a bias potential
# between the electrodes. It starts of with the usual tight-binding run,
# followed by an SGF one. The alignment run is omitted. Finally, there is a loop
# over bias potentials. Here the scale feature of the FuzzyPotential is used.
# The current is appended to a text file, which one could plot eg. with gnuplot.
# Note: Setting up a NEGF calculation is quite hard without the GUI.
AMS_JOBNAME=tight-binding $AMSBIN/ams <<eor
Task SinglePoint
System
 Atoms
     Li.1 0.0 0.0 0.0
     Li.2 2.876 0.0 0.0
     Li.3 5.752 0.0 0.0
 Lattice
  8.628 0.0 0.0
 End
End
Engine Band
 TITLE tight-binding
 KSpace
   Quality VeryGood
 End
 SoftConfinement
   Quality Basic
 End
 Basis
```

```
Type DZ
   Core Large
 End
 StoreHamiltonian2
EndEngine
$AMSBIN/sgf <<eor
TITLE Test for NEGF inputs
SAVE SIGMA
SURFACEGF
  RKFFileName tight-binding.results/band.rkf
  SCMCode
  KT 0.001
  ContourQuality normal
END
mv SigmaSCM Sigma.kf
REPORT=Li-CuAg.report
touch $REPORT
for bias in -0.01 0.01
do
AMS_JOBNAME=negf $AMSBIN/ams <<eor
Task SinglePoint
System
 ATOMS
     Li.1L -15.818 0.0 0.0
     Li.2L -12.942 0.0 0.0
     Li.3L -10.066 0.0 0.0
     Li.1C -7.19 0.0 0.0
     Li.2C -4.314 0.0 0.0
     Cu.C -0.7 -1.0 0
     Ag.C 0.7 1.0 0
     Li.3C 4.314 0.0 0.0
     Li.4C 7.19 0.0 0.0
     Li.1R 10.066 0.0 0.0
     Li.2R 12.942 0.0 0.0
      Li.3R 15.818 0.0 0.0
 END
End
Engine Band
 TITLE bias=$bias
 SoftConfinement
   Quality Basic
 End
```

(continues on next page)

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```
Basis
   Type DZ
  Core Large
 End
 NEGF
   LeadFile tight-binding.results/band.rkf
   SGFFile Sigma.kf
   EMin -5.0
   EMax 5.0
  NE 200
  ApplyShift2 False
  BiasPotential $bias
 FuzzyPotential
 scale $bias
 1 0.5
  2 0.5
  3 0.5
  4 0.5
    0.5
 6 0.2
  7 -0.2
 8 -0.5
 9 -0.5
 10 -0.5
 11 -0.5
 12 -0.5
 end
EndEngine
current=`$AMSBIN/amsreport negf.results/band.rkf 'NEGF%current'`
echo "NEGFREPORT: Bias=$bias, Current=$current" >> $REPORT
echo "start of transmission (bias=$bias)"
cat Transmission_*.plt
echo "end of transmission"
rm Transmission_*.plt
rm -r negf.results
done
echo "Start of report"
cat $REPORT
echo "End of report"
```

### 10.6.3 Example: NEGF using the non-self consistent method

Download NEGF\_Conductance.run

```
#! /bin/sh
# In this example we demonstrate how to run a Band-NEGF calculation using the non
# self consistent approach (using the conductance program). In the first example
# we study the conductivity of a mono-atomic gold chain with a CO molecule
# adsorbed on top. Such calculation consists of three separate runs. See the
# documentation for more details.
                   CO on gold chain
# ----
# Au lead
# ======
AMS_JOBNAME=Au_lead $AMSBIN/ams <<eor
Task SinglePoint
System
      Au.1 0.0 0.0 0.0
Au.2 2.884996 0.0 0.0
     Au.3 5.769992 0.0 0.0
   END
   Lattice
    8.654988 0.0 0.0
End
Engine Band
  TITLE Au_lead
    Quality VeryGood
   End
   SoftConfinement
    Quality Basic
   End
   Basis
     Type DZ
     Core Large
   StoreHamiltonian2
EndEngine
eor
# =========
# Au scattering
                                                                 (continues on next page)
```

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```
AMS_JOBNAME=Au_scattering $AMSBIN/ams <<eor
Task SinglePoint
System
  ATOMS
       Au.1L -20.194972 0.0 0.0
       Au.2L -17.309976 0.0 0.0
       Au.3L -14.42498 0.0 0.0
       Au.C -11.539984 0.0 0.0
       Au.C -8.654988 0.0 0.0
       Au.C -5.769992 0.0 0.0
       Au.C -2.884996 0.0 0.0
       Au.C 0.0 0.0 0.20
       Au.C 2.884996 0.0 0.0
       Au.C 5.769992 0.0 0.0
       Au.C 8.654988 0.0 0.0
       Au.C 11.539984 0.0 0.0

      O.C
      0.0
      0.0
      3.12

      C.C
      0.0
      0.0
      1.96

       C.C 0.0 0.0 1.96
Au.1R 14.42498 0.0 0.0
       Au.2R 17.309976 0.0 0.0
       Au.3R 20.194972 0.0 0.0
   END
   Lattice
      43.27494 0.0 0.0
   End
End
Engine Band
  TITLE Au_scattering
   SoftConfinement
    Quality Basic
   End
   Basis
      Type DZ
       Core Large
   End
   StoreHamiltonian2
   StoreHamAsMol
EndEngine
eor
# -----
# Au Conductance
# -----
$AMSBIN/conductance <<EOF
EnergyGrid min=-3.5 max=3 num=200
Files
```

```
Leads Au_lead.results/band.rkf
 Scattering Au_scattering.results/band.rkf
End
EOF
mv ConductanceResults.kf Au_ConductanceResults.kf
echo "Extract DOS from the kf file (AuCO):"
$AMSBIN/amsreport Au_ConductanceResults.kf -r "results%dos#12.5f##1"
echo "Extract the transmission from the kf file (AuCO):"
$AMSBIN/amsreport Au_ConductanceResults.kf -r "results%transmission#12.5f##1"
# -----
#
                      Spin-unrestricted Cr chain
# -----
# Cr Lead
AMS_JOBNAME=Cr_lead $AMSBIN/ams <<eor
Task SinglePoint
System
  ATOMS
      Cr.1 1.18995235 0.0 0.0
      Cr.2 4.00745359 0.0 0.0
      Cr.3 6.82495483 0.0 0.0
  END
   Lattice
    8.45250372 0.0 0.0
   End
End
Engine Band
  TITLE Cr_lead
   KSpace
      Quality VeryGood
   End
   SoftConfinement
      Quality Basic
   End
   Basis
     Type DZ
      Core Large
   UNRESTRICTED
```

(continues on next page)

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```
StoreHamiltonian2
EndEngine
eor
# Cr Scattering
AMS_JOBNAME=Cr_scattering $AMSBIN/ams <<eor
Task SinglePoint
System
  ATOMS
       Cr.1L -10.08005261 0.0 0.0
       Cr.2L -7.26255137 0.0 0.0
       Cr.3L -4.44505013 0.0 0.0
       Cr.C -1.62754889 0.0 0.0
Cr.C 1.18995235 0.0 0.0
Cr.C 4.00745359 0.0 0.0
       Cr.1R 6.82495483 0.0 0.0 Cr.2R 9.64245607 0.0 0.0
       Cr.3R 12.45995731 0.0 0.0
   END
    Lattice
     25.35751116 0.0 0.0
    End
End
Engine Band
  TITLE Cr_scattering
   KSpace
     Quality Good
   End
   SoftConfinement
    Quality Basic
   End
   Basis
       Type DZ
       Core Large
   UNRESTRICTED
    StoreHamiltonian2
   StoreHamAsMol
EndEngine
eor
# Cr Conductance
```

```
$AMSBIN/conductance <<EOF
EnergyGrid min=-4 max=4 num=200
Files
Leads Cr_lead.results/band.rkf
Scattering Cr_scattering.results/band.rkf
End

EOF

mv ConductanceResults.kf Cr_ConductanceResults.kf

echo "Extract DOS from the kf file (Cr):"
$AMSBIN/amsreport Cr_ConductanceResults.kf -r "results%dos#12.5f##1"

echo "Extract the transmission from the kf file (Cr):"
$AMSBIN/amsreport Cr_ConductanceResults.kf -r "results%transmission#12.5f##1"
```

# 10.7 Structure and Reactivity

#### 10.7.1 Example: NaCl: Bulk Crystal

Download NaCl.run

```
#! /bin/sh
# A bulk crystal computation for Sodium Chloride (common salt), with a
# subsequent DOS analysis, using a Restart facility to use the results from a
# preceding calculation.
# The input line FractionalCoords True means that atomic positions are input as
# coefficients in terms of the lattice vectors, rather than as absolute
# (Cartesian) coordinate values.
AMS_JOBNAME=NaCl $AMSBIN/ams <<eor
Task SinglePoint
System
 FractionalCoords True
 Atoms
  Na 0.0 0.0 0.0
   C1 0.5 0.5 0.5
 Lattice
  0.0 2.75 2.75
   2.75 0.0
                2.75
   2.75 2.75 0.0
 End
End
```

```
Engine Band
 Title NaCl
 Kspace
  Symmetric KInteg=3
 End
 Basis
   Type SZ
   Core None
 Print AtomicChargesDetails
EndEngine
eor
# The next run has largely the same input and provides a restart of the previous
# run.
# The key DOS in the block Restart tells the program to pick up the indicated
# file as restart file and to use it for DOS analysis purposes.
# The DOS key block details the energy grid (and range) and the file to write
# the data to. The optional keys GROSSPOPULATIONS and OverlapPopulations invoke
# the computation of, respectively, gross populations and overlap populations
# (i.e. for each of these the density-of-states values in the user-defined
# energy grid).
AMS_JOBNAME=NaCl-restart $AMSBIN/ams <<eor
Task SinglePoint
LoadSystem
 File NaCl.results/ams.rkf
 Section InputMolecule
End
Engine Band
 Title NaCl DOS analysis (restart)
 Kspace
  Symmetric KInteg=3
 End
 Basis
   Type SZ
   Core None
 End
 Restart
  File NaCl.results/band.rkf
   SCF
 End
 DOS
```

```
CalcPDos True
  File NaCl.dos
  Energies 1000
  Min -0.5
           0.5
   Max
 GrossPopulations
  FRAG 1
  FRAG 2
  SUM
   1 0
   2 0
  ENDSUM
 OverlapPopulations
 Left
  FRAG 1
 Right
  FRAG 2
 Left
  1 0
   1 1
 Right
 2 0
  2 1
 End
 Print AtomicChargesDetails
EndEngine
eor
# Finally, we copy the contents of the DOS result file to standard output
echo ""
echo Contents of DOS file
cat NaCl.dos
echo "The End"
```

### 10.7.2 Example: Transition-State search using initial Hessian

Download COChainFreqTS.run

```
Task SinglePoint
  Properties
    Hessian True
  System
    Atoms
      C 0.0 0.0 0.0
      0 1.5 0.5 0.0
     End
     Lattice
      3.2 0.0 0.0
  End
  Engine Band
     Basis Type=DZP
     KSpace Quality=Good
  EndEngine
EOF
# Second run: TS search with initial Hessian
AMS JOBNAME=TS $AMSBIN/ams << EOF
  Task TransitionStateSearch
  System
    Atoms
      C 0.0 0.0 0.0
       0 1.5 0.5 0.0
     End
     Lattice
      3.2 0.0 0.0
     End
  End
  GeometryOptimization
    Convergence Gradients=1.0e-4
     InitialHessian
       # Load the pre-calculated Hessian as the initial Hessian for the
        # transition state search using the Quasi-Newton based optimizer.
        Type FromFile
        File hessian.results/band.rkf
     End
  End
  Properties
    # Also calculate normal modes in the end, so we can see if we actually
     # found a transition state.
     NormalModes True
```

```
Engine Band
Basis Type=DZP
KSpace Quality=Good
EndEngine

EOF
```

#### 10.7.3 Example: Atomic energies

Download H ref.run

```
#! /bin/sh
# This example consists of several atomic energy calculations:
# - Formation energy of the H-atom w.r.t. spherical atom
# - Formation energy of the H-atom w.r.t. spherical atom
# - Spin polarization energy of the H-atom w.r.t. spherical atom
# - Spin polarization (relativistic) energy of the H-atom w.r.t. spherical atom
# - Spin polarization energy of the H-atom w.r.t. spin unrestricted atom
# - Spin polarization (relativistic) energy of the H-atom w.r.t. spin
  unrestricted atom
# XYZ file of H atom with large 2 lattice
cat << eor > H.xyz
н 0.0 0.0 0.0
VEC1 10.583544212 0.0
VEC2 0.0 10.583544212 0.0
$AMSBIN/ams <<eor
Task SinglePoint
System
  GeometryFile H.xyz
End
Engine Band
  Title Formation energy of the H-atom w.r.t. spherical atom
  Print AtomicChargesDetails
  Kspace
    Symmetric KInteg=5
  Integration
     Accint 5.0
  Convergence
```

```
Criterion 1E-6
  AtomType H
    Dirac H
      1 0
     VALENCE
       1S 1
     End
     BasisFunctions
      1S 1.58
       2P 1.0
     End
     FitFunctions
  End
EndEngine
eor
rm -r ams.results
$AMSBIN/ams <<eor
Task SinglePoint
System
  GeometryFile H.xyz
Engine Band
  Title Spin polarization energy of the H-atom w.r.t. spherical atom
  Print AtomicChargesDetails
  Kspace
   Symmetric KInteg=5
  End
  Integration
   Accint 5.0
  Convergence
   Criterion 1E-6
  Unrestricted
  AtomType H
    Dirac H
      1 0
     VALENCE
       1S 1
     End
     BasisFunctions
```

```
1S 1.58
        2P 1.0
     End
     FitFunctions
     End
  End
EndEngine
eor
rm -r ams.results
$AMSBIN/ams <<eor
Task SinglePoint
System
  GeometryFile H.xyz
End
Engine Band
  Title Spin polarization (relativistic) energy of the H-atom w.r.t. spherical atom
  Print AtomicChargesDetails
  Kspace
    Symmetric KInteg=5
  Integration
    Accint 5.0
  Convergence
    Criterion 1E-6
  Unrestricted
  Relativity
    Level Scalar
  AtomType H
     Dirac H
      1 0
     VALENCE
      1S 1
     End
     BasisFunctions
      1S 1.58
        2P 1.0
     FitFunctions
```

```
End
EndEngine
eor
rm -r ams.results
$AMSBIN/ams <<eor
Task SinglePoint
System
  GeometryFile H.xyz
Engine Band
  Title Spin polarization energy of the H-atom w.r.t. spin unrestricted atom
  Print AtomicChargesDetails
  Kspace
   Symmetric KInteg=5
  Integration
   Accint 5.0
  End
  Convergence
    Criterion 1E-6
  Unrestricted
  UnrestrictedReference
  AtomType H
    Dirac H
     VALENCE
      1S 1
     End
     BasisFunctions
      1S 1.58
      2P 1.0
     End
     FitFunctions
  End
EndEngine
eor
rm -r ams.results
$AMSBIN/ams <<eor
```

```
Task SinglePoint
System
  GeometryFile H.xyz
Engine Band
  Title Spin polarization (relativistic) energy of the H-atom w.r.t. spin-
→unrestricted atom
  Print AtomicChargesDetails
  Kspace
     Symmetric KInteg=5
  Integration
    Accint 5.0
  End
  Convergence
   Criterion 1E-6
  UnrestrictedReference
  Unrestricted
  Relativity
    Level Scalar
  End
  AtomType H
     Dirac H
      1 0
     VALENCE
       1S 1
     End
     BasisFunctions
      1S 1.58
        2P 1.0
     End
     FitFunctions
     End
  End
EndEngine
eor
```

#### 10.7.4 Example: Calculating the atomic forces

Download BNForce.run

```
#!/bin/sh
# This example shows how to calculate the gradient of the energy with respect to
# nuclear displacements, by requesting Properties => Gradients Yes
$AMSBIN/ams <<eor
Task SinglePoint
System
  Atoms
  в 0.0 0.0 0.0
  N 0.86544 0.86544 0.86544
  Lattice
   0.0 1.8 1.8
   1.8 0.0 1.8
   1.8 1.8 0.0
  End
End
Properties
Gradients Yes
End
Engine Band
  Title BN zincblende structure (force calculation)
  NumericalQuality Basic ! for speed, not very accurate
  Basis
    Type TZ2P
     Core Large
  Relativity
   Level None
  End
EndEngine
eor
```

### 10.7.5 Example: Optimizing the geometry

Download H2BulkGeo.run

```
#! /bin/sh

# This example shows how to optimize the geometry.

# This example consists of two runs. The first run performs 5 iterations
# regarding the geometry optimization. And the second run exploits the
```

```
# possibility to restart a geometry optimization based on the rkf of a
# previous, presumably non-converged run.
# ------ first run ------
AMS_JOBNAME=First $AMSBIN/ams <<eor
Task GeometryOptimization
System
 ATOMS [Bohr]
 н 0.0 0.0 0.0
  Н 1.0 0.0 0.0
 Lattice [Bohr]
 5.0 0 0
0 5.0 0
0 0 5.0
 End
End
GeometryOptimization
MaxIterations 5
Convergence Gradients=1e-6 Step=1.0e-3
End
Engine Band
Basis
  Type DZP
End
EndEngine
# In the next run we use the result file to continue the geometry optimization.
# ----- second run ------
$AMSBIN/ams <<eor
Task GeometryOptimization
LoadSystem
File First.results/ams.rkf
GeometryOptimization
MaxIterations 5
Convergence Gradients=1e-6 Step=1.0e-3
End
Engine Band
Basis
   Type DZP
```

```
endEngine
eor

echo 'Extract optimized geometry from the rkf file'
$AMSBIN/amsreport ams.results/ams.rkf -r 'Molecule%Coords##3'

echo 'Extract number of steps from the rkf file'
$AMSBIN/amsreport ams.results/ams.rkf -r 'History%nEntries'
```

## 10.8 Time dependent DFT

#### 10.8.1 Example: TD-CDFT for MoS2 Monolayer (NewResponse)

Download NewResp\_2DMoS2Restart.run

```
#!/bin/sh
# This example demonstrates how to calculate the frequency-dependent dielectric
# function with the help of the NewResponse implementation for a two-dimensional
# system. (see NewResponse) Furthermore, the general setup to run the TD-CDFT
# section as a restart calculation is presented as well. This allows for
# splitting of the frequency range into several parts, which can then be
# calculated in separate calculation without the overhead of evaluating the
# groundstate properties for each of them! Hence, it is a trivial
# parallelization possibility.
# MoS2 Monolayer .xyz file:
# ===============
cat << eor > MoS2_2D_1L.xyz

      0.00000000
      0.00300000
      -7.76123300

      0.00000000
      0.00000000
      -4.53876700

      1.58000000
      0.91221300
      -6.15000000

                               0.00000000
           3.16000000
                                                  0.00000000
VEC2
      1.58000000
                              2.73664028
                                                  0.00000000
eor
# Simple single point calculation (no properties)
AMS_JOBNAME=MoS2 $AMSBIN/ams <<eor
Task SinglePoint
System
 GeometryFile MoS2_2D_1L.xyz
```

```
Engine Band
 UseSymmetry False
 NumericalQuality good
 DEPENDENCY BASIS=1e-10
 Tails bas=1e-10
 KSpace
  Regular
   NumberOfPoints 5 5
  End
 End
 Basis
  Type DZP
  Core Large
 End
 Convergence
  Criterion 1E-8
 End
EndEngine
eor
# Restart and compute some properties
# -----
# Caution!
# One has to make sure to use the same
# Symmetry/NumericalQuality/KSpace/Basis/ZORA/... options for the
# ground state calculation and for the restart calculation! Otherwise a normal
# ground state SCF optimization will be performed in the restart calculation.
AMS_JOBNAME=MoS2_restart $AMSBIN/ams <<eor
 Task SinglePoint
 System
   GeometryFile MoS2_2D_1L.xyz
 End
 Engine Band
   UseSymmetry False
   NumericalQuality good
   Tails bas=1e-10
   KSpace
     Regular
```

```
NumberOfPoints 5 5
   End
   Basis
     Type DZP
     Core Large
   Convergence
   Criterion 1E-8
   End
   Restart
    File MoS2.results/band.rkf
     SCF
   End
   NewResponse
     FreqLow
    nFreq
    FreqLow 2.0 FreqHigh 2.7
    ActiveESpace 10.0
     ActiveXYZ T T F
   End
   NewResponseSCF
    nCycle 50
Criterion 1E-3
     DIIS MinSamples=3 MixingFactor=0.5 MaximumCoefficient=20
   End
   NewResponseKSPACE
    subsimp 10
     eta 1e-6
   End
 EndEngine
eor
# -----
# Extract info
# -----
$AMSBIN/amsreport MoS2_restart.results/band.rkf RESPDIELRE
$AMSBIN/amsreport MoS2_restart.results/band.rkf RESPDIELIM
# The results are accessible via the standard output or via the prop.kf file.
# For the latter, one can use the AMSreport command $AMSBIN/amsreport prop.kf
# RESPDIELRE and $AMSBIN/amsreport prop.kf RESPDIELIM to print the components
# of the dielectric function for the real (RESPDIELRE) and imaginary
# (RESPDIELIM) part separately. In the following tables, only the diagonal
# components are presented:
# Real part
# Frequency (au) epsilon_1(XX) epsilon_1(YY) epsilon_1(ZZ)
                 8.1622063 8.1788067 1.8845925
# 0.0735
```

```
# 0.0772
            8.7718566 8.7960299 1.8891231
# 0.0808
              9.6251443
                            9.6631930
                                         1.8941277
# 0.0845
              10.9457271
                           11.0126367
                                         1.8996502
# 0.0882
              13.4618956
                           13.6001321
                                         1.9057858
# 0.0919
             26.5135344
                           25.9300685
                                         1.9126665
# 0.0955
              6.1134118
                            4.1756368
                                          1.9204849
               6.2789015
                            4.6880515
# 0.0992
                                          1.9295347
# 0.1029
              13.7665058
                          11.5484340
                                          1.9403044
                          -5.8285172
# 0.1066
              -7.2575153
                                         1.9537079
# 0.1102
              -0.7937277
                            1.2661253
                                          1.9718981
# Imaginary part
# Frequency (au) epsilon_2(XX) epsilon_2(YY) epsilon_2(ZZ)
# 0.0735
          0.0015601 0.0015758 0.0000213
# 0.0772
              0.0020566
                          0.0020839
                                        0.0000200
                          0.0029798
# 0.0808
              0.0029274
                                       0.0000216
# 0.0845
              0.0047632
                          0.0048794
                                        0.0000231
              0.0104743
                          0.0107877
                                        0.0000246
# 0.0882
                          0.1942899
# 0.0919
              0.2658531
                                        0.0000264
             12.8856772
                         14.5286319
# 0.0955
                                         0.0000294
                        10.1567455
             9.7571573
# 0.0992
                                         0.0000338
                          6.7674596
              7.5936072
# 0.1029
                                         0.0000399
# 0.1066
               13.0264038
                           9.5897946
                                         0.0000487
# 0.1102
              0.2483041
                           0.3222301
                                        0.0000676
# The more convenient option is to plot the spectral data directly with the help
# of AMSspectra. Just type: $AMSBIN/amsspectra prop.kf
```

#### 10.8.2 Example: TD-CDFT for Copper (NewResponse)

Download NewResp\_3DCopper.run

```
#! /bin/sh

$AMSBIN/ams <<eor

Task SinglePoint

System

Lattice :: FCC
0 1.805 1.805
1.805 0 1.805
1.805 1.805 0
End

Atoms
Cu 0.00 0.00 0.00
End

End

End

End

Engine Band
Title NewResponse of Cu within ALDA

NumericalQuality basic
```

```
KSpace
    Regular
      NumberOfPoints 5 5 5
   End
   NewResponse
    nfreq
     freqLow 0.1 freqHigh 10.0
     activeEspace 10
   END
   NewResponseSCF
    Criterion 0.1
LowFreqAlgo true
COApproach true
     COApproachBoost true
   NewResponseKSPACE
    subsimp 5
   End
   Basis
    Type TZ2P
     Core Large
EndEngine
eor
```

#### 10.8.3 Example: TDCDFT: Plot induced density (NewResponse)

Download NewResp\_PlotInducedDensity.run

```
#!/bin/sh
AMS_JOBNAME=polyethylene $AMSBIN/ams <<eor
  Task SinglePoint
  System
    Lattice
      end
     Atoms

      -0.623348981
      -0.055000000
      0.425969423

      0.633348981
      0.015000000
      -0.422636089

      -0.633348981
      0.964974570
      1.055290696

      -0.623348981
      -0.914974570
      1.055290696

         С
          Н
          Н
                                                                      -1.051957363
          Н
                    0.633348981 0.904974570
          Н
                    0.613348981 -0.914974570
                                                                   -1.061957363
      end
```

```
End
 Engine Band
   Title Polyethylene
   KSPACE
     Regular
      NumberOfPoints 11
     End
   End
   NumericalQuality basic
   DEPENDENCY BASIS=1e-10
   Tails bas=1e-10
   NEWRESPONSE
     nFreq 10
FreqLow 6.0
FreqHigh 8.0
ActiveXYZ T F F
     ActiveESpace 2.0
   END
   Relativity Level=None
   NEWRESPONSESCF
     nCycle 50
     DIIS MixingFactor=0.075
      Criterion 0.01
   End
   Basis
    Type TZP
     Core small
   End
 EndEngine
# Restart and compute Induced Densities
export NSCM=1
$AMSBIN/ams -n 1 <<EOF
 Task SinglePoint
 LoadSystem
  File polyethylene.results/ams.rkf
  Section InputMolecule
 End
 Engine Band
  Title Polyethylene Plot Induced Response Density
```

```
UseSymmetry False
   NumericalQuality basic
   DEPENDENCY BASIS=1e-10
   Tails bas=1e-10
   KSpace
    Regular
      NumberOfPoints 11
   End
   Basis
    Type TZP
    Core Small
   End
   Restart
     File polyethylene.results/band.rkf
     ResponseInducedDensityPlot
   End
   ResponseInducedDensityPlot
   xcomponent 1 2
    xcomponent 5
   End
   Relativity Level=None
   Grid
   End
   debug BlockPropertyModule
 EndEngine
EOF
echo ""
echo "Begin TOC of tape41"
export NSCM=1
$AMSBIN/pkf -n 1 ams.results/FILE_BLOCKPROPERTIES
echo "End TOC of tape41"
```

#### 10.8.4 Example: TD-CDFT for bulk diamond (OldResponse)

Download OldResp\_Diamond.run

```
#! /bin/sh
# Response calculation for diamond
$AMSBIN/ams <<eor</pre>
```

```
Task SinglePoint
System
 LATTICE
         1.785 1.785
   0
    1.785 0 1.785
    1.785 1.785 0
  END
  ATOMS
   C 0.0 0.0 0.0
   C 0.8925 0.8925 0.8925
  END
End
Engine Band
  TITLE DIAMOND
  Integration
   Accint 5
  End
  KSPACE
  Symmetric KInteg=2
  Dependency Basis=1.e-6
  OLDRESPONSE
   Enabled True
   nfreq 7
    strtfr 0.0
    endfr 19.0480
  END
  Basis
   Type DZ
  End
EndEngine
```

# 10.9 Spectroscopy

### 10.9.1 Example: Hyperfine A-tensor

Download TiF3a.run

```
#!/bin/sh

# Example for an ESR A-tensor calculation.

# Be aware that the calculation must be spin unrestricted and the ATENSOR

# keyword must be present, too.

(continues on next page)
```

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```
$AMSBIN/ams <<eor
Task SinglePoint
System
  Atoms
    Ti 0.0 0.0 0.0 0.0 F 1.78 0.0 0.0 F -0.89 1.541525218736 0.0
     F -0.89 -1.541525218736 0.0
  end
End
Engine Band
  Title TiF3
  Unrestricted True
   Enabled True
  ATensor
   Enabled True
  Basis
    Type TZP
    Core None
  End
EndEngine
```

#### 10.9.2 Example: Zeeman g-tensor

Download TiF3g.run

```
F 1.541525218736 -0.89 0.0
end
End

Engine Band
Title TiF3

Relativity
Level Spin-Orbit
End

ESR
Enabled True
end

Basis
Type DZ
Core None
End
EndEngine
eor
```

#### 10.9.3 Example: NMR

Download PE-NMR.run

```
#! /bin/sh
# With the NMR key block you can specify for which atom you want the shielding
# tensor.
# Be aware that the NMR option is not implemented for the frozen core
# approximation, hence one must set option core of the Basis key block
# to NONE.
$AMSBIN/ams <<eor
Task SinglePoint
System
   Atoms

      -0.638348981
      0.000000000
      0.424302756

      0.638348981
      0.000000000
      -0.424302756

      -0.638348981
      0.889974570
      1.053624029

      -0.638348981
      -0.889974570
      1.053624029

      0.638348981
      0.889974570
      -1.053624029

      0.638348981
      -0.889974570
      -1.053624029

       С
        Н
        Н
        H
    End
    Lattice
     2.553395923 0.00000000 0.000000000
    end
End
```

```
Engine Band
  NMR
     Enabled True
    nmratom 1
     ms0 1.
  end
     GGA Always Becke Perdew
  end
  Dependency
  Basis 1e-10
  Kspace
    Symmetric KInteg=3
  Integration
   Accint 5
  Relativity Level=None
  Basis
    Type TZ2P
     Core NONE
EndEngine
eor
```

#### 10.9.4 Example: EFG

Download SnO\_EFG.run

```
#!/bin/sh
# The calculation of the electric field gradient is invoked by the EFG key
# block.

# Since Sn is quite an heavy atom we use the scalar relativistic option.

$AMSBIN/ams <<eor

Task SinglePoint

System
   FractionalCoords True

Lattice
    3.8029    0.0    0.0
    0.0    3.8029    0.0
    0.0    0.0    4.8382
End</pre>
```

```
Atoms
    0 0.0 0.0 0.0
     0 0.5 0.5 0.0
     Sn 0.0 0.5 0.2369
     Sn 0.5 0.0 -0.2369
  End
End
Engine Band
  Title SnO EFG
  NumericalQuality Basic ! Only for speed
  Tails bas=1e-8 ! Only for reproducibility with nr. of cores
  ! useful for Moessbauer spectroscopy: density and coulomb pot. at nuclei
  PropertiesAtNuclei
  End
   Enabled True
  Basis
    Type DZ
    Core none
EndEngine
eor
```

#### 10.9.5 Example: Phonons

Download GraphenePhonons.run

```
#!/bin/sh

# A phonon calculation should be performed at the equilibrium geometry.

# In the first calculation we optimize the geometry, including the lattice
# vectors. We also set the criteria a bit more strict.

echo "Geometry optimization"

AMS_JOBNAME=GO $AMSBIN/ams <<eor

System
Atoms
C 0.0 0.0 0.0
C 1.23 0.7101408312 0.0

END
Lattice
2.46 0.000000 0
1.23 2.130422493 0
End

(continues on next page)
```

```
End
Task GeometryOptimization
GeometryOptimization
 OptimizeLattice true
 Convergence Gradients=1e-5
 Method Quasi-Newton
Engine Band
 Title Graphene geometry optimization
 ! For Graphene we need to use a symmetric grid
   Symmetric KInteg=5
  Type Symmetric
 End
 StrainDerivatives
  Analytical false
 End
 Basis
  Type DZ
 end
EndEngine
eor
# In the second calculation we use the pre-optimized geometry. (See details of
# the Restart key block) Then we define a supercell and perform a phonon run by
# using Task and Phonons keys. Note that KSpace can be chosen
# a bit lower, since we now have a bigger unit cell.
echo "Phonon calculation"
AMS_JOBNAME=Phonons $AMSBIN/ams <<eor
LoadSystem
File GO.results/ams.rkf
End
Task SinglePoint
Properties
 Phonons True
End
NumericalPhonons
stepSize 0.0913
 SuperCell
  2 0
  0 2
 End
end
Engine Band
```

```
Title Graphene phonon calc

KSpace
Symmetric KInteg=3
Type Symmetric
End

Basis
Type DZ
end
EndEngine

eor

NSCM=1
export NSCM
echo ""
echo "Begin TOC"

$AMSBIN/dmpkf -n 1 Phonons.results/band.rkf --toc
echo "End TOC"
```

#### 10.10 GW

#### 10.10.1 Example: eigenvalue-only self-consistent GW@PBE calculation: H2O

Download GW H2O.run

```
#! /bin/sh
# GW calculation for Water. By default. The highest 5 occupied and lowest 5
# unoccupied states are calculated.
# We use an all-electron basis set since core-correlation effects are important.
# A QZ basis set is recommended for relatively converged QP energies
# Fot this example we will use the GGA PBE.
# This is NOT a recommended starting point for a GOWO calculation.
# However, the eigenvalue-only self-consistency removes most of the #
# starting point dependence of the functional.
# Thererfore, PBE is a reasonable choice.
# RECOMMENDED: VeryGood numerical quality,
# especially in self-consistent GW calculations.
$AMSBIN/ams << eor
Symmetry
 SymmetrizeTolerance 0.001
System
 Atoms
```

(continues on next page)

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```
0 2.220871067 0.026716792 0.000620476
       2.597492682 -0.411663274 0.766744858
   Н
       2.593135384 -0.449496183 -0.744782026
 End
 Symmetrize Yes
task SinglePoint
Engine band
 Basis
  Core None
  Type Corr/QZ6P
 NumericalQuality VeryGood
 Dependency
    AllowBasisDependency True
    basis 1e-4
 RIHartreeFock
   DependencyThreshold 1e-3
 SoftConfinement
    Quality Excellent
 usesymmetry False
  gga PBE
 end
  selfconsistency evGW
 END
EndEngine
eor
```

# 10.11 Analysis

## 10.11.1 Example: CO absorption on a Cu slab: fragment option and densityplot

Download Frags\_COCu.run

```
#! /bin/sh

# This example illustrates the usage of fragments in a BAND calculation for

# analysis purposes. It takes two runs to do the DOS analysis in a fragment

# basis, and an extra two runs to get the deformation density with respect to

(continues on next page)
```

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```
# the fragment densities.
# The setup involves first the computation of the free CO overlayer, which is to
# be adsorbed on a Cu surface. To suppress (most of the) interactions between
# the CO molecules, i.e. to effectively get the molecular CO, the KSpace
# parameter is set to 1 (= no dispersion), and the lattice parameters are set so
# large that the CO molecules are far apart. The standard result file RUNKF is
# saved under the name 'CO.results/band.rkf'.
                 ----- CO molecule -----
AMS_JOBNAME=CO $AMSBIN/ams <<eor
Task SinglePoint
System
 ! CO molecules far apart
 Atoms [Bohr]
  C 0 0 0
      0 0 2.18
 Lattice [Bohr]
  25.0 0.0 0.0
  0.0 25.0 0.0
 End
End
Engine Band
 Title The CO fragment
 Print AtomicChargesDetails
 Comment
  Technical
   Zero order k space integration
  Features
    Lattice : 2D, large lattice vectors
    Unit cell: 2 atoms, 1x1, quasi molecular
    Basis : NO+STO w/ core
 End
 Print Eigens
 Kspace
  Quality GammaOnly ! neglect dispersion
 Basis
  Type DZ
  Core Large
 End
   CalcPDOS True
                                                                       (continues on next page)
```

```
Energies 300
EndEngine
eor
# Now we can use the result file to do a DOS analysis for CO on a copper surface
# treating the molecule as a fragment. With Fragment%Labels we assign names to
# the different symmetry orbitals. The Density-of-States analysis details are
# given with the keys DOS (energy grid, result file with DOS data) and,
# optionally, GrossPopulations and OverlapPopulations.
                          ---- CO + Cu slab ---
AMS_JOBNAME=COCu $AMSBIN/ams <<eor
Task SinglePoint
System
 Lattice [Bohr]
  4.822 0.0 0.0
   0.0 4.822 0.0
 End
 Atoms [Bohr]
  C 0 0 3.44
O 0 0 5.62
  Cu 0.0 0.0 0.0
 End
End
Engine Band
 Title Cu slab with CO adsorbed
 Print AtomicChargesDetails
 Comment
  Technical
   Quadratic K space integration (low)
  Features
    Lattice : 2D
    Unit cell: 3 atoms, 1x1
   Basis : NO+STO w/ core
    Options : Molecular fragment
               Analysis: DOS, PDOS, COOP
 End
 KSpace
  Symmetric KInteg=3
 ! fragment specification
 Fragment
   filename CO.results/band.rkf
   atommapping
     1 1
     2 2
```

```
End
   Labels ! let us give them some labels
     2Sigma
     2Sigma*
     1Pi_x
     1Pi_y
     3Sigma
     1Pi_x*
     1Pi_y*
     3Sigma*
   End
 End
 ! use fragment basis in dos
  Fragment 1
 End
 DOS ! Analysis
  CalcPDOS True
  File pdos.CO_Cu
Energies 500
  Min -0.750
            0.300
  Max
 End
 GrossPopulations
  3 2 ! All metal d states
Sum ! ALl metal sp states
    3 0
     3 1
   EndSum
   Frag 1 ! All CO states
   Sum ! CO 1pi
    FragFun 1 5
     FragFun 1 6
   EndSum
  FragFun 1 7 ! CO 5-sigma
 OverlapPopulations
   Left ! Metal d with CO
    3 2
   Right
    Frag 1
 End
 Basis
  Type DZ
  Core Large
 End
EndEngine
# After this run we copy the computed DOS data from the DOS result file to
# standard output. We also save the restart file for later use.
                                                                         (continues on next page)
```

```
echo ""
echo "Contents of DOS file"
cat pdos.CO_Cu
# Next we want to know the deformation density with respect to the two
# fragments: 1) The CO molecule and 2) the bare Cu surface. We haven't done the
# bare Cu surface yet, so that is what happens next.
                    ---- Cu slab ---
AMS_JOBNAME=Cu $AMSBIN/ams <<eor
Task SinglePoint
System
 Lattice [Bohr]
  4.822 0.0 0.0
  0.0 4.822 0.0
 End
 Atoms [Bohr]
  Cu 0.0 0.0 0.0
End
Engine Band
 Title Cu slab
 Print AtomicChargesDetails
 Comment
  Technical
   Quadratic K space integration (low)
  Features
    Lattice : 2D
    Unit cell : 3 atoms, 1x1
    Basis : NO+STO w/ core
    Options :
 End
 Kspace
  Symmetric KInteg=3
 End
 Basis
  Type DZ
  Core Large
 End
 DOS
  CalcPDOS True
  Energies 300
 End
EndEngine
eor
```

```
# Now we are all set to do our final calculation. We have the two fragment files
# CO.results/band.rkf and Cu.results/band.rkf, and the restart file COCu.results/band.
→rkf. Next we want to know
# the deformation density with respect to the two fragments: 1) The CO molecule
# and 2) the bare Cu surface. The visualization options like OrbitalPlot and
# Densityplot require a regular set of points (a grid). Here is how it works
                 ----- CO + Cu slab restart ----
NSCM=1
export NSCM
AMS_JOBNAME=Final $AMSBIN/ams <<eor
Task SinglePoint
System
 Lattice [Bohr]
  4.822 0.0 0.0
  0.0 4.822 0.0
 Atoms [Bohr]
  C 0 0 3.44
O 0 0 5.62
  0 0
  Cu 0.0 0.0 0.0
 End
End
Engine Band
 Title Cu slab with CO adsorbed (restart density plot)
 Print AtomicChargesDetails
 debug BlockPropertyModule
 Kspace
  Symmetric KInteg=3
 End
 Restart
  File COCu.results/band.rkf
  DensityPlot
 End
 Grid
  Type Coarse
 DensityPlot
  rho(deformation/fit) !FITDENSITY_deformation_scf
 ! fragment specification
 Fragment
  filename CO.results/band.rkf
                                                                        (continues on next page)
```

```
atommapping
   1 1
   2 2
   End
 End
 Fragment
   filename Cu.results/band.rkf
   atommapping
    1 3
  End
 End
 Basis
  Type DZ
  Core Large
 DOS
    CalcPDOS True
    Energies 300
 End
EndEngine
eor
# This particular restart options does not work in parallel, hence the '-n 1' on
# the first line. The result of the last run is a file named TAPE41. Normally you
# would save that to COCu.t41
# mv TAPE41 COCu.t41 and view it with AMSview. On the TAPE41 file are now three
# fields shown in AMSview as
# FITDENSITY_deformation_scf_frag1
# FITDENSITY_deformation_scf_frag2 being the deformation density of CO+Cu with
# respect to the atoms, and the same for the two fragments CO and the Cu slab.
# In AMSview you can add the fields of the two fragments, and then create
# another field that holds the difference.
NSCM=1
export NSCM
echo ""
echo "Begin TOC of tape41"
$AMSBIN/dmpkf -n 1 Final.results/FILE_BLOCKPROPERTIES --toc
echo "End TOC of tape41"
```

## 10.11.2 Example: Grid key for plotting results

Download GridKey.run

```
#!/bin/sh
SYSTEM=$AMSHOME/atomicdata/Molecules/TestMols/Methane.xyz
# Initial run
AMS_JOBNAME=methane $AMSBIN/ams <<eor
Task SinglePoint
System
 GeometryFile $SYSTEM
Engine Band
Basis
  Type TZP
EndEngine
eor
# Use the grid
AMS_JOBNAME=auto_grid $AMSBIN/ams <<eor
Task SinglePoint
System
 GeometryFile $SYSTEM
Engine Band
 Restart
  File methane.results/band.rkf
  DensityPlot
 Grid
  Type Coarse
  ExtendX 21.1671 [Angstrom]
 DensityPlot
  rho(fit)
 End
 Basis
  Type TZP
EndEngine
eor
                                                                          (continues on next page)
```

```
echo ""
echo "Begin TOC of tape41"
$AMSBIN/dmpkf -n 1 auto_grid.results/TAPE41 --toc
echo "End TOC of tape41"
# Use a completely user specified regular grid
AMS_JOBNAME=user_grid $AMSBIN/ams <<eor
Task SinglePoint
System
 GeometryFile $SYSTEM
Engine Band
 Restart
  File methane.results/band.rkf
   DensityPlot
 End
 Grid
   UserDefined # in Bohr
     -2.0 -1.3 -2.5
     1.0 0.0 0.0 0.02
     0 1 0.0 0.02
     0.0 0.0 1.0 0.02
     20 30 40
  End
 End
 DensityPlot
  rho(fit)
 End
 Basis
  Type TZP
 End
EndEngine
eor
echo ""
echo "Begin TOC of tape41"
$AMSBIN/dmpkf -n 1 user_grid.results/TAPE41 --toc
echo "End TOC of tape41"
# Use a text file to import the (arbitrary grid in Bohr)
cat << eor > coords.txt
```

```
-3.0 0.0 0.0
-2.0 0.1 0.0
0.0 0.2 0.0
AMS_JOBNAME=file_grid $AMSBIN/ams <<eor
Task SinglePoint
System
GeometryFile $SYSTEM
End
Engine Band
 Restart
  File methane.results/band.rkf
  DensityPlot
  vtkFile result.txt
 End
 Grid
  Filename coords.txt
 End
 DensityPlot
  rho(fit)
 End
 Basis
  Type TZP
End
EndEngine
eor
echo ""
echo "Begin of result.txt"
cat result.txt
echo "End of result.txt"
AMS_JOBNAME=generate_cube $AMSBIN/ams <<eor
Task SinglePoint
System
GeometryFile $SYSTEM
End
Engine Band
Restart
  File methane.results/band.rkf
  DensityPlot
   vtkFile CUBE
                                                                         (continues on next page)
```

```
Grid
 Type Coarse
 End
 DensityPlot
  rho(fit)
 Basis
  Type TZP
 End
EndEngine
eor
echo ""
echo "Begin of cube files"
cat rho*.cube
echo "End of cube files"
AMS_JOBNAME=generate_cube_with_name $AMSBIN/ams <<eor
Task SinglePoint
System
GeometryFile $SYSTEM
Engine Band
 Restart
  File methane.results/band.rkf
  DensityPlot
   vtkFile densf.cube
 End
 Grid
 Type Coarse
 End
 DensityPlot
  rho(fit)
 End
 Basis
  Type TZP
 End
EndEngine
eor
echo ""
echo "Begin of named cube files"
cat densf*.cube
echo "End of named cube files"
```

```
# Use a completely user specified regular grid, now in angstrom
AMS_JOBNAME=user_grid_angstrom $AMSBIN/ams <<eor
Task SinglePoint
System
 GeometryFile $SYSTEM
Engine Band
 Restart
  File methane.results/band.rkf
  DensityPlot
 Grid
   UserDefined [Angstrom]
     -2.0 -1.3 -2.5
     1.0 0.0 0.0 0.02
     0 1 0.0 0.02
     0.0 0.0 1.0 0.02
     20 30 40
   End
 End
 DensityPlot
  rho(fit)
 End
 Basis
  Type TZP
EndEngine
eor
echo ""
echo "Begin TOC of tape41"
$AMSBIN/dmpkf -n 1 user_grid.results/TAPE41 --toc
echo "End TOC of tape41"
```

#### 10.11.3 Example: H2 on [PtCl4]2-: charged molecules and PEDA

Download PEDA\_OD\_PtC14H2.run

```
#! /bin/sh

# This example shows that the pEDA formalism can be applied to
# molecules. Here, there is no periodic boundary condition
# necessary. Hence, charged fragments or final molecules can be
# investigated!
#

(continues on next page)
```

```
# Fragment 1 is the [PtCl4]2- fragment
#
#
AMS_JOBNAME=Frag1 $AMSBIN/ams <<eor
Task SinglePoint
System
   ATOMS
      Pt 0.0 0.0 0.0
       Cl 0.0 -2.308048739 0.0
       Cl 0.0 2.308048739 0.0
       Cl -2.308048739 0.0 0.0
       Cl 2.308048739 0.0 0.0
   END
   Charge -2
End
Engine Band
  TITLE PtCl4 2- fragment
   Relativity
    Level Scalar
  End
  Basis
  Type DZP
  Core Large
   End
   GGA Becke Perdew
   END
  UseSymmetry False
EndEngine
# Fragment 2 is the H2 fragment
AMS_JOBNAME=Frag2 $AMSBIN/ams <<eor
Task SinglePoint
System
   ATOMS
      н 0.0 0.0 3.84182655
      н 0.0 0.0 2.952808836
   END
End
```

```
Engine Band
   TITLE H2 fragment
   Relativity
     Level Scalar
  Basis
   Type DZP
   Core Large
   End
  XC
  GGA Becke Perdew
   END
   UseSymmetry False
EndEngine
eor
#
# The energy decomposition run for the complex ([PtCl4]H2)2- complex
$AMSBIN/ams <<eor
Task SinglePoint
System
  ATOMS
       Pt 0.0 0.0 0.0
       Cl 0.0 -2.308048739 0.0
       Cl 0.0 2.308048739 0.0
       C1 -2.308048739 0.0 0.0
       Cl 2.308048739 0.0 0.0
       н 0.0 0.0 2.952808836
       н 0.0 0.0 3.84182655
   END
   Charge -2
End
Engine Band
   Relativity
       Level Scalar
   Basis
   Type DZP
   Core Large
   End
   GGA Becke Perdew
                                                                         (continues on next page)
```

```
END
    fragment
        filename Frag1.results/band.rkf
        AtomMapping
           1 1
            2 2
            3 3
        End
    end
    fragment
       filename Frag2.results/band.rkf
        AtomMapping
           1 7
            2 6
        End
   end
    PEDA
   UseSymmetry False
EndEngine
eor
```

#### 10.11.4 Example: CO absorption on a MgO slab: fragment option and PEDA

Download PEDA\_MgO+CO.run

```
#! /bin/sh
# This example shall illustrate the use of the Fragment keywords in combination
# with the PEDA keyword to perform the PEDA. For this example two fragment
# calculations are necessary to calculate the unperturbed eigensystems of the
# MgO slab and CO fragment.
# == Fragment calculations ==
                      ----- MgO slab -----
AMS_JOBNAME=MgO $AMSBIN/ams <<eor
Task SinglePoint
System
 Atoms
    Mg 0.00000000 0.00000000 0.00000000 Mg 1.50260191 -1.50260191 -2.12400000 Mg 0.00000000 0.00000000 -4.24800000
     Mg 3.00520382
                           0.00000000
                                             0.00000000
     Mg 1.50260191
Mg 3.00520382
                          1.50260191
                                            -2.12400000
                           0.00000000
                                             -4.24800000
```

```
0 1.50260191 -1.50260191
                                                   0.00200000
                                                  -2.12400000
     0.0000000
                             0.0000000
     0 1.50260191
                             -1.50260191
                                                   -4.25000000
     0 1.50260191
                               1.50260191
                                                    0.00200000
     0 3.00520382
0 1.50260191
                             0.00000000
                                                   -2.12400000
                              1.50260191
                                                    -4.25000000
  End
  Lattice

      3.00520382
      -3.00520382
      0.00000000

      3.00520382
      3.00520382
      0.00000000

  End
End
Engine Band
 Title MgO surface
  skip dos
  KSpace
   Regular
     NumberOfPoints 3 3
   End
  End
  GGA PBE
  Basis
   Type TZP
    Core small
 End
EndEngine
eor
              ----- CO fragment ------
AMS_JOBNAME=CO $AMSBIN/ams <<eor
Task SinglePoint
System
  Atoms

      C
      0.00000000
      0.00000000
      2.61000000

      O
      0.00000000
      0.00000000
      3.737000000

  Lattice

      3.00520382
      -3.00520382
      0.00000000

      3.00520382
      3.00520382
      0.00000000

End
End
Engine Band
Title CO fragment
                                                                                            (continues on next page)
```

```
KSpace
   Regular
     NumberOfPoints 3 3
  End
   GGA PBE
  End
  Basis
   Type TZP
    Core small
EndEngine
eor
# == PEDA calculation ==
# The two result files, MgO.kf and CO.kf, can now be used to perform the
# PEDA. Here, the mapping of the atoms of the PEDA calculation and the fragment
# calculations is necessary. And the used grid points in reciprocal space have
# to be identical in all three calculations.
                  ----- PEDA calculation ------
$AMSBIN/ams <<eor
Task SinglePoint
System
                                         0.00000000
  Atoms
    Mg.frag_MgO 0.0000000 0.00000000
Mg.frag_MgO 1.50260191 -1.50260191
Mg.frag_MgO 0.00000000 0.00000000
Mg.frag_MgO 3.00520382 0.00000000
Mg.frag_MgO 1.50260191 1.50260191
Mg.frag_MgO 3.00520382 0.00000000
O.frag_MgO 1.50260191 -1.50260191
O.frag_MgO 0.00000000 0.00000000
                                                               0.00000000
                                                               -2.12400000
                                                                -4.24800000
                                                               0.00000000
                                                               -2.12400000
                                                              -4.24800000
                                                               0.00200000
                                                              -2.12400000
     -4.25000000
                                                               0.00200000
                                                              -2.12400000
                                                              -4.25000000
                                                               3.73700000
                                                               2.61000000
  End
  Lattice

      3.00520382
      -3.00520382
      0.00000000

      3.00520382
      3.00520382
      0.00000000

 End
End
Engine Band
Title PEDA
```

```
KSpace
  Regular
    NumberOfPoints 3 3
 End
   GGA PBE
 End
 fragment
  filename MgO.results/band.rkf
   AtomMapping
      1 1
      3 3
      4 4
      5 5
      6 6
      8 8
      10 10
      11 11
     12 12
    End
 end
 fragment
  filename CO.results/band.rkf
   AtomMapping
     2 13
      1 14
   End
 end
 PEDA
 Basis
   Type TZP
    Core small
 End
EndEngine
# In the output file the results can be found in the PEDA block after the Energy
# Analysis.
```

# 10.11.5 Example: CO absorption on a MgO slab: fragment option, PEDA and PEDANOCV

Download PEDANOCV\_MgO+CO.run

```
#! /bin/sh
# This example shall illustrate the use of the Fragment keywords in combination
\# with the PEDA and PEDANOCV keywords to perform the PEDANOCV calculation. For
# this example two fragment calculations are necessary to calculate the
# unperturbed eigensystems of the MgO slab and CO fragment. Here, the sampling
# of the reciprocal space is restricted to gamma point
# == Fragment calculations ==
                  ----- MgO slab -----
AMS_JOBNAME=MgO $AMSBIN/ams <<eor
Task SinglePoint
System
   Atoms

      Mg
      0.00000000
      0.00000000
      0.00000000

      Mg
      1.50260191
      -1.50260191
      -2.12400000

      Mg
      0.00000000
      0.00000000
      -4.24800000

                               -1.50260191 -2.12400000
0.00000000 -4.24800000
       Mg 3.00520382
                                 0.0000000
                                                       0.00000000
       Mg 1.50260191
                                 1.50260191
                                                      -2.12400000
       Mg 3.00520382
                                0.00000000
-1.50260191
                                                      -4.24800000
            1.50260191
                                                        0.00200000
       0 0.00000000 0.00000000

0 1.50260191 -1.50260191

0 1.50260191 1.50260191

0 3.00520382 0.00000000

0 1.50260191 1.50260191
                                                       -2.12400000
                                                      -4.25000000
                                                        0.00200000
                                                       -2.12400000
                                                       -4.25000000
   End
   Lattice

      3.00520382
      -3.00520382
      0.00000000

      3.00520382
      3.00520382
      0.00000000

End
Engine Band
   Title MgO fragment
   skip dos
    KSpace
      Regular
        NumberOfPoints 1 1
    End
   BeckeGrid
     quality basic
   End
```

```
Relativity
   Level Scalar
  XC
   GGA PBE
  Basis
  Type TZP
Core none
  End
EndEngine
eor
#----- CO fragment -----
AMS_JOBNAME=CO $AMSBIN/ams <<eor
Task SinglePoint
System
 Atoms
  C 0.00000000 0.00000000 2.61000000
O 0.00000000 0.00000000 3.737000000
  End
 Lattice
  3.00520382 -3.00520382 0.00000000
3.00520382 3.00520382 0.00000000
  End
End
Engine Band
  Title CO fragment
  KSpace
   Regular
    NumberOfPoints 1 1
   End
  End
  BeckeGrid
  quality basic
  End
  Relativity
   Level Scalar
  GGA PBE
  End
  Basis
   Type TZP
    Core none
                                                                      (continues on next page)
```

```
End
EndEngine
eor
# == PEDANOCV calculation ==
# The two result files, MgO.kf and CO.kf, can now be used to perform the
# PEDANOCV. Here, the mapping of the atoms of the PEDA calculation and the
# fragment calculations is necessary. And the used grid points in reciprocal
# space have to be identical in all three calculations - in this case the gamma
# point for all calculations.
                    ----- PEDANOCV calculation ----
AMS_JOBNAME=decomp $AMSBIN/ams <<eor
Task SinglePoint
System
  Atoms
     Mg.frag_MgO 0.00000000 0.00000000 0.00000000 Mg.frag_MgO 1.50260191 -1.50260191 -2.12400000 Mg.frag_MgO 0.00000000 0.00000000 -4.24800000
                                 0.00000000
     Mg.frag_Mg0 3.00520382
                                                 0.00000000
     Mg.frag_MgO 1.50260191
                                 1.50260191
                                                 -2.12400000
     Mg.frag_MgO 3.00520382
                                 0.0000000
                                                -4.24800000
     O.frag_MgO 1.50260191
                                -1.50260191
                                                 0.00200000
     O.frag_MgO 0.00000000
                                 0.0000000
                                                 -2.12400000
     O.frag_MgO 1.50260191
                                -1.50260191
                                                 -4.25000000
     O.frag_MgO 1.50260191
                                                  0.00200000
                                 1.50260191
     O.frag_MgO 3.00520382
                                 0.00000000
                                                 -2.12400000
     O.frag_MgO 1.50260191
                                                  -4.25000000
                                  1.50260191
     0.00000000
                                                  2.61000000
                                 0.00000000
                                                  3.737000000
  End
  Lattice
        3.00520382 -3.00520382 0.00000000
        3.00520382 3.00520382
                                        0.00000000
  End
End
Engine Band
  Title Mg+CO
  KSpace
     Regular
      NumberOfPoints 1 1
     End
  End
  BeckeGrid
   quality basic
  End
  Relativity
```

```
Level Scalar
  XC
     GGA PBE
  End
  fragment
     filename MgO.results/band.rkf
     AtomMapping
        4 4
         5 5
         8 8
        9 9
        10 10
        11 11
        12 12
      End
  end
  fragment
     filename CO.results/band.rkf
     AtomMapping
        1 13
        2 14
     End
  end
  PEDA
  PEDANOCV
    Enabled True
     EigvalThresh 0.001
  End
  Basis
    Type TZP
     Core none
  End
EndEngine
# In the output file the results can be found in the PEDANOCV block after the
# Energy Analysis and PEDA block.
# The NOCV orbitals and NOCV deformation densities can be visualized using
# AMSview or by a restart calculation. In the latter case, one adds the Restart
# block key with the options File decomp.kf and the NOCVdRhoPlot and
# NOCVOrbitalPlot keys. These will trigger the calculation of the plot
# properties. To specify which NOCV deformation densities and NOCV orbitals are
# plotted, one adds the NOCVdRhoPlot and NOCVOrbitalPlot block key. In both
\# blocks the line 1 Band 1 5 means, that for k-point 1 the densities/orbitals 1
                                                                         (continues on next page)
```

```
# to 5 are calculated.
export NSCM=1
$AMSBIN/ams <<eor
Task SinglePoint
System
  Atoms

      Mg
      0.00000000
      0.00000000
      0.00000000

      Mg
      1.50260191
      -1.50260191
      -2.12400000

      Mg
      0.00000000
      0.00000000
      -4.24800000

      Mg 3.00520382
                            0.00000000
                                               0.00000000
      Mg 1.50260191
                            1.50260191
                                               -2.12400000
      Mg 3.00520382
                            0.00000000
                                              -4.24800000
      0 1.50260191
0 0.00000000
                                               0.00200000
                           -1.50260191
                           1.50260191
      \bigcirc
          1.50260191
          3.00520382
      0
                            1.50260191
0.00000000
0.00000000
                                              -4.25000000
      0 1.50260191
                                               2.61000000
      C 0.0000000
      0.00000000
                                                3.737000000
   End
   Lattice
           3.00520382
                           -3.00520382
                                                 0.00000000
           3.00520382
                             3.00520382
                                                 0.00000000
   End
End
Engine Band
   Title Restart Calculation
   Restart.
    File decomp.results/band.rkf
     NOCVdRhoPlot
   NOCVdRhoPlot
    1 Band 1
   End
   Grid
    Type coarse
   KSpace
      Regular
       NumberOfPoints 1 1
      End
   End
   BeckeGrid
    quality basic
```

```
End
  Relativity
    Level Scalar
  XC
     GGA PBE
  Basis
    Type TZP
     Core none
  debug BlockPropertyModule
EndEngine
eor
echo ""
echo "Begin TOC of tape41"
export NSCM=1
$AMSBIN/pkf -n 1 ams.results/FILE_BLOCKPROPERTIES
echo "End TOC of tape41"
# The important output of this calculation is the TAPE41 file. Renaming it to
# foobar.t41 will allow AMSview to read and interpret the data stored on this
# file.
```

# 10.11.6 Example: Bader analysis

Download Li20 Bader.run

```
#! /bin/sh

# To get the Quantum Theory of Atoms In Molecules and Crystals (QT-AIMAC)

# analysis use the GridBasedAIM block key.

# The grid-based AIM method is very fast, but a bit inaccurate. Hence, on has to

# make sure that the results are converged w.r.t. the real-space integration

# grid.

$AMSBIN/ams <<eor

Task SinglePoint

System

Lattice [Bohr]

0.0     4.365     4.365

4.365     0.0     4.365

4.365     4.365     0.0

end

Atoms [Bohr]
```

(continues on next page)

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```
0 0.0 0.0 0.0
    Li 2.1825 2.1825 2.1825
    Li 6.5475 2.1825 2.1825
  end
End
Engine Band
  Title Li20 bulk (fluorite structure)
  KSpace
  Symmetric KInteg=3
  End
  IntegrationMethod Voronoi
  Integration
   Accint 4
    accsph 6
    accpyr 6
  GridBasedAIM
   Enabled Yes
  End
  Dependency basis=1e-9 fit=1e-8
  DIIS
   dimix 0.2
   ncycledamp 0
  end
   mixing 0.4
  end
  gga scf bp86
  end
  Basis
   Type TZ2P
   Core small
  Relativity Level=None
EndEngine
eor
```

# 10.11.7 Example: Properties at nuclei

Download PropertiesAtNuclei.run

```
#!/bin/sh
# One can obtain the values of some properties near the nucleus. (see
# PropertiesAtNuclei)
# Note: Instead of calculating the properties at a point in space an average is
# taken over a tiny sphere around this point.
$AMSBIN/ams <<eor
Task SinglePoint
System
  Atoms
   0 0.000 0.000 0.000
   0 0.000 0.000 1.208
  end
End
Engine Band
  Title Properties at nuclei for O2
  Unrestricted Yes
  PropertiesAtNuclei
     vxc[rho(fit)]
     rho(fit)
     rho
     v(coulomb)
     rho(deformation/fit)
     rho(deformation/scf)
  End
  Basis
    Type DZ
     Core None
  Relativity Level=None
     gga always pbe
  END
EndEngine
```

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# 10.11.8 Example: Band structure plot

Download Li\_BZPlot.run

```
#! /bin/sh
# In the first example we use the automatic k-path through the Brillouin zone
# (see BandStructure key-block). The results can be visualized with the BandStructure
# Gui Module.
AMS_JOBNAME=auto $AMSBIN/ams <<eor
Task SinglePoint
System
 Atoms
  Li 0.0 0.0 0.0
 END
 Lattice
   -1.745 1.745 1.745
   1.745 -1.745 1.745
    1.745 1.745 -1.745
End
Engine Band
 NumericalQuality Basic
 Relativity Level=None
 BandStructure
   Enabled true
    Automatic true
   FatBands false
    EnergyAboveFermi 2.0
 end
EndEngine
eor
# In the second example we specify the path through the Brillouin zone by hand.
# We set automatic to false and then specify the path with the BZPath key block,
# using one or more path subkeys. Here, the second run will produce exactly the
# same path as the automatic one.
AMS_JOBNAME=user $AMSBIN/ams <<eor
Task SinglePoint
System
 Atoms
    Li 0.0 0.0 0.0
 END
 Lattice
   -1.745 1.745 1.745
    1.745 -1.745 1.745
    1.745 1.745 -1.745
 End
End
```

(continues on next page)

```
Engine Band
 NumericalQuality Basic
 Relativity Level=None
 BandStructure
   Enabled true
    Automatic false
    FatBands false
    EnergyAboveFermi 2.0
 end
 bzpath
    path
       0.00 0.00 0.00 G
       0.50 -0.50 0.50 H
       0.00 0.00 0.50 N
       0.00 0.00 0.00 G
       0.25 0.25 0.25 P
       0.50 -0.50 0.50 H
    path
      0.25 0.25 0.25 P
       0.00 0.00 0.50 N
    End
 end
EndEngine
eor
export NSCM=1
# The band structure is best visualized using the BandStructure GUI module.
echo 'Extract the band_curves section from the rkf files:'
$AMSBIN/dmpkf auto.results/band.rkf 'band_curves'
$AMSBIN/dmpkf user.results/band.rkf 'band_curves'
```

# 10.11.9 Example: Effective Mass (electron mobility)

Download EffectiveMass.run

```
#! /bin/sh

# An effective mass calculation is about the curvature of band at the top of the
# valence band and the bottom of the conduction band. This is obtained by
# numerical differentiation.

# It can be done for systems with 1D, 2D, or 3D translational symmetry.

# The easiest way to use this feature is to specify an empty EffectiveMass key
# block (so leave out the NumAbove, NumBelow, and UniqueKPoints).

# = Example 1D ==
echo "example 1D"

(continues on next page)
```

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```
AMS_JOBNAME=EffectiveMass1D $AMSBIN/ams <<eor
Task SinglePoint
System
  Atoms
    Al 0.0 0.0 0.0
  Lattice
   2.12440502 0.0 0.0
  End
End
Engine Band
  TITLE 1D Al Chain
 EffectiveMass
   Enabled True
    KPointCoord -0.783
    StepSize 0.001
   NumAbove 4
   NumBelow 2
  End
  Basis
   Type DZ
    Core Large
 End
EndEngine
eor
# == Example 2D ==
echo "example 2D"
AMS_JOBNAME=EffectiveMass2D $AMSBIN/ams <<eor
Task SinglePoint
System
  Atoms

      Mo
      -1.626960686
      0.313108730
      0.000000000

      S
      0.000000000
      1.252434919
      1.547040825

      S
      0.000000000
      1.252434919
      -1.547040825

  End
  Lattice
   1.626960686-2.8179785690.0000000001.6269606862.8179785690.000000000
  End
End
Engine Band
  TITLE MoS2Slab
```

(continues on next page)

```
EffectiveMass
  Enabled True
 Basis
   Type DZ
   Core Large
EndEngine
eor
# == Example 3D ==
echo "example 3D"
AMS_JOBNAME=EffectiveMass3D $AMSBIN/ams <<eor
Task SinglePoint
System
 Atoms
    Zn 1.625 0.9381941876 0.0
    Zn 1.625 -0.9381941878 2.615
    0 1.625 0.9381941876 1.96125
    0 1.625 -0.9381941878 4.57625
 END
 Lattice
   1.625 -2.814582562 0.000000
    1.625 2.814582562 0.000000
   0.000000 0.000000 5.23
 End
End
Engine Band
 TITLE ZnO
 NumericalQuality Basic
 KSpace
  Quality Normal
 tails bas=1e-8
 EffectiveMass
  Enabled True
  NumAbove 1
  NumBelow 1
 End
 Basis
  Type DZ
   Core Large
 End
EndEngine
eor
```

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# 10.11.10 Example: Generating an Excited State with and Electron Hole

Download Si\_ElectronHole.run

```
#! /bin/sh
# There is the possibility define the excitation of an electron from a low
# lying, localized band to a virtual band. The ElectronHole key does allow the
# specification of the original band and the spin of the electron. The
# EnforcedSpinPolarization key allows to restrict the spin polarization of the
# whole system.
$AMSBIN/ams <<eor
Task SinglePoint
System
 Atoms
  Si.frozen_core -0.67875 -0.67875 -0.67875
                   0.67875 0.67875 0.67875
 End
 Lattice
     0.000 2.715 2.715
     2.715 0.000 2.715
2.715 2.715 0.000
End
Engine Band
 TITLE Untitled
 Basis
  Type DZP
  Core None
  PerAtomType Symbol=Si.frozen_core Type=DZP Core=Large
 XC
   LDA SCF VWN
 Unrestricted Yes
 ElectronHole
    BandIndex 1
     SpinIndex 1
 EnforcedSpinPolarization 0
EndEngine
eor
```

# 10.11.11 Example: LDOS (STM) for a BN slab

The Local DOS (LDOS) is the partial density due to bands withing a certain energy interval. It has been related to STM images.

Download BNSlabLDOS.run

```
# The BN slab has a band gap
# The bottom of the conduction band (BOCB, 0.05 hartree above the fermi level) \_
\rightarrow consists of p_z orbitals on B
# The top of the valence band (TOVB, 0.2 hartree under the fermi level) \,\, consists of \,
\rightarrow p_z oribtals on N
# It is recommended to inspect BNSlab.results with amsbands
# and BNSlab.ldos.tovb.results with amsview (and the same for bocb)
system=BNSlab
export AMS_JOBNAME=$system
rm -rf $AMS JOBNAME.results
$AMSBIN/ams<<EOF
Task SinglePoint
System
   Atoms

      -0.615000000
      -0.355070416
      0.00000000

      0.615000000
      0.355070416
      0.000000000

                 B
    End
        Lattice
              2.460000000
                            0.000000000
                                                  0.000000000
              1.230000000
                               2.130422493
                                                  0.000000000
End
End
Engine Band
        BandStructure Enabled=yes
        Dos CalcPDOS=yes
        kspace quality=good
EndEngine
EOF
fermi_energy=`$AMSBIN/amsreport $AMS_JOBNAME.results/band.rkf -r "BandStructure
→%FermiEnergy"
bcb=`$AMSBIN/amsreport $AMS_JOBNAME.results/band.rkf -r "BandStructure
→%BottomConductionBand"`
tvb=`$AMSBIN/amsreport $AMS_JOBNAME.results/band.rkf -r "BandStructure%TopValenceBand
\hookrightarrow " ^{\circ}
echo "Fermi energy (Hartree): $fermi_energy"
echo "tvb (Hartree): $tvb"
echo "bcb (Hartree): $bcb"
rel_tvb=`$AMSBIN/amspython -c "print($fermi_energy-$tvb)"`
```

(continues on next page)

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```
rel_bcb=`$AMSBIN/amspython -c "print($bcb-$fermi_energy)"`
echo "rel_bcb (Hartree): $rel_bcb"
echo "rel_tvb (Hartree): $rel_tvb"
# we want to sample just a bit above/below the bcb and tvb: both need a margin
# the applied margins here are quite arbitrary, but ensure that the example works.
⇔exactly as before
rel_tvb_with_margin=`$AMSBIN/amspython -c "print($rel_tvb+0.0585153984080739)"`
rel_bcb_with_margin=`$AMSBIN/amspython -c "print($rel_bcb+0.0205273425101303)"`
echo "rel_bcb_with_margin (Hartree): $rel_bcb_with_margin"
echo "rel_tvb_with_margin (Hartree): $rel_tvb_with_margin"
export AMS_JOBNAME=$system.ldos.bocb
rm -rf $AMS_JOBNAME.results
$AMSBIN/ams --delete-old-results << EOF
Task SinglePoint
LoadSystem
  File $system.results/ams.rkf
End
Engine BAND
Restart
  File $system.results/band.rkf
  DensityPlot
End
  Type Coarse
End
DensityPlot
End
  DeltaNeg 0.0 # fermi energy, halfway the gap
  DeltaPos $rel_bcb_with_margin # bit above the bcb
EndEngine
EOF
export AMS_JOBNAME=$system.ldos.tovb
rm -rf $AMS_JOBNAME.results
$AMSBIN/ams --delete-old-results << EOF
```

Chapter 10. Examples

```
Task SinglePoint
LoadSystem
  File $system.results/ams.rkf
Engine BAND
Restart
  File $system.results/band.rkf
  DensityPlot
End
Grid
  Type Coarse
DensityPlot
 LDOS
End
LDOS
  DeltaNeg $rel_tvb_with_margin # bit below the tvb
  DeltaPos 0.0 # fermi energy, halfway the gap
EndEngine
EOF
echo "Begin TOC of tape41 (tovb)"
$AMSBIN/dmpkf -n 1 $system.ldos.tovb.results/TAPE41 --toc | grep LDOS
echo "End TOC of tape41"
echo "Begin TOC of tape41 (bocb)"
$AMSBIN/dmpkf -n 1 $system.ldos.bocb.results/TAPE41 --toc | grep LDOS
echo "End TOC of tape41"
```

# 10.12 List of Examples

- BasisDefaults (page 228)
- *BeO\_tape41* (page 246)
- BetaIron (page 211)
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- ZnS\_ModelPotential (page 225)
- GW QP calculation for H2O (page 291)
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# REQUIRED CITATIONS

When you publish results in the scientific literature which were obtained with programs of the ADF package, you are required to include references to the program package with the appropriate release number, and a few key publications.

In addition references to special features are mandatory, in case you have used them.

# 11.1 General References

For calculations with the periodic structures BAND program, version 2020.1:

- 1. G. te Velde and E.J. Baerends, *Precise density-functional method for periodic structures*, Physical Review B 44, 7888 (1991) (https://doi.org/10.1103/PhysRevB.44.7888).
- 2. BAND 2025.1, SCM, Theoretical Chemistry, Vrije Universiteit, Amsterdam, The Netherlands, http://www.scm.com Optionally, you may add the following list of authors and contributors: P.H.T. Philipsen, G. te Velde, E.J. Baerends, J.A. Berger, P.L. de Boeij, M. Franchini, J.A. Groeneveld, E.S. Kadantsev, R. Klooster, F. Kootstra, M.C.W.M. Pols, P. Romaniello, M. Raupach, D.G. Skachkov, J.G. Snijders, C.J.O. Verzijl, J.A. Celis Gil, J. M. Thijssen, G. Wiesenekker, C. A. Peeples, G. Schreckenbach, T. Ziegler.

**Note:** if you have used a modified (by yourself, for instance) version of the code, you should mention in the citation that a modified version has been used.

# 11.2 Feature References

# Lead

See key references above, for all work with BAND

#### Suggested

- G. Wiesenekker, G. te Velde and E.J. Baerends, *Analytic quadratic integration over the two-dimensional Brillouin zone*, Journal of Physics C: Solid State Physics 21, 4263 (1988) (https://doi.org/10.1088/0022-3719/21/23/012).
- G. Wiesenekker and E.J. Baerends, *Quadratic integration over the three-dimensional Brillouin zone*, Journal of Physics: Condensed Matter 3, 6721 (1991) (https://doi.org/10.1088/0953-8984/3/35/005).
- M. Franchini, P.H.T. Philipsen, L. Visscher, *The Becke Fuzzy Cells Integration Scheme in the Amsterdam Density Functional Program Suite*, Journal of Computational Chemistry 34, 1818 (2013) (https://doi.org/10.1002/jcc.23323).
- M. Franchini, P.H.T. Philipsen, E. van Lenthe, L. Visscher, *Accurate Coulomb Potentials for Periodic and Molecular Systems through Density Fitting*, Journal of Chemical Theory and Computation 10, 1994 (2014) (https://doi.org/10.1021/ct500172n).

# 11.2.1 Geometry optimization

### Lead

E.S. Kadantsev, R. Klooster. P.L. de Boeij and T. Ziegler, *The Formulation and Implementation of Analytic Energy Gradients for Periodic Density Functional Calculations with STO/NAO Bloch Basis Set*, Molecular Physics 105, 2583 (2007) (https://doi.org/10.1080/00268970701598063).

### 11.2.2 TDDFT

#### Lead

- F. Kootstra, P.L. de Boeij and J.G. Snijders, *Efficient real-space approach to time-dependent density functional theory for the dielectric response of nonmetallic crystals*, Journal of Chemical Physics 112, 6517 (2000) (https://doi.org/10.1063/1.481315).
- P. Romaniello and P.L. de Boeij, *Time-dependent current-density-functional theory for the metallic response of solids*, Physical Review B 71, 155108 (2005) (https://doi.org/10.1103/PhysRevB.71.155108).

### Main applications

- F. Kootstra, P.L. de Boeij, and J.G. Snijders, *Application of time-dependent density-functional the-ory to the dielectric function of various nonmetallic crystals*, Physical Review B 62, 7071 (2000) (https://doi.org/10.1103/PhysRevB.62.7071).
- P. Romaniello, P.L. de Boeij, F. Carbone, and D. van der Marel, *Optical properties of bcc transition metals in the range 0 40 eV*, Physical Review B 73, 075115 (2006) (https://doi.org/10.1103/PhysRevB.73.075115).

### Suggested book references

- F. Kootstra, Ph.D. thesis (http://downloads.scm.com/Doc/ft439.pdf), Rijksuniversiteit Groningen, Groningen (2001).
- P. Romaniello, Ph.D. thesis (http://downloads.scm.com/Doc/Thesis\_Pina.pdf), Rijksuniversiteit Groningen, Groningen (2006).
- A. Berger, Ph.D. thesis (http://downloads.scm.com/Doc/Thesis\_Arjan.pdf), Rijksuniversiteit Groningen, Groningen (2006).

#### 11.2.3 Relativistic TDDFT

# Lead

P. Romaniello and P.L. de Boeij, *Relativistic two-component formulation of time-dependent current-density functional theory: Application to the linear response of solids*, Journal of Chemical Physics 127, 174111 (2007) (https://doi.org/10.1063/1.2780146).

# 11.2.4 Vignale Kohn

#### Lead

J.A. Berger, P.L. de Boeij and R. van Leeuwen, *Analysis of the viscoelastic coefficients in the Vignale-Kohn functional: The cases of one- and three-dimensional polyacetylene*, Physical Review B 71, 155104 (2005) (https://doi.org/10.1103/PhysRevB.71.155104).

# **Applications**

J.A. Berger, P. Romaniello, R. van Leeuwen and P.L. de Boeij, *Performance of the Vignale-Kohn functional in the linear response of metals*, Physical Review B 74, 245117 (2006) (https://doi.org/10.1103/PhysRevB.74.245117).

J.A. Berger, P.L. de Boeij, and R. van Leeuwen, *Analysis of the Vignale-Kohn current functional in the calculation of the optical spectra of semiconductors*, Physical Review B 75, 35116 (2007) (https://doi.org/10.1103/PhysRevB.75.035116).

### 11.2.5 NMR

### Lead

- D. Skachkov, M. Krykunov, E. Kadantsev, and T. Ziegler, *The Calculation of NMR Chemical Shifts in Periodic Systems Based on Gauge Including Atomic Orbitals and Density Functional Theory*, Journal of Chemical Theory and Computation 6, 1650 (2010) (https://doi.org/10.1021/ct100046a)
- D. Skachkov, M. Krykunov, and T. Ziegler, *An improved scheme for the calculation of NMR chemical shifts in periodic systems based on gauge including atomic orbitals and density functional theory*, Canadian Journal of Chemistry 89, 1150 (2011) (https://doi.org/10.1139/v11-050).

# 11.2.6 ESR

# A-tensor: Nuclear magnetic dipole hyperfine interaction

E.S. Kadantsev and T. Ziegler, *Implementation of a Density Functional Theory-Based Method for the Calculation of the Hyperfine A-tensor in Periodic Systems with the Use of Numerical and Slater Type Atomic Orbitals: Application to Paramagnetic Defects*, Journal of Physical Chemistry A 112, 4521 (2008) (https://doi.org/10.1021/jp800494m).

#### **G-tensor: Zeeman interaction**

E.S. Kadantsev and T. Ziegler, *Implementation of a DFT Based Method for the Calculation of Zeeman g-tensor in Periodic Systems with the use of Numerical and Slater Type Atomic Orbitals*, Journal of Physical Chemistry A 113, 1327 (2009) (https://doi.org/10.1021/jp805466c).

#### 11.2.7 NEGF

#### Lead

C. J. O. Verzijl and J. M. Thijssen *DFT-Based Molecular Transport Implementation in ADF/BAND*, J. Phys. Chem. C, 2012, 116 (46), pp 24393–24412 (https://doi.org/10.1021/jp3044225).

# 11.2.8 Electron energy density

### Lead

Stefano Racioppi and Martin Rahm, *In-Situ Electronegativity and the Bridging of Chemical Bonding Concepts*, Chemistry – A European Journal 72 18156-18167 (2021) (https://doi.org/10.1002/chem.202103477).

Stefano Racioppi, Per Hyldgaar and Martin Rahm, *Quantifying Atomic Volume, Partial Charge, and Electronegativity in Condensed Phases*, The Journal of Physical Chemistry C 128.9 (2024): 4009 (https://doi.org/10.1021/acs.jpcc.3c07677).

# 11.3 External programs and Libraries

Third party software used in the 2025.1 version of the Amsterdam Modeling Suite can be found in the file titled "third-party-software.txt" in the root of your AMS installation.

# **TWELVE**

# **KEYWORDS**

# 12.1 Links to manual entries

#### ams:

• System (page 131)

#### band:

- AIMCriticalPoints (page 154)
- ATensor (page 125)
- BField (page 49)
- *BZPath* (page 150)
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# 12.2 Summary of all keywords

# 12.2.1 Engine Band

# **AIMCriticalPoints**

### **Type**

Block

### **Description**

Compute the critical points of the density (Atoms In Molecules). The algorithm starts from a regular mesh of points, and from each of these it walks towards its corresponding critical point.

### Enabled

### **Type**

Bool

#### **Default value**

No

#### **GUI** name

: Critical points and bond paths

# Description

Compute the critical points of the density (Atoms In Molecules). The algorithm starts from a regular mesh of points, and from each of these it walks towards its corresponding critical point.

### EqvPointsTol

### **Type**

Float

# Default value

0.27

### Unit

Bohr

# **Description**

If the distance between two critical points is smaller than this value, the two critical points are considered to be the same point.

#### GridPadding

### **Type**

Float

# **Default value**

0.7

#### Unit

Bohr

How much extra space is added to the starting guess domain in the search for the critical points

# GridSpacing

Type

Float

#### **Default value**

0.5

Unit

Bohr

# **Description**

The distance between the initial trial points.

#### Allow

**Type** 

String

# Recurring

True

# **Description**

Debugging feature to let the program continue even when intermediate results seem to be wrong or very inaccurate

#### **ATensor**

**Type** 

Block

# Description

Hyperfine A-tensor.

#### Enabled

**Type** 

Bool

# Default value

No

#### **GUI** name

:A-tensor

### **Description**

Compute the hyperfine A-tensor.

Note: Unrestricted calculation is required.

# AtomType

**Type** 

Block

### Recurring

True

# **Description**

Explicit basis set definition for given atom type.

### AutomaticGaussians

### **Type**

Non-standard block

### **Description**

Definition of the automatic gaussians

#### BasisFunctions

### **Type**

Non-standard block

# **Description**

Definition of the extra Slater-type orbitals

### ContractedGaussians

#### **Type**

Non-standard block

### **Description**

Definition of the contracted gaussians

#### Dirac

# **Type**

Non-standard block

# **Description**

Specification of the numerical ('Herman-Skillman') free atom, which defines the initial guess for the SCF density, and which also (optionally) supplies Numerical Atomic Orbitals (NOs) as basis functions

### FitFunctions

# **Type**

Non-standard block

### **Description**

Slater-type fit functions. Obsolete feature.

### BandStructure

# **Type**

Block

# Description

Options for the calculation of the band structure.

#### Automatic

### **Type**

Bool

### **Default value**

Yes

#### **GUI** name

Automatic generate path

# Description

If True, BAND will automatically generate the standard path through the Brillouin zone.

If False BAND will use the user-defined path in BZPath.

#### DeltaK

### **Type**

Float

#### **Default value**

0.1

### Unit

1/Bohr

#### **GUI** name

Interpolation delta-K

# **Description**

Step (in reciprocal space) for band structure interpolation.

Using a smaller number (e.g. 0.03) will result in smoother band curves at the cost of an increased computation time.

### Enabled

# **Type**

**Bool** 

#### **Default value**

No

#### **GUI** name

Calculate band structure

# **Description**

If True, Band will calculate the band structure and save it to file for visualization.

# EnergyAboveFermi

# **Type**

Float

#### **Default value**

0.75

# Unit

Hartree

# **GUI** name

Energy above Fermi level

# **Description**

Bands with minimum energy larger then FermiEnergy + EnergyAboveFermi are not saved to file. Increasing the value of EnergyAboveFermi will result in more unoccupied bands to be saved to file for visualization.

# EnergyBelowFermi

# **Type**

Float

# **Default value**

10.0

# Unit

Hartree

### **GUI** name

Energy below Fermi level

Bands with maximum energy smaller then FermiEnergy - EnergyBelowFermi are not saved to file. Increasing the value of EnergyBelowFermi will result in more occupied core bands to be saved to file for visualization. Note: EnergyBelowFermi should be a positive number!

#### FatBands

### **Type**

Bool

#### **Default value**

Yes

### **GUI** name

Calculate fatbands

#### **Description**

If True, BAND will compute the fat bands (only if BandStructure%Enabled is True).

The Fat Bands are the periodic equivalent of the Mulliken population analysis.

#### **KPathFinderConvention**

### **Type**

Multiple Choice

#### **Default value**

Setyawan-Curtarolo

#### **Options**

[Setyawan-Curtarolo, Hinuma]

# **Description**

This option determines how the path through the Brillouin zone is generated when using the automatic k-point mode.

# Available options:

- Setyawan-Curtarolo (default for 1D and 2D lattices): Uses our built-in KPath program to find a path through high-symmetry points based on the method by Setyawan and Curtarolo (https://doi.org/10.1016/j.commatsci.2010.05.010). For 2D lattices, the path is derived from the intersection of the 3D Brillouin zone with a plane. For 1D lattices, the path is simply Gamma-Z.
- Hinuma: Uses the external SeeKPath utility to generate the k-path (https://github.com/giovannipizzi/seekpath and https://doi.org/10.1016/j.commatsci.2016.10.015).

#### UseSymmetry

#### **Type**

Bool

# Default value

Yes

#### **GUI** name

Use symmetry

# **Description**

If True, only the irreducible wedge of the Wigner-Seitz cell is sampled.

If False, the whole (inversion-unique) Wigner-Seitz cell is sampled.

Note: The Symmetry key does not influence the symmetry of the band structure sampling. Only available for Setyawan and Curtarolo convention (see KPathFinderConvention).

#### Basis

### **Type**

Block

# **Description**

Definition of the basis set

### Core

### **Type**

Multiple Choice

#### **Default value**

Large

# **Options**

[None, Small, Medium, Large]

#### **GUI** name

Frozen core

# Description

Select the size of the frozen core you want to use.

Small, Medium, and Large will be interpreted within the basis sets available (of the selected quality), and might refer to the same core in some cases.

#### Folder

# Type

String

# Description

Path to a folder containing the basis set files. This can be used for special use-defined basis sets. Cannot be used in combination with 'Type'

#### PerAtomType

# **Type**

Block

# Recurring

True

#### **Description**

Defines the basis set for all atoms of a particular type.

### Core

# **Type**

Multiple Choice

#### **Options**

[None, Small, Medium, Large]

# **Description**

Size of the frozen core.

# File

# **Type**

String

# **Description**

The path to the basis set file. The path can be absolute or relative to \$AMSRE-SOURCES/Band. Specifying the path to the basis file explicitly overrides the automatic basis file selection via the Type and Core subkeys.

# Symbol

# **Type**

String

# Description

The symbol for which to define the basis set.

# Type

### **Type**

Multiple Choice

#### **Options**

[SZ, DZ, DZP, TZP, TZ2P, QZ4P]

# **Description**

The basis sets to be used.

### PerRegion

# **Type**

Block

# Recurring

True

# Description

Defines the basis set for all atoms in a region. If specified, this overwrites the values set with the Basis%Type and Basis%PerAtomType keywords for atoms in that region. Note that if this keyword is used multiple times, the chosen regions may not overlap.

#### Core

# **Type**

Multiple Choice

### **Default value**

Large

#### **Options**

[None, Small, Medium, Large]

# **Description**

Size of the frozen core.

### Region

# **Type**

String

# **Description**

The identifier of the region for which to define the basis set. Note that this may also be a region expression, e.g. 'myregion+myotherregion' (the union of two regions).

# Type

# **Type**

Multiple Choice

#### **Default value**

DZ

# **Options**

[SZ, DZ, DZP, TZP, TZ2P, QZ4P]

#### **Description**

The basis sets to be used.

### Type

#### **Type**

Multiple Choice

#### **Default value**

DZ

# **Options**

[SZ, DZ, DZP, TZP, TZ2P, QZ4P, STO/TZ2P, STO/SZ, STO/DZ, STO/DZP, STO/QZ4P, CORR/QZ6P, CORR/TZ3P, GTO/CC-PV5Z, GTO/DEF2-QZVPPD, GTO/CC-PV6Z, GTO/CC-PVQZ, GTO/CC-PVTZ, GTO/CC-PVDZ, GTO/DEF2-SVP, GTO/DEF2-TZVP, GTO/DEF2-TZVPP, GTO/DEF2-QZVP, GTO/AUG-CC-PVDZ, GTO/AUG-CC-PVTZ, GTO/AUG-CC-PVQZ, GTO/POB-TZVP]

#### **GUI** name

Basis set

# **Description**

Select the basis set to use.

SZ: Single Z

DZ: Double Z

DZP: Double Z, 1 polarization function

TZP: Triple Z, 1 polarization function

TZ2P: Triple Z, 2 polarization functions

QZ4P: Quadruple Z, 4 polarization function

The basis set chosen will apply to all atoms in your structure. If a matching basis is not found a better type might be used.

#### BeckeGrid

### **Type**

Block

# **Description**

Options for the numerical integration grid, which is a refined version of the fuzzy cells integration scheme developed by Becke.

#### Quality

#### **Type**

Multiple Choice

### **Default value**

Auto

# **Options**

[Auto, Basic, Normal, Good, VeryGood, Excellent]

### **Description**

Quality of the integration grid. For a description of the various qualities and the associated numerical accuracy see reference. If 'Auto', the quality defined in the 'NumericalQuality' will be used.

### QualityPerRegion

# **Type**

Block

### Recurring

True

# Description

Sets the grid quality for all atoms in a region. If specified, this overwrites the globally set quality.

# Quality

#### **Type**

Multiple Choice

#### **Options**

[Basic, Normal, Good, VeryGood, Excellent]

### **Description**

The region's integration grid quality.

### Region

### Type

String

# Description

The identifier of the region for which to set the quality.

### RadialGridBoost

### **Type**

Float

# Default value

1.0

### **Description**

The number of radial grid points will be boosted by this factor. Some XC functionals require very accurate radial integration grids, so BAND will automatically boost the radial grid by a factor 3 for the following numerically sensitive functionals: LibXC M05, LibXC M05-2X, LibXC M06-2X, LibXC M06-HF, LibXC M06-L, LibXC M08-HX, LibXC M08-SO, LibXC M11-L, LibXC MS0, LibXC MS1, LibXC MS2, LibXC MS2H, LibXC MVS, LibXC MVSH, LibXC N12, LibXC N12-SX, LibXC SOGGA11, LibXC SOGGA11-X, LibXC TH1, LibXC TH2, LibXC WB97, LibXC WB97X, MetaGGA M06L, MetaHybrid M06-2X, MetaHybrid M06-HF, MetaGGA MVS.

#### BerryPhase

# **Type**

Bool

### **Default value**

No

Boolean that determines whether the dipole as determined through the Berry phase approach should be calculated.

### **BField**

# **Type**

Block

### **Description**

The effect of a magnetic filed can be approximated by the following potential: mu \* sigma\_i \* B, where mu is the Bohr magneton, sigma\_i are the Pauli matrices and B is the magnetic field

 $\mathbf{B}\mathbf{x}$ 

# **Type**

Float

# **Default value**

0.0

#### Unit

Tesla

# **Description**

Value of the x component of the BField

Ву

# Type

Float

# **Default value**

0.0

### Unit

Tesla

### **Description**

Value of the y component of the BField

Bz

# **Type**

Float

### **Default value**

0.0

# Unit

Tesla

# Description

Value of the z component of the BField

# Dipole

### **Type**

Bool

# Default value

No

#### **GUI** name

Bfield is: Atomic dipole

Use an atomic dipole as magnetic field instead of a uniform magnetic field.

# DipoleAtom

### **Type**

Integer

#### **Default value**

1

### **GUI** name

on atom number

# **Description**

Atom on which the magnetic dipole should be centered (if using the dipole option)

#### Method

# Type

Multiple Choice

#### **Default value**

NR\_SDOTB

# **Options**

[NR\_SDOTB, NR\_LDOTB, NR\_SDOTB\_LDOTB]

#### Description

There are two terms coupling to an external magnetic field.

One is the intrinsic spin of the electron, called S-dot-B, the other one is the orbital momentum call L-dot-B.

The L.B is implemented non-relativistically, using GIAOs in the case of a homogeneous magnetic field (not for the dipole case).

#### Unit

### **Type**

Multiple Choice

# **Default value**

tesla

# **Options**

[tesla, a.u.]

### **Description**

Unit of magnetic filed. The a.u. is the SI version of a.u.

### BZPath

# **Type**

Block

### **Description**

Definition of the user-defined path in the Brillouin zone for band structure plotting.

# path

# Type

Non-standard block

# Recurring

True

# Description

Definition of the k-points in a path. The vertices of your path should be defined in fractional coordinates (wrt the reciprocal lattice vectors)

#### Comment

#### **Type**

Non-standard block

### **Description**

The content of this block will be copied to the output header as a comment to the calculation.

#### Convergence

### Type

Block

# Description

Options and parameters related to the convergence behavior of the SCF procedure.

#### Criterion

# **Type**

Float

# **Description**

Criterion for termination of the SCF procedure. The default depends on the NumericalQuality and on the number of atoms in the system.

Can be used for EngineAutomations

# CriterionFactor

# **Type**

Float

#### **Default value**

1.0

# Description

Multiply Criterion (which depends on system and quality) with this factor.

Can be used for EngineAutomations

### Degenerate

#### **Type**

String

#### Default value

default

# Description

Smooths (slightly) occupation numbers around the Fermi level, so as to insure that nearly-degenerate states get (nearly-) identical occupations. Be aware: In case of problematic SCF convergence the program will turn this key on automatically, unless the key 'Nodegenerate' is set in input. The smoothing depends on the argument to this key, which can be considered a 'degeneration width'. When the argument reads default, the program will use the value 1e-4 a.u. for the energy width.

### ElectronicTemperature

# **Type**

Float

#### **Default value**

0.0

#### Unit

Hartree

#### **Description**

(KT) Specify this key for a gradient independent electronic temperature

# InitialDensity

#### **Type**

Multiple Choice

#### **Default value**

rho

# **Options**

[rho, psi, frompot]

### **Description**

The SCF is started with a guess of the density. There are the following choices RHO: the sum of atomic density. PSI: construct an initial eigensystem by occupying the atomic orbitals. The guessed eigensystem is orthonormalized, and from this the density is calculated/

### LessDegenerate

### **Type**

Bool

# **Default value**

No

#### **Description**

If smoothing of occupations over nearly degenerate orbitals is applied (see Degenerate key), then, if this key is set in the input file, the program will limit the smoothing energy range to 1e-4 a.u. as soon as the SCF has converged 'halfway', i.e. when the SCF error has decreased to the square root of its convergence criterion.

# ModestCriterion

### **Type**

Float

### **Default value**

-1.0

### **Description**

If this is specified band will consider the SCF converged if the error is below this criterion (after using the maximum number of iterations).

### NoDegenerate

# Type

**Bool** 

# Default value

No

### **Description**

This key prevents any internal automatic setting of the key DEGENERATE.

#### NumBoltz

#### **Type**

Integer

### **Default value**

10

### **Description**

The electronic temperature is done with a Riemann Stieltjes numerical integration, between zero and one occupation. This defines the number of points to be used.

# SpinFlip

#### **Type**

Integer List

## **GUI** name

Flip spin for atoms

# **Description**

List here the atoms for which you want the initial spin polarization to be flipped. This way you can distinguish between ferromagnetic and anti ferromagnetic states. Currently, it is not allowed to give symmetry equivalent atoms a different spin orientation. To achieve that you have to break the symmetry.

# SpinFlipEnabled

### **Type**

Bool

# **Default value**

Yes

# Description

If set to False, the keys SpinFlip and SpinFlipRegion are ignored. Only useful/convenient when trying to compare in a script the effect of spin flip.

# SpinFlipRegion

#### **Type**

String

### Recurring

True

#### **GUI** name

Flip spin for region

### **Description**

Specify here the region for which you want the initial spin polarization to be flipped. This way you can distinguish between ferromagnetic and anti ferromagnetic states. Currently, it is not allowed to give symmetry equivalent atoms a different spin orientation. To achieve that you have to break the symmetry.

### StartWithMaxSpin

### Type

Bool

# Default value

Yes

To break the initial perfect symmetry of up and down densities there are two strategies. One is to occupy the numerical orbitals in a maximum spin configuration. The alternative is to add a constant to the potential. See also Vsplit key.

# StartWithMaxSpinForSO

### **Type**

**Bool** 

#### **Default value**

No

# **Description**

Same as the StartWithMaxSpin option. In case of spin-orbit band always used to split the potential. Now will use maxspin in case of SpinFlip. With this option it will always do that.

### **CPVector**

### Type

Integer

### **Default value**

128

### **GUI** name

Vectorlength (blocksize)

### Description

The code is vectorized and this key can be used to set the vector length

# DensityPlot

# Type

Non-standard block

#### Description

Plots of the density. Goes together with the Restart%DensityPlot and Grid keys.

# Dependency

# **Type**

Block

# Description

Criteria for linear dependency of the basis and fit set

### AllowBasisDependency

**Type** 

Bool

### **Default value**

Yes

### **Description**

Project out the dependent part of the basis set (associated with small eigenvalues of the overlap matrix).

### Basis

# **Type**

Float

#### **Default value**

1e-08

#### **GUI** name

Dependency criterion

# Description

Criteria for linear dependency of the basis: smallest eigenvalue of the overlap matrix of normalized Bloch functions.

#### Core

# Type

Float

### **Default value**

0.8

# **Description**

The program verifies that the frozen core approximation is reasonable, by checking the smallest eigen value of the overlap matrix of the core (Bloch) orbitals (which should ideally be one) is bigger than this criterion.

#### CoreValence

#### **Type**

Float

#### **Default value**

1e-05

# **Description**

Criterion for dependency of the core functions on the valence basis. The maximum overlap between any two normalized functions in the two respective function spaces should not exceed 1.0-corevalence

#### Fit

### **Type**

Float

# Default value

5e-06

# **Description**

Criterion for dependency of the total set of fit functions. The value monitored is the smallest eigenvalue of the overlap matrix of normalized Bloch sums of symmetrized fit functions.

# DIIS

### **Type**

Block

# Description

Parameters for the DIIS procedure to obtain the SCF solution

#### Adaptable

# Type

Bool

# Default value

Yes

Change automatically the value of dimix during the SCF.

# CHuge

# **Type**

Float

#### **Default value**

20.0

### **GUI** name

No DIIS (but damping) when coefs >

# **Description**

When the largest coefficient in the DIIS expansion exceeds this value, damping is applied

# CLarge

# Type

Float

### **Default value**

20.0

### **GUI** name

Reduce DIIS space when coefs >

# Description

When the largest DIIS coefficient exceeds this value, the oldest DIIS vector is removed and the procedure re-applied

### Condition

# **Type**

Float

#### **Default value**

1000000.0

# Description

The condition number of the DIIS matrix, the largest eigenvalue divided by the smallest, must not exceed this value. If this value is exceeded, this vector will be removed.

#### DiMix

# **Type**

Float

# **Default value**

0.2

### **GUI** name

Bias DIIS towards latest vector with

# Description

Mixing parameter for the DIIS procedure

### DiMixMax

# **Type**

Float

#### **Default value**

-1.0

For adaptive diis: A negative value means automatic, see DiMixatnvctrx. If positive it is an absolute upper bound for (adaptive) dimix

### DiMixMin

# **Type**

Float

### **Default value**

0.01

# **Description**

An absolute lower bound for adaptive dimix.

# NCycleDamp

# Type

Integer

# **Default value**

1

### **GUI** name

Do not start DIIS before cycle

# **Description**

Number of initial iterations where damping is applied, before any DIIS is considered

#### NVctrx

# **Type**

Integer

# Default value

20

#### **GUI** name

Size of DIIS space

# **Description**

Maximum number of DIIS expansion vectors

# Variant

# Type

Multiple Choice

### **Default value**

DIIS

# **Options**

[DIIS, LISTi, LISTb, LISTd]

# Description

Which variant to use. In case of problematic SCF convergence, first try MultiSecant, and if that does not work the LISTi is the advised method. Note: LIST is computationally more expensive per SCF iteration than DIIS.

# DOS

# **Type**

Block

Density-Of-States (DOS) options

#### CalcDOS

### **Type**

Bool

#### **Default value**

Yes

### **GUI** name

Calculate DOS

# **Description**

Whether or not to calculate the density of states.

#### CalcPDOS

### **Type**

**Bool** 

#### **Default value**

No

### **GUI** name

Calculate PDOS

# Description

Whether or not to calculate the partial DOS (projections on basis functions). This can be significantly more expensive than calculating the total DOS

# CalcPopulationAnalysis

# **Type**

Bool

#### **Default value**

Yes

# **GUI** name

Calculate Mulliken charges

# Description

Whether or not to calculate the population analysis. Population analysis can become very expensive when there are many symmetry operators, such as in a super cell.

# CompensateDeltaE

### **Type**

**Bool** 

# **Default value**

Yes

### **Description**

Only relevant when IntegrateDeltaE=yes. If set to true then after integrating each interval over DeltaE the result is divided by DeltaE, so that the unit is DOS.

# DeltaE

# **Type**

Float

0.005

### Unit

Hartree

### **GUI** name

Delta E

### **Description**

Energy step for the DOS grid. Using a smaller value (e.g. half the default value) will result in a finer sampling of the DOS.

### **Energies**

### **Type**

Integer

# **Description**

Number of equidistant energy-values for the DOS grid. This keyword is superseded by the 'DeltaE' keyword.

### File

## **Type**

String

## **Description**

Write the DOS (plain text format) to the specified file instead of writing it to the standard output.

## IntegrateDeltaE

## **Type**

Bool

# Default value

Yes

## **Description**

This subkey handles which algorithm is used to calculate the data-points in the plotted DOS. If true, the data-points represent an integral over the states in an energy interval. Here, the energy interval depends on the number of Energies and the user-defined upper and lower energy for the calculation of the DOS. The result has as unit [number of states / (energy interval \* unit cell)]. If false, the data-points do represent the number of states for a specific energy and the resulting plot is equal to the DOS per unit cell (unit: [1/energy]). Since the resulting plot can be a wild function and one might miss features of the DOS due to the step length between the energies, the default is set to the integration algorithm.

# Max

# Type

Float

### Unit

Hartree

### **Description**

User defined upper bound energy (with respect to the Fermi energy)

# Min

### **Type**

Float

### Unit

Hartree

### **Description**

User defined lower bound energy (with respect to the Fermi energy)

## StoreCoopPerBasPair

**Type** 

Bool

## **Default value**

No

### **GUI** name

Calculate COOP

## **Description**

Calculate the COOP (crystal orbital overlap population).

## DosBas

## **Type**

Non-standard block

# **Description**

Used to specify the fragment basis for the DOS.

## DumpBasisOnly

**Type** 

Bool

# Default value

No

## **Description**

Dump basis and fit set files use for each atom.

## **EffectiveMass**

Type

Block

## Description

In a semi-conductor, the mobility of electrons and holes is related to the curvature of the bands at the top of the valence band and the bottom of the conduction band.

With the effective mass option, this curvature is obtained by numerical differentiation.

The estimation is done with the specified step size, and twice the specified step size, and both results are printed to give a hint on the accuracy. The easiest way to use this key is to enabled it without specifying any extra options.

## Enabled

**Type** 

Bool

**Default value** 

No

**GUI** name

Effective mass

Compute the EffectiveMass.

### **KPointCoord**

## **Type**

Float List

### Unit

1/Bohr

# Recurring

True

## **GUI** name

At K-point

# **Description**

Coordinate of the k-points for which you would like to compute the effective mass.

## NumAbove

## **Type**

Integer

## **Default value**

1

## **GUI** name

Include N bands above

# **Description**

Number of bands to take into account above the Fermi level.

# NumBelow

# **Type**

Integer

# **Default value**

1

# **GUI** name

Include N bands below

# Description

Number of bands to take into account below the Fermi level.

# StepSize

# **Type**

Float

# **Default value**

0.001

# **Description**

Size of the step taken in reciprocal space to perform the numerical differentiation

# UseBandStructureInfoFromPath

# **Type**

Bool

Yes

## Description

The (automatic) location of the HOMO and LUMO can be determined via band interpolation, or from the path as used by the BandStructure feature. The latter works better when they are located on the path. See also comments in the BandStructure block. To reproduce results from before ams2025 set to no.

### **EFG**

## **Type**

Block

# **Description**

The electronic charge density causes an electric field, and the gradient of this field couples with the nuclear quadrupole moment, that some (non-spherical) nuclei have and can be measured by several spectroscopic techniques. The EFG tensor is the second derivative of the Coulomb potential at the nuclei. For each atom it is a 3x3 symmetric and traceless matrix. Diagonalization of this matrix gives three eigenvalues, which are usually ordered by their decreasing absolute size and denoted as  $V_{xx}, V_{yy}, V_{zz}$ . The result is summarized by the largest eigenvalue and the asymmetry parameter.

### Enabled

# **Type**

**Bool** 

### **Default value**

No

## **GUI** name

EFG (electric field gradient): Calculate

### **Description**

Compute the EFG tensor (for nuclear quadrupole interaction).

## EigThreshold

## **Type**

Float

### Default value

0.01

## **Description**

Threshold for printing the eigenvectors coefficients (Print Eigens)

### ElectronHole

# **Type**

Block

# Description

Allows one to specify an occupied band which shall be depopulated, where the electrons are then moved to the Fermi level. For a spin-restricted calculation 2 electrons are shifted and for a spin-unrestricted calculation only one electron is shifted.

# BandIndex

# Type

Integer

Which occupied band shall be depopulated.

# SpinIndex

## **Type**

Integer

## **Description**

Defines the spin of the shifted electron (1 or 2).

# EmbeddingPotential

## **Type**

Block

### Description

An external potential can be read in and will be added to the effective Kohn-Sham potential. It has to be on the becke grid

### Filename

## **Type**

String

## **Default value**

## **Description**

Name of the file containing the embedding potential.

### **PotentialName**

### **Type**

String

# Default value

### **Description**

Name of variable containing the potential.

## EnforcedSpinPolarization

# **Type**

Float

### **GUI** name

Spin polarization

## **Description**

Enforce a specific spin-polarization instead of occupying according to the aufbau principle. The spin-polarization is the difference between the number of alpha and beta electron.

Thus, a value of 1 means that there is one more alpha electron than beta electrons.

The number may be anything, including zero, which may be of interest when searching for a spin-flipped pair, that may otherwise end up in the (more stable) parallel solution.

# ESR

# Type

Block

### Description

Zeeman g-tensor. The Zeeman g-tensor is implemented using two-component approach of Van Lenthe and co-workers in which the g-tensor is computed from a pair of spinors related to each

other by time-reversal symmetry. Note: the following options are necessary for ESR: 'Relativistic zora spin' and 'Kspace 1'

### Enabled

## **Type**

Bool

### **Default value**

No

## **GUI** name

ESR: g-tensor

# **Description**

Compute Zeeman g-tensor.

The Zeeman g-tensor is implemented using two-component approach of Van Lenthe and coworkers in which the g-tensor is computed from a pair of spinors related to each other by time-reversal symmetry.

Note: the following options are necessary for ESR: 'Relativistic zora spin' and 'Kspace 1'

### Excitations

## **Type**

Block

# Description

Excitation energies: UV/Vis

### Fermi

# **Type**

Block

# Description

Technical parameter used in determining the Fermi energy, which is carried out at each cycle of the SCF procedure.

## Delta

## **Type**

Float

### **Default value**

0.0001

### **Description**

Convergence criterion: upper and lower bounds for the Fermi energy and the corresponding integrated charge volumes must be equal within delta.

# Eps

# **Type**

Float

### **Default value**

1e-10

# **Description**

After convergence of the Fermi energy search procedure, a final estimate is defined by interpolation and the corresponding integrated charge volume is tested. It should be exact, to machine precision. Tested is that it deviates not more than eps.

# MaxTry

### **Type**

Integer

## **Default value**

15

## **Description**

Maximum number of attempts to locate the Fermi energy. The procedure is iterative in nature, narrowing the energy band in which the Fermi energy must lie, between an upper and a lower bound. If the procedure has not converged sufficiently within MaxTry iterations, the program takes a reasonable value and constructs the charge density by interpolation between the functions corresponding to the last used upper and lower bounds for the Fermi energy.

### RefinePostSCFFactor

## **Type**

Integer

### **Description**

Use a finer k-grid after the scf to calculate a refined fermi level. Makes only sense for metals. Works like DoubleCount. Use 1,2,3

### FermiSurface

## **Type**

Block

### **Description**

Calculation of the Fermi surface for metals

# Enabled

# **Type**

Bool

### **Default value**

No

# **GUI** name

Calculate Fermi surface

# Description

Calculate the Fermi surface if the system has no band gap (i.e. is a metal). The result can be visualized with amsbands.

## KIntegForSymmetricKGrid

### **Type**

Integer

# Default value

-1

### **Description**

If the (default) regular k-grid is used, a symmetric one is created to determine the Fermi surface. If this key is not specified an automatic value of kInteg is used. Odd values trigger quadratic interpolation.

## NMesh

## **Type**

Integer

7

## Description

Improves the matching of the interpolated quadratic surface. For better results it makes more sense to increases KIntegForSymmetricKGrid.

### FormFactors

**Type** 

Integer

## **Default value**

2

## Description

Number of stars of K-vectors for which the form factors are computed

## Fragment

**Type** 

Block

## Recurring

True

# Description

Defines a fragment. You can define several fragments for a calculation.

# AtomMapping

**Type** 

Non-standard block

# **Description**

Format 'indexFragAt indexCurrentAt'. One has to associate the atoms of the fragment to the atoms of the current calculation. So, for each atom of the fragment the indexFragAt has to be associated uniquely to the indexCurrentAt for the current calculation.

### Filename

**Type** 

String

# Description

Filename of the fragment. Absolute path or path relative to the executing directory.

## Labels

**Type** 

Non-standard block

# **Description**

This gives the possibility to introduce labels for the fragment orbitals. See examples.

### FuzzyPotential

**Type** 

Non-standard block

### Description

Atomic (fuzzy cell) based, external, electric potential. See example.

## FuzzyUnitCellGrid

# **Type** Block **Description** Undocumented. AtomRadiusLSG Type Float **Default value** 0.0 **Description** Undocumented. CellPartitionDelta **Type** Float

**Default value** 

4.0

**Description** 

Undocumented.

# CellPartitionInterpolationCubic

**Type** 

Bool

**Default value** 

No

Description

Undocumented.

# ${\tt CellPartitionInterpolationMesh}$

**Type** 

Integer

**Default value** 

100

**Description** 

Undocumented.

# CellPartitionVersion

**Type** 

Integer

**Default value** 

**Description** 

Undocumented.

# CentralizeNaturalLSG

**Type** 

Bool

No

# **Description**

Undocumented.

# InterpolateCellPartition

Type

Bool

**Default value** 

No

# **Description**

Undocumented.

## NumIntExtraL

**Type** 

Integer

**Default value** 

0

# **Description**

Undocumented.

### NumIntExtraRad

**Type** 

Integer

**Default value** 

0

# **Description**

Undocumented.

# PartitionFunctionTol

**Type** 

Float

## **Default value**

1e-08

# **Description**

Undocumented.

# PruneLatticeSummedGrid

**Type** 

Bool

# **Default value**

Yes

## **Description**

Undocumented.

# ReduceAccuracyLSG

**Type** 

Bool

# **Default value** No **Description** Undocumented. SimpleLatticeSummedGrid **Type Bool Default value** No **Description** Undocumented. Grid **Type** Block **Description** Options for the regular grid used for plotting (e.g. density plot). Used ICW the restart option. ExtendX **Type** Float **Default value** 0.0 Unit Bohr **Description** Extend the default regular grid along the x-direction by the specified amount: [x\_min, x\_max] $\Rightarrow$ [x\_min - ExtendX/2, x\_max + ExtendX/2]. ExtendY **Type** Float **Default value** 0.0 Unit Bohr **Description** Extend the default regular grid along the y-direction by the specified amount: [y\_min, y\_max] $\Rightarrow$ [y\_min - ExtendY/2, y\_max + ExtendY/2].

Unit

Bohr

Type
Float

Default value
0.0

ExtendZ

Extend the default regular grid along the z-direction by the specified amount: [z\_min, z\_max] => [z\_min - ExtendZ/2, z\_max + ExtendZ/2].

### FileName

### **Type**

String

## **Default value**

## Description

Read in the grid from a file. The file format of the grid is: three numbers per line (defining the x, y and z coordinates of the points).

## Type

## **Type**

Multiple Choice

## **Default value**

coarse

# **Options**

[coarse, medium, fine]

# **Description**

The default regular grids.

### UserDefined

### **Type**

Non-standard block

# Description

One can define the regular grid specification in this block. See example. Default unit is Bohr

### GridBasedAIM

### **Type**

Block

# Description

Invoke the ultra fast grid based Bader analysis.

### Enabled

## **Type**

Bool

# Default value

No

### **GUI** name

Bader (AIM): Atomic properties

# **Description**

Invoke the ultra fast grid based Bader analysis.

## Iterations

# **Type**

Integer

## **Default value**

40

The maximum number of steps that may be taken to find the nuclear attractor for a grid point.

# SmallDensity

## **Type**

Float

### Default value

1e-06

## **Description**

Value below which the density is ignored. This should not be chosen too small because it may lead to unassignable grid points.

# UseStartDensity

## **Type**

**Bool** 

## **Default value**

No

## Description

Whether the analysis is performed on the startup density (True) or on the final density (False).

# GrossPopulations

## **Type**

Non-standard block

## **Description**

Partial DOS (pDOS) are generated for the gross populations listed under this key. See example.

## GW

# **Type**

Block

### Description

Perform a GW calculation. G0W0 is the default for GW%SelfConsistency.

## AdaptiveMixing

## **Type**

Float List

## **Description**

Requests to use adaptive mixing instead of DIIS and sets the staring mixing parameter for mixing of Green's function in case of self-consistency.

Adapative mixing is recommended in case a qsGW calculation does not converge with DIIS.

It is ignored in non-selfconsistent calculation and overwritten by DIIS when DIIS is also present.

### AnalyticalIntegration

### **Type**

Block

## **Description**

Use analytical integration to calculate the self-energy. Very slow, unless the system is very small but useful to check the accuracy of the frequency integration

### Enabled

## **Type**

**Bool** 

### **Default value**

No

## **GUI** name

analytical integration

### **Description**

Enable the calculation of the GW quasi-particle energies via analytical integration.

# SpectralFunctionResolution

## **Type**

Integer

## Default value

800

## **Description**

Number of points at which spectral function is evaluated.

### TDA

# **Type**

**Bool** 

### **Default value**

No

# **Description**

Solve the linear response equations in the Tamm-Dancoff approximation.

## eta

# **Type**

Float

# **Default value**

0.001

# Description

Artificial (positive) broadening parameter for evaluation of self-energy in analytical integration

Ideally should be as small as possible but this might lead to convergence issues in partially self-consistent approaches.

In this case, a value of up to 0.1 is possible.

## Converge

## **Type**

Block

# Description

Sets convergence criteria for the GW calculation in self-consistent case

# Density

# Type

Float List

[1e-08, 1e-05]

## **Description**

First Criterion for self-consistency procedure to terminate.

Criterion is the trace of the density matrix. Ignored in non-selfconsistent Calculation and in eigenvalue self-consistent GW

It is possible to run a qsGW calculation with an inner SCF loop which updates the static part of the elf-energy only. This can be useful to accelerate the convergence in case linear mixing is used. It is not recommended to use linear mixing, so it is also not recommended to use that inner loop as well. The second number in this list specifies the convergence criterion for the inner SCF loop.

### HOMO

## **Type**

Float

### **Default value**

0.003

### Unit

eV

### **GUI** name

HOMO energy convergence

### **Description**

Criterion for self-consistency procedure to terminate.

The self-consistent GW calculation terminates, when the difference between the HOMO QP energies between 2 consecutive iterations is below this number.

The LUMO energy converged faster than the HOMO energy so when the HOMO energy is converged according to this criterion, the LUMO energy will be converged as well.

In non-selfconsistent Calculation, this criterion is ignored.

## DIIS

# **Type**

Integer

### **Default value**

10

### **Description**

Requests to use DIIS. This is the Default. Number of expansion coefficients can be requested as well. Ignored in non-selfconsistent calculation

### Enabled

### **Type**

Bool

### **Default value**

No

## **GUI** name

Calculate GW quasi-particle energies

Enable the calculation of the GW quasi-particle energies.

## LinearMixing

### **Type**

Float List

## **Description**

Requests to use linear mixing instead of DIIS and sets the mixing parameter for linear mixing of Green's function in case of self-consistency.

It is ignored in non-selfconsistent calculation and overwritten by DIIS when DIIS is also present.

### LinearizeQPequations

### **Type**

**Bool** 

### **Default value**

No

### **Description**

Instead of solving the non-linear QP equations in a G0W0 (or evGW calculation) by bisection exacly, linearize them by first-order Taylor expansion.

This is not recommended since it does not save computational time when used together with analytical continuation (as implemented in AMS). It might however be useful for benchmarking or for validating results.

If the results os the linearization differ by a lot (for instance, more than 0.1 eV in frontier QP energies) from the non-linearized results, this might indicate that the GW calculation is not reliable.

# Polarizability

## **Type**

Multiple Choice

### **Default value**

**RPA** 

### **Options**

[RPA, BSE, G4W1, G4V1, TDHF]

### **Description**

Sets the expression for the Polarizability used in the GW calculation.

RPA is the Default and amounts to a standard GW calculation.

BSE denotes screening in the Bethe-Salpeter-equation formalism.

### **PrintAllSolutions**

# Type

Bool

### **Default value**

No

### Description

Print out all solutions for all requested states. Detects multiple solutions of the QP equations.

### PrintSpectralFunction

## **Type**

**Bool** 

### **Default value**

No

## **Description**

Plot the self-energy as a function of frequency. Automatically done in case of analytical continuation. However, this is expensive in the analytical integration formalism.

### QPHamiltonian

### **Type**

Multiple Choice

### **Default value**

KSF2

## **Options**

[KSF1, KSF2, SRG, LQSGW]

### **Description**

The quasi-particle Hamiltonian can be constructed in different ways.

KSF1 refers to the original construction by Kotani, Van Schilfgaarde anf Faleev (KSF) which is also implemented in TURBOMOLE.

KSF2 refers to an alternative construction by KSF.

KSF1 is not recommended since it is numerically less stable than KSF2 in case analytical continuation is used (the default).

In case the analytical integration algorithm is used, only KSF1 is implemented. Therefore, make sure that KSF1 is specified. The results are typically very similar.

The QP energies at which the matrix elements are evaluated can be tweaked further, see the two subsequent keys: However, KSF2 is recommended since it typically leads to QP energies with the best agreement with experiment.

Ignored when not a quasi-particle self-consistent GW calculation is performed

### ScissorShift

## **Type**

Bool

### **Default value**

No

### **Description**

Only calculate the HOMO and LUMO QP energies and shift the remaining QP energies by the same amount.

This is a rather crude approximation and not recommended.

It might again be useful for benchmarking purposes.

### SelfConsistency

# Type

Multiple Choice

## **Default value**

**G0W0** 

## **Options**

[G0W0, EVGW0, EVGW, QSGW0, QSGW]

### **Description**

Sets the level of self-consistency in a GW calculation.

G0W0 calculates a one-shot, perturbative correction to the KS eigenvalues.

In evGW and evGW0, the quasi-particle energies are updated until self-consistency is reached.

evGW0 requests that the Green's function is evaluated self-consistently but not the screened interaction.

In qsGW, the density is updated as well, however, the self-energy is mapped to a static effective potential and the Dyson equation is solved by diagonalization instead of inversion. The results of a qsGW are independent of the choice of the underlying exchange-correlation functional and are usually the most accurate ones.

The same is done in qsGW0, but the screened interaction is not updated.

### SelfEnergy

## **Type**

Multiple Choice

### **Default value**

GW

### **Options**

[HF, GW, G3W2, SOSEX, GWGamma, G3W2dynamic, GWGammaInf, GWGammaInfDyn]

## **Description**

Controls the form of the self-energy.

GW is the default and corresponds to the standard GW calculation.

G3W2 is a GW calculation plus a perturbative second-order statically screened exchange correction (second order expansion in the self-energy). Note, that there the self-energy is always static.

### nIterations

## **Type**

Integer List

### **Default value**

[10]

### **GUI** name

Number of iterations

## **Description**

The maximum number of iterations within the (partially or fully) self-consistent GW calculation has to converge.

Ignored when Formalism is set to G0W0

### nLowest

## **Type**

Integer

## **Default value**

1

## **GUI** name

N Lowest

## **Description**

Number of lowest occupied QP levels to be evaluated, overwrites nStates'

### nStates

## **Type**

Integer

## **Default value**

5

## **GUI** name

N states

## **Description**

Number of Quasiparticle States to be printed to output.

The default is 5 states which in this case means that min(5, Number of particle states) occupied and min(5, Number of hole states) hole states are printed. The whole list of states can be printed by setting this parameter to -1'

### HubbardU

# Type

Block

# Description

Options for Hubbard-corrected DFT calculations.

### Atom

# **Type**

Block

## Recurring

True

# **Description**

Specify Hubbard parameters (U,l) for a certain element

## Element

# **Type**

String

## **Description**

Name of the element, such as Cu or Zn

# LValue

# **Type**

Multiple Choice

## **Default value**

S

## **Options**

[s, p, d, f]

# **Description**

L value of the shell to apply the Hubbard model to

```
UValue
             Type
               Float
             Default value
               0.0
             Unit
               Hartree
             Description
               Hubbard U value.
PrintOccupations
         Type
             Bool
         Default value
             Yes
         Description
             Whether or not to print the occupations during the SCF.
Region
         Type
             Block
         Recurring
             True
         Description
             Specify Hubbard parameters (U,l) for all atoms in a certain region
     LValue
             Type
               Multiple Choice
             Default value
             Options
               [s, p, d, f]
             Description
               L value of the shell to apply the Hubbard model to
     Name
             Type
               String
             Description
               Name of the region
     UValue
             Type
               Float
             Default value
               0.0
```

## Unit

Hartree

### Description

Hubbard U value.

## Integration

## **Type**

Block

# **Description**

Options for the Voronoi numerical integration scheme. Deprecated. Use BeckeGrid instead.

### AccInt

### **Type**

Float

## **Default value**

3.5

## **Description**

General parameter controlling the accuracy of the Voronoi integration grid. A value of 3 would be basic quality and a value of 7 would be good quality.

# IntegrationMethod

## **Type**

Multiple Choice

## **Default value**

Becke

# **Options**

[Becke, Voronoi]

## **Description**

Choose the real-space numerical integration method. Note: the Voronoi integration scheme is deprecated.

### KGrpX

# Type

Integer

## **Default value**

5

## **GUI** name

Number of K-points at once

# **Description**

Absolute upper bound on the number of k-points processed together. This only affects the computational performance.

# **KSpace**

# Type

Block

# Description

Options for the k-space integration (i.e. the grid used to sample the Brillouin zone)

# Quality

### **Type**

Multiple Choice

### **Default value**

Auto

## **Options**

[Auto, GammaOnly, Basic, Normal, Good, VeryGood, Excellent]

### **GUI** name

K-space

## **Description**

Select the quality of the K-space grid used to sample the Brillouin Zone. If 'Auto', the quality defined in the 'NumericalQuality' will be used. If 'GammaOnly', only one point (the gamma point) will be used.

The actual number of K points generated depends on this option and on the size of the unit cell. The larger the real space cell, the fewer K points will be generated.

The CPU-time and accuracy strongly depend on this option.

## Regular

## **Type**

Block

### **Description**

Options for the regular k-space integration grid.

### NumberOfPoints

# **Type**

Integer List

### Description

Use a regular grid with the specified number of k-points along each reciprocal lattice vector.

For 1D periodic systems you should specify only one number, for 2D systems two numbers, and for 3D systems three numbers.

### Symmetric

# Type

Block

## **Description**

Options for the symmetric k-space integration grid.

# KInteg

### **Type**

Integer

## **GUI** name

Accuracy

## **Description**

Specify the accuracy for the Symmetric method.

- 1: absolutely minimal (only the G-point is used)
- 2: linear tetrahedron method, coarsest spacing
- 3: quadratic tetrahedron method, coarsest spacing

```
4,6,... (even): linear tetrahedron method
```

5,7.... (odd): quadratic method

The tetrahedron method is usually by far inferior.

# Type

## **Type**

Multiple Choice

# Default value

Regular

# **Options**

[Regular, Symmetric]

### **GUI** name

K-space grid type

## **Description**

The type of k-space integration grid used to sample the Brillouin zone (BZ) used.

'Regular': simple regular grid.

'Symmetric': symmetric grid for the irreducible wedge of the first BZ (useful when high-symmetry points in the BZ are needed to capture the correct physics of the system, graphene being a notable example).

### LDOS

## **Type**

Block

# Description

Local Density-Of-States information. This can be used to generate STM images in the Tersoff-Hamann approximation (see https://doi.org/10.1103/PhysRevB.31.805)

# DeltaNeg

## **Type**

Float

# **Default value**

0.0001

### Unit

Hartree

### **Description**

Lower bound energy (Shift-DeltaNeg)

## DeltaPos

## **Type**

Float

# **Default value**

0.0001

## Unit

Hartree

## **Description**

Upper bound energy (Shift+DeltaPos)

### Shift

## **Type**

Float

## **Default value**

0.0

### Unit

Hartree

# Description

The energy bias with respect to the Fermi level.

### MBPT

## **Type**

Block

# **Description**

Technical aspects of the MP2 algorithm.

## Dependency

# Type

**Bool** 

### **Default value**

Yes

## **Description**

If true, to improve numerical stability, almost linearly-dependent combination of basis functions are removed from the Green's function that are used in the MBPT equations. Disabling this key is strongly discouraged. Its value can however be changed. The key to adjust this value is RiHartreeFock%DependencyThreshold

### ExcludeCore

## **Type**

Bool

## **Description**

If active, excludes core states from the calculation of the optimal imaginary time and frequency grids.

The core states are still included in all parts of the calculations.

In case a frozen care calculation is performed, this key is ignored.

For MP2 and double hybrid calculation, it defaults to false. For RPA and GW calculations, it defaults to true.

# FitSetQuality

# Type

Multiple Choice

## **Default value**

Auto

# **Options**

[Auto, VeryBasic, Basic, Normal, Good, VeryGood]

## **Description**

Specifies the fit set to be used in the MBPT calculation.

'Normal' quality is generally sufficient for basis sets up to and including TZ2P.

For larger basis sets (or for benchmarking purposes) a 'VeryGood' fit set is recommended. Note that the FitSetQuality heavily influences the computational cost of the calculation.

If not specified or 'Auto', the RIHartreeFock%FitSetQuality is used.

### Formalism

### **Type**

Multiple Choice

### **Default value**

Auto

# **Options**

[Auto, RI, LT, All]

## **Description**

Specifies the formalism for the calculation of the MP2 correlation energy.

'LT' means Laplace Transformed MP2 (also referred to as AO-PARI-MP2),

'RI' means that a conventional RI-MP2 is carried out.

If 'Auto', LT will be used in case of DOD double hybrids and SOS MP2, and RI will be used in all other cases.

'All' means that both RI and LT formalisms are used in the calculation.

For a RPA or GW calculation, the formalism is always LT, irrespective of the formalism specified with this key.

# FrequencyGridType

## **Type**

Multiple Choice

### **Default value**

LeastSquare

## **Options**

[LeastSquare, GaussLegendre]

## **Description**

Use Gauss-legendre grid for imaginary frequency integration in RPA and GW calculations instead of the usually used Least-Square optimized ones. Has the advantage that it can be systematically converged and an arbitrary number of grid points can be used. Typically more grid points will be needed to get the same level of accuracy. However, the convergence of the results with the size of the grid can be more systematic. These grids can only be used when Formalism is set to RI.

# IntegrationQuality

# **Type**

Multiple Choice

### **Options**

[VeryBasic, Basic, Normal, Good, VeryGood]

### Description

Specifies the integration quality to be used in the MBPT calculation. If not specified, the RI-HartreeFock%IntegrationQuality is used.

### SigmaFunctionalParametrization

### **Type**

Multiple Choice

### **Default value**

S1re

## **Options**

[W1, W2, S1, S2, S1re]

## **Description**

Only relevant if a sigma-functional calculation is performed. Possible choices for the parametrization of the sigma-functional. Not all options are supported for all functionals.

### ThresholdQuality

## **Type**

Multiple Choice

# **Options**

[VeryBasic, Basic, Normal, Good, VeryGood, Excellent]

### Description

Controls the distances between atomic centers for which the product of two basis functions is not fitted any more. Especially for spatially extended, large systems, 'VERYBASIC' and 'BASIC' can lead to large computational savings, but the fit is also more approximate. If not specified, the RIHartreeFock%ThresholdQuality is used.

### UseScaledZORA

## **Type**

**Bool** 

# Default value

Yes

# Description

If true, use the scaled ZORA orbital energies instead of the ZORA orbital energies in the MBPT equations.

# frozencore

## **Type**

Bool

### **Default value**

No

### **Description**

Freeze core states in correlation part of MBPT calculation

## nCore

# Type

Integer

## **Default value**

0

# **GUI** name

Number of core levels

## **Description**

Number of core states which will be excluded from the correlated calculation.

Will be ignored if frozencore is false.

In case nothing is specified, the number of core levels will be determined automatically.

Needs to be smaller than the number of occupied states.

# nFreqIntegration

### **Type**

Integer

## **Default value**

32

## **GUI** name

N freq points for G3W2 integration

### **Description**

Number of imaginary frequency points for G3W2 integration

### nFrequency

## Type

Integer

### **Default value**

12

## **GUI** name

N freq points

### **Description**

Number of imaginary frequency points. This key is only relevant for RPA and GW and will be ignored if used in an AO-PARI-MP2 calculation. 12 Points is the default for a RPA calculation. It is technically possible to use a different number of imaginary frequency points than for imaginary time. The maximum number of points which can be requested for imaginary frequency integration is 42. Important note: The computation time and memory requirements roughly scale linearly with the number of imaginary frequency points. However, memory can be an issue for RPA and GW when the number of imaginary frequency points is high. In case a job crashes, it is advised to increase the number of nodes since the necessary memory distributes over all nodes.

### nLambda

# Type

Integer

## **Default value**

1

## **GUI** name

Number of lambda points

## **Description**

Size of coupling constant integration grid for SOSEX variants in RPA. Default is 4 points

### nTime

# Type

Integer

## **GUI** name

Number of time points

Number of imaginary time points (only relevant in case the Laplace Transformed (LT) formalism is used).

In the many-body-perturbation theory module in ADF, the polarizability (or Kohn-Sham density response function) is evaluated in imaginary time to exploit sparsity in the AO basis. For MP2, this is often referred to as a Laplace transform. For MP2, 9 points are the default. This is a safe choice, guaranteeing accuracies higher than 1 Kj/mol for most systems (For many simple organic systems, 6 points are sufficient for good accuracy).

Only for systems with a very small HOMO-LUMO gap or low-lying core states (heavy elements starting from the 4th row of the periodic table) more points might be necessary.

In principle, the same considerations apply for RPA and GW as well, however, the accuracy requirements are somewhat higher and 12 point are the default for RPA. In a GW calculation, the number of points is adjusted according to the numerical quality. Using less than 9 points is strongly discouraged except for the simplest molecules.

In ADF2019, it can happen that the algorithm determining the imaginary time grid does not converge. In this case, the usual reason is that the number of points is too small and more points need to be specified. Starting from AMS2020, this does not happen any more. In case the imaginary time grid does not converge, the number of points is automatically adjusted until it does.

The computation time of AO-PARI-MP2, RPA, and GW scales linearly with the number of imaginary time points.

### useGreenXgrids

**Type** 

**Bool** 

**Default value** 

No

### **Description**

Use GreenX library to generate grid points. This is recommended for larger number of grid points (> 20). Up to 34 points can be requested.

### MolecularNMR

**Type** 

Block

### **Description**

Options for the calculations of the NMR shielding tensor for molecules, excluding periodic systems. Implements the Schreckenbach method like ADF.

### Enabled

**Type** 

Bool

**Default value** 

No

**Description** 

Compute NMR shielding.

## MultiSecantConfig

**Type** 

Block

Parameters for the Multi-secant SCF convergence method.

### CMax

# Type

Float

### **Default value**

20.0

# **GUI** name

Max coeff

# **Description**

Maximum coefficient allowed in expansion

# InitialSigmaN

# Type

Float

### **Default value**

0.1

# **GUI** name

Initial

# **Description**

This is a lot like a mix factor: bigger means bolder

# MaxSigmaN

# **Type**

Float

## **Default value**

0.3

# **GUI** name

Max

# **Description**

Upper bound for the SigmaN parameter

# MaxVectors

# Type

Integer

# **Default value**

20

# **GUI** name

Number of cycles to use

### Description

Maximum number of previous cycles to be used

# MinSigmaN

# Type

Float

0.01

### **GUI** name

Min

# **Description**

Lower bound for the SigmaN parameter

### NEGF

# **Type**

Block

## Description

Options for the NEGF (non-equilibrium green function) transport calculation.

# AlignChargeTol

# Type

Float

## **Default value**

0.1

# **Description**

In an alignment run you want to get the number of electrons in the center right. This number specifies the criterion for that.

# AlignmentFile

# **Type**

String

# Default value

# Description

Band result file (.rkf) corresponding to the alignment calculation.

# Alpha

# **Type**

Float

# Default value

1e-05

## **Description**

A charge error needs to be translated in a potential shift. DeltaV = alpha \* DeltaQ

## ApplyShift1

# **Type**

Bool

### **Default value**

Yes

# **Description**

Apply the main shift, obtained from comparing matrix elements in the leads with those from the tight-binding run. Strongly recommended.

# ApplyShift2

# Type

**Bool** 

Yes

### **Description**

Apply the smaller alignment shift. This requires an extra alignment run. Usually this shift is smaller.

### AutoContour

**Type** 

**Bool** 

## **Default value**

Yes

# **Description**

Use automatic contour integral.

## BiasPotential

**Type** 

Float

### **Default value**

0.0

## **Description**

Apply a bias potential (atomic units). Can be negative. One has to specify the ramp potential with the FuzzyPotential key. This is mostly conveniently done with the GUI.

## BoundOccupationMethod

**Type** 

Integer

### Default value

1

## **Description**

See text. Only relevant with NonEqDensityMethod equal 2 or 3.

## CDIIS

**Type** 

**Bool** 

## **Default value**

No

## **Description**

Make the normal DIIS procedure aware of the align charge error

# CheckOverlapTol

**Type** 

Float

### **Default value**

0.01

# **Description**

BAND checks how well the TB overlap matrix S(R=0) represents the overlap matrix in the lead region. Elements corresponding to the outer layer are neglected, because when using a frozen core they have bigger errors.

# ContourQuality

## **Type**

Multiple Choice

## **Default value**

good

# **Options**

[basic, normal, good, verygood]

# **Description**

The density matrix is calculated numerically via a contour integral. Changing the quality influences the number of points. This influences a lot the performance.

## **DEContourInt**

## **Type**

Float

# **Default value**

-1.0

## **Description**

The energy interval for the contour grid. Defaults depends on the contour quality

## DERealAxisInt

**Type** 

Float

### **Default value**

-1.0

# Description

The energy interval for the real axis grid. Defaults depends on the contour quality.

### DeltaPhi0

## **Type**

Float

# Default value

0.0

# **Description**

Undocumented.

## DeltaPhi1

# **Type**

Float

## **Default value**

0.0

## **Description**

Undocumented.

# DoAlignment

# Type

Bool

No

## **Description**

Set this to True if you want to do an align run. Between the leads there should be lead material. The GUI can be of help here.

### **EMax**

## **Type**

Float

## **Default value**

5.0

## Unit

eV

# **Description**

The maximum energy for the transmission grid (with respect to the Fermi level of the lead)

### EMin

## **Type**

Float

### **Default value**

-5.0

## Unit

eV

# **Description**

The minimum energy for the transmission grid (with respect to the Fermi level of the lead)

### Eta

# **Type**

Float

# **Default value**

1e-05

# Description

Small value used for the contour integral: stay at least this much above the real axis. This value is also used for the evaluation of the Transmission and dos.

# IgnoreOuterLayer

## **Type**

**Bool** 

# **Default value**

Yes

# Description

Whether or not to ignore the outer layer.

## KT

# **Type**

Float

### **Default value**

0.001

k-Boltzmann times temperature.

### LeadFile

## **Type**

String

### **Default value**

### **Description**

File containing the tight binding representation of the lead.

NE

## **Type**

Integer

### **Default value**

100

## **Description**

The number of energies for the transmission energy grid.

## NonEqDensityMethod

# **Type**

Integer

## **Default value**

1

# **Description**

See text.

## SGFFile

## **Type**

String

# **Default value**

# **Description**

The result from the SGF program. Contains the Fermi energy of the lead.

### YContourInt

### **Type**

Float

## **Default value**

0.3

## **Description**

The density is calculated via a contour integral. This value specifies how far above the real axis the (horizontal part of the) contour runs. The value is rounded in such a way that it goes exactly halfway between two Fermi poles. There is a trade off: making it bigger makes the integrand more smooth, but the number of enclosed poles increases. For low temperatures it makes sense to lower this value, and use a smaller deContourInt.

### YRealaxisInt

### **Type**

Float

1e-05

## **Description**

The non-Equilibrium density is calculated near the real axis.

## NeutralizingDensity

## **Type**

Multiple Choice

## **Default value**

None

# **Options**

[None, rho(atoms), rho(valence/atoms), rho(neutralizing/atoms), rho(homogeneous)]

## **Description**

For charged systems an artificial compensating density can be used to make it neutral again. This fictitious density only affects the Coulomb potential.

For charged periodic systems neutralization is required, as otherwise the Coulomb potential diverges.

# NeutralizingDensityDetails

```
Type
```

Block

# **Description**

### DiffuseFactor

Type

Float

# Default value

1.0

## **Description**

The bigger this number, the more diffuse (extended) the neutralizing density becomes. Works only for rho(neutralizing/atoms)

# HomogeneousDensity

**Type** 

Block

### Description

XXX

## Origin

**Type** 

Float List

### **Default value**

[0.0, 0.0, 0.0]

Unit

Bohr

**Description** 

Range

## **Type**

Float

### **Default value**

10.0

### Unit

Bohr

### **Description**

## Width

## **Type**

Float

## **Default value**

1.0

### Unit

Bohr

# **Description**

## NewResponse

## Type

Block

## Description

The TD-CDFT calculation to obtain the dielectric function is computed when this block is present in the input. Several important settings can be defined here.

# ActiveESpace

# Type

Float

### **Default value**

5.0

# Unit

eV

## **GUI** name

Active energy space

# **Description**

Modifies the energy threshold (DeltaE^{max}\_{thresh} = omega\_{high} + ActiveESpace) for which single orbital transitions (DeltaEpsilon\_{ia} = Epsilon\_{a}^{virtual} - Epsilon\_{i}^{occupied}) are taken into account.

# ActiveXYZ

# Type

String

### **Default value**

t

# **Description**

Expects a string consisting of three letters of either 'T' (for true) or 'F' (for false) where the first is for the X-, the second for the Y- and the third for the Z-component of the response properties. If true, then the response properties for this component will be evaluated.

### DensityCutOff

### **Type**

Float

### **Default value**

0.001

#### **GUI** name

Volume cutoff

### **Description**

For 1D and 2D systems the unit cell volume is undefined. Here, the volume is calculated as the volume bordered by the isosurface for the value DensityCutoff of the total density.

#### **EShift**

### Type

Float

### **Default value**

0.0

#### Unit

eV

#### **GUI** name

Shift

# Description

Energy shift of the virtual crystal orbitals.

### FreqHigh

# **Type**

Float

#### **Default value**

3.0

### Unit

eV

# Description

Upper limit of the frequency range for which response properties are calculated (omega\_{high}).

### FreqLow

### Type

Float

### **Default value**

1.0

### Unit

eV

# Description

Lower limit of the frequency range for which response properties are calculated.  $(omega\_\{low\})$ 

### NFreq

Integer

#### **Default value**

5

### **Description**

Number of frequencies for which a linear response TD-CDFT calculation is performed.

#### NewResponseKSpace

### **Type**

Block

#### Description

Modify the details for the integration weights evaluation in reciprocal space for each single-particle transition. Only influencing the NewResponse code.

#### Eta

### **Type**

Float

#### **Default value**

1e-05

### **Description**

Defines the small, finite imaginary number i\*eta which is necessary in the context of integration weights for single-particle transitions in reciprocal space.

#### SubSimp

### Type

Integer

# Default value

3

### **Description**

determines into how many sub-integrals each integration around a k point is split. This is only true for so-called quadratic integration grids. The larger the number the better the convergence behavior for the sampling in reciprocal space. Note: the computing time for the weights is linear for 1D, quadratic for 2D and cubic for 3D!

### NewResponseSCF

### **Type**

Block

#### **Description**

Details for the linear-response self-consistent optimization cycle. Only influencing the NewResponse code.

# Bootstrap

#### **Type**

Integer

### **Default value**

0

### **Description**

defines if the Berger2015 kernel (Bootstrap 1) is used or not (Bootstrap 0). If you chose the Berger2015 kernel, you have to set NewResponseSCF%XC to '0'. Since it shall be used in

combination with the bare Coulomb response only. Note: The evaluation of response properties using the Berger2015 is recommend for 3D systems only!

# COApproach

### **Type**

**Bool** 

#### Default value

Yes

### **Description**

The program automatically decides to calculate the integrals and induced densities via the Bloch expanded atomic orbitals (AO approach) or via the cyrstal orbitals (CO approach). The option COApproach overrules this decision.

### COApproachBoost

### **Type**

**Bool** 

#### **Default value**

No

#### **GUI** name

CO Approach Boost

## **Description**

Keeps the grid data of the Crystal Orbitals in memory.

Requires significantly more memory for a speedup of the calculation. One might have to use multiple computing nodes to not run into memory problems.

### Criterion

# **Type**

Float

#### **Default value**

0.001

### **Description**

For the SCF convergence the RMS of the induced density change is tested. If this value is below the Criterion the SCF is finished.

Furthermore, one can find the calculated electric susceptibility for each SCF step in the output and can therefore decide if the default value is too loose or too strict.

#### DIIS

### **Type**

Block

### **Description**

Parameters influencing the DIIS self-consistency method

#### Enabled

#### Type

Bool

### **Default value**

Yes

If not enabled simple mixing without DIIS acceleration will be used.

### MaxSamples

### Type

Integer

#### **Default value**

10

# Description

Specifies the maximum number of samples considered during the direct inversion of iteration of subspace (DIIS) extrapolation of the atomic charges during the SCC iterations. A smaller number of samples potentially leads to a more aggressive convergence acceleration, while a larger number often guarantees a more stable iteration. Due to often occurring linear dependencies within the set of sample vectors, the maximum number of samples is reached only in very rare cases.

### MaximumCoefficient

#### **Type**

Float

### **Default value**

10.0

### **Description**

When the diis expansion coefficients exceed this threshold, the solution is rejected. The vector space is too crowded. The oldest vector is discarded, and the expansion is re-evaluated.

### MinSamples

### **Type**

Integer

#### **Default value**

-1

### **Description**

When bigger than one, this affects the shrinking of the DIIS space on linear dependence. It will not reduce to a smaller space than MinSamples unless there is extreme dependency.

# MixingFactor

# **Type**

Float

### **Default value**

0.2

### Description

The parameter used to mix the DIIS linear combination of previously sampled atomic charge vectors with an analogous linear combination of charge vectors resulting from population analysis combination. It can assume real values between 0 and 1.

#### LowFreqAlgo

**Type** 

Bool

#### **Default value**

Yes

### **GUI** name

Low Frequency Algorithm

### Description

Numerically more stable results for frequencies lower than 1.0 eV. Note: for a graphene monolayer the conical intersection results in a very small band gap (zero band gap semi-conductor). This leads to a failing low frequency algorithm. One can then chose to use the algorithm as originally proposed by Kootstra by setting the input value to \*false\*. But, this can result in unreliable results for frequencies lower than 1.0 eV!

### NCycle

# Type

Integer

#### **Default value**

20

### **GUI** name

Cycles

### **Description**

Number of SCF cycles for each frequency to be evaluated.

XC

### **Type**

Integer

## Default value

1

# Description

Influences if the bare induced Coulomb response (XC 0) is used for the effective, induced potential or the induced potential derived from the ALDA kernel as well (XC 1).

#### **NMR**

### **Type**

Block

# Description

Options for the calculations of the NMR shielding tensor.

# Correction\_r

**Type** 

**Bool** 

### **Default value**

Yes

# Description

Undocumented.

#### Enabled

#### **Type**

Bool

# Default value

No

# **Description** Compute NMR shielding. MS0 **Type** Float **Default value** 0.01 Description Undocumented. **NMRAtom Type** Integer Default value 0 **Description** The index of the atom atom (in input order) for which NMR should be computed. Numeric **Type** Bool **Default value** No **Description** Undocumented. Original Type Bool **Default value** No **Description** Undocumented. Print\_jp **Type** Bool Description Print paramagnetic current. SuperCell **Type Bool**

**Default value** Yes

This is the switch between the two methods, either the super cell (true), or the single-dipole method (false)

#### Test

### **Type**

Bool

#### **Description**

Key for printing all intrinsic tensors.

### Test\_E

### Type

Bool

### **Description**

Test of energy levels.

### Test\_S

### **Type**

**Bool** 

# Description

Test of overlap matrix.

# UseSharedMemory

## **Type**

**Bool** 

# **Default value**

Yes

### **Description**

Whether or not to use shared memory in the NMR calculation.

### NOCVdRhoPlot

### **Type**

Non-standard block

### **Description**

Goes together with the Restart%NOCVdRhoPlot and Grid keys. See example.

### NOCVOrbitalPlot

#### **Type**

Non-standard block

### Description

Goes together with the Restart%NOCVOrbitalPlot and Grid keys. See example.

### NuclearModel

### **Type**

Multiple Choice

# **Default value**

PointCharge

#### **Options**

[PointCharge, Gaussian, Uniform]

Specify what model to use for the nucleus.

For the Gaussian model the nuclear radius is calculated according to the work of Visscher and Dyall (L. Visscher, and K.G. Dyall, Dirac-Fock atomic electronic structure calculations using different nuclear charge distributions, Atomic Data and Nuclear Data Tables 67, 207 (1997))

#### NUElstat

#### **Type**

Integer

#### Default value

50

### **Description**

Number of outward (parabolic) integration points (for elliptical integration of the electrostatic interaction)

### NumericalQuality

#### **Type**

Multiple Choice

#### Default value

Normal

### **Options**

[Basic, Normal, Good, VeryGood, Excellent]

#### **Description**

Set the quality of several important technical aspects of a BAND calculation (with the notable exception of the basis set). It sets the quality of: BeckeGrid (numerical integration), ZlmFit (density fitting), KSpace (reciprocal space integration), and SoftConfinement (basis set confinement). Note: the quality defined in the block of a specific technical aspects supersedes the value defined in NumericalQuality (e.g. if I specify 'NumericalQuality Basic' and 'BeckeGrid%Quality Good', the quality of the BeckeGrid will be 'Good')

#### **NVElstat**

#### **Type**

Integer

#### Default value

80

### Description

Number of angular (elliptic) integration points (for elliptical integration of the electrostatic interaction)

### Occupations

#### **Type**

Non-standard block

### Description

Allows one to input specific occupations numbers. Applies only for calculations that use only one k-point (i.e. pseudo-molecule calculations). See example.

### OldResponse

#### **Type**

Block

Options for the old TD-CDFT implementation.

### Berger2015

### **Type**

**Bool** 

#### Default value

No

### **Description**

Use the parameter-free polarization functional by A. Berger (Phys. Rev. Lett. 115, 137402). This is possible for 3D insulators and metals. Note: The evaluation of response properties using the Berger2015 is recommend for 3D systems only!

#### CNT

# **Type**

Bool

#### **Description**

Use the CNT parametrization for the longitudinal and transverse kernels of the XC kernel of the homogeneous electron gas. Use this in conjunction with the NewVK option.

#### CNVI

### Type

Float

### **Default value**

0.001

# Description

The first convergence criterion for the change in the fit coefficients for the fit functions, when fitting the density.

#### CNVJ

### **Type**

Float

# Default value

0.001

### **Description**

the second convergence criterion for the change in the fit coefficients for the fit functions, when fitting the density.

### Ebndt1

### Type

Float

### **Default value**

0.001

# Unit

Hartree

# Description

the energy band tolerance, for determination which routines to use for calculating the numerical integration weights, when the energy band posses no or to less dispersion.

#### Enabled

#### **Type**

**Bool** 

### **Default value**

No

### **Description**

If true, the response function will be calculated using the old TD-CDFT implementation

### Endfr

### **Type**

Float

#### **Default value**

3.0

#### Unit

eV

### **Description**

The upper bound frequency of the frequency range over which the dielectric function is calculated

#### Isz

### **Type**

Integer

#### **Default value**

0

# Description

Integer indicating whether or not scalar zeroth order relativistic effects are included in the TD-CDFT calculation. 0 = relativistic effects are not included, 1 = relativistic effects are included. The current implementation does NOT work with the option XC%SpinOrbitMagnetization equal NonCollinear

#### Iyxc

### **Type**

Integer

### **Default value**

0

#### **Description**

integer for printing yxc-tensor (see http://aip.scitation.org/doi/10.1063/1.1385370). 0 = not printed, 1 = printed.

### NewVK

### **Type**

**Bool** 

#### **Description**

Use the slightly modified version of the VK kernel (see https://aip.scitation.org/doi/10.1063/1.1385370). When using this option one uses effectively the static option, even for metals, so one should check carefully the convergence with the KSPACE parameter.

### Nfreq

Integer

#### **Default value**

5

### **Description**

the number of frequencies for which a linear response TD-CDFT calculation is performed.

QV

### Type

Bool

### **Description**

Use the QV parametrization for the longitudinal and transverse kernels of the XC kernel of the homogeneous electron gas. Use this in conjunction with the NewVK option. (see reference).

### Shift

### **Type**

Float

### **Default value**

0.0

# Unit

eV

### **Description**

energy shift for the virtual crystal orbitals.

#### Static

# Type

Bool

#### **Description**

An alternative method that allows an analytic evaluation of the static response (normally the static response is approximated by a finite small frequency value). This option should only be used for non-relativistic calculations on insulators, and it has no effect on metals. Note: experience shows that KSPACE convergence can be slower.

### Strtfr

### **Type**

Float

#### **Default value**

1.0

#### Unit

eV

### **Description**

is the lower bound frequency of the frequency range over which the dielectric function is calculated.

# OrbitalPlot

### Type

Non-standard block

# Output **Type** Block **Description** Control the output. Print **Type** Block Recurring True Description Level **Type** Multiple Choice **Options** [None, Error, Warning, Minimal, Normal, Detail, TooMuchDetail] **Description** Section **Type** Multiple Choice **Options** [Prepare, SCF, Properties] **Description** OverlapPopulations Type Non-standard block **Description** Overlap population weighted DOS (OPWDOS), also known as the crystal orbital overlap population (COOP). PEDA **Type** Bool **Default value** No **Description** If present in combination with the fragment block, the decomposition of the interaction energy between fragments is invoked. **PEDANOCV Type** Block

Goes together with the Restart%OrbitalPlot and Grid keys. See Example.

Options for the decomposition of the orbital relaxation (pEDA).

### EigvalThresh

### **Type**

Float

#### Default value

0.001

### **GUI** name

Use NOCVs with ev larger than

### **Description**

The threshold controls that for all NOCV deformation densities with NOCV eigenvalues larger than EigvalThresh the energy contribution will be calculated and the respective pEDA-NOCV results will be printed in the output

#### Enabled

#### **Type**

**Bool** 

#### **Default value**

No

### **GUI** name

Perform PEDA-NOCV analysis

#### **Description**

If true in combination with the fragment blocks and the pEDA key, the decomposition of the orbital relaxation term is performed.

#### PeriodicRIHartreeFock

# Type

Block

### **Description**

Technical options for periodic Hartree Fock.

### AllowLinearScaling

**Type** 

Bool

### **Default value**

Yes

### **Description**

Use function ranges and multipoles.

# AllowMultipoleExpansion

**Type** 

Bool

### **Default value**

No

# Description

Use the multipole expansion if possible.

### AllowPartialMultipoleExpansion

Bool

#### **Default value**

No

### **Description**

Use the partial multipole expansion if possible. This has only effect if AllowMultipoleExpansion=yes.

# AnalyzeCells

### **Type**

Bool

### **Default value**

No

### **Description**

Determine the cell loop ranges needed and stop.

#### AvoidPeriodicKMatR

### **Type**

Bool

#### **Default value**

No

### **Description**

Undocumented.

### AvoidPeriodicPMatR

# **Type**

Bool

#### **Default value**

No

# Description

Undocumented.

# CellLoopPrecision

### **Type**

Multiple Choice

### **Default value**

Full

### **Options**

[Minimal, Medium, Full]

# Description

The range of the density matrix, R(P), combined with the range of basis products, R(C), determines the cell range contributing to the exchange matrix. The cell range used is.

Minimal: R(P).

Medium: R(P)+R(C).

All: R(P)+2R(C)

#### ExactLMaxMultiPerAtom

Bool

#### Default value

No

### **Description**

Used to be a hardcodes lMaxMulti=3. If specified it is determined per atom from the RIHF fit functions.

# MergeIterators

### **Type**

Bool

### **Default value**

No

### **Description**

If true, calculate terms I,II,III,and IV in parallel, otherwise term IV is done separately.

### PrintKDistanceDecay

**Type** 

**Bool** 

#### **Default value**

No

### **Description**

The decay of the norm of K with respect to the distance cell order.

### PrintKShellDecay

**Type** 

Bool

#### **Default value**

No

# Description

The decay of the norm of K with respect to the shell cell order.

### PrintKTopoDecay

**Type** 

Bool

### **Default value**

No

### **Description**

The decay of the norm of K with respect to the topological cell order.

# RemapPMatR

**Type** 

**Bool** 

### **Default value**

No

### **Description**

Possibly move elements from one cell to another, minimizing the distance between the atom pairs.

### RemapPMatRNew

### **Type**

**Bool** 

### **Default value**

No

### **Description**

Possibly move elements from one cell to another, minimizing the distance between the atom pairs.

#### SaveKMatR

### **Type**

**Bool** 

#### **Default value**

No

### **Description**

Undocumented.

#### SortFitFunctions

# **Type**

Bool

#### **Default value**

No

### **Description**

Sort the RIHartreeFock fit functions per atom, based on the range. This is needed when allowing for partial multipole atom pairs.

#### UseHalfKGrid

#### **Type**

**Bool** 

### **Default value**

No

# Description

Let all RIHF settings be based on the assumption that the k-grid was twice as coarse. What will be more accurate is P(R), but it gets the range as if the coarser k-grid was used.

### UseHelper

**Type** 

Bool

### **Default value**

No

# Description

Provide the RIHartreeFock with knowledge about loop bounds.

### UseInverseCellSymmetry

**Type** 

Bool

#### **Default value**

No

If true, only half of the K(R) is calculated, and the rest is obtained from K(-R)=transpose(K(R)).

#### UseLatticeShiftForPairs

**Type** 

**Bool** 

#### **Default value**

No

### **Description**

Apply a pair based minimum image convention.

#### UseM1V

**Type** 

**Bool** 

### **Default value**

No

### **Description**

Multiply M1 by V, being faster for term II.

### PeriodicSolvation

**Type** 

Block

## Description

Additional options for simulations of periodic structures with solvation.

### NStar

**Type** 

Integer

#### **Default value**

4

### **Description**

This option, expecting an integer number (>2), handles the accuracy for the construction of the COMSO surface. The larger the given number the more accurate the construction.

# ${\tt RemovePointsWithNegativeZ}$

Type

**Bool** 

### **Default value**

No

# **GUI** name

Only above slab

#### **Description**

Whether the COSMO surface is constructed on both sides of a surface.

If one is only interested in the solvation effect on the upper side of a surface (in the Z direction), then this option should be set to 'True'

# ${\tt SymmetrizeSurfacePoints}$

**Bool** 

**Default value** 

Yes

# **Description**

Whether or not the COSMO point should be symmetrized

# PopThreshold

**Type** 

Float

#### Default value

0.01

### **Description**

Threshold for printing Mulliken population terms. Works with 'Print orbpop'

#### PotentialNoise

**Type** 

Float

### **Default value**

0.0001

# Description

The initial potential for the SCF procedure is constructed from a sum-of-atoms density. Added to this is some small noise in the numerical values of the potential in the points of the integration grid. The purpose of the noise is to help the program break the initial symmetry, if that would lower the energy, by effectively inducing small differences between (initially) degenerate orbitals.

#### Print

Type

String

### Recurring

True

# Description

One or more strings (separated by blanks) from a pre-defined set may be typed after the key. This induces printing of various kinds of information, usually only used for debugging and checking. The set of recognized strings frequently changes (mainly expands) in the course of software-developments. Useful arguments may be symmetry, and fit.

### Programmer

Type

Block

### **Description**

Miscellaneous technical options.

#### SharedMemorySandwichingThreshold

**Type** 

Integer

### **Default value**

5000

### **GUI** name

Shared mem sandwiching thld

#### **Description**

When the nr. of basis functions exceeds this threshold shared memory will be used to calculate matrix elements.

Unless UseSharedMemoryForSandwiching is explicitly set in the input.

#### StoreDOSPerBas

### **Type**

Bool

#### **Default value**

Yes

### **Description**

Whether or not to store the parial DOS per basis function. This allows you to view any partial DOS with amsspectra and amsbands. Requires the CalcPDOS option to be on.

#### StoreOrbitals

#### **Type**

**Bool** 

#### **Default value**

Yes

### **Description**

Copy information on band.rkf needed for orbital plotting and restarts. This can be a lot of information. DOS and BandStructure require StoreOrbitals=true.

### UpdateSTDVec

### **Type**

Bool

#### **Default value**

Yes

### **Description**

Shift atoms so that center of mass is at zero. As a results the detected symmetry may be higher.

# ${\tt UseSharedMemoryForSandwiching}$

### **Type**

Bool

### **Default value**

Yes

#### **GUI** name

Use shared memory

# Description

When calculating matrix elements the array will be shared. This saves memory at the cost of locking overhead.

If not specified this will depend on the threshold SharedMemorySandwichingThreshold

# UseTurnoverRuleForXcMatrix

### **Type**

Bool

#### **Default value**

No

#### **Description**

Undocumented.

### Usesharedmemory

### **Type**

Bool

### **Default value**

Yes

### **GUI** name

Use shared memory

### **Description**

When running more then one task, share memory between those tasks. This saves a lot of memory.

Only disable it in case of problems.

#### PropertiesAtNuclei

### **Type**

Non-standard block

### Description

A number of properties can be obtained near the nucleus. An average is taken over a tiny sphere around the nucleus. The following properties are available: vxc[rho(fit)], rho(fit), rho(scf), v(coulomb/scf), rho(deformation/fit), rho(deformation/scf).

### RadialDefaults

#### **Type**

Block

### **Description**

Options for the logarithmic radial grid of the basis functions used in the subprogram Dirac

NR

### **Type**

Integer

### **Default value**

3000

### **Description**

Number of radial points. With very high values (like 30000) the Dirac subprogram may not converge.

# NRPerType

#### **Type**

Integer List

### **Description**

If present overrides NR. The list needs to be as long as there are atom types

### RMax

### **Type**

Float

#### **Default value**

100.0

#### Unit

Bohr

# **Description**

Upper bound of the logarithmic radial grid

#### RMin

### **Type**

Float

### **Default value**

1e-06

#### Unit

Bohr

### **Description**

Lower bound of the logarithmic radial grid

### RMinPerType

### **Type**

Float List

#### Unit

Bohr

### **Description**

If specified overrides RMin. The list needs to be as long as there are atom types (different elements)

# Relativity

#### **Type**

Block

### **Description**

Options for relativistic effects.

### Level

# **Type**

Multiple Choice

### **Default value**

Scalar

### **Options**

[None, Scalar, Spin-Orbit]

### **GUI** name

Relativity (ZORA)

### **Description**

None: No relativistic effects.

Scalar: Scalar relativistic ZORA.

This option comes at very little cost.

SpinOrbit: Spin-orbit coupled ZORA.

This is the best level of theory, but it is (4-8 times) more expensive than a normal calculation. Spin-orbit effects are generally quite small, unless there are very heavy atoms in your system, especially with p valence electrons (like Pb).

See also the SpinOrbitMagnetization key.

### ResponseInducedDensityPlot

### Type

Non-standard block

# Description

Goes together with Restart%ResponseInducedDensityPlot and Grid.

#### Restart

#### **Type**

Block

### Description

Tells the program that it should restart with the restart file, and what to restart.

#### BandStructure

### **Type**

**Bool** 

#### **Default value**

No

#### **Description**

Calculate the band structure from a previous calculation. Does not work with model potentials and Hubbard.

# CheckAtomicPositions

# **Type**

Bool

### **Default value**

Yes

### Description

If set to True: For restarting the SCF the atomic positions will be checked, and may not deviate too much.

#### DOS

#### **Type**

**Bool** 

### **Default value**

No

# Description

Calculate the DOS from a previous calculation. Does not work with model potentials and Hubbard.

### DensityPlot

### **Type**

Bool

#### **Default value**

No

Goes together with the DensityPlot block and Grid blocks

#### File

### **Type**

String

#### **Default value**

#### **GUI** name

Restart using

### **Description**

Name of the restart file. The file should be a band.rkf file from a previous run.

### LoadEigenSystem

#### **Type**

**Bool** 

### **Default value**

No

### **GUI** name

Load: eigen system

#### Description

At each step of the SCF load the section eigensystem from the restart file, forcing constant eigenvalues and vectors.

#### NOCVOrbitalPlot

### **Type**

Bool

#### **Default value**

No

# Description

Goes together with the NOCVOrbitalPlot and Grid blocks.

### NOCVdRhoPlot

### **Type**

**Bool** 

#### **Default value**

No

# **Description**

Goes together with the NOCVdRhoPlot and Grid blocks.

### OrbitalPlot

### **Type**

**Bool** 

# **Default value**

No

# Description

Goes together with the OrbitalPlot and Grid

### ResponseInducedDensityPlot

Bool

#### **Default value**

No

### **Description**

Goes together with the ResponseInducedDensityPlot and Grid blocks.

#### SCF

### **Type**

Bool

### **Default value**

No

#### **GUI** name

Restart: SCF

### **Description**

Continue the SCF procedure using the orbital coefficients and occupations from the restart file.

#### UseDensityMatrix

### **Type**

Bool

#### **Default value**

No

### **Description**

If set to True: For restarting the SCF the density matrix will be used. Requires you to set 'Save DensityMatrix' in the previous run.

#### VTKFile

#### **Type**

String

### **Default value**

### **Description**

If specified a vtk file with be created with this name. If the extension is '.txt', a text file is created. Setting it to 'CUBE' one or more (one for each component) files in the cube format are generated with an automatic naming scheme.

#### VoronoiGrid

# Type

Bool

#### **Default value**

No

### **Description**

Copy the section Num In Params to the current file.

#### RIHartreeFock

### Type

Block

### Description

#### DependencyCoreRange

Float

### Description

Basis functions may be given a core character based on the range. For now only active in Band and only if present in the input

### DependencyThreshold

#### **Type**

Float

#### **Default value**

0.001

# **Description**

To improve numerical stability, almost linearly-dependent combination of basis functions are removed from the Hartree-Fock exchange matrix.

If you obtain unphysically large bond energy in an Hybrid calculation, or an unphysically low correlation energy in an RPA, MP2, or double hybrid calculation, you might try setting the DependencyThreshold to a larger value (e.g. 3.0E-3)

Note, that in GW calculations and GW-BSE calculations the default for this key is 5.0e-3.

### ExplicitThresholds

#### **Type**

Block

#### **Description**

Override the thresholds as implied by the ThresholdQuality.

### Basis

# **Type**

Float

### **Description**

Threshold for the basis functions.

### Fit

### **Type**

Float

### Description

Threshold for the fit functions.

#### Potential

### **Type**

Float

### **Description**

Threshold for the potential of the functions.

#### FitGenerationDetails

# Type

Block

# Description

Technical details about how the RI Hartree-Fock fit functions are generated.

#### BoostL

#### **Type**

**Bool** 

#### **Default value**

No

#### **Description**

Add extra max(1)+1 diffuse function

When I denotes the highest angular momentum present in the primary basis,

FromBasisProducts will generate auxiliary fit functions with up to 21 angular momentum.

When this key is set to true, the maximum angular momentum in the auxiliary fit set becomes 21+2.

Typically, this option is not needed and when precision issues arise, it is rather advised to adjust the OneCenterDependencyThreshold key to a smaller value.

### LapackWorkAround

### **Type**

**Bool** 

#### **Default value**

No

#### **Description**

GetFitFunctionsForAtomType diagonalization done with Lapack instead of Scalapack

#### Method

### **Type**

Multiple Choice

#### **Default value**

Auto

### **Options**

[Auto, FromBasisProducts]

### **Description**

The way in which fit functions are generated. The main distinction is whether it depends on the basis functions used.

When FromBasisProducts is used, the auxiliary basis is generated directly from the products of primary basis functions.

This has the advantage that the auxiliary fit adapts automatically to the basis set size.

Especially for basis sets of QZ quality or larger, this is often necessary to obtain highly precise correlation energies using RPA or double hybrids

FromBasisProducts option is also useful for GW or BSE calculations with basis sets of QZ quality or larger.

#### OneCenterDependencyThreshold

### **Type**

Float

#### **Default value**

1e-08

This key is only active when FromBasisProducts is chosen as method to generate the auxiliary basis.

This threshold controls the size, and at the samw time, the precision of the auxiliary basis set. A smaller number leads to a larger auxiliary fit set.

The default value of 1e-8 is typically sufficient to converge correlation energies and QP energies to a very high precision.

It corresponds to an auxiliary basis which is typically 8-9 times larger than the primary basis.

### UseBandRadialGrid

#### **Type**

**Bool** 

#### **Default value**

Yes

# Description

Only applies to band. The band logarithmic grid ranges (by default) from 1e-6 to 100 with 3000 points. Otherwise 300 points will be used.

For 0-periodicity (molecules) it is advisable to set this key to false since lots of memory is needed to evaluate all necessary integrals.

#### FitSetQuality

### **Type**

Multiple Choice

#### **Default value**

Auto

# **Options**

[Auto, VeryBasic, Basic, Normal, Good, VeryGood, Excellent, FromBasisProducts]

### **Description**

The quality of auxiliary fit set employed in the RI scheme.

If 'Auto', the value of the RIHartreeFock Quality option will be used.

Normal quality is generally sufficient for basis sets up to and including TZ2P.

For larger basis sets (or for benchmarking purposes) a VeryGood fit set is recommended.

Note that the FitSetQuality heavily influences the computational cost of the calculation.

### IntegrationQuality

#### **Type**

Multiple Choice

#### Options

[VeryBasic, Basic, Normal, Good, VeryGood, Excellent]

### **Description**

Quality of the numerical integration for evaluating the integrals between basis functions and fit functions. If IntegrationQuality is not defined in input, the value defined in RI-HartreeFock%Quality will be used.

### Quality

Multiple Choice

#### **Default value**

Auto

# **Options**

[Auto, VeryBasic, Basic, Normal, Good, VeryGood, Excellent]

#### **Description**

Numerical accuracy of the RI procedure. If 'Auto', the quality specified in the 'NumericalQuality' will be used.

### QualityPerRegion

### **Type**

Block

### Recurring

True

### **Description**

Sets the fit-set quality for all atoms in a region. If specified, this overwrites the globally set quality.

### Quality

#### **Type**

Multiple Choice

### **Options**

[VeryBasic, Basic, Normal, Good, VeryGood, Excellent]

# Description

This region's quality of the auxiliary fit set employed in the RI scheme.

### Region

### **Type**

String

### **Description**

The identifier of the region for which to set the quality.

# ResponseQuality

### **Type**

Multiple Choice

#### **Options**

[VeryBasic, Basic, Normal, Good, VeryGood, Excellent]

### **Description**

Numerical accuracy of the RI procedure for the Response module.

#### ThresholdQuality

#### **Type**

Multiple Choice

#### **Options**

[VeryBasic, Basic, Normal, Good, VeryGood, Excellent]

Linear scaling thresholds (also used for determining at what range the multiple approximation is used). To disable all linear scaling thresholds set this to Excellent.

#### UseMe

#### **Type**

**Bool** 

#### **Default value**

Yes

### **Description**

Set to False if you want to use the old RI scheme (ADF only)

#### Save

### **Type**

String

### Recurring

True

### **Description**

Save scratch files or extra data that would be otherwise deleted at the end of the calculation. e.g. 'TAPE10' (containing the integration grid) or 'DensityMatrix'

#### SCF

# **Type**

Block

# Description

Controls technical SCF parameters.

# Eigenstates

# **Type**

Bool

### **Description**

The program knows two alternative ways to evaluate the charge density iteratively in the SCF procedure: from the P-matrix, and directly from the squared occupied eigenstates. By default the program actually uses both at least one time and tries to take the most efficient. If present, Eigenstates turns off this comparison and lets the program stick to one method (from the eigenstates).

#### Iterations

#### **Type**

Integer

#### **Default value**

300

#### **GUI** name

Maximum number of cycles

### **Description**

The maximum number of SCF iterations to be performed.

### Method

Multiple Choice

#### **Default value**

MultiStepper

# **Options**

[DIIS, MultiSecant, MultiStepper]

#### **Description**

Choose the general scheme used to converge the density in the SCF. In case of scf problems one can try the MultiSecant alternative at no extra cost per SCF cycle. For more details see the DIIS and MultiSecantConfig block.

#### Mixing

#### **Type**

Float

### **Default value**

0.075

# **Description**

Initial 'damping' parameter in the SCF procedure, for the iterative update of the potential: new potential = old potential + mix (computed potential-old potential). Note: the program automatically adapts Mixing during the SCF iterations, in an attempt to find the optimal mixing value.

### MultiStepperPresetPath

### **Type**

String

### **Default value**

DFTB/default2023.inc

#### **Description**

Name of file containing a SCFMultiStepper key block. This will be used if no Explicit SCF-MultiStepper block is in the input, and Method=MultiStepper.

If the path is not absolute, it is relative to \$AMSHOME/data/presets/multi\_stepper'

#### **PMatrix**

# Type

Bool

#### **Description**

If present, evaluate the charge density from the P-matrix. See also the key Eigenstates.

### PrintAllOccupiedBands

**Type** 

Bool

#### **Default value**

Yes

### **Description**

When printing the ranges of the bands, include all occupied ones.

### PrintAllVirtualBands

**Bool** 

#### **Default value**

No

### **Description**

When printing the ranges of the bands, include all virtual ones.

### PrintAlwaysBandRanges

### **Type**

**Bool** 

# **Default value**

No

### **Description**

Normally the ranges of the bands are only printed at the last SCF cycle

#### Rate

### **Type**

Float

### **Default value**

0.99

### **Description**

Minimum rate of convergence for the SCF procedure. If progress is too slow the program will take measures (such as smearing out occupations around the Fermi level, see key Degenerate of block Convergence) or, if everything seems to fail, it will stop

### SCFMultiStepper

### **Type**

Block

### **Description**

To solve the self-consistent problem multiple steppers can be tried during stints using the ones that give the best progress.

### AlwaysChangeStepper

# **Type**

**Bool** 

#### **Default value**

No

### **Description**

When the progress is fine there is no reason to change the stepper. In practice this is always set to true, because also the Stepper%ExpectedSlope can be used to achieve similar behavior.

### ErrorGrowthAbortFactor

### **Type**

Float

### **Default value**

1000.0

#### **Description**

Abort stint when the error grows too much, compared to the error at the start of the stint.

### FractionalStepFactor

### Type

Float

### **Default value**

-1.0

### **Description**

Multiply the step by this factor. If smaller than zero this is not used.

# MinStintCyclesForAbort

### **Type**

Integer

### **Default value**

0

### Description

Look at ErrorGrowthAbortFactor only when a number of steps has been completed since the start of the stint. A value of 0 means always.

### Stepper

# **Type**

Block

### Recurring

True

### Description

??

# AbortSlope

# **Type**

Float

### **Default value**

100.0

# **Description**

If the slope (at the end of a stint) is larger than this: abort the stepper

# DIISStepper

# Type

Block

### **Description**

DIIS stepper

# EDIISAlpha

### **Type**

Float

#### **Default value**

0.01

# Description

The extra energy vector is weighed by this factor. .

### MaxCoefficient

Float

#### **Default value**

20.0

# Description

The largest allowed value of the expansion coefficients. If exceed the number of vectors is reduces until the criterion is met.

#### MaxVectors

### **Type**

Integer

### **Default value**

10

### **Description**

Maximum number of previous densities to be used (size of the history).

#### MinVectors

#### **Type**

Integer

#### **Default value**

-1

### **Description**

Try to prevent to make nVectors shrink below this value, by allowing for significantly larger coefficients.

### Mix

# **Type**

Float

### **Default value**

0.2

### **Description**

Also known as greed. It determines the amount of output density to be used. May be changed by the MixAdapter.

### ErrorGrowthAbortFactor

### **Type**

Float

### **Default value**

-1.0

### **Description**

Abort stint when the error grows too much, compared to the error at the start of the stint. Overrides global ErrorGrowthAbortFactor when set to a value > 0

### ExpectedSlope

# Type

Float

### **Default value**

-100.0

If the slope of the total SCF is better than this keep on going.

### FractionalStepFactor

# **Type**

Float

#### Default value

-1.0

## **Description**

Multiply the step by this factor. If smaller than zero this is not used.

#### MaxInitialError

#### **Type**

Float

### **Description**

Only use the stepper when error is smaller than this.

#### MaxIterationNumber

### **Type**

Integer

### **Default value**

-1

#### **Description**

Stepper will only be active for iterations smaller than this number. (Negative value means: Ignore this option)

### MaxStintNumber

# **Type**

Integer

### **Default value**

-1

### **Description**

Stepper will only be active for stints smaller than this number. (Negative value means: Ignore this option)

#### MinInitialError

#### **Type**

Float

### **Description**

Only use the stepper when error is larger than this.

#### MinIterationNumber

### **Type**

Integer

### **Default value**

-1

### **Description**

Stepper will only be active for iterations larger than this number.

### MinStintCyclesForAbort

#### Type

Integer

### **Default value**

0

### **Description**

Look at ErrorGrowthAbortFactor only when a number of steps has been completed since the start of the stint. A value of 0 means always. Overrides global value.

### MinStintNumber

### **Type**

Integer

### **Default value**

-1

# **Description**

Stepper will only be active for stints larger than this number.

### MixAdapter

# Type

Block

# **Description**

Generic mix adapter

#### ErrorGrowthPanicFactor

### **Type**

Float

#### Default value

10.0

### **Description**

When the error increases more than this factor, this mix is reduced a lot.

### GrowthFactor

### **Type**

Float

### Default value

1.1

### **Description**

When the mix is considered too low it is multiplied by this factor. Otherwise it is divided by it.

#### MaxMix

# Type

Float

# **Default value**

0.3

### **Description**

Do not grow the mix above this value.

```
MinMix
        Type
           Float
        Default value
           0.1
        Description
           Do not shrink the mix below this value.
   NTrialMixFactors
        Type
           Integer
        Default value
        Description
           Only used with Type=Trial. Must be an odd number.
    TrialMode
        Type
           Multiple Choice
        Default value
           CurrentMixCentered
        Options
           [CurrentMixCentered, FullRange]
        Description
           How are the NTrialMixFactors chosen?
    Type
        Type
           Multiple Choice
        Default value
           Error
        Options
           [Error, Energy, UnpredictedStep, Trial]
        Description
           Adapt the mix factor based on the observed progress (slope).
MixStepper
      Type
        Block
      Description
        Simple mixing stepper, only using the previous (in/out) density.
    Mix
        Type
           Float
        Default value
           0.1
```

# **Description** ???. MultiSecantStepper **Type** Block **Description** Multi secant stepper. MaxCoefficient **Type** Float **Default value** 20.0 Description ???. MaxVectors **Type** Integer **Default value** 10 **Description** ???. Mix **Type** Float **Default value** 0.2 **Description** ???. Variant **Type** Multiple Choice **Default value** MSB2 **Options** [MSB1, MSB2, MSR1, MSR1s]

# ${\tt StintLength}$

# **Type**

Integer

**Description** 

# Description

Override global StintLength.

There are several version of the Multi secant method.

### StintLength

#### **Type**

Integer

### **Default value**

10

### **Description**

A stepper is active during a number of SCF cycles, called a stint.

### UsePreviousStintForErrorGrowthAbort

### **Type**

Bool

### **Default value**

No

### Description

The error is normally checked against the first error of the stint. With this option that will be the one from the previous stint, if performed with the same stepper.

### VSplit

# **Type**

Float

### **Default value**

0.05

### **Description**

To disturb degeneracy of alpha and beta spin MOs the value of this key is added to the beta spin potential at the startup.

# Screening

#### **Type**

Block

# Description

For the periodic solvation potential and for the old (not default anymore) fitting method, BAND performs lattice summations which are in practice truncated. The precision of the lattice summations is controlled by the options in this block.

### CutOff

# **Type**

Float

### **Description**

Criterion for negligibility of tails in the construction of Bloch sums. Default depends on Accuracy.

# DMadel

### **Type**

Float

# Description

One of the parameters that define the screening of Coulomb-potentials in lattice sums. Depends by default on Accuracy, rmadel, and rcelx. One should consult the literature for more information

### NoDirectionalScreening

### **Type**

**Bool** 

# **Description**

Real space lattice sums of slowly (or non-) convergent terms, such as the Coulomb potential, are computed by a screening technique. In previous releases, the screening was applied to all (long-range) Coulomb expressions. Screening is only applied in the periodicity directions. This key restores the original situation: screening in all directions

#### RCelx

### **Type**

Float

### **Description**

Max. distance of lattice site from which tails of atomic functions will be taken into account for the Bloch sums. Default depends on Accuracy.

#### **RMadel**

### **Type**

Float

### **Description**

One of the parameters that define screening of the Coulomb potentials in lattice summations. Depends by default on Accuracy, dmadel, rcelx. One should consult the literature for more information.

#### SelectedAtoms

# **Type**

Integer List

### Description

With this key you can select atoms. This has an effect on a few of options, like NMR and EFG.

### Skip

### Type

String

### Recurring

True

### **Description**

Skip the specified part of the Band calculation (expert/debug option).

### SoftConfinement

# Type

Block

# Description

In order to make the basis functions more compact, the radial part of the basis functions is multiplied by a Fermi-Dirac (FD) function (this 'confinement' is done for efficiency and numerical stability reasons). A FD function goes from one to zero, controlled by two parameters. It has a value 0.5 at Radius, and the decay width is Delta.

# Delta

### **Type**

Float

### Unit

Bohr

### **Description**

Explicitly specify the delta parameter of the Fermi-Dirac function (if not specified, it will be 0.1\*Radius).

### Quality

#### **Type**

Multiple Choice

### **Default value**

Auto

### **Options**

[Auto, Basic, Normal, Good, VeryGood, Excellent]

### **GUI** name

Confinement

### **Description**

In order to make the basis functions more compact, the radial part of the basis functions is multiplied by a Fermi-Dirac (FD) function (this 'confinement' is done for efficiency and numerical stability reasons). A FD function goes from one to zero, controlled by two parameters. It has a value 0.5 at Radius, and the decay width is Delta.

This key sets the two parameters 'Radius' and 'Delta'.

Basic: Radius=7.0, Delta=0.7;

Normal: Radius=10.0, Delta=1.0;

Good: Radius=20.0, Delta=2.0;

VeryGood and Excellent: no confinement at all.

If 'Auto', the quality defined in the 'NumericalQuality' will be used.

### Radius

### **Type**

Float

### Unit

Bohr

### **Description**

Explicitly specify the radius parameter of the Fermi-Dirac function.

### Solvation

# **Type**

Block

# Description

Options for the COSMO (Conductor like Screening Model) solvation model.

#### **CVec**

# **Type**

Multiple Choice

### **Default value**

**EXACT** 

# **Options**

[EXACT, FITPOT]

#### **GUI** name

Calculate Coulomb interaction

# **Description**

Choose how to calculate the Coulomb interaction matrix between the molecule and the point charges on the surface:

- EXACT: use exact density, and integrate against the potential of the point charges. This may have inaccuracies when integration points are close to the point charges.
- FITPOT: evaluate the molecular potential at the positions of the point charges, and multiply with these charges.

### Charge

### **Type**

Block

### **Description**

Select the algorithm to determine the charges.

### Conv

# Type

Float

### **Default value**

1e-08

# **Description**

Charge convergence threshold in iterative COSMO solution.

# Corr

# Type

**Bool** 

# **Default value**

Yes

### **GUI** name

Correct for outlying charge

### **Description**

Correct for outlying charge.

### Iter

### **Type**

Integer

### **Default value**

1000

### **Description**

Maximum number of iterations to solve COSMO equations.

# Method

# **Type**

Multiple Choice

### **Default value**

**CONJ** 

# **Options**

[CONJ, INVER]

### **GUI** name

Charge determination method

### **Description**

INVER: matrix inversion, CONJ: biconjugate gradient method.

The CONJ method is guaranteed to converge with small memory requirements and is normally the preferred method.

### Enabled

# **Type**

Bool

# **Default value**

No

### **GUI** name

Include COSMO solvation

# **Description**

Use the Conductor like Screening Model (COSMO) to include solvent effects.

### Radii

### **Type**

Non-standard block

# **Description**

The values are the radii of the atomic spheres. If not specified the default values are those by Allinger. Format: 'AtomType value'. e.g.: 'H 0.7'

### SCF

# **Type**

Multiple Choice

# Default value

VAR

### **Options**

[VAR, PERT, NONE]

### **GUI** name

Handle charges

# **Description**

Determine the point charges either Variational (VAR) or after the SCF as a Perturbation (PERT).

### Solvent

### **Type**

Block

# Description

Solvent details

### Del

Float

# **Description**

Del is the value of Klamt's delta\_sol parameter, only relevant in case of Klamt surface.

### Emp

### **Type**

Float

### **Description**

Emp is the empirical scaling factor x for the energy scaling.

### Eps

### **Type**

Float

# Description

User-defined dielectric constant of the solvent (overrides the Eps value of the solvent defined in 'Name')

#### Name

# **Type**

Multiple Choice

#### **Default value**

Water

### **Options**

[AceticAcid, Acetone, Acetonitrile, Ammonia, Aniline, Benzene, BenzylAlcohol, Bromoform, Butanol, isoButanol, tertButanol, CarbonDisulfide, CarbonTetrachloride, Chloroform, Cyclohexane, Cyclohexanone, Dichlorobenzene, DiethylEther, Dioxane, DMFA, DMSO, Ethanol, EthylAcetate, Dichloroethane, EthyleneGlycol, Formamide, FormicAcid, Glycerol, HexamethylPhosphoramide, Hexane, Hydrazine, Methanol, MethylEthylKetone, Dichloromethane, Methylformamide, Methypyrrolidinone, Nitrobenzene, Nitrogen, Nitromethane, PhosphorylChloride, IsoPropanol, Pyridine, Sulfolane, Tetrahydrofuran, Toluene, Triethylamine, TrifluoroaceticAcid, Water]

### **GUI** name

Solvent

### **Description**

Name of a pre-defined solvent. A solvent is characterized by the dielectric constant (Eps) and the solvent radius (Rad).

#### Rad

# **Type**

Float

### Unit

Angstrom

#### **Description**

User-defined radius of the solvent molecule (overrides the Rad value of the solvent defined in 'Name').

### Surf

Multiple Choice

### **Default value**

Delley

# **Options**

[Delley, Wsurf, Asurf, Esurf, Klamt]

### **GUI** name

Surface type

# **Description**

Within the COSMO model the molecule is contained in a molecule shaped cavity.

Select one of the following surfaces to define the cavity:

- Wsurf: Van der Waals surface
- Asurf: solvent accessible surface
- Esurf: solvent excluding surface
- Klamt: Klamt surface
- Delley: Delley surface.

### SolvationSM12

### **Type**

Block

# **Description**

Options for Solvation Model 12 (SM12).

# ARO

# **Type**

Float

# **Default value**

0.0

# Description

Square of the fraction of non-hydrogen atoms in the solvent that are aromatic carbon atoms (carbon aromaticity)

### Acid

### **Type**

Float

# Default value

0.82

# Description

Abraham hydrogen bond acidity parameter

#### Base

# **Type**

Float

# Default value

0.35

# **Description** Abraham hydrogen bond basicity parameter BornC **Type** Float **Default value** 3.7 **Description** Coulomb constant for General Born Approximation BornRadiusConfig **Type** Block **Description** MaxCellDistance **Type** Float **Default value** 30.0 Unit Bohr **Description** Max distance from the centra cell used when computing the Born radii for periodic systems PointsPerBohr **Type** Integer **Default value** 10 **Description** UseLegendreGrid **Type** Bool **Default value** Yes

# Chgal

**Type** 

Float

**Description** 

**Default value** 

2.474

**Description** 

Exponential of Pauli's bond order

# Cust **Type** String **Description** Custom solvent input Debug **Type** String **Description** Prints a lot of information about every pass on CDS and ENP code, keywords: ENP, CDS **EPS Type** Float **Default value** 78.36 **Description** The dielectric constant Enabled Type Bool **Default value** No **GUI** name Include SM12 solvation **Description** Whether to use the Solvation Model 12 (SM12) in the calculation. HALO **Type** Float **Default value** 0.0 **Description** Square of the fraction of non-hydrogen atoms in the solvent molecule that are F, Cl, or Br (electronegative halogenicity) Kappa **Type** Float **Default value** 0.0 Description Factor for Debye screening

432

**Bool** 

#### **Default value**

No

### **Description**

Whether to apply the solvation potential during the SCF or only calculate the solvation energy after the SCF.

#### PrintSM12

**Type** 

Bool

#### **Default value**

No

### **Description**

Prints out an in-depth breakdown of solvation energies

#### RadSolv

**Type** 

Float

### **Default value**

0.4

### **Description**

The radius distance between the solute and solvent

#### Ref

### **Type**

Float

### **Default value**

1.3328

### **Description**

Refractive index of solvent

### Solv

# **Type**

Multiple Choice

### **Default value**

WATER

### **Options**

[ACETICACID, ACETONITRILE, ACETOPHENONE, ANILINE, ANISOLE, BENZENE, BENZONITRILE, BENZYLALCOHOL, BROMOBENZENE, BROMOETHANE, BROMOFORM, BROMOOCTANE, N-BUTANOL, SEC-BUTANOL, BUTANONE, BUTYLACETATE, N-BUTYLBENZENE, SEC-BUTYLBENZENE, T-BUTYLBENZENE, CARBONDISULFIDE, CARBONTETRACHLORIDE, CHLOROBENZENE, CHLOROFORM, CHLOROHEXANE, M-CRESOL, CYCLOHEXANE, CYCLOHEXANONE, DECALIN, DECANE, DECANOL, 1-2-DIBROMOETHANE, DIBUTYLETHER, O-DICHLOROBENZENE, 1-2-DICHLOROETHANE, DIETHYLETHER, DIISOPROPYLETHER, N-N-DIMETHYLACETAMIDE, N-N-DIMETHYLFORMAMIDE, 2-6-DIMETHYLPYRIDINE, DIMETHYLSULFOXIDE, DODECANE, ETHANOL, ETHOXYBENZENE, ETHYLACETATE, ETHYLBENZENE, FLUOROBENZENE,

1-FLUORO-N-OCTANE, HEPTANE, HEPTANOL, HEXADECANE, HEXADE-CYLIODIDE, HEXANE, HEXANOL, IODOBENZENE, ISOBUTANOL, ISOOC-ISOPROPANOL, ISOPROPYLBENZENE, P-ISOPROPYLTOLUENE, METHANOL, METHOXYETHANOL, METHYLENECHLORIDE, MESITYLENE, N-METHYLFORMAMIDE, 2-METHYLPYRIDINE, 4-METHYL-2-PENTANONE, NITROBENZENE, NITROETHANE, NITROMETHANE, O-NITROTOLUENE, NONANE. NONANOL. OCTANE. OCTANOL. PENTADECANE. PENTANE. PERFLUOROBENZENE, PHENYLETHER, PENTANOL, PROPANOL, DINE. TETRACHLOROETHENE, TETRAHYDROFURAN. TETRAHYDROTH-IOPHENEDIOXIDE, TETRALIN, TOLUENE, TRIBUTYLPHOSPHATE, ETHYLAMINE, 1-2-4-TRIMETHYLBENZENE, UNDECANE, WATER, XYLENE, 1-2-DICHLOROETHANE\_WATER, 1-2-DIBROMOETHANE\_WATER, BEN-ZENE\_WATER, CARBONTETRACHLORIDE\_WATER, CHLOROBENZENE\_WATER, CHLOROFORM\_WATER, CYCLOHEXANE\_WATER, DIBUTYLETHER\_WATER, DIETHYLETHER\_WATER, ETHYLACETATE\_WATER, HEPTANE\_WATER, HEX-ANE\_WATER, NITROBENZENE\_WATER, OCTANOL\_WATER]

# **GUI** name

Solvent

### **Description**

List of predefined solvents

#### Tens

### **Type**

Float

#### **Default value**

103.62

# **Description**

Macroscopic surface tension of the solvent at the air/solvent interface at 298K (cal\*mol^-1\*Ang^-2)

# TopologicalExtrapolation

### **Type**

Block

# Description

Method to extrapolate the long range Coulomb potential, needed for periodic calculations

### FirstCell

### **Type**

Integer

### Default value

5

### Description

First cell for the topological extrapolation of the long range part of the Coulomb Potential.

#### LastCell

# Type

Integer

### **Default value**

10

# Description

Last cell for the topological extrapolation of the long range part of the Coulomb Potential.

#### Order

# Type

Integer

### **Default value**

2

### **Description**

Order of the topological extrapolation of the long range part of the Coulomb Potential.

### StopAfter

### **Type**

String

# **Default value**

**BAND** 

# **Description**

Specifies that the program is stopped after execution of a specified program-part (subroutine).

### StoreHamAsMol

# **Type**

**Bool** 

### **Default value**

No

# Description

Undocumented, used for (at least) NEGF.

### StoreHamiltonian

### **Type**

Bool

# **Description**

Undocumented.

### StoreHamiltonian2

# **Type**

Bool

### **Default value**

No

# **Description**

determine the tight-binding representation of the overlap an fock matrix. Used for (at least) NEGF.

### StrainDerivatives

### **Type**

Block

# **Description**

Undocumented.

### Analytical

Bool

# **Description**

Whether or not to use analytical strain derivatives. By default this is determined automatically, and used if possible.

# AnalyticalElectrostatic

**Type** 

Bool

**Default value** 

No

**Description** 

Undocumented.

# Analyticalkinetic

**Type** 

Bool

**Default value** 

No

**Description** 

Undocumented.

# Analyticalpulay

**Type** 

Bool

Default value

No

**Description** 

Undocumented.

# Analyticalxc

**Type** 

Bool

Default value

No

**Description** 

Undocumented.

# Celltopoorder

**Type** 

Integer

**Default value** 

20

Description

Undocumented.

# Coreorthoption

Integer

### **Default value**

2

# **Description**

Undocumented.

### Fitrho0numintextrarad

**Type** 

Integer

# **Default value**

0

# **Description**

Undocumented.

# Fitrho0prune

**Type** 

Bool

# **Default value**

Yes

# **Description**

Undocumented.

# Kinviadagger

**Type** 

Bool

### **Default value**

No

# **Description**

Undocumented.

# Lmaxmultipoleexpansion

**Type** 

Integer

**Default value** 

4

# **Description**

Undocumented.

# Naiveelstat

**Type** 

**Bool** 

# **Default value**

No

# **Description**

Undocumented.

# Numericaldefdef

```
Type
            Bool
        Default value
            Yes
        Description
            Undocumented.
Numericaldefdeflong
        Type
            Bool
        Default value
            No
        Description
            Undocumented.
Pairgridlowerangularorder
        Type
            Integer
        Default value
        Description
            Undocumented.
Pairgridradpointsincrease
        Type
            Integer
        Default value
        Description
            Undocumented.
{\tt Renormalize charge fit rho 0}
        Type
            Bool
        Default value
            No
        Description
            Undocumented.
Shiftmultipoleorigin
        Type
            Bool
        Default value
            Yes
        Description
            Undocumented.
```

438

```
Yes
              Description
                  Undocumented.
     SubtractAtomicXC
              Type
                  Bool
              Default value
                  Yes
              Description
                  Derive stress from xc energy difference of molecule versus atoms.
     Usesymmetry
              Type
                  Bool
              Default value
                  No
              Description
                  Undocumented.
     Usevstrainderrho
              Type
                  Bool
              Default value
                  No
              Description
                  Undocumented.
     fitrho0numintextral
              Type
                  Integer
              Default value
                  0
              Description
                  Undocumented.
SubSymmetry
          Type
              Integer List
          Description
              The indices of the symmetry operators to maintain.
Tails
          Type
```

Block

Type
Bool
Default value

# **Description**

Ignore function tails.

Bas

Type

Float

**Default value** 

1e-06

**GUI** name

Basis functions

# **Description**

Cut off the basis functions when smaller than the specified threshold.

### Title

**Type** 

String

**Default value** 

**Description** 

Title of the calculation, which will be printed in the output file.

### Unrestricted

**Type** 

**Bool** 

**Default value** 

No

# Description

Controls whether Band should perform a spin-unrestricted calculation. Spin-unrestricted calculations are computationally roughly twice as expensive as spin-restricted.

# UnrestrictedOnlyReference

Type

Bool

**Default value** 

No

**Description** 

Undocumented.

### UnrestrictedReference

**Type** 

Bool

**Default value** 

No

Description

Undocumented.

# UnrestrictedStartup

**Type** 

**Bool** 

### **Default value**

No

### **Description**

Undocumented.

### UseInversionSymmetryInReciprocalSpace

### **Type**

Bool

### **Default value**

Yes

### **GUI** name

Use inversion sym in recip space

### **Description**

Whether to use inversion symmetry in reciprocal space. This is almost always a valid assumption.

### UseSymmetry

**Type** 

**Bool** 

# **Default value**

Yes

# Description

Whether or not to exploit symmetry during the calculation.

XC

# **Type**

Block

### Description

**Exchange Correlation functionals** 

### **DFTHalf**

### Type

Block

# Description

DFT-1/2 method for band gaps. See PRB vol 78,125116 2008. This method can be used in combination with any functional. For each active atom type (see ActiveAtomType) Band will perform SCF calculations at different screening cut-off values (see ScreeningCutOffs) and pick the cut-off value that maximizes the band gap. If multiple atom types are active, the screening cut-off optimizations are done one type at the time (in the same order as the ActiveAtomType blocks appear in the input).

# ActiveAtomType

### **Type**

Block

### Recurring

True

# **Description**

Use the DFT-1/2 method for the atom-type specified in this block.

### AtomType

String

# **Description**

Atom-type to use. You can activate all atom-types by specifying 'All'.

### IonicCharge

### **Type**

Float

### **Default value**

0.5

# **Description**

The amount of charge to be removed from the atomic HOMO.

# ScreeningCutOffs

# **Type**

Float List

### **Default value**

[0.0, 1.0, 2.0, 3.0, 4.0, 5.0]

### Unit

Bohr

### **Description**

List of screening cut-offs (to screen the asymptotic IonicCharge/r potential). Band will loop over these values and find the cut-off that maximizes the band-gap. If only one number is provided, Band will simply use that value.

# Enabled

# **Type**

**Bool** 

### **Default value**

No

### **GUI** name

Use method

# Description

Whether the DFT-1/2 method will be used.

### Prepare

### **Type**

Bool

### **Default value**

No

### **Description**

Analyze the band structure to determine reasonable settings for an DFT-1/2 calculation. If this is possible the list of active atom types is written to the output. This can be used in a next run as the values for ActiveAtomType. The DFTHalf%Enabled key should be set to false

# SelfConsistent

# **Type**

**Bool** 

### **Default value**

Yes

### **Description**

Apply the extra potential during the SCF, or only afterwards. Applying DFT-1/2 only post SCF increases the band gap, compared to the self-consistent one.

### DoubleHybrid

**Type** 

String

# **Description**

Specifies the double hybrid functional that should be used during the SCF.

# **EmpiricalScaling**

**Type** 

Multiple Choice

# **Default value**

None

### **Options**

[None, SOS, SCS, SCSMI]

#### Description

Calculate the (SOS/SCS/SCSMI)-MP2 correlation energy.

# GALITSKIIMIGDAL

**Type** 

**Bool** 

# Default value

No

### **Description**

Calculate the Galitskii-Migdal correlation energy after the SCF is completed.

# GLLBKParameter

**Type** 

Float

### **Default value**

0.382

### **Description**

K parameter for the GLLB functionals. See equation (20) of the paper.

### HartreeFock

**Type** 

Bool

### **Default value**

No

# **Description**

Stand alone HF calculation.

MP2

```
Type
             Bool
         Default value
             No
         Description
             Calculate the MP2 correlation energy after the HF SCF is completed.
RPA
         Type
             Multiple Choice
         Default value
             None
         Options
             [None, Direct, Sigma, SOSEX, SOSSX]
         Description
             Specifies that RPA is used an possibly also a post-RPA method. By default, direct RPA is used
RangeSeparation
         Type
             String
         Default value
         Description
             Intended to be used with HartreeFock (or hybrid functionals). Example: OMEGA= 0.110000
             ALPHA= 0.250000 BETA= -0.250000 ERF-SHORTRANGE
diracgga
         Type
             String
         Default value
         Description
             GGA for the dirac.
dispersion
         Type
             String
         Default value
             DEFAULT
         Description
             The dispersion correction model to be used.
gga
         Type
             String
         Default value
             NONE
         Description
             GGA XC functional.
lda
```

444

```
Type
            String
         Default value
             VWN
         Description
            LDA XC functional.
libxc
         Type
             String
         Default value
            NONE
         Description
             Functional using the LicXC library.
libxcdensitythreshold
         Type
             Float
         Default value
             1e-10
         Description
             Density threshold for LibXC functionals.
metagga
         Type
             String
         Default value
            NONE
         Description
             MetaGG XC functional.
model
         Type
            String
         Default value
            LB94
         Description
             Model potential. The possible choices are LB94, GLLB-SC, BGLLB-VWN, and BGLLB-LYP
spinorbitmagnetization
         Type
            String
         Default value
            collinearz
         Description
            Type of Spin-Orbit magnetization.
```

tb\_mbjafactor

```
Type
             Float
         Default value
             -1.23456789
         Description
             a parameter for the TB-MBJ model potential.
tb_mbjbfactor
         Type
             Float
         Default value
             -1.23456789
         Description
             b parameter for the TB-MBJ model potential..
tb_mbjcfactor
         Type
             Float
         Default value
             -1.23456789
         Description
             c parameter for the TB-MBJ model potential..
tb_mbjefactor
         Type
             Float
         Default value
             -1.23456789
         Description
             e parameter for the TB-MBJ model potential..
usexcfun
         Type
             Bool
         Default value
             No
         Description
             Whether ot not the XCFun library should be used.
xcfun
         Type
             Bool
         Default value
             No
         Description
             Functional for the XCFun library.
```

Block

# Description

Options for the density fitting scheme 'ZlmFit'.

### AllowBoost

### **Type**

Bool

### **Default value**

Yes

# Description

Allow automatic atom-dependent tuning of maximum 1 of spherical harmonics expansion. Whether or not this boost is needed for a given atom is based on an heuristic estimate of how complex the density around that atom is.

### DensityThreshold

### **Type**

Float

### **Description**

Threshold below which the electron density is considered to be negligible. Depends on Quality and is normally 1.0e-7

#### **FGaussianW**

### **Type**

Float

# Default value

1.0

# **Description**

Only for 3D periodic systems. Width of the Gaussian functions replacing the S and P Zlms for Fourier transform.

### **FGridSpacing**

**Type** 

Float

### **Description**

Only for 3D periodic systems. Spacing for the Fourier grid. By default, this depends on the quality.

### FKSpaceCutOff

# Type

Float

# Description

Only for 3D periodic systems. Cut-off of the grid in k-space for the Fourier transform.

### FirstTopoCell

**Type** 

Integer

### **Default value**

5

### **Description**

First cell for the topological extrapolation of the long range part of the Coulomb Potential.

### LMargin

### **Type**

Integer

### **Description**

User-defined l-margin, i.e., l\_max for fitting is max(lMargin + l\_max\_basis\_function, 2\*l\_max\_basis\_function). Depends on Quality and normally is 4

# LastTopoCell

### **Type**

Integer

### **Default value**

10

# **Description**

Last cell for the topological extrapolation of the long range part of the Coulomb Potential.

### NumStarsPartitionFun

### **Type**

Integer

### **Default value**

5

# **Description**

Number of cell stars to consider when computing the partition function.

# OrderTopoTrick

# **Type**

Integer

### **Default value**

3

# **Description**

Order of the topological extrapolation of the long range part of the Coulomb Potential.

### PartitionFunThreshold

### **Type**

Float

# **Default value**

0.0

# Description

Threshold for the partition functions: if an integration point has a partition function weight smaller than this threshold, it will be discarded.

### Quality

# Type

Multiple Choice

### **Default value**

Auto

### **Options**

[Auto, Basic, Normal, Good, VeryGood, Excellent]

#### **GUI** name

Spline Zlm fit

# **Description**

Quality of the density-fitting approximation. For a description of the various qualities and the associated numerical accuracy see reference. If 'Auto', the quality defined in the 'NumericalQuality' will be used.

# QualityPerRegion

# **Type**

Block

### Recurring

True

# **Description**

Sets the ZlmFit quality for all atoms in a region. If specified, this overwrites the globally set quality.

# Quality

### **Type**

Multiple Choice

### **Options**

[Basic, Normal, Good, VeryGood, Excellent]

### **Description**

The region's quality of the ZlmFit.

# Region

# **Type**

String

### **Description**

The identifier of the region for which to set the quality.

# 12.2.2 conductance

# EnergyGrid

### **Type**

Block

# **Description**

Energy grid for Transmission Function

# Max

# Type

Float

# **Default value**

5.0

# Unit

eV

```
Description
                  Max Energy (relative to Fermi energy)
     Min
               Type
                  Float
               Default value
                   -5.0
               Unit
                  eV
               Description
                  Min energy (relative to Fermi energy)
     Num
               Type
                   Integer
               Default value
                   200
               Description
                  Number of energy values in which the interval Min-Max is subdivided
Files
          Type
               Block
          Description
               path of files
     HamiltonianElectrode
               Type
                  String
               Default value
               Description
     HamiltonianMolecule
               Type
                  String
               Default value
               Description
     Leads
               Type
                  String
               Default value
               Description
                   Path (either absolute or relative) of the lead results file
     OverlapElectrode
               Type
                  String
```

```
Default value
               Description
     OverlapMolecule
               Type
                   String
               Default value
               Description
     Scattering
               Type
                   String
               Default value
               Description
                   Path (either absolute or relative) of the scattering region results
Output
          Type
               Block
          Description
               options describing what should be printed
     OldOutput
               Type
                   Bool
               Default value
                   No
               Description
Physics
          Type
               Block
          Description
               Block describing the physics of the system
     FermiEnergy
               Type
                   Block
               Description
                   Block describing the physics of the system
          Electrode
                   Type
                     Float
                   Default value
                     0.0
                   Description
                     Fermi energy of the electrode
```

12.2. Summary of all keywords

Technical

Block

### **Description**

options describing technical parts of the calculation

### Eta

**Type** 

Float

### **Default value**

1e-05

# Description

To avoid poles of the Green's function, a small imaginary number is added to the energy

# overwriteLeads

**Type** 

**Bool** 

### **Default value**

Yes

# **Description**

If true, Hamiltonians H\_L and H\_R are taken from the DFTB-leads calculation. If False, they are taken from the DFTB scattering-region calculation

### setOffDiagonalToZero

**Type** 

Bool

# **Default value**

Yes

### **Description**

If true, H\_LR and S\_LR are explicitly set to zero. If False, they are taken from the DFTB scattering-region calculation.

# 12.2.3 sgf

# Debug

**Type** 

String

Recurring

True

**Description** 

Save

**Type** 

String

Recurring

True

**Description** 

```
SurfaceGF
          Type
              Block
          Description
     BANDMU
              Type
                  Float
              Default value
                  0.0
              Description
     CONTACT
              Type
                  Integer
              Default value
                  1
              Description
     CPMARGIN
              Type
                  Float
              Description
     ContourQuality
                  Multiple Choice
              Default value
                  good
              Options
                  [basic, normal, good, verygood, excellent]
              Description
     DELTA
              Type
                  Float
              Description
     ETA
              Type
                  Float
              Default value
                  1e-05
              Description
```

KGRID

Integer **Description** 

```
ΚT
        Type
            Float
        Description
MAXDOS
        Type
            Float
        Description
MINDOS
        Type
            Float
        Description
MINVALENCE
        Type
            Float
        Description
MULOWER
        Type
            Float
        Description
MUUPPER
        Type
            Float
        Description
NARCPTS
        Type
            Integer
        Description
NDOSPTS
        Type
            Integer
        Description
NE
        Type
            Integer
        Description
```

# NEQLINEPTS **Type** Integer Description NFPOLES **Type** Integer Description NLAYERS **Type** Integer Description NNONEQLPTS **Type** Integer Description PHI1 **Type** Float **Default value** 0.0 **Description** PHI2 **Type** Float **Default value** 0.0 Description **RKFFileName Type** String **Default value RUNKF** Description SCMCODE **Type** Bool

Default value No Description

```
SECANT
        Type
            Bool
        Default value
            No
        Description
SGFMaxIter
        Type
            Integer
        Default value
            500
        Description
SGFTOL
        Type
            Float
        Default value
            1e-08
        Description
TOL
        Type
            Float
        Default value
            0.0001
        Description
TRANSDIR
        Type
            Integer
        Default value
        Description
TRUEMU
        Type
            Float
        Default value
            0.0
        Description
deContourInt
        Type
            Float
        Default value
```

-1.0

# Description TightBinding Type Block Description DTol **Type** Float **Default value** 0.001 Description Eps **Type** Float **Default value** 1e-18 **Description** IODim **Type** Integer Description MaxMerit **Type** Float Description MaxRange **Type** Float **Description** XTol **Type** Float **Default value** 5e-06 **Description** Title **Type** String

Description

**CHAPTER** 

# **THIRTEEN**

# KF OUTPUT FILES

# 13.1 Accessing KF files

KF files are Direct Access binary files. KF stands for Keyed File: KF files are keyword oriented, which makes them easy to process by simple procedures. Internally all the data on KF files is organized into sections containing variables, so each datum on the file can be identified by the combination of section and variable.

All KF files can be opened using the KFbrowser GUI program:

```
$AMSBIN/kfbrowser path/to/ams.rkf
```

By default KFbrowser shows a just a curated summary of the results on the file, but you can make it show the raw section and variable structure by switching it to expert mode. To do this, click on **File**  $\rightarrow$  **Expert Mode** or press **ctrl/cmd** + **e**.

KF files can be opened and read with Command line tools.

For working with the data from KF files, it is often useful to be able to read them from Python. Using the AMS Python Stack, this can easily be done with the AKFReader class:

```
>>> from scm.akfreader import AKFReader
>>> kf = AKFReader("path/to/ams.rkf")
>>> "Molecule%Coords" in kf
True
>>> kf.description("Molecule%Coords")
    '_type': 'float_array',
    '_shape': [3, 'nAtoms'],
    '_comment': 'Coordinates of the nuclei (x,y,z)',
    '_unit': 'Bohr'
>>> kf.read("Molecule%Coords")
array([[-11.7770694 , -4.19739597,
                                     0.04934546],
       [-9.37471321, -2.63234227,
                                    -0.13448698],
       [ 10.09508738, -1.06191208, 1.45286913],
       [ 10.11689333, -1.5080196 ,
                                    -1.87916127]])
```

**Tip:** For a full overview of the available methods in AKFReader, see the AKFReader API documentation.

# 13.2 Sections and variables on band.rkf

# **AMSResults**

**Section content:** Generic results of the BAND evaluation.

# AMSResults%Bonds

**Type** 

subsection

#### **Description**

Bond info

# AMSResults%Bonds%Atoms

**Type** 

archived\_int\_array

# **Description**

?

# AMSResults%Bonds%CellShifts

**Type** 

archived\_int\_array

# **Description**

9

# AMSResults%Bonds%description

**Type** 

string

# **Description**

A string containing a description of how the bond orders were calculated / where they come from

#### AMSResults%Bonds%hasCellShifts

Type

bool

# **Description**

Whether there are cell shifts (relevant only in case of periodic boundary conditions)

#### AMSResults%Bonds%Index

**Type** 

archived\_int\_array

# **Description**

index(i) points to the first element of Atoms, Orders, and CellShifts belonging to bonds from atom 'i'. Index(1) is always 1, Index(nAtoms+1) is always nBonds+1

# AMSResults%Bonds%Orders

Type

archived\_float\_array

# **Description**

The bond orders.

# AMSResults%BulkModulus

# **Type**

float

# Description

The Bulk modulus (conversion factor from hartree/bohr^3 to GPa: 29421.026)

# Unit

hartree/bohr^3

# AMSResults%Charges

# **Type**

float\_array

# **Description**

Net atomic charges as computed by the engine (for example, the Charges for a water molecule might be [-0.6, 0.3, 0.3]). The method used to compute these atomic charges depends on the engine.

# Unit

е

#### Shape

[Molecule%nAtoms]

# AMSResults%DipoleGradients

#### **Type**

float\_array

#### **Description**

Derivative of the dipole moment with respect to nuclear displacements.

# Shape

[3, 3, Molecule%nAtoms]

# AMSResults%DipoleMoment

# Type

float\_array

# **Description**

Dipole moment vector (x,y,z)

# Unit

e\*bohr

# Shape

[3]

### AMSResults%ElasticTensor

# Type

float\_array

# **Description**

The elastic tensor in Voigt notation (6x6 matrix for 3D periodic systems, 3x3 matrix for 2D periodic systems, 1x1 matrix for 1D periodic systems).

# Unit

hartree/bohr^nLatticeVectors

# Shape

[:,:]

# AMSResults%Energy

# **Type**

float

# **Description**

The energy computed by the engine.

#### Unit

hartree

# AMSResults%fractionalOccupation

# **Type**

bool

# **Description**

Whether of not we have fractionally occupied orbitals (i.e. not all occupations are integer numbers).

# AMSResults%Gradients

# **Type**

float\_array

# **Description**

The nuclear gradients.

#### Unit

hartree/bohr

# Shape

[3, Molecule%nAtoms]

# AMSResults%Hessian

# Type

float\_array

# **Description**

The Hessian matrix

# Unit

hartree/bohr^2

# Shape

[3\*Molecule%nAtoms, 3\*Molecule%nAtoms]

# AMSResults%HOMOEnergy

# **Type**

float\_array

# **Description**

Molecular Orbital Info: energy of the HOMO.

#### Unit

hartree

# Shape

[nSpin]

# AMSResults%HOMOIndex

# **Type**

int\_array

# **Description**

Molecular Orbital Info: index in the arrays orbitalEnergies and orbitalOccupations corresponding to the HOMO.

#### Shape

[nSpin]

# AMSResults%HOMOLUMOGap

# **Type**

float\_array

# **Description**

Molecular Orbital Info: HOMO-LUMO gap per spin.

#### Unit

hartree

#### Shape

[nSpin]

# AMSResults%LUMOEnergy

# **Type**

float array

# **Description**

Molecular Orbital Info: energy of the LUMO.

#### Unit

hartree

# Shape

[nSpin]

# AMSResults%LUMOIndex

# **Type**

int\_array

# Description

Molecular Orbital Info: index in the arrays orbitalEnergies and orbitalOccupations corresponding to the LUMO.

# Shape

[nSpin]

### AMSResults%Molecules

# Type

subsection

# **Description**

Molecules

# AMSResults%Molecules%AtCount

# **Type**

archived\_int\_array

# **Description**

shape=(nMolType), Summary: number of atoms per formula.

#### AMSResults%Molecules%Atoms

#### **Type**

archived\_int\_array

#### **Description**

shape=(nAtoms), atoms(index(i):index(i+1)-1) = atom indices of molecule i

#### AMSResults%Molecules%Count

# Type

archived\_int\_array

# **Description**

Mol count per formula.

#### AMSResults%Molecules%Formulas

# **Type**

string

# **Description**

Summary: unique molecule formulas

#### AMSResults%Molecules%Index

#### **Type**

archived\_int\_array

# **Description**

shape=(nMol+1), index(i) = index of the first atom of molecule i in array atoms(:)

# AMSResults%Molecules%Type

# Type

archived\_int\_array

# **Description**

shape=(nMol), type of the molecule, reference to the summary arrays below

# AMSResults%nOrbitals

#### **Type**

int

# Description

Molecular Orbital Info: number of orbitals.

# AMSResults%nSpin

# **Type**

int

# **Description**

Molecular Orbital Info: number spins (1: spin-restricted or spin-orbit coupling, 2: spin unrestricted).

# AMSResults%orbitalEnergies

# Type

float\_array

# Description

Molecular Orbital Info: the orbital energies.

# Unit

hartree

#### Shape

[nOrbitals, nSpin]

# AMSResults%orbitalOccupations

# **Type**

float\_array

# **Description**

Molecular Orbital Info: the orbital occupation numbers. For spin restricted calculations, the value will be between 0 and 2. For spin unrestricted or spin-orbit coupling the values will be between 0 and 1.

#### Shape

[nOrbitals, nSpin]

# AMSResults%PESPointCharacter

#### **Type**

string

# **Description**

The character of a PES point.

# Possible values

['local minimum', 'transition state', 'stationary point with >1 negative frequencies', 'non-stationary point']

# AMSResults%PoissonRatio

# Type

float

# Description

The Poisson ratio

#### AMSResults%ShearModulus

#### **Type**

float

# Description

The Shear modulus (conversion factor from hartree/bohr^3 to GPa: 29421.026)

#### Unit

hartree/bohr^3

### AMSResults%SmallestHOMOLUMOGap

# Type

float

# **Description**

Molecular Orbital Info: the smallest HOMO-LUMO gap irrespective of spin (i.e. min(LUMO) - max(HOMO)).

# Unit

hartree

#### AMSResults%StressTensor

```
Type
                  float_array
               Description
                  The clamped-ion stress tensor in Cartesian notation.
               Unit
                  hartree/bohr^nLatticeVectors
              Shape
                  [:,:]
     AMSResults%YoungModulus
               Type
                  float
               Description
                  The Young modulus (conversion factor from hartree/bohr^3 to GPa: 29421.026)
              Unit
                  hartree/bohr^3
AngularBoost
     Section content: Both the Becke grid and the Zlm fit grid may boost the angular grid for certain areas.
     AngularBoost%boost
               Type
                  bool_array
               Description
                  Whether to use a booster grid per atom.
band_curves
     Section content: Band dispersion curves.
     band_curves%brav_type
               Type
                  string
               Description
                  Type of the lattice.
     band_curves%Edge_#_bands
               Type
                  float_array
               Description
                  The band energies
               Shape
                  [nBands, nSpin, :]
     band_curves%Edge_#_direction
               Type
                  float_array
               Description
                  Direction vector.
```

```
Shape
            [nDimK]
band_curves%Edge_#_fatBands
        Type
            float_array
        Description
            Fat band split up of the bands
            [nBas, nBands, nSpin, :]
band_curves%Edge_#_kPoints
        Type
            float_array
        Description
            Coordinates for points along the edge.
        Shape
            [nDimK,:]
band_curves%Edge_#_labels
        Type
            lchar_string_array
        Description
            Labels for begin and end point of the edge.
        Shape
            [2]
band_curves%Edge_#_1Gamma
        Type
            bool
        Description
            Is gamma point?
band_curves%Edge_#_nKPoints
        Type
            int
        Description
            The nr. of k points along the edge.
band_curves%Edge_#_vertices
        Type
            float_array
        Description
            Begin and end point of the edge.
        Shape
            [nDimK, 2]
band_curves%Edge_#_xFor1DPlotting
```

**Type** 

```
float_array
         Description
            x Coordinate for points along the edge.
         Shape
             [:]
band_curves%indexLowestBand
         Type
             int
         Description
band_curves%nBands
         Type
             int
         Description
            Number of bands.
band_curves%nBas
         Type
         Description
            Number of basis functions.
band_curves%nDimK
         Type
             int
         Description
            Dimension of the reciprocal space.
band_curves%nEdges
         Type
             int
         Description
            The number of edges. An edge is a line-segment through k-space. It has a begin and end point
            and possibly points in between.
band_curves%nEdgesInPath
         Type
            int
         Description
             A path is built up from a number of edges.
band_curves%nSpin
         Type
            int
         Description
             Number of spin components.
```

# Possible values [1, 2]

# band\_curves%path

# **Type**

int\_array

# **Description**

If the (edge) index is negative it means that the vertices of the edge abs(index) are swapped e.g. path = (1,2,3,0,-3,-2,-1) goes though edges 1,2,3, then there's a jump, and then it goes back.

# Shape

[nEdgesInPath]

# band\_curves%path\_source

# **Type**

string

# **Description**

Source or program used to generate the path.

#### Possible values

['input', 'kpath', 'seekpath']

# band\_curves%path\_type

**Type** 

string

# **Description**

?

# BandStructure

**Section content:** Info regarding the band structure.

# BandStructure%BandGap

**Type** 

float

# **Description**

The band gap. For molecules this is the HOMO-LUMO gap.

Unit

hartree

# BandStructure%bandsEnergyRange

# **Type**

float\_array

# Description

The energy ranges (min/max) of the bands

# Unit

hartree

# **Shape**

[2, nBand, nSpin]

# BandStructure%BottomConductionBand

```
Type
            float
        Description
            The bottom of the conduction band
         Unit
            hartree
BandStructure%CoordsBottomConductionBand
        Type
            float_array
         Description
            The coordinates in k-space of the bottom of the conduction band
        Unit
            1/bohr
        Shape
            [nDimK]
BandStructure%CoordsTopValenceBand
        Type
            float_array
         Description
            The coordinates in k-space of the top of the valence band
        Unit
            1/bohr
        Shape
            [nDimK]
BandStructure%DerivativeDiscontinuity
         Type
            float
         Description
            Correction to be added to the band gap to get the fundamental gap. (band only)
        Unit
            hartree
BandStructure%FermiEnergy
         Type
            float
        Description
            Fermi level
         Unit
            hartree
BandStructure%HasGap
         Type
```

bool

# **Description**

Whether the system has a gap.

#### BandStructure%HomoBandIndex

# **Type**

int

# **Description**

The index of the highest occupied band

# BandStructure%HomoDegeneracy

```
Type
```

int

# **Description**

How many states are exactly at the HOMO level

# BandStructure%HomoSpinIndex

**Type** 

int

# Description

In case of an unrestricted calculation: which of the two spins has the HOMO?

# BandStructure%LumoBandIndex

**Type** 

int

# **Description**

The index of the lowest unoccupied band

# BandStructure%LumoDegeneracy

**Type** 

int

# **Description**

How many states are exactly at the LUMO level

# BandStructure%LumoSpinIndex

**Type** 

int

# **Description**

In case of an unrestricted calculation: which of the two spins has the LUMO?

### BandStructure%nBand

Type

int

# Description

The number of bands for which the band ranges are stored.

# BandStructure%nDimK

**Type** 

int

# **Description**

The number of dimensions for the k-coordinates for CoordsTopValenceBand and CoordsBottomConductionBand.

# BandStructure%nSpin

# Type

int

#### **Description**

If 1: spin restricted calculation. For unrestricted results it has the value of 2.

# Possible values

[1, 2]

# BandStructure%TopValenceBand

# **Type**

float

# **Description**

The top of the valence band

#### Unit

hartree

# BandStructure(FromPath)

**Section content:** Info regarding the band structure.

# BandStructure (FromPath) %BandGap

# **Type**

float

# Description

The band gap. For molecules this is the HOMO-LUMO gap.

#### Unit

hartree

# BandStructure (FromPath) %bandsEnergyRange

# **Type**

float\_array

#### **Description**

The energy ranges (min/max) of the bands

# Unit

hartree

# Shape

[2, nBand, nSpin]

# BandStructure (FromPath) %BottomConductionBand

# **Type**

float

# **Description**

The bottom of the conduction band

# Unit

hartree

# BandStructure (FromPath) %CoordsBottomConductionBand **Type** float\_array **Description** The coordinates in k-space of the bottom of the conduction band Unit 1/bohr Shape [nDimK] BandStructure (FromPath) %CoordsTopValenceBand **Type** float\_array **Description** The coordinates in k-space of the top of the valence band Unit 1/bohr Shape [nDimK] BandStructure (FromPath) %DerivativeDiscontinuity **Type** float **Description** Correction to be added to the band gap to get the fundamental gap. (band only) Unit hartree BandStructure (FromPath) %FermiEnergy **Type** float **Description** Fermi level Unit hartree BandStructure (FromPath) %HasGap Type bool **Description** Whether the system has a gap. BandStructure (FromPath) %HomoBandIndex **Type** int Description The index of the highest occupied band

#### BandStructure (FromPath) %HomoDegeneracy

# **Type**

int

# **Description**

How many states are exactly at the HOMO level

# BandStructure (FromPath) %HomoSpinIndex

# **Type**

int

# **Description**

In case of an unrestricted calculation: which of the two spins has the HOMO?

#### BandStructure (FromPath) %LumoBandIndex

```
Type
```

int

#### **Description**

The index of the lowest unoccupied band

# BandStructure (FromPath) %LumoDegeneracy

#### **Type**

int

#### **Description**

How many states are exactly at the LUMO level

# BandStructure (FromPath) %LumoSpinIndex

# **Type**

int

# Description

In case of an unrestricted calculation: which of the two spins has the LUMO?

# BandStructure (FromPath) %nBand

```
Type
```

int

# Description

The number of bands for which the band ranges are stored.

# BandStructure (FromPath) %nDimK

```
Type
```

int

# **Description**

The number of dimensions for the k-coordinates for CoordsTopValenceBand and CoordsBottomConductionBand.

# ${\tt BandStructure}\,({\tt FromPath})\,\${\tt nSpin}$

# **Type**

int

# Description

If 1: spin restricted calculation. For unrestricted results it has the value of 2.

```
[1, 2]
     BandStructure (FromPath) %TopValenceBand
              Type
                  float
              Description
                  The top of the valence band
              Unit
                  hartree
basis
     Section content: Information on the basis set.
     basis%core functions/part
              Type
                  int_array
              Description
                  Number of core functions per part.
              Shape
                  [number of parts]
     basis%core functions/type
              Type
                  int_array
              Description
                  Number of core functions per type.
              Shape
                  [geometry%ntyp]
     basis%core_labels
              Type
                  lchar_string_array
              Description
                  Labels for the core functions.
              Shape
                  [ncores]
     basis%icpat
              Type
                  int_array
              Description
                  See ifpat, but now for core functions.
                  [ncores, Molecule%nAtoms]
     basis%icpati
              Type
                  int_array
```

Possible values

# **Description**See ifpat

See ifpati, but now for core functions.

# **Shape**

[2, ncores]

# basis%idosat

# **Type**

int\_array

# **Description**

Atom i in dos order is atom idosat(i) as on input.

# Shape

[Molecule%nAtoms]

# basis%idosati

# **Type**

int\_array

#### **Description**

Atom i in input order is atom idosati(i) in dos order.

# **Shape**

[Molecule%nAtoms]

# basis%ifpat

#### Туре

int\_array

# **Description**

If you specify the atom number i, as on input, and the basis function on that atom j, counting first all NAO's of that atom and then all STOs, the number of the basis function is t if t if

# Shape

[nbas, Molecule%nAtoms]

# basis%ifpati

# **Type**

int\_array

# **Description**

If you know the basis function k, it was function t ifpati(2,k) on atom t ifpati(1,k).

#### Shape

[2, nbas]

# basis%ilmdos

# Type

int\_array

# **Description**

Used for DOS analysis. 1: atom (internal order), 2:1, 3: m.

#### Shape

[3, nbas+ncores]

# basis%inputAtomPerBas

```
Type
             int_array
         Description
             The input atom index for each (valence) basis function.
         Shape
             [nbas]
basis%is NAO all functions
         Type
             bool_array
         Description
             Whether a function is a NAO (a solution for a spherical atom), rather than an STO.
             [ncores+nbas]
basis%lPerBas
         Type
             int_array
         Description
             1 value for each (valence) basis function.
             [nbas]
basis%Maximum l value fit
         Type
             int
         Description
             Maximum I value of the STO fit functions, if any.
basis%mPerBas
         Type
             int_array
         Description
             m value for each (valence) basis function.
         Shape
             [nbas]
basis%nbas
         Type
             int
         Description
             Number of (valence) basis functions used during the SCF.
basis%ncores
```

Number of frozen core functions.

Type int

Description

```
basis%number of parts
              Type
                  int
              Description
                  Number of parts (fragments), normally atoms.
     basis%Quantum numbers for all function
              Type
                  int_array
              Description
                  atom number,l,m for all functions, first core then valence. Atom index is in internal order.
              Shape
                  [3, ncores+nbas]
     basis%valence functions/part
              Type
                  int_array
              Description
                  Number of valence functions per part.
              Shape
                  [number of parts]
     basis%valence functions/type
              Type
                  int_array
              Description
                  Number of valence functions per type.
              Shape
                  [geometry%ntyp]
     basis%valence_labels
              Type
                  lchar_string_array
              Description
                  Labels for the valence functions.
              Shape
                  [ncores]
BeckeGridConfig
     Section content: Configuration used to create the Becke grid.
     BeckeGridConfig%angLOrder
              Type
                  int_array
              Description
                  ?.
              Shape
```

[:]

# BeckeGridConfig%beckeMapParams

#### **Type**

float\_array

# Description

Mapping parameter per atom.

#### Shape

[nAtoms]

# BeckeGridConfig%includeRadialWeights

# **Type**

bool

# **Description**

Whether or not to include the radial weights. Normally you want this.

# BeckeGridConfig%isSymmetryUnique

```
Type
```

bool\_array

# **Description**

Is an atom symmetry unique?

# Shape

[nAtoms]

# BeckeGridConfig%minimumRadius

# **Type**

float

# Description

To solve the exact singularity a small hard sphere around the nuclei can be used. The partition function starts beyond this radius.

# BeckeGridConfig%mpvPartitionCheckSpheres

```
Type
```

bool

# **Description**

Whether or not to check the spheres for the MPV partitioning.

# BeckeGridConfig%nAtoms

#### **Type**

int

# **Description**

Number of atoms.

# BeckeGridConfig%nRadPoints

# **Type**

int\_array

# **Description**

Number of radial points per atom.

#### Shape

[nAtoms]

# BeckeGridConfig%oper **Type** float\_array **Description** Point group part of the symmetry operators. Shape [3, 3, :]BeckeGridConfig%partitionFunThresh **Type** float **Description** Threshold for the partition function. BeckeGridConfig%qAtoms **Type** float\_array **Description** Atomic number per atom. Shape [nAtoms] BeckeGridConfig%quality **Type** string\_fixed\_length **Description** Quality used. BeckeGridConfig%transl **Type** float\_array **Description** Translational part of the symmetry operators. Shape [3, :]BeckeGridConfig%vectors **Type** float\_array **Description** Lattice vectors Unit bohr BeckeGridConfig%xyzAtoms **Type** float\_array

# **Description**

Atom coordinates.

#### Unit

bohr

# Shape

[3, nAtoms]

#### BeckeGridConfig(fit)

Section content: The Zlm fit employs also a becke grid, but one that is typically less dense.

# BeckeGridConfig(fit)%angLOrder

# **Type**

int\_array

# Description

?.

# Shape

[:]

# BeckeGridConfig(fit)%beckeMapParams

# **Type**

float\_array

# **Description**

Mapping parameter per atom.

# Shape

[nAtoms]

# BeckeGridConfig(fit)%includeRadialWeights

# **Type**

bool

# **Description**

Whether or not to include the radial weights. Normally you want this.

# BeckeGridConfig(fit)%isSymmetryUnique

# **Type**

bool\_array

# **Description**

Is an atom symmetry unique?

# Shape

[nAtoms]

# BeckeGridConfig(fit)%minimumRadius

# **Type**

float

#### **Description**

To solve the exact singularity a small hard sphere around the nuclei can be used. The partition function starts beyond this radius.

# BeckeGridConfig(fit)%mpvPartitionCheckSpheres

```
Type
            bool
        Description
            Whether or not to check the spheres for the MPV partitioning.
BeckeGridConfig(fit)%nAtoms
        Type
            int
        Description
            Number of atoms.
BeckeGridConfig(fit)%nRadPoints
        Type
            int_array
        Description
            Number of radial points per atom.
        Shape
            [nAtoms]
BeckeGridConfig(fit)%oper
        Type
            float_array
        Description
            Point group part of the symmetry operators.
        Shape
            [3, 3, :]
BeckeGridConfig(fit)%partitionFunThresh
        Type
            float
        Description
            Threshold for the partition function.
BeckeGridConfig(fit)%qAtoms
        Type
            float_array
        Description
            Atomic number per atom.
        Shape
            [nAtoms]
BeckeGridConfig(fit)%quality
        Type
            string_fixed_length
        Description
            Quality used.
```

BeckeGridConfig(fit)%transl

# Type float\_array Description Translational part of the symmetry operators. Shape [3,:] BeckeGridConfig(fit)%vectors Type float\_array Description Lattice vectors Unit bohr

# BeckeGridConfig(fit)%xyzAtoms

```
Type
```

float\_array

# Description

Atom coordinates.

#### Unit

bohr

# Shape

[3, nAtoms]

# Berry phase

**Section content:** The Berry phase method is a way to define a dipole in a periodic system.

```
Berry phase%Dipole moment (a.u.)
```

# **Type**

float\_array

# **Description**

Dipole moment.

#### Unit

a.u.

#### Shape

[kspace%ndim]

# **Bond energies**

**Section content:** Bond energies for various hard-coded functionals. The energies are not self consistent, and obtained from the same SCF density.

# Bond energies%\*

# **Type**

float

# Description

Bond energy according to the functional used during the SCF. The name is the name of the functional. Used if final bond energy is according the the SCF functional.

```
Unit
            hartree
Bond energies%all
         Type
            float_array
         Description
             All 14 hardcoded bond energies in an array.
         Unit
            hartree
         Shape
            [14]
Bond energies%Becke (alt)
         Type
            float
         Description
             Bond energy according to the Becke (alt) functional.
         Unit
            hartree
Bond energies%Becke88
         Type
             float
         Description
             Bond energy according to the Becke88 (exchange) functional.
         Unit
             hartree
Bond energies%Becke88+LYP
         Type
             float
         Description
             Bond energy according to the Becke88+LYP functional.
         Unit
            hartree
Bond energies%Becke88+Perdew86
         Type
            float
         Description
             Bond energy according to the Becke88 plus Perdew86 (XC) functional.
         Unit
            hartree
Bond energies%EV93x+PW91c
         Type
             float
```

```
Description
            Bond energy according to the EV93x+PW91c functional.
        Unit
            hartree
Bond energies%final bond energy
         Type
            float
         Description
            Bond energy according to the functional used during the SCF.
        Unit
            hartree
Bond energies%LDA
         Type
            float
        Description
            Bond energy according to the LDA functional.
        Unit
            hartree
Bond energies%PBE
         Type
            float
         Description
            Bond energy according to the PBE functional.
        Unit
            hartree
Bond energies%PBESOL
         Type
            float
        Description
            Bond energy according to the PBESOL functional.
         Unit
            hartree
Bond energies%Perdew-Wang (91) X
         Type
            float
        Description
            Bond energy according to the Perdew-Wang (91) (exchange) functional.
        Unit
            hartree
Bond energies%Perdew-Wang (91) X+C
```

**Type** float

```
Description
                  Bond energy according to the Perdew-Wang (91) X+C functional.
              Unit
                  hartree
     Bond energies%PW86x+Perdew86c
              Type
                  float
              Description
                  Bond energy according to the PW86x+Perdew86c functional.
              Unit
                  hartree
     Bond energies%RGE2
              Type
                  float
              Description
                  Bond energy according to the RGE2 functional.
              Unit
                  hartree
     Bond energies%RPBE
              Type
                  float
              Description
                  Bond energy according to the RPBE functional.
              Unit
                  hartree
     Bond energies%SELF-CONSISTENT
              Type
                  float
              Description
                  Bond energy according to the functional used during the SCF, in case that it is different from
                  the final bond energy.
              Unit
                  hartree
Bond energies (meta) GGAs
     Section content: XC energy terms according to some hardcoded list of functionals.
     Bond energies (meta) GGAs%BLYP
              Type
                  float
              Description
              Unit
```

hartree

```
Bond energies (meta) GGAs%BOP
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%BP
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%FT97
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%HCTH/120
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%HCTH/147
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%HCTH/407
        Type
           float
        Description
        Unit
           hartree
```

```
Bond energies (meta) GGAs%HCTH/93
       Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%KCIS-modified
       Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%KCIS-original
       Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%KT1
       Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%KT2
       Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%LAK
       Type
           float
       Description
Bond energies (meta) GGAs%LAKc
```

```
Type
           float
        Description
Bond energies (meta) GGAs%LAKx
        Type
           float
        Description
Bond energies (meta) GGAs%LDA(VWN)
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%M06-L
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%M11-L
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%mPBE
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%mPBEKCIS
        Type
           float
        Description
```

```
Unit
           hartree
Bond energies (meta) GGAs%mPW
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%MS0
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%MS1
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%MS2
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%MVS
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%MVSx
        Type
           float
        Description
```

```
Unit
           hartree
Bond energies (meta) GGAs%OLYP
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%OPBE
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%OPerdew
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%PBE
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%PBEsol
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%PKZB
        Type
           float
        Description
```

```
Unit
           hartree
Bond energies (meta) GGAs%PKZBx-KCIScor
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%PW91
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%revPBE
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%revTPSS
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%RGE2
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%RPBE
        Type
           float
        Description
```

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```
Unit
           hartree
Bond energies (meta) GGAs%SCAN
       Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%SCANc
       Type
           float
        Description
Bond energies (meta) GGAs%SCANx
       Type
           float
       Description
Bond energies (meta) GGAs%SOGGA
       Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%SOGGA11
       Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%SSB-D
        Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%TASK
```

```
Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%TASKCC
       Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%TASKCCALDA
       Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%TASKLDA
       Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%TASKSCAN
       Type
           float
       Description
       Unit
           hartree
Bond energies (meta) GGAs%TASKxc
        Type
           float
        Description
       Unit
           hartree
Bond energies (meta) GGAs%tau-HCTH
```

```
Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%TPSS
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%VS98
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%VS98-x(xc)
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%VS98-x-only
        Type
           float
        Description
        Unit
           hartree
Bond energies (meta) GGAs%XLYP
        Type
           float
        Description
        Unit
           hartree
```

# **Bond energy terms Section content:** Bond energy terms. Bond energy terms%Dispersion **Type** float **Description** Empirical dispersion contribution to the bond energy. Unit hartree Bond energy terms%Electric field **Type** float **Description** External electric field contribution to the bond energy. Unit hartree Bond energy terms%Electrostatic **Type** float **Description** Electrostatic contribution to the bond energy. This is about bringing together the spherical atoms at their positions in the system. The deformation density is not in this term. Unit hartree Bond energy terms%Hubbard Energy **Type** float **Description** Hubbard model contribution to the bond energy. Unit hartree Bond energy terms%Kinetic energy **Type** float **Description** Kinetic energy contribution to the bond energy.

Unit

**Type** float

hartree

Bond energy terms%Madelung

```
Description
            Madelung contribution to the bond energy. Is only nonzero when charged atoms are used
            (never).
         Unit
            hartree
Bond energy terms%Post SCF correlation
         Type
            float
         Description
         Unit
            hartree
Bond energy terms%RPAxc
         Type
             float
         Description
         Unit
            hartree
Bond energy terms%Solvation
         Type
             float
         Description
            Solvation model contribution to the bond energy.
         Unit
            hartree
Bond energy terms%V(atomic)\*rho(def)
         Type
            float
         Description
             Contribution to the electrostatic interaction due to the deformation density.
         Unit
            hartree
Bond energy terms%V(def) \*err
         Type
            float
         Description
             Fit error correction to the electrostatic interaction.
         Unit
            hartree
```

Bond energy terms%V(def) \\*rho(def)

```
Type
                  float
              Description
                  Contribution to the electrostatic interaction due to the deformation density..
              Unit
                  hartree
     Bond energy terms%XC
              Type
                  float
              Description
                  XC contribution to the bond energy.
              Unit
                  hartree
BZcell(primitive cell)
     Section content: The Brillouin zone of the primitive cell.
     BZcell (primitive cell) %boundaries
              Type
                  float_array
              Description
                  Normal vectors for the boundaries.
              Shape
                  [ndim, nboundaries]
     BZcell(primitive cell)%distances
              Type
                  float_array
              Description
                  Distance to the boundaries.
              Shape
                  [nboundaries]
     BZcell(primitive cell)%idVerticesPerBound
              Type
                  int_array
              Description
                  The indices of the vertices per bound.
              Shape
                  [nvertices, nboundaries]
     BZcell(primitive cell)%latticeVectors
                  float_array
              Description
                  The lattice vectors.
```

```
Shape
                  [3, :]
     BZcell(primitive cell)%nboundaries
              Type
                  int
              Description
                  The nr. of boundaries for the cell.
     BZcell(primitive cell)%ndim
              Type
                  int
              Description
                  The nr. of lattice vectors spanning the Wigner-Seitz cell.
     BZcell(primitive cell) %numVerticesPerBound
              Type
                  int_array
              Description
                  The nr. of vertices per bound.
              Shape
                  [nboundaries]
     BZcell (primitive cell) %nvertices
              Type
                  int
              Description
                  The nr. of vertices of the cell.
     BZcell(primitive cell)%vertices
              Type
                  float_array
              Description
                  The vertices of the bounds.
              Unit
                  a.u.
              Shape
                  [ndim, nvertices]
COSMO
     Section content: COSMO solvation model.
     COSMO%amat
              Type
                  float_array
              Description
                  The matrix that defines the cosmo solution.
                  [npUnique, npUnique]
```

# COSMO%amatDiag **Type** float\_array **Description** Diagonal part of the matrix that defines the cosmo solution. Shape [npUnique] COSMO%cellSurfDistances **Type** float\_array **Description** Distance to the cosmo surface: nearest COSMO point to a cell coordinate. [ncell] COSMO%fscal **Type** float **Description** Solvent dependent scaling factor. COSMO%isAtomSym **Type** int\_array **Description** Each point may belong to a maximum of noper atoms (i.e. is shared). This affects the gradients. Most points belong to one atom. Shape [Nr. of operators, npSym] COSMO%ncell **Type** int **Description** Number of cells. COSMO%nequiv **Type** int\_array **Description**

Each unique point may stand for several ones.

[npUnique]

COSMO%npSym

**Type** int

Number of symmetric points.

# COSMO%npUnique

**Type** 

int

## **Description**

Number of unique symmetric points.

# COSMO%Nr. of operators

**Type** 

int

### **Description**

Number of symmetry operators.

# COSMO%Number of Segments

**Type** 

int

# **Description**

Number of segments that form the COSMO surface.

# COSMO%pointsMap

**Type** 

int\_array

# **Description**

Each point maps to another point under symmetry operations.

#### Shape

[Nr. of operators, npSym]

# COSMO%qSym

**Type** 

float\_array

## **Description**

COSMO charges in the symmetric points.

# Shape

[npSym]

# COSMO%qUnique

**Type** 

float\_array

# **Description**

COSMO charges in the unique points.

### Shape

[npUnique]

# COSMO%Segment Charge Density

**Type** 

float\_array

```
Description
                  COSMO charges divided by the segment surfaces.
              Unit
                  bohr
              Shape
                  [Number of Segments]
     COSMO%Segment Coordinates
              Type
                  float_array
              Description
                  Coordinates of the segments.
              Unit
                  bohr
              Shape
                  [3, Number of Segments]
     COSMO%uniqueToFullIndex
              Type
                  int_array
              Description
                  ipSym=uniqueToFullIndex(ipUnique).
              Shape
                  [npUnique]
     COSMO%xyzaSym
              Type
                  float_array
              Description
                  Symmetric COSMO points coordinates and area, obtained by applying the operators on the
                  original points, and removing duplicates.
              Shape
                  [4, npSym]
     COSMO%xyzaUnique
              Type
                  float_array
              Description
                  Unique symmetric COSMO points coordinates and area.
              Shape
                  [4, npUnique]
DataForVoronoiGrid
     Section content: ?
     DataForVoronoiGrid%alfas
              Type
                  float_array
```

```
Description
?

DataForVoronoiGrid%npowx

Type
int

Description
?

Dependency
Section content: ?

Dependency%minNBasOrth

Type
int

Description
?

Dependency%nBasOrthPerKun

Type
int_array
```

#### **DFTHalf**

**Section content:** Information for the DFTB 1/2 method to improve the band gap. It is about adding something to the SCF potential.

```
DFTHalf%V_type_*
```

Type

float\_array

### **Description**

**Description** 

Spherical potential for an atom of a certain type (atomic number).

Unit

a.u.

Shape

[:]

### **DFTHalfPreparation**

**Section content:** Analysis on which atom (types) contribute to the TOVB. These can be activated in a DFT 1/2 calculation.

# DFTHalfPreparation%ActiveAtomNames

```
Type
```

lchar\_string\_array

### **Description**

Names of the active atom types.

# DFTHalfPreparation%ActiveAtomTypes

```
Type
```

int\_array

Which atom (types, band style) should be active in the next DFT 1/2 run?

## DFTHalfPreparation%ActiveAtomTypesLValue

# **Type**

int\_array

# **Description**

For the active atoms 1/2 an electron should be removed from a certain atomic orbital. Not possible to use this suggestion right now (it will be simply the HOMO of that atom).

# DFTHalfPreparation%Error message

### **Type**

string

### **Description**

An error message in case something goes wrong.

### DFTHalfPreparation%Success

**Type** 

bool

# Description

Whether the preparation step was successful.

#### dos

**Section content:** Mainly configuration options for the band DOS code.

#### dos%dfermi

# **Type**

float

# Description

Uncertainty in the Fermi energy. Depending on the occupation strategy this can be a large number, for instance if it may be arbitrarily anywhere in a gap.

# Unit

hartree

# dos%efdirc

# **Type**

float\_array

#### **Description**

Energies used for fermi energy averaging. (only leading 1:nfdirc part is used)

### Unit

hartree

# Shape

[nfdirc]

#### dos%efermi

# Type

float

# Description

Fermi energy.

### Unit

hartree

# dos%entropy correction

## **Type**

float

## **Description**

Entropy energy from the finite electronic temperature.

#### Unit

hartree

## dos%ifragdos

#### **Type**

int\_array

# **Description**

For each dos part the fragment number. Any atom not present in these fragments is handled .... as an atom.

# Shape

[nfragdos]

# dos%nband\_dosplot

**Type** 

int

# **Description**

Number of bands used for the DOS.

# dos%nfdirc

# **Type**

int

### **Description**

DOS is sampled at several energies at once, and (weight) averaged over them.

# dos%nfragdos

### **Type**

int

### **Description**

Number of parts to be used for the DOS analysis. Normally (nfragdos=0) the atoms are the building blocks but larger fragments can also be used, like the MOs of a molecule. The fragments may cover only a part of the whole system, the rest will be atom based.

# dos%SpinDependentFermiEnergies

# **Type**

float\_array

### **Description**

Fermi energy per spin component, only when nspin==2.

# Unit

hartree

### Shape

[2]

```
dos%T(V+C/D+C)
               Type
                   float_array
               Description
                   Trafo from the full V+C basis to the DOS basis.
               Unit
                   hartree
               Shape
                   [SystType%nbas+SystType%ncores, SystType%nbas+SystType%ncores, 2, kspace%kuniqu]
     dos%T (V+C/D+C) \*\*-1
               Type
                   float_array
               Description
                   Inverse trafo from the full V+C basis to the DOS basis. Note: it appears that this variable is
                   created but never filled out with actual data.
               Unit
                   hartree
     dos%wfdirc
               Type
                   float_array
               Description
                   Weights used for fermi energy averaging.
               Shape
                   [nfdirc]
DOS
     Section content: Info regarding the DOS
     DOS%Atom per basis function
               Type
                   int_array
               Description
                   Atom index per basis function.
     DOS%COOP per basis pair
               Type
                   float_array
               Description
                   COOP per basis pair.
                   [nEnergies, nSpin, :, :]
     DOS%DeltaE
               Type
                   float
```

The energy difference between sampled DOS energies. When there is no DOS at all a certain energy range can be skipped.

#### Unit

hartree

# DOS%DOS per basis function

### **Type**

float\_array

# **Description**

DOS contributions per basis function, based on Mulliken analysis.

#### Shape

[nEnergies, nSpin, :]

# DOS%Energies

### **Type**

float\_array

### **Description**

The energies at which the DOS is sampled.

#### Unit

hartree

# Shape

[nEnergies]

## DOS%Fermi Energy

## **Type**

float

### **Description**

The fermi energy.

# Unit

hartree

# DOS%IntegrateDeltaE

# **Type**

bool

### **Description**

If enabled it means that the DOS is integrated over intervals of DeltaE. Sharp delta function like peaks cannot be missed this way.

# DOS%L-value per basis function

# Type

int\_array

### **Description**

quantum number 1 for all basis functions.

# DOS%M-value per basis function

# **Type**

int\_array

```
Description
                  quantum number m for all basis functions.
     DOS%nEnergies
              Type
                  int
              Description
                  The nr. of energies to use to sample the DOS.
     DOS%nSpin
              Type
                  int
              Description
                  The number of spin components for the DOS.
              Possible values
                  [1, 2]
     DOS%Overlap population per basis pai
              Type
                  float_array
              Description
                  ? note that the word 'pair' is cut of due to the finite length of the kf variables name...
     DOS%Population per basis function
              Type
                  float_array
              Description
     DOS%Symmetry per basis function
              Type
                  int_array
              Description
     DOS%Total DOS
              Type
                  float_array
              Description
                  The total DOS.
                  [nEnergies, nSpin]
DOS_Phonons
     Section content: Phonon Density of States
     DOS_Phonons%DeltaE
              Type
```

float

The energy difference between sampled DOS energies. When there is no DOS at all a certain energy range can be skipped.

### Unit

hartree

### DOS\_Phonons%Energies

### **Type**

float\_array

# **Description**

The energies at which the DOS is sampled.

#### Unit

hartree

## Shape

[nEnergies]

### DOS\_Phonons%Fermi Energy

### **Type**

float

# **Description**

The fermi energy.

#### Unit

hartree

# DOS\_Phonons%IntegrateDeltaE

# **Type**

bool

### **Description**

If enabled it means that the DOS is integrated over intervals of DeltaE. Sharp delta function like peaks cannot be missed this way.

# DOS\_Phonons%nEnergies

# **Type**

int

### **Description**

The nr. of energies to use to sample the DOS.

### DOS\_Phonons%nSpin

### **Type**

int

# Description

The number of spin components for the DOS.

### Possible values

[1, 2]

# DOS\_Phonons%Total DOS

# **Type**

float\_array

The total DOS.

# Shape

[nEnergies, nSpin]

### **EffectiveMass**

**Section content:** In the effective mass approximation the curvature of the bands is a measure of the charge mobility. The curvature is obtained by numerical differentiation. The mass is the inverse of the curvature.

# EffectiveMass%EffectiveMasses

```
Type
```

float\_array

### **Description**

Inverse curvatures at the extrema. Several bands may be sampled at once.

#### Unit

a.u.

#### Shape

[Molecule%nLatticeVectors, MaxNBands, nKPoints, nSpin]

#### EffectiveMass%ErrorEffectiveMasses

### **Type**

float array

# **Description**

Estimated errors from using two different step sizes for finite difference calculations.

#### Unit

a.u.

# Shape

[Molecule%nLatticeVectors, MaxNBands, nKPoints, nSpin]

# EffectiveMass%iBandHigh

# **Type**

int\_array

# Description

See comment for iBandLow.

#### Shape

[nKPoints]

## EffectiveMass%iBandLow

### **Type**

int\_array

### **Description**

For point k bands iBandLo(k) to iBandHi(k) are considered

#### Shape

[nKPoints]

# EffectiveMass%kCoordinates

## Type

float\_array

The coordinates in k-space of the top of the valence band(s) or bottom of conduction band(s).

#### Unit

1/bohr

### **Shape**

[Molecule%nLatticeVectors, nKPoints]

#### EffectiveMass%MaxNBands

### **Type**

int

## **Description**

Maximum number of curvatures calculated for all k points.

### EffectiveMass%nKPoints

# **Type**

int

### **Description**

The number of k points for which the effective mass is calculated. These should always be extrema (minimum or maximum) of the bands.

# EffectiveMass%nSpin

### **Type**

int

### **Description**

If 1: spin restricted calculation. For unrestricted results it has the value of 2.

# Possible values

[1, 2]

### eigensystem

**Section content:** Information about the eigensystem.

# eigensystem%decoulpsi#

# Type

float

# Description

Coulomb energy contribution to the bond energy according to psi\_0. (or psi\_1, etc. for Relief terms).

## Unit

hartree

# eigensystem%dekinpsi#

# **Type**

float

### **Description**

Kinetic energy contribution to the bond energy according to psi\_0 (or psi\_1, etc. for Relief terms).

# Unit

hartree

### eigensystem%ebindpsi#

# **Type**

float\_array

## Description

Bond energy according to psi\_0 (for 14 hardcoded functionals)). In case of Relief analysis there are not only psi\_0, but also psi\_1, etc. corresponding to Fock matrices that are partially set to zero.

#### Unit

hartree

### Shape

[14]

# eigensystem%eigval

### **Type**

float\_array

# **Description**

Eigenvalues for all unique k-points.

## Shape

[nband, nspin, kspace%kuniqu]

# eigensystem%eMinMax

### **Type**

float\_array

### **Description**

Minimum and maximum for all bands.

# Shape

[2, nband, nspin]

# eigensystem%hubbardOccupations

# Type

float\_array

# Description

Occupations for the hubbard model.

### Shape

[SystType%nbas, SystType%nspin]

# eigensystem%isHubbardOrb

### **Type**

bool\_array

# **Description**

Whether an orbital is active in the Hubbard model.

### **Shape**

[SystType%nbas]

# eigensystem%nband

# **Type**

int

# Description

Number of stored bands. This is smaller than or equal to the number of valence basis functions.

# eigensystem%nband\_occ **Type** int **Description** Number of bands with non zero occupations. eigensystem%nspin **Type** int **Description** Number of spin components Possible values [1, 2]eigensystem%occful **Type** float\_array **Description** Occupation numbers for full bands in all k-points. Second component only used when nspin=2 Shape [kspace%kt, 2] eigensystem%occup Type float\_array **Description** Occupation numbers for the bands in all unique k-points. Shape [nband\_occ, nspin, kspace%kuniqu] eigensystem%occupationPerBandAndSpin **Type** float\_array **Description** Occupations per band and spin. [nband, nspin] eigensystem%PEDAocc **Type** float\_array **Description** Occupations to be used. eigensystem%T(VOC/FOC3) **Type** float\_array

Transformation (real/imag) from the VOC to the final fragment basis (FOC3). Does not allow for nspin=2.

### Shape

[SystType%nbas, SystType%nbas, 2, kspace%kuniqu]

### **ElectrostaticEmbeddingType**

Section content: Electrostatic embedding.

# ElectrostaticEmbeddingType%eeAttachTo

```
Type
```

int\_array

### **Description**

A multipole may be attached to an atom. This influences the energy gradient.

# ElectrostaticEmbeddingType%eeChargeWidth

## **Type**

float

## Description

If charge broadening was used for external charges, this represents the width of the charge distribution.

### ElectrostaticEmbeddingType%eeEField

## **Type**

float\_array

# **Description**

The external homogeneous electric field.

### Unit

hartree/(e\*bohr)

### Shape

[3]

## ElectrostaticEmbeddingType%eeLatticeVectors

# Type

float\_array

### **Description**

The lattice vectors used for the external point- or multipole- charges.

## Unit

bohr

# Shape

[3, eeNLatticeVectors]

### ElectrostaticEmbeddingType%eeMulti

#### **Type**

float\_array

#### Description

The values of the external point- or multipole- charges.

#### Unit

a.u.

### Shape

[eeNZlm, eeNMulti]

# ${\tt ElectrostaticEmbeddingType \$ eeNLattice Vectors}$

## **Type**

int

### **Description**

The number of lattice vectors for the external point- or multipole- charges.

# ElectrostaticEmbeddingType%eeNMulti

```
Type
```

int

### **Description**

The number of external point- or multipole- charges.

# ElectrostaticEmbeddingType%eeNZlm

```
Type
```

int

# Description

When external point- or multipole- charges are used, this represents the number of spherical harmonic components. E.g. if only point charges were used, eeNZlm=1 (s-component only). If point charges and dipole moments were used, eeNZlm=4 (s, px, py and pz).

# ${\tt ElectrostaticEmbeddingType \$ ee Use Charge Broadening}$

### **Type**

bool

# **Description**

Whether or not the external charges are point-like or broadened.

### ElectrostaticEmbeddingType%eeXYZ

### **Type**

float\_array

# Description

The position of the external point- or multipole- charges.

#### Unit

bohr

## Shape

[3, eeNMulti]

### **Energy gradients**

**Section content:** Various terms contributing to the energy gradients.

# Energy gradients%Cosmo

### **Type**

float\_array

## **Description**

COSMO solvation energy contribution to the gradients (at fixed density matrix).

#### Unit

hartree/bohr

## **Shape**

[3, Molecule%nAtoms]

# Energy gradients%Dispersion

### **Type**

float\_array

# **Description**

Empirical dispersion energy contribution to the gradients (at fixed density matrix).

#### Unit

hartree/bohr

## **Shape**

[3, Molecule%nAtoms]

# Energy gradients%Electric field

## **Type**

float\_array

### **Description**

External electric field contribution to the gradients (at fixed density matrix).

### Unit

hartree/bohr

#### Shape

[3, Molecule%nAtoms]

# Energy gradients%Electrostatic energy

# Type

float\_array

## **Description**

Non-pair electrostatic energy contribution to the gradients (at fixed density matrix).

## Unit

hartree/bohr

## Shape

[3, Molecule%nAtoms]

# Energy gradients%Kinetic energy

### **Type**

float array

### **Description**

Kinetic energy contribution to the gradients (at fixed density matrix).

# Unit

hartree/bohr

#### Shape

[3, Molecule%nAtoms]

# Energy gradients%P Matrix

# Type

float\_array

Density matrix contribution to the gradients (pulay term).

#### Unit

hartree/bohr

### **Shape**

[3, Molecule%nAtoms]

#### Energy gradients%Pair interactions

# Type

float\_array

#### **Description**

Electrostatic pair energy contribution to the gradients. Follows from purely spherical pair contributions.

#### Unit

hartree/bohr

#### Shape

[3, Molecule%nAtoms]

# Energy gradients%Total

### **Type**

float array

# **Description**

Total energy gradients.

#### Unit

hartree/bohr

## Shape

[3, Molecule%nAtoms]

# Energy gradients%XC energy

# Type

float\_array

# Description

XC energy contribution to the gradients (at fixed density matrix).

# Unit

hartree/bohr

## **Shape**

[3, Molecule%nAtoms]

# **Energy stress tensor**

**Section content:** The stress tensor is the energy derivative with respect to strains, divided by the volume. They can and are obtained from energy derivatives along symmetric strain modes.

# Energy stress tensor%alLatticeStrains

### **Type**

float\_array

# Description

Totally symmetric strains.

```
Unit
             bohr
         Shape
             [Molecule%nLatticeVectors, Molecule%nLatticeVectors, nA1LatticeStrains]
Energy stress tensor%at def
         Type
             float_array
         Description
             At-def energy contribution to the stress tensor.
         Unit
             a.u.
         Shape
             [Molecule%nLatticeVectors, Molecule%nLatticeVectors]
Energy stress tensor%at def(modeSD)
         Type
             float_array
         Description
             At-def contribution to the symmetric strain mode derivatives.
         Unit
             a.u.
         Shape
             [nA1LatticeStrains]
Energy stress tensor%def def
         Type
             float_array
         Description
             Def def (electrostatic) energy contribution to the stress tensor.
         Unit
             a.u.
         Shape
             [Molecule%nLatticeVectors, Molecule%nLatticeVectors]
Energy stress tensor%def def(modeSD)
         Type
             float_array
         Description
             Def-def contribution to the symmetric strain mode derivatives.
         Unit
             a.u.
         Shape
             [nA1LatticeStrains]
Energy stress tensor%Dispersion
```

```
Type
             float_array
         Description
             Empirical dispersion energy contribution to the stress tensor.
         Unit
             a.u.
         Shape
             [Molecule%nLatticeVectors, Molecule%nLatticeVectors]
Energy stress tensor%Dispersion(modeSD)
         Type
             float_array
         Description
             Empirical dispersion contribution to the symmetric strain mode derivatives.
         Unit
             a.u.
         Shape
             [nA1LatticeStrains]
Energy stress tensor%Electrostatic
         Type
             float_array
         Description
             Electrostatic energy contribution to the stress tensor.
         Unit
             a.u.
         Shape
             [Molecule%nLatticeVectors, Molecule%nLatticeVectors]
Energy stress tensor%Electrostatic pair
         Type
             float_array
         Description
             Electrostatic pair energy contribution to the stress tensor.
         Unit
             a.u.
         Shape
             [Molecule%nLatticeVectors, Molecule%nLatticeVectors]
Energy stress tensor%Electrostatic pair(modeSD)
         Type
             float_array
         Description
             Electrostatic pair contribution to the symmetric strain mode derivatives.
         Unit
```

a.u.

```
Shape
             [nA1LatticeStrains]
Energy stress tensor%Electrostatic(modeSD)
         Type
            float_array
         Description
            Electrostatic contribution to the symmetric strain mode derivatives.
         Unit
            a.u.
         Shape
            [nA1LatticeStrains]
Energy stress tensor%Kinetic
         Type
            float_array
         Description
             Kinetic energy contribution to the stress tensor.
         Unit
            a.u.
         Shape
             [Molecule%nLatticeVectors, Molecule%nLatticeVectors]
Energy stress tensor%Kinetic(modeSD)
         Type
             float_array
         Description
             Kinetic energy contribution to the mode derivative.
         Unit
            a.u.
         Shape
             [nA1LatticeStrains]
Energy stress tensor%nA1LatticeStrains
         Type
             int
         Description
            Number of symmetric displacements (modes).
Energy stress tensor%P Mat
         Type
            float_array
         Description
             Density matrix contribution to the stress tensor.
         Unit
            a.u.
```

```
Shape
             [Molecule%nLatticeVectors, Molecule%nLatticeVectors]
Energy stress tensor%P Mat(modeSD)
         Type
             float_array
         Description
             Density matrix contribution to the symmetric strain mode derivatives.
         Unit
            a.u.
         Shape
             [nA1LatticeStrains]
Energy stress tensor%Stress Tensor
         Type
            float_array
         Description
             Stress tensor, dE/de per volume/surface/distance (3D/2D/1D).
         Unit
            a.u.
         Shape
             [Molecule%nLatticeVectors, Molecule%nLatticeVectors]
Energy stress tensor%Total
         Type
             float_array
         Description
            Stress tensor.
         Unit
             a.u.
         Shape
             [Molecule%nLatticeVectors, Molecule%nLatticeVectors]
Energy stress tensor%Total(modeSD)
         Type
             float_array
         Description
            Symmetric strain mode derivatives.
         Unit
            a.u.
         Shape
             [nA1LatticeStrains]
Energy stress tensor%XC
         Type
             float_array
```

```
Description
                   XC energy contribution to the stress tensor.
               Unit
                   a.u.
               Shape
                   [Molecule%nLatticeVectors, Molecule%nLatticeVectors]
     Energy stress tensor%XC(modeSD)
               Type
                   float_array
               Description
                   XC contribution to the symmetric strain mode derivatives.
               Unit
                   a.u.
               Shape
                   [nA1LatticeStrains]
Energy terms
     Section content: Various terms contributing to the energy.
     Energy terms%ecor(atoms)
               Type
                   float_array
               Description
                   Exchange plus correlation (atomic correction ) terms for the sum of spherical atoms. These are
                   hardcoded total XC functionals such as Becke88X+PW91C.
               Unit
                   hartree
               Shape
                   [14]
     Energy terms%ekin(atoms)
               Type
                   float
               Description
                   Kinetic (valence) energy for the sum of spherical atoms.
               Unit
                   hartree
     Energy terms%elstt
               Type
                   float
               Description
```

Electrostatic interaction energy between the spherical atoms.

Unit

Energy terms%emadel

hartree

# **Type** float **Description** Madelung energy in case charged spherical atoms are used (by default never). Unit hartree Energy terms%etot(atoms) **Type** float\_array **Description** Total energy for the sum of spherical atoms for some hardcoded functionals. Unit hartree Shape [14] Energy terms%excterms(atoms) **Type** float\_array **Description** Exchange and correlation terms for the sum of spherical atoms. A term is like Becke88X. Unit hartree Shape [20] Energy terms%qsett **Type** float\_array **Description** Total charge (number of electrons) per atom set. [geometry%natstt] Energy terms%qsetv **Type** float\_array **Description** Valence charge (number of electrons) per atom set.

# FermiSurface

**Section content:** ?

FermiSurface%nDimK

[geometry%natstt]

```
Type
            int
        Description
FermiSurface%nSimplices
        Type
        Description
FermiSurface%nSpin
        Type
            int
        Description
FermiSurface%nVertices
        Type
            int
        Description
FermiSurface%nVerticesPerSimplex
        Type
            int
        Description
FermiSurface%VerticesCoords
        Type
            float_array
        Description
FermiSurface%VerticesIds
        Type
            int_array
        Description
Section content: Information for density fitting by STO functions.
fit%fit coefficients
        Type
            float_array
        Description
            The deformation density is approximated by these fit coefficients. rho(x) = sum_i c_i f_i(x).
```

fit

There are nspinr+1 components. First one is the sum and the last two the separate spin components. Finally nspinr=max(nspin,nspino).

### Shape

[nsymft, :]

### fit%ifitpat

### **Type**

int\_array

# **Description**

If you specify the atom number i, as on input, and the fit function on that atom j, the number of the fit function is i tifitpati.

### Shape

[SystType%nfitt, Molecule%nAtoms]

# fit%ifitpati

### **Type**

int\_array

### **Description**

If you know the fit function k, it was function t if if t in t on atom t if t if t in t on atom t if t if t if t on atom t if t if

#### Shap

[2, SystType%nfitt]

# fit%ilmfit

### **Type**

int\_array

# **Description**

Atom index (internal order), l-value, and m-value for all fit functions.

#### Shape

[3, SystType%nfitt]

# fit%ilsymfit

# **Type**

int\_array

### **Description**

The atoms set, ilsymft(1,:), and the l value, ilsymft(2,:), for all symmetric functions.

### Shape

[2, nsymft]

### fit%lambda

# **Type**

float\_array

# Description

Lagrange multiplier needed to enforce charge neutrality.

# fit%method

# Type

int

Switch for the method to be used. 0: automatic, 1: inverse, 2: conj-grad, 3: divide-and fit.

#### Possible values

[0, 1, 2, 3]

### fit%nforth

### **Type**

int

# **Description**

Number of fit functions after orthonormalization.

## fit%nsymft

### **Type**

int

# **Description**

Number of symmetric fit function combinations.

### fit%orthonormal\_fit

**Type** 

bool

# **Description**

The fit basis may be transformed to an orthonormal set.

### fit%projFitRhoDef

# **Type**

float\_array

# Description

Projection of the deformation density on the fit functions.

### Shape

[nsymft]

### fit%qfit

# **Type**

float\_array

### **Description**

Charge (integrals) of the fit functions. Only non-zero for s-functions.

#### Shape

[nsymft]

# fragment

**Section content:** A system can be built up from fragments, allowing an energy decomposition. The bonding energy will be with respect to the fragments.

# fragment%deltaShift(standard)

#### **Type**

float\_array

# Description

How the atoms were (lattice) shifted to match the positions in the fragments.

### Unit

bohr

### Shape

[3, Molecule%nAtoms]

# fragment%filenames

### **Type**

lchar\_string\_array

### **Description**

Fragment kf files.

## Shape

[nfrag]

# fragment%FragOcc#

## **Type**

float\_array

# **Description**

Occupations for a fragment in the final basis.

### Shape

[SystType%nbas, SystType%nspin]

# fragment%ifrgat

# **Type**

int\_array

# **Description**

The atom mapping from fragment to atoms in the final system.

## Shape

[Molecule%nAtoms, nfrag]

# fragment%kequif

### **Type**

int\_array

### **Description**

How k points in the final system map to k points of the fragments.

#### Shape

[kspace%kuniqu, nfrag]

# fragment%lShift

# Type

bool

# **Description**

Whether some atoms needed shifting, affecting the Bloch phase factor. Only relevant when the number of k-points is larger than one.

# fragment%nfrag

# Type

int

Number of fragments.

# fragment%nfragocc

### **Type**

int\_array

### **Description**

Energy ordered orbitals may be divided into three parts 1: occupied, 2: virtual, 3: sea (very high lying orbitals). Normally the sea is empty.

### **Shape**

[3, nfrag, SystType%nspin, kspace%kuniqu]

# **FuzzyUnitCell**

**Section content:** Becke-style unit cell partition function.

# FuzzyUnitCell%CoordsFuzzyAtoms

### **Type**

float\_array

### **Description**

Coordinates of the atoms inside the region where the fuzzy unit cell is not zero.

#### Shape

[3, nFuzzyAtoms]

### FuzzyUnitCell%nCells

### **Type**

int

# Description

Number of cells needed for a fuzzy cell summation.

# FuzzyUnitCell%nFuzzyAtoms

### **Type**

int

# **Description**

Number of atoms inside the region where the fuzzy unit cell is not zero.

# ${\tt FuzzyUnitCell\$PartitionFunctionOnAtoms}$

### **Type**

float array

### **Description**

Value of the partition function at the atomic positions.

# Shape

[nFuzzyAtoms]

### FuzzyUnitCell%qFuzzyAtoms

#### **Type**

float\_array

# Description

Nuclear charges (atom number) of the atoms inside the region where the fuzzy unit cell is not zero.

### Shape

[nFuzzyAtoms]

## FuzzyUnitCell%UnitCellAtomIndex

### **Type**

int\_array

# **Description**

Cell index for all the fuzzy atoms.

### Shape

[nFuzzyAtoms]

## FuzzyUnitCell%xyzCells

#### **Type**

float\_array

### **Description**

Coordinates of the cells needed for the fuzzy cell summation.

### Shape

[3, nCells]

### General

**Section content:** General information about the BAND calculation.

#### General%account

**Type** 

string

# **Description**

Name of the account from the license

# General%engine input

Type

string

# **Description**

The text input of the engine.

# General%engine messages

**Type** 

string

#### **Description**

Message from the engine. In case the engine fails to solves, this may contains extra information on why.

# General%file-ident

**Type** 

string

### **Description**

The file type identifier, e.g. RKF, RUNKF, TAPE21...

# General%jobid

**Type** 

int

Unique identifier for the job.

# General%program

```
Type
```

string

## **Description**

The name of the program/engine that generated this kf file.

### General%release

```
Type
```

string

### **Description**

The version of the program that generated this kf file (including svn revision number and date).

# General%termination status

```
Type
```

string

# Description

The termination status. Possible values: 'NORMAL TERMINATION', 'NORMAL TERMINATION with warnings', 'NORMAL TERMINATION with errors', 'ERROR', 'IN PROGRESS'.

### General%title

### **Type**

string

# Description

Title of the calculation.

#### General%uid

### **Type**

string

# **Description**

SCM User ID

### General%version

**Type** 

int

## **Description**

Version number?

# geometry

**Section content:** Information on the geometry.

### geometry%Atom map new order

**Type** 

int\_array

# Description

From input to internal: internalAtomIndex = array(inputAtomIndex).

```
Shape
            [natomt]
geometry%Atom map old order
         Type
            int_array
        Description
            From internal to input: inputAtomIndex = array(internalAtomIndex).
        Shape
            [natomt]
geometry%atomTypeString
         Type
            lchar_string_array
         Description
            ?.
        Shape
             [Molecule%nAtoms]
geometry%distances(atom sets)
         Type
            float_array
        Description
            Half matrix containing distances between atom sets.
geometry%input_lattice
        Type
            float_array
        Description
            The lattice vectors (if any) as specified on input.
        Unit
            bohr
        Shape
            [3, 3]
geometry%input_xyzatm
         Type
            float_array
        Description
            The coordinates of the atoms as specified on input.
        Unit
            bohr
        Shape
            [3, natomt]
geometry%itypat
         Type
            int_array
```

```
Description
             The type in the range [1:ntyp] for all atoms.
         Shape
             [natomt]
geometry%mdim
         Type
             int
         Description
             Dimension of the molecule, i.e. 1 for something linear, 2 for a flat one.
geometry%natom
         Type
             int_array
         Description
             For each set the number of atoms.
         Shape
             [natstt]
geometry%natomt
         Type
         Description
             Number of atoms.
geometry%natst
         Type
             int_array
         Description
             Number of atom sets for all types.
         Shape
             [ntyp]
geometry%natstt
         Type
             int
         Description
             Number of atom sets. A set consists of symmetry equivalent atoms.
geometry%ntyp
         Type
             int
         Description
             Number of types, i.e. atoms with a different basis set, or accuracy setting (or different region).
geometry%Number of selected atoms
         Type
             int
```

```
Description
            Number of selected atoms.
geometry%qatm
         Type
            float_array
         Description
            Charge of the nuclei (almost always the atomic number).
         Shape
             [ntyp]
geometry%qelec
         Type
             float
         Description
            The number of valence electrons (not including a nett charge of the system).
geometry%qset
         Type
             float_array
         Description
             Valence charge per atom set.
         Shape
             [natstt]
geometry%removeZSymmetry
         Type
            bool
         Description
             Remove z symmetry from the symmetry operator set.
geometry%Selected atoms (input order)
         Type
             int_array
         Description
            List of selected atoms.
             [Number of selected atoms]
geometry%standard_lattice
         Type
             float_array
         Description
            The lattice vectors (if any) in the standard frame.
         Unit
             bohr
         Shape
```

[3, 3]

```
geometry%standard_xyzatm
               Type
                   float_array
               Description
                  The coordinates of the atoms after transforming to the standard frame.
              Unit
                   bohr
              Shape
                  [3, natomt]
     geometry%xyzatm(atoms_in_new_order)
               Type
                   float_array
               Description
                   The coordinates of the atoms in the internal order.
              Unit
                   bohr
              Shape
                  [3, natomt]
GeomType
     Section content: Geometry related info.
     GeomType%avec
               Type
                  float_array
              Description
                   The lattice stored as a 3xnLattice Vectors matrix. Only the ndimk, ndimk part has meaning.
              Unit
                   bohr
              Shape
                  [kspace%ndim, kspace%ndim]
     GeomType%bvec
               Type
                  float_array
              Description
                   The inverse lattice stored as a 3x3 matrix. Only the ndimk, ndimk part has meaning.
              Unit
                   1/bohr
              Shape
                   [kspace%ndim, kspace%ndim]
     GeomType%natomt
               Type
                   int
```

### **Description**

Number of atoms. (Same as geometry%natomt)

## GeomType%natstt

## **Type**

int

### **Description**

Number of atom sets. A set consists of symmetry equivalent atoms. (Same as geometry%natstt)

## GeomType%ndim

## **Type**

int

#### **Description**

Number of dimensions for the molecule. Water is flat and has two dimensions.

## GeomType%ndimk

## **Type**

int

### Description

Number of dimensions for k-space integration. Normally this is the number of lattice vectors.

## GeomType%noper

## **Type**

int

### **Description**

Number of symmetry operators (real space). (Same as Symmetry%Nr. of operators)

## GeomType%noperk

### **Type**

int

### **Description**

Number of symmetry operators (reciprocal space). (Same as Symmetry Nr. of operators (k-space))

### GeomType%ntyp

# Type

int

#### **Description**

Number of types, i.e. atoms with a different basis set, or accuracy setting (or different region). (Same as geometry%ntyp)

## GeomType%Serializer::type

### **Type**

string\_fixed\_length

#### **Description**

Information for the Serializer code.

## GeomType%stdrot

#### **Type**

float\_array

```
Description
                  Rotation to the standard frame. The point group part (P) of x_{t} = P \cdot x_{t}
              Shape
                  [3, 3]
     GeomType%stdvec
              Type
                  float_array
              Description
                  Translation to the standard frame. The translation part (t) of x_standard = P x_input + t.
              Shape
                  [3]
GGA bond terms (c)
     Section content: XC energy terms according to some hardcoded list of functionals (correlation part).
     GGA bond terms (c) %BLYP
              Type
                  float
              Description
              Unit
                  hartree
     GGA bond terms (c) %BOP
              Type
                  float
              Description
              Unit
                  hartree
     GGA bond terms (c) %BP
              Type
                  float
              Description
              Unit
                  hartree
     GGA bond terms (c)%FT97
              Type
                  float
              Description
              Unit
                  hartree
     GGA bond terms (c) %HCTH/120
```

```
Type
           float
        Description
        Unit
           hartree
GGA bond terms (c)%HCTH/147
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c)%HCTH/407
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c)%HCTH/93
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %KCIS-modified
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %KCIS-original
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %KT1
```

```
Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %KT2
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %LAK
        Type
           float
        Description
GGA bond terms (c) %LAKc
        Type
           float
        Description
GGA bond terms (c) %LAKx
        Type
           float
        Description
GGA bond terms (c) %LDA(VWN)
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %M06-L
        Type
           float
        Description
        Unit
           hartree
```

```
GGA bond terms (c) %M11-L
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %mPBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %mPBEKCIS
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %mPW
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %MS0
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %MS1
        Type
           float
        Description
        Unit
           hartree
```

```
GGA bond terms (c) %MS2
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %MVS
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %MVSx
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %OLYP
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %OPBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %OPerdew
        Type
           float
        Description
        Unit
           hartree
```

```
GGA bond terms (c) %PBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %PBEsol
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %PKZB
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c)%PKZBx-KCIScor
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %PW91
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %revPBE
        Type
           float
        Description
        Unit
           hartree
```

```
GGA bond terms (c) %revTPSS
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %RGE2
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %RPBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %SCAN
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %SCANc
        Type
           float
        Description
GGA bond terms (c) %SCANx
        Type
           float
        Description
GGA bond terms (c) %SOGGA
        Type
           float
```

```
Description
        Unit
           hartree
GGA bond terms (c) %SOGGA11
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %SSB-D
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c)%TASK
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %TASKCC
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %TASKCCALDA
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %TASKLDA
        Type
```

float

```
Description
        Unit
           hartree
GGA bond terms (c) %TASKSCAN
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %TASKxc
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %tau-HCTH
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c) %TPSS
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c)%VS98
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (c)%VS98-x(xc)
        Type
           float
```

```
Description
             Unit
                 hartree
     GGA bond terms (c) %VS98-x-only
              Type
                 float
             Description
             Unit
                 hartree
     GGA bond terms (c) %XLYP
              Type
                 float
             Description
             Unit
                 hartree
GGA bond terms (x)
     Section content: XC energy terms according to some hardcoded list of functionals (exchange part).
     GGA bond terms (x) %BLYP
             Type
                 float
             Description
             Unit
                 hartree
     GGA bond terms (x) %BOP
             Type
                 float
             Description
             Unit
                 hartree
     GGA bond terms (x) %BP
              Type
                 float
              Description
              Unit
                 hartree
     GGA bond terms (x)%FT97
```

```
Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%HCTH/120
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%HCTH/147
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%HCTH/407
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%HCTH/93
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %KCIS-modified
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%KCIS-original
```

```
Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%KT1
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%KT2
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %LAK
        Type
           float
        Description
GGA bond terms (x) %LAKc
        Type
           float
        Description
GGA bond terms (x) %LAKx
        Type
           float
        Description
GGA bond terms (x) %LDA(VWN)
        Type
           float
        Description
        Unit
```

hartree

```
GGA bond terms (x) %M06-L
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %M11-L
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %mPBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %mPBEKCIS
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %mPW
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %MS0
        Type
           float
        Description
        Unit
           hartree
```

```
GGA bond terms (x) %MS1
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %MS2
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %MVS
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %MVSx
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %OLYP
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %OPBE
        Type
           float
        Description
        Unit
           hartree
```

```
GGA bond terms (x) %OPerdew
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %PBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %PBEsol
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%PKZB
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %PKZBx-KCIScor
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %PW91
        Type
           float
        Description
        Unit
           hartree
```

```
GGA bond terms (x) %revPBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %revTPSS
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %RGE2
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %RPBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %SCAN
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %SCANc
        Type
           float
        Description
GGA bond terms (x) %SCANx
```

```
Type
           float
        Description
GGA bond terms (x) %SOGGA
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %SOGGA11
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%SSB-D
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%TASK
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%TASKCC
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %TASKCCALDA
        Type
           float
```

```
Description
        Unit
           hartree
GGA bond terms (x)%TASKLDA
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x) %TASKSCAN
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%TASKxc
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%tau-HCTH
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%TPSS
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (x)%VS98
        Type
```

float

```
Description
             Unit
                 hartree
     GGA bond terms (x) %VS98-x(xc)
             Type
                 float
             Description
             Unit
                 hartree
     GGA bond terms (x)%VS98-x-only
             Type
                 float
             Description
             Unit
                 hartree
     GGA bond terms (x) %XLYP
             Type
                 float
             Description
             Unit
                 hartree
GGA bond terms (xc)
     Section content: XC energies according to some hardcoded list of functionals.
     GGA bond terms (xc) %BLYP
             Type
                 float
             Description
             Unit
                 hartree
     GGA bond terms (xc) %BOP
             Type
                 float
             Description
             Unit
                 hartree
     GGA bond terms (xc) %BP
```

```
Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc)%FT97
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc)%HCTH/120
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc)%HCTH/147
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %HCTH/407
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %HCTH/93
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %KCIS-modified
```

```
Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %KCIS-original
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %KT1
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %KT2
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %LAK
        Type
           float
        Description
GGA bond terms (xc) %LAKc
        Type
           float
        Description
GGA bond terms (xc) %LAKx
        Type
           float
        Description
```

```
GGA bond terms (xc) %LDA(VWN)
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %M06-L
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %M11-L
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %mPBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %mPBEKCIS
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %mPW
        Type
           float
        Description
        Unit
           hartree
```

```
GGA bond terms (xc) %MS0
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %MS1
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %MS2
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %MVS
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %MVSx
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %OLYP
        Type
           float
        Description
        Unit
           hartree
```

```
GGA bond terms (xc) %OPBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %OPerdew
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %PBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %PBEsol
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %PKZB
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %PKZBx-KCIScor
        Type
           float
        Description
        Unit
           hartree
```

```
GGA bond terms (xc) %PW91
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %revPBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %revTPSS
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %RGE2
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %RPBE
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %SCAN
        Type
           float
        Description
        Unit
           hartree
```

```
GGA bond terms (xc) %SCANc
        Type
           float
        Description
GGA bond terms (xc) %SCANx
        Type
           float
        Description
GGA bond terms (xc) %SOGGA
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %SOGGA11
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %SSB-D
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %TASK
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %TASKCC
        Type
           float
```

```
Description
        Unit
           hartree
GGA bond terms (xc) %TASKCCALDA
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %TASKLDA
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %TASKSCAN
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %TASKxc
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %tau-HCTH
        Type
           float
        Description
        Unit
           hartree
GGA bond terms (xc) %TPSS
        Type
           float
```

```
Description
             Unit
                 hartree
     GGA bond terms (xc)%VS98
             Type
                 float
             Description
             Unit
                hartree
     GGA bond terms (xc) %VS98-x(xc)
             Type
                 float
             Description
             Unit
                 hartree
     GGA bond terms (xc)%VS98-x-only
             Type
                 float
             Description
             Unit
                 hartree
     GGA bond terms (xc) %XLYP
             Type
                 float
             Description
             Unit
                hartree
green
     Section content: ?
     green%NOld
             Type
                float
             Description
                 ?
     green%pmatim
             Type
                 float_array
```

```
Description
                  Imaginary part of the density matrix.
                  [SystType%nbas, SystType%nbas]
     green%pmatre
              Type
                 float_array
              Description
                  Real part of the density matrix.
              Shape
                 [SystType%nbas, SystType%nbas]
     green%shift_add
              Type
                 float
              Description
                 Shift added to the potential.
GW
     Section content: ?
     GW%freqGrid
                 float_array
              Description
     GW%G0W0_QP_hole_ener
              Type
                  float_array
              Description
     GW%G0W0_QP_hole_ener_dif
              Type
                 float_array
              Description
     GW%G0W0_QP_hole_ener_sp_A
                  float_array
              Description
     GW%G0W0_QP_hole_ener_sp_A_dif
              Type
                 float_array
```

```
Description
GW%G0W0_QP_hole_ener_sp_B
        Type
           float_array
        Description
GW%G0W0_QP_hole_ener_sp_B_dif
        Type
           float_array
        Description
GW%G0W0_QP_hole_energies
        Type
           float
        Description
GW%G0W0_QP_hole_energies_diff
        Type
           float
        Description
GW%G0W0_QP_part_ener
        Type
           float_array
        Description
GW%G0W0_QP_part_ener_dif
        Type
           float_array
        Description
GW%G0W0_QP_part_ener_sp_A
        Type
           float_array
        Description
GW%G0W0_QP_part_ener_sp_A_dif
        Type
           float_array
        Description
```

```
GW%G0W0_QP_part_ener_sp_B
        Type
           float_array
        Description
GW%G0W0_QP_part_ener_sp_B_dif
        Type
           float_array
        Description
GW%G0W0_QP_particle_energies
        Type
           float
        Description
GW%G0W0_QP_particle_energies_diff
           float
        Description
GW%G3W2
        Type
           float_array
        Description
GW%G3Wp2
        Type
           float_array
        Description
GW%G3Wp2 - GWGamma
        Type
           float_array
        Description
GW%GLRatio
        Type
           float_array
        Description
GW%nBas
```

```
Type
           int_array
        Description
GW%nFit
        Type
        Description
GW%nFreq
        Type
           int
        Description
GW%nFreqTotal
        Type
           int
        Description
GW%nInnerLoopIterations
        Type
           int
        Description
GW%nInnerLoopIterationsTotal
        Type
           int
        Description
GW%nIterations
        Type
           int
        Description
GW%normV
        Type
           float
        Description
GW%normW0
```

**Type** float

```
Description
GW%nremov
        Type
           int
        Description
GW%nStates
        Type
        Description
GW%nTime
        Type
            int
        Description
GW%nTimeTotal
        Type
            int
        Description
GW%QPocc
        Type
            float_array
        Description
GW%QPocc_A
        Type
            float_array
        Description
GW%QPocc_B
        Type
            float_array
        Description
GW%QPun
        Type
            float_array
        Description
```

```
GW%QPun_A
        Type
           float_array
        Description
GW%QPun_B
        Type
           float_array
        Description
GW%SCGW_QP_hole_ener
        Type
           float_array
        Description
GW%SCGW_QP_hole_ener_dif
           float_array
        Description
GW%SCGW_QP_hole_energies
        Type
           float_array
        Description
GW%SCGW_QP_hole_energies_diff
        Type
           float_array
        Description
GW%SCGW_QP_part_ener
        Type
           float_array
        Description
GW%SCGW_QP_part_ener_dif
        Type
           float_array
        Description
GW%SCGW_QP_particle_energies
```

```
Type
           float_array
        Description
GW%SCGW_QP_particle_energies_diff
        Type
           float_array
        Description
GW%SOSEX
        Type
           float_array
        Description
GW%SOSEX2
        Type
           float_array
        Description
GW%SOSEXINF
        Type
           float_array
        Description
GW%spectral_*
        Type
            float_array
        Description
GW%SSOXcorrection
        Type
           float_array
        Description
GW%SSOXgreater
        Type
           float_array
        Description
GW%SSOXlesser
        Type
```

float\_array

# Description ? GW%tMat\_A Type float\_array Description ? GW%tMat\_B Type float\_array Description

#### HartreeFock

**Section content:** Section for hybrid functionals.

# HartreeFock%EnergyContribution

**Type** 

float

# **Description**

Energy contribution from the HF part.

Unit

hartree

# **HFExchangeEval**

**Section content:** Partial information about the (periodic) RIHartreeFock method to be used for analysis, and not relevant to band itself. The content has a different purpose than the section with the same name on the file RIHartreeFock

# HFExchangeEval%InteractingAtoms

**Type** 

bool\_array

# Description

Which atoms do interact

Shape

[Molecule%nAtoms, Molecule%nAtoms, nCells]

# HFExchangeEval%nCells

**Type** 

int

# **Description**

Number of cells.

#### HFExchangeEval%nCellsForP

**Type** 

int

# Description

Number of cells used for the density matrix P(R). The density matrix P(R) has artificial lattice copies, and these should not be used.

#### **K-Matrices**

**Section content:** Storage of matrices depending on k

#### K-Matrices%Data(#{matrix})

#### **Type**

float\_array

#### **Description**

Contents of the matrix. The outer loop is over unique k-points, followed by the real part of the matrix, and if complex, followd by the imaginary part.

# K-Matrices%Dimensions(#{matrix})

## **Type**

int\_array

#### **Description**

Dimensions of the matrix for each k-point.

## K-Matrices%DimensionsX(#{matrix})

#### **Type**

int\_array

# **Description**

Maximum dimensions of the matrix. Sometimes dimensions can be smaller than the allocated space (for instance when not all eigenvectors are stored). Same length as Dimensions.

## K-Matrices%IsKunComplex

#### **Type**

bool\_array

# **Description**

Are matrices real or complex per unique k-point.

#### Shape

[kspace%kuniqu]

# K-Matrices%Name(#{matrix})

## **Type**

string\_fixed\_length

# Description

Name of the matrix.

#### K-Matrices%nMatrices

#### **Type**

int

# Description

Number of matrices stored in this section.

#### K-Matrices%ReadCount(#{matrix})

#### **Type**

int

# **Description**

Number of times that the matrix has been read.

## K-Matrices%StorageMode

int

#### **Description**

Information may be distributed over nodes. 1) fully distributed, 2) Intra node distributed, 3) Master-only 4) Not distributed. For serial calculations this is all the same.

#### K-Matrices: Always Complex

**Section content:** Storage of matrices depending on k. This section is for those matrices that are always stored as complex.

#### K-Matrices:AlwaysComplex%Data(#{matrix})

## **Type**

float\_array

#### **Description**

Contents of the matrix. The outer loop is over unique k-points, followed by the real part of the matrix, and if complex, followd by the imaginary part.

#### K-Matrices:AlwaysComplex%Dimensions(#{matrix})

**Type** 

int\_array

#### **Description**

Dimensions of the matrix for each k-point.

## K-Matrices:AlwaysComplex%DimensionsX(#{matrix})

#### **Type**

int\_array

# Description

Maximum dimensions of the matrix. Sometimes dimensions can be smaller than the allocated space (for instance when not all eigenvectors are stored). Same length as Dimensions.

# K-Matrices:AlwaysComplex%IsKunComplex

#### **Type**

bool\_array

#### Description

Are matrices real or complex per unique k-point.

#### Shape

[kspace%kuniqu]

#### K-Matrices:AlwaysComplex%Name(#{matrix})

#### Type

string\_fixed\_length

# Description

Name of the matrix.

#### K-Matrices: AlwaysComplex%nMatrices

#### **Type**

int

# Description

Number of matrices stored in this section.

# K-Matrices:AlwaysComplex%ReadCount(#{matrix})

```
Type
```

int

## Description

Number of times that the matrix has been read.

# K-Matrices:AlwaysComplex%StorageMode

#### **Type**

int

## **Description**

Information may be distributed over nodes. 1) fully distributed, 2) Intra node distributed, 3) Master-only 4) Not distributed. For serial calculations this is all the same.

#### **KFD**efinitions

**Section content:** The definitions of the data on this file

# KFDefinitions%json

## **Type**

string

## Description

The definitions of the data on this file in json.

## **KPointsConfig**

**Section content:** Configuration information for the k-space integration points. This is all about splitting the space into simplices.

#### KPointsConfig%commentString

#### **Type**

string\_fixed\_length

#### **Description**

Description on how the grid was generated.

# KPointsConfig%interpolation

# **Type**

int

# Description

How to interpolate the bands over the simplices. 1) linear method, 2) quadratic method.

# KPointsConfig%method

#### **Type**

int

## **Description**

Method used to divide space into simplices 1) symmetric method, 2) grid. The k-space integration method is the same.

#### KPointsConfig%ndimk

#### **Type**

int

# **Description**

Dimension of reciprocal space.

## KPointsConfig%parameters

int\_array

#### **Description**

For the symmetric method parameters(1) will be the accuracy parameter. For the regular method the parameters are per lattice vector.

#### Shape

[3]

# KPointsConfig%splitCubeInSix

#### **Type**

bool

## **Description**

We want to split a cube in tetrahedra. The minimal number needed is five. With six it looks more symmetrical.

#### KPointsConfig%splitLongest

#### **Type**

bool

#### **Description**

Sometimes a tetrahedron needs to be split, and there is some arbitrariness in how to do that. With this option the longest edge will be used.

## KPointsConfig%splitPermutation

#### **Type**

int\_array

# Description

There is some arbitrariness when splitting a volume. Also the order of the splitting has some effect.

# KPointsConfig%symSampling

#### **Type**

bool

# Description

Map out points from Irr. BZ of the pure lattice (neglecting atoms).

## kspace

**Section content:** Info regarding the k-space integration...

#### kspace%avec

# Type

float\_array

## **Description**

The lattice stored as a 3xnLatticeVectors matrix. Only the ndimk,ndimk part has meaning.

# Unit

bohr

## Shape

[3, :]

## kspace%bvec

float\_array

#### **Description**

The inverse lattice stored as a 3x3 matrix. Only the ndimk, ndimk part has meaning.

#### Unit

1/bohr

#### Shape

[ndim, ndim]

# kspace%bzvol

## **Type**

float

#### **Description**

The volume of the BZ zone. In 2D it is the surface and in 1D it is the length. The unit is bohr raised to the power ndim.

#### kspace%iDimkEffective

## **Type**

int\_array

# **Description**

Which lattice vectors are really used for the k-space integration.

#### Shape

[nDimkEffective]

# kspace%isKunComplex

# **Type**

bool\_array

#### **Description**

Whether or not the Hamiltonian matrix is complex for a unique k-point.

#### Shape

[kuniqu]

# kspace%kequiv

# **Type**

int\_array

#### **Description**

When kequiv(i)=i the k-point is unique.

## Shape

[kt]

# kspace%kequn

#### **Type**

int\_array

## **Description**

When looping over all k-points, the unique index is kun=kequn(k).

# Shape

[kt]

# kspace%kinteg

## **Type**

int

## **Description**

In case a symmetric grid is used this is the parameter used to create it.

# kspace%klbl

# **Type**

lchar\_string\_array

# **Description**

labels describing the k-points

#### Shape

[kt]

# kspace%klblun

#### **Type**

lchar\_string\_array

#### **Description**

labels describing the unique k-points

# Shape

[kuniqu]

## kspace%klnear

# Type

bool

# Description

Whether or not linear k-space integration is used (symmetric method with even kinteg).

# kspace%ksimpl

# **Type**

int\_array

# **Description**

Index array defining the simplices, referring to the xyzpt array.

# Shape

[nvertk, nsimpl]

# kspace%kt

#### **Type**

int

# **Description**

The total number of k-points used by the k-space to sample the unique wedge of the Brillouin zone.

# kspace%ktBoltz

## **Type**

float

#### **Description**

band only?.

# kspace%kuniqu

## **Type**

int

## **Description**

The number of symmetry unique k-points where an explicit diagonalization is needed. Smaller or equal to kt.

#### kspace%ndim

```
Type
```

int

#### **Description**

The nr. of lattice vectors.

# kspace%ndimk

```
Type
```

int

# **Description**

The nr. of dimensions used in the k-space integration.

# kspace%nDimkEffective

#### **Type**

int

# Description

Normally ndimk is equal to the number of lattice vectors. For very large lattice vectors the k-space dispersion is ignored, leading to a lower dimensional band structure.

# kspace%noperk

```
Type
```

int

#### **Description**

The nr. of operators in k-space. band only?

# kspace%nsimpl

# Type

int

#### **Description**

The number of simplices constructed from the k-points to span the IBZ.

#### kspace%numBoltz

# Type

int

# Description

Number of energies to sample around the fermi energy. band only?

# kspace%numEquivSimplices

## **Type**

int\_array

#### **Description**

Simplices may be equivalent due to symmetry operations..

```
Shape
                   [nsimpl]
     kspace%nvertk
               Type
                   int
               Description
                   The number of vertices that each simplex has.
     kspace%operk
               Type
                   float_array
               Description
                  Symmetry operators in k-space. band only?
               Unit
                   bohr
               Shape
                   [ndim, ndim, noperk]
     kspace%xyzpt
               Type
                   float_array
               Description
                   The coordinates of the k-points.
               Unit
                   1/bohr
               Shape
                   [ndimk, kt]
kspace(primitive cell)
     Section content: should not be here!!!
     kspace(primitive cell)%avec
               Type
                   float_array
               Description
                   The lattice stored as a 3xnLattice Vectors matrix. Only the ndimk, ndimk part has meaning.
               Unit
                   bohr
               Shape
                   [3, :]
     kspace(primitive cell)%bvec
               Type
                   float_array
               Description
```

The inverse lattice stored as a 3x3 matrix. Only the ndimk, ndimk part has meaning.

#### Unit

1/bohr

## Shape

[ndim, ndim]

# kspace(primitive cell)%kt

## **Type**

int

## **Description**

The total number of k-points used by the k-space to sample the unique wedge of the Brillouin zone

# kspace(primitive cell)%kuniqu

## **Type**

int

# **Description**

The number of symmetry unique k-points where an explicit diagonalization is needed. Smaller or equal to kt.

# kspace(primitive cell)%ndim

#### **Type**

int

#### **Description**

The nr. of lattice vectors.

# kspace(primitive cell)%ndimk

# **Type**

int

# **Description**

The nr. of dimensions used in the k-space integration.

# kspace(primitive cell)%xyzpt

## **Type**

float\_array

# Description

The coordinates of the k-points.

#### Unit

1/bohr

## Shape

[ndimk, kt]

# **Low Frequency Correction**

Section content: Configuration for the Head-Gordon Dampener-powered Free Rotor Interpolation.

#### Low Frequency Correction%Alpha

# Type

float

# Description

Exponent term for the Head-Gordon dampener.

#### Low Frequency Correction%Frequency

# **Type**

float

## **Description**

Frequency around which interpolation happens, in 1/cm.

#### Low Frequency Correction%Moment of Inertia

#### **Type**

float

#### **Description**

Used to make sure frequencies of less than ca. 1 1/cm don't overestimate entropy, in kg m^2.

#### Magnetic properties

**Section content:** When applying a finite magnetic field.

# Magnetic properties%BField

#### **Type**

float\_array

## **Description**

Applied b field.

## **Shape**

[3]

## Magnetic properties%DipoleAtom

## **Type**

int

# Description

If this is present the applied field is a dipole with this atom index.

# Magnetic properties%InducedBFieldAtNuclei

#### **Type**

float\_array

## **Description**

The external BField induces a current, and hence a bfield. Here given at the nuclear positions.

This defines the shielding: how much is it changed from the external field at the nuclei.

#### **Shape**

[3, Molecule%nAtoms]

#### Magnetic properties%NmrShieldingAllAtoms(ppm)

# Type

float\_array

# Description

Shielding tensor for all the atoms. This is calculated analytically, not by using a finite magnetic field.

## **Shape**

[3, 3, Molecule%nAtoms]

# Magnetic properties%ShieldingRowAtNuclei(ppm)

```
float_array
              Description
                   A row of the shielding tensor for all nuclei.
              Shape
                   [3, Molecule%nAtoms]
Matrices
     Section content: Section that can contain any number of real matrices
     Matrices%Data(#)
               Type
                  float_array
               Description
                  The array, rank and dimensions as specified by Dimensions.
     Matrices%Dimensions(#)
               Type
                   int_array
              Description
                   The dimensions of the array
     Matrices%Name(#)
               Type
                  string
               Description
                  The name of the matrix.
     Matrices%nEntries
               Type
                   int
               Description
                  The number of matrices
     Matrices%Type(#)
               Type
                  string
               Description
                   The type such as Real, and perhaps Complex?
metaGGA:atoms
     Section content: XC energies for the sum of all reference atoms.
     metaGGA:atoms%ec
               Type
                   float_array
              Description
                   Correlation energies.
              Unit
                  hartree
```

```
Shape
                 [numXC]
     metaGGA:atoms%ex
             Type
                 float_array
             Description
                 Exchange energies.
             Unit
                 hartree
             Shape
                 [numXC]
     metaGGA:atoms%exc
             Type
                 float_array
             Description
                 Exchange correlation energies.
             Unit
                 hartree
             Shape
                 [numXC]
     metaGGA:atoms%numXC
             Type
                 int
             Description
                 Number of xc energies.
MGGAOEP
     Section content: OEP for meta GGAs.
     MGGAOEP%nband
             Type
                 int
             Description
                 Number of bands.
     MGGAOEP%valKLIIntRes
             Type
                 float_array
             Description
             Shape
                 [valKLIIntResdim1, valKLIIntResdim2, valKLIIntResdim3]
     MGGAOEP%valKLIIntResdim1
             Type
```

int

```
Description
                 First dimension.
     MGGAOEP%valKLIIntResdim2
             Type
                 int
             Description
                 Second dimension.
     MGGAOEP%valKLIIntResdim3
             Type
                 int
             Description
                 Third dimension.
     MGGAOEP%valKLITauIntRes
             Type
                 float_array
             Description
                 ?
             Shape
                 [valKLITauIntResdim1, valKLITauIntResdim2, valKLITauIntResdim3]
     MGGAOEP%valKLITauIntResdim1
             Type
                 int
             Description
                 First dimension.
     MGGAOEP%valKLITauIntResdim2
             Type
                 int
             Description
                 Second dimension.
     MGGAOEP%valKLITauIntResdim3
             Type
                 int
             Description
                 Third dimension.
Mobile Block Hessian
     Section content: Mobile Block Hessian.
     Mobile Block Hessian%Coordinates Internal
             Type
                 float_array
             Description
```

Mobile Block Hessian%Free Atom Indexes Input

```
Type
           int_array
       Description
Mobile Block Hessian%Frequencies in atomic units
       Type
           float_array
       Description
Mobile Block Hessian%Frequencies in wavenumbers
       Type
           float_array
       Description
Mobile Block Hessian%Input Cartesian Normal Modes
       Type
           float_array
       Description
Mobile Block Hessian%Input Indexes of Block #
       Type
           int_array
       Description
Mobile Block Hessian%Intensities in km/mol
       Type
           float_array
       Description
Mobile Block Hessian%MBH Curvatures
       Type
           float_array
       Description
Mobile Block Hessian%Number of Blocks
       Type
           int
       Description
           Number of blocks.
Mobile Block Hessian%Sizes of Blocks
       Type
           int_array
```

Sizes of the blocks.

#### Shape

[Number of Blocks]

# Molecule

**Section content:** The input molecule of the calculation.

#### Molecule%AtomicNumbers

**Type** 

int\_array

## **Description**

Atomic number 'Z' of the atoms in the system

#### Shape

[nAtoms]

#### Molecule%AtomMasses

**Type** 

float\_array

# **Description**

Masses of the atoms

Unit

a.u.

#### Values range

[0, '\infinity']

# Shape

[nAtoms]

# Molecule%AtomSymbols

**Type** 

string

# **Description**

The atom's symbols (e.g. 'C' for carbon)

#### Shape

[nAtoms]

#### Molecule%bondOrders

#### **Type**

float\_array

# Description

The bond orders for the bonds in the system. The indices of the two atoms participating in the bond are defined in the arrays 'fromAtoms' and 'toAtoms'. e.g. bondOrders[1]=2, fromAtoms[1]=4 and toAtoms[1]=7 means that there is a double bond between atom number 4 and atom number 7

## Molecule%Charge

**Type** 

float

Net charge of the system

#### Unit

e

#### Molecule%Coords

#### **Type**

float\_array

# Description

Coordinates of the nuclei (x,y,z)

#### Unit

bohr

#### Shape

[3, nAtoms]

#### Molecule%eeAttachTo

#### **Type**

int\_array

# **Description**

A multipole may be attached to an atom. This influences the energy gradient.

# Molecule%eeChargeWidth

## **Type**

float

# **Description**

If charge broadening was used for external charges, this represents the width of the charge distribution.

#### Molecule%eeEField

#### **Type**

float\_array

# **Description**

The external homogeneous electric field.

#### Unit

hartree/(e\*bohr)

## Shape

[3]

## Molecule%eeLatticeVectors

# Type

float\_array

#### **Description**

The lattice vectors used for the external point- or multipole- charges.

# Unit

bohr

# Shape

[3, eeNLatticeVectors]

#### Molecule%eeMulti

#### **Type**

float\_array

#### **Description**

The values of the external point- or multipole- charges.

#### Unit

a 11

# Shape

[eeNZlm, eeNMulti]

#### Molecule%eeNLatticeVectors

```
Type
```

int

# Description

The number of lattice vectors for the external point- or multipole- charges.

#### Molecule%eeNMulti

```
Type
```

int

# **Description**

The number of external point- or multipole- charges.

#### Molecule%eeNZlm

```
Type
```

int

# Description

When external point- or multipole- charges are used, this represents the number of spherical harmonic components. E.g. if only point charges were used, eeNZlm=1 (s-component only). If point charges and dipole moments were used, eeNZlm=4 (s, px, py and pz).

# Molecule%eeUseChargeBroadening

```
Type
```

bool

# Description

Whether or not the external charges are point-like or broadened.

#### Molecule%eeXYZ

# **Type**

float\_array

# Description

The position of the external point- or multipole- charges.

#### Unit

bohr

# Shape

[3, eeNMulti]

# Molecule%EngineAtomicInfo

string\_fixed\_length

#### **Description**

Atom-wise info possibly used by the engine.

#### Molecule%fromAtoms

#### **Type**

int\_array

## **Description**

Index of the first atom in a bond. See the bondOrders array

# Molecule%latticeDisplacements

#### **Type**

int\_array

# **Description**

The integer lattice translations for the bonds defined in the variables bondOrders, fromAtoms and toAtoms.

#### Molecule%LatticeVectors

# **Type**

float\_array

#### **Description**

Lattice vectors

#### Unit

bohr

# Shape

[3, nLatticeVectors]

#### Molecule%nAtoms

#### **Type**

int

# **Description**

The number of atoms in the system

# Molecule%nAtomsTypes

# **Type**

int

#### **Description**

The number different of atoms types

## Molecule%nLatticeVectors

#### **Type**

int

#### **Description**

Number of lattice vectors (i.e. number of periodic boundary conditions)

# Possible values

[0, 1, 2, 3]

#### Molecule%toAtoms

int\_array

#### **Description**

Index of the second atom in a bond. See the bondOrders array

## **MoleculeSuperCell**

**Section content:** The system used for the numerical phonon super cell calculation.

#### MoleculeSuperCell%AtomicNumbers

# **Type**

int\_array

## **Description**

Atomic number 'Z' of the atoms in the system

#### Shape

[nAtoms]

## MoleculeSuperCell%AtomMasses

#### **Type**

float\_array

# **Description**

Masses of the atoms

#### Unit

a.u.

# Values range

[0, '\infinity']

# Shape

[nAtoms]

#### MoleculeSuperCell%AtomSymbols

#### **Type**

string

# **Description**

The atom's symbols (e.g. 'C' for carbon)

#### Shape

[nAtoms]

#### MoleculeSuperCell%bondOrders

#### **Type**

float\_array

## **Description**

The bond orders for the bonds in the system. The indices of the two atoms participating in the bond are defined in the arrays 'fromAtoms' and 'toAtoms'. e.g. bondOrders[1]=2, fromAtoms[1]=4 and toAtoms[1]=7 means that there is a double bond between atom number 4 and atom number 7

## MoleculeSuperCell%Charge

# Type

float

Net charge of the system

#### Unit

e

# MoleculeSuperCell%Coords

#### **Type**

float\_array

## **Description**

Coordinates of the nuclei (x,y,z)

## Unit

bohr

#### Shape

[3, nAtoms]

## MoleculeSuperCell%eeAttachTo

#### **Type**

int\_array

# **Description**

A multipole may be attached to an atom. This influences the energy gradient.

# MoleculeSuperCell%eeChargeWidth

#### **Type**

float

# **Description**

If charge broadening was used for external charges, this represents the width of the charge distribution.

# MoleculeSuperCell%eeEField

#### **Type**

float\_array

# **Description**

The external homogeneous electric field.

#### Unit

hartree/(e\*bohr)

#### Shape

[3]

## MoleculeSuperCell%eeLatticeVectors

# **Type**

float\_array

#### **Description**

The lattice vectors used for the external point- or multipole- charges.

# Unit

bohr

# Shape

[3, eeNLatticeVectors]

#### MoleculeSuperCell%eeMulti

#### **Type**

float\_array

#### **Description**

The values of the external point- or multipole- charges.

#### Unit

a.u.

## Shape

[eeNZlm, eeNMulti]

## MoleculeSuperCell%eeNLatticeVectors

## **Type**

int

# Description

The number of lattice vectors for the external point- or multipole- charges.

#### MoleculeSuperCell%eeNMulti

```
Type
```

int

# **Description**

The number of external point- or multipole- charges.

#### MoleculeSuperCell%eeNZlm

# **Type**

int

#### **Description**

When external point- or multipole- charges are used, this represents the number of spherical harmonic components. E.g. if only point charges were used, eeNZlm=1 (s-component only). If point charges and dipole moments were used, eeNZlm=4 (s, px, py and pz).

# MoleculeSuperCell%eeUseChargeBroadening

# **Type**

bool

# Description

Whether or not the external charges are point-like or broadened.

#### MoleculeSuperCell%eeXYZ

#### Type

float\_array

# Description

The position of the external point- or multipole- charges.

#### Unit

bohr

# Shape

[3, eeNMulti]

# MoleculeSuperCell%EngineAtomicInfo

string\_fixed\_length

#### **Description**

Atom-wise info possibly used by the engine.

# MoleculeSuperCell%fromAtoms

#### **Type**

int\_array

# **Description**

Index of the first atom in a bond. See the bondOrders array

## MoleculeSuperCell%latticeDisplacements

#### **Type**

int\_array

#### **Description**

The integer lattice translations for the bonds defined in the variables bondOrders, fromAtoms and toAtoms.

#### MoleculeSuperCell%LatticeVectors

# **Type**

float\_array

#### **Description**

Lattice vectors

# Unit

bohr

# Shape

[3, nLatticeVectors]

# MoleculeSuperCell%nAtoms

#### **Type**

int

# **Description**

The number of atoms in the system

# ${\tt Molecule Super Cell \$nAtoms Types}$

# **Type**

int

#### **Description**

The number different of atoms types

# MoleculeSuperCell%nLatticeVectors

#### **Type**

int

## **Description**

Number of lattice vectors (i.e. number of periodic boundary conditions)

# Possible values

[0, 1, 2, 3]

## MoleculeSuperCell%toAtoms

```
Type
                int_array
             Description
                Index of the second atom in a bond. See the bondOrders array
MP2 energies
     Section content: ?
     MP2 energies%Contribution to DH energy
             Type
                float
             Description
     MP2 energies%LT-MP2 energy
             Type
                float
             Description
     MP2 energies%os LT-MP2 energy
             Type
                float
             Description
     MP2 energies%os RI-MP2 energy
             Type
                float
             Description
     MP2 energies%RI-MP2 energy
             Type
                float
             Description
     MP2 energies%ss LT-MP2 energy
             Type
                float
             Description
     MP2 energies%ss RI-MP2 energy
             Type
                float
             Description
```

#### **NAOSetCells**

**Section content:** For periodic systems neighboring cells need to be considered. More cells are needed for more diffuse basis sets.

```
NAOSetCells%Coords(#{entry})
              Type
                  float_array
              Description
                  Cell coordinates for a basis set.
              Shape
                  [3, nCells(#{entry})]
     NAOSetCells%Name(#{entry})
              Type
                  string
              Description
                  The name of the basis set.
     NAOSetCells%nAtoms(#{entry})
              Type
                  int
              Description
                  Number of atoms for a basis set.
     NAOSetCells%nCells(#{entry})
              Type
                  int
              Description
                  Number of cells needed for a basis set.
     NAOSetCells%nEntries
              Type
                  int
              Description
                  The number of entries (basis sets), for basis sets like valence and core, fit, etc...
     NAOSetCells%SkipAtom(#{entry})
              Type
                  bool_array
              Description
                  Sometimes the functions of an atom do not require a cell at all.
              Shape
                  [nAtoms(#{entry}), nCells(#{entry})]
NEGF
     Section content: NEGF models the electron transport through a device.
     NEGF%ContactShift
              Type
                  float
```

```
Description
NEGF%current
         Type
             float_array
         Description
             Current from one contact to the other.
         Shape
             [nSpin]
NEGF%DeltaPhi0
         Type
             float
         Description
             To do with the alignment.
NEGF%DeltaPhi1
         Type
             float
         Description
             To do with the alignment of the potential to the bulk potential.
NEGF%dos
         Type
             float_array
         Description
             Density of states.
         Shape
             [nEnergies, nSpin]
NEGF%energyGrid
         Type
             float_array
         Description
             Energies for the NEGF results.
             [nEnergies]
NEGF%nEnergies
         Type
             int
         Description
             Number of energies
NEGF%nSpin
         Type
             int
```

Number of spin components.

#### NEGF%OffsetShift

**Type** 

float

# Description

9

#### NEGF%transmission

**Type** 

float\_array

#### **Description**

Transmission.

#### Shape

[nEnergies, nSpin]

## **NeutralizingDensity**

**Section content:** For charged (periodic) cells a neutralizing density is used.

# NeutralizingDensity%neutralizingFactor

**Type** 

float

# Description

The fixed neutralizing density needs to be multiplied with this factor to make the system neutral (after adding it).

# **Num Int Params**

**Section content:** Parameters needed for the Voronoi grid. It is tried to integrate a set of functions up to a certain accuracy.

# Num Int Params%accint

**Type** 

float

# Description

Desired accuracy thought of as 10\*\*(-accint).

#### Num Int Params%accout

**Type** 

float

## **Description**

Setting of the accint parameter for the outer region.

# Num Int Params%accpyr

**Type** 

float

## **Description**

Setting of the accint parameter for the pyramids.

# Num Int Params%accsph

```
Type
             float
         Description
             Setting of the accint parameter for the spheres.
Num Int Params%alfas
         Type
             float_array
         Description
             Exponents to use for the test functions. Per (radial) order a minimum and maximum alpha is
             given.
         Shape
             [npowx, 2, ntyps]
Num Int Params%ldim
         Type
             int
         Description
             Number of lattice vectors.
Num Int Params%linteg all
         Type
             int_array
         Description
             Per element the l-value to integrate.
         Shape
             [ntyps]
Num Int Params%lintgx
         Type
             int
         Description
             Maximum 1-value to integrate.
Num Int Params%nnucs
         Type
             int
         Description
             Number of atoms, possibly including point charges.
Num Int Params%noper
         Type
             int
```

Num Int Params%npowx

Type

int

Number of symmetry operators.

The radial part of a STO test function will be tried up to this power?

#### Num Int Params%nratst1

## **Type**

int\_array

# **Description**

Index array. nratst1(ityp) will be the first atom of that type.

## Shape

[ntyps+1]

## Num Int Params%ntyps

#### **Type**

int

# Description

Number of non equivalent atoms (either by atomic number or basis set).

#### Num Int Params%oper

**Type** 

float\_array

# **Description**

Point group part of the symmetry operators.

#### Shape

[3, 3, noper]

# Num Int Params%qatm

#### **Type**

float\_array

#### **Description**

Atomic numbers.

#### Shape

[ntyps]

# Num Int Params%sphgrid

## **Type**

bool\_array

# Description

For point charges in xyzatm some may not need a spherical grid when far away.

# Shape

[nnucs]

# Num Int Params%transl

#### **Type**

float\_array

## **Description**

Translational part of the symmetry operators (for periodic systems).

#### Shape

[3, noper]

```
Num Int Params%vlatt
              Type
                  float_array
              Description
                  Lattice vectors.
              Unit
                  bohr
              Shape
                  [3, ldim]
     Num Int Params%xyzatm
              Type
                  float_array
              Description
                  Atomic coordinates.
              Unit
                  bohr
              Shape
                  [3, nnucs]
NumericalBasisSets
     Section content: Specification of numerical atomic basis sets, consisting of a numerical radial table and a spherical
     harmonic: R_{nl} Y_{lm}.
     NumericalBasisSets%BasisType(#{set}, #{type})
              Type
                  string
              Description
                  Something like valence or core for (type,set). Will not depend on type.
     NumericalBasisSets%bField for GIAO(#{set},#{type})
              Type
                  float_array
              Description
                  Band only. Finite magnetic field strength for GIAOs.
              Shape
                  [3]
     NumericalBasisSets%d2RadialFuncs(#{set}, #{type})
              Type
                  float_array
              Description
                  The second derivative of the radial functions (for a type,set).
              Shape
                  [NumRad(#{type}), nRadialFuncs(#{set},#{type})]
     NumericalBasisSets%dRadialFuncs(#{set}, #{type})
```

```
Type
            float_array
         Description
            The derivative of the radial functions (for a type,set).
         Shape
            [NumRad(#{type}), nRadialFuncs(#{set},#{type})]
NumericalBasisSets%Element(#{type})
         Type
            string
         Description
            The chemical element (H,He,Li) for a type.
NumericalBasisSets%GridType(#{type})
         Type
            string
         Description
            What kind of radial grid is used. Currently this is always logarithmic.
NumericalBasisSets%ljValues(#{set}, #{type})
         Type
            int_array
         Description
            Normally for each radial function the l value. In case of spin-orbit there is also a j value (for a
            type,set).
         Shape
            [2, nRadialFuncs(#{set},#{type})]
NumericalBasisSets%MaxRad(#{type})
         Type
            float
         Description
            Maximum value of the radial grid (for a type).
NumericalBasisSets%MinRad(#{type})
         Type
            float
         Description
            Minimum value of the radial grid (for a type).
NumericalBasisSets%nRadialFuncs(#{set}, #{type})
         Type
            int
         Description
            The number of radial functions (for a type,set).
NumericalBasisSets%nSets
         Type
            int
```

The number of basis sets stored for each type. For instance if you store core and the valence basis sets it is two.

# NumericalBasisSets%nTypes

## **Type**

int

#### **Description**

The number of types: elements with a different basis set. Normally this is just the number of distinct elements in the system.

# NumericalBasisSets%NumRad(#{type})

#### **Type**

int

# **Description**

The number of radial points (for a type).

#### NumericalBasisSets%RadialFuncs(#{set}, #{type})

#### **Type**

float\_array

## **Description**

The radial functions (for a type,set).

#### Shape

[NumRad(#{type}), nRadialFuncs(#{set},#{type})]

## NumericalBasisSets%RadialMetaInfo(#{set}, #{type})

## **Type**

float\_array

#### **Description**

Info about the radial functions. Whether it is a NAO or STO. For instance for an STO the alpha value. All encoded in a real array of fixed size.

#### Shape

[:, nRadialFuncs(#{set},#{type})]

# NumericalBasisSets%SpherHarmonicType(#{set}, #{type})

## **Type**

string

#### **Description**

Either zlm or spinor (type,set). Will not depend on type.

## NumiType

**Section content:** Information related to numerical integration.

#### NumiType%accint

#### **Type**

float

# **Description**

Accuracy parameter for the (obsolete) Voronoi grid.

## NumiType%bzvol

### **Type**

float

### Description

Volume of the Brillouin zone. In lower than 3D this is a surface (2D) or length (1D). For molecules it is set to one.

# NumiType%kgrp

**Type** 

int

# **Description**

Number of k-points processed together.

# NumiType%kinteg

**Type** 

int

# **Description**

K-space parameter used in case of the symmetric grid.

### NumiType%kmesh

**Type** 

int

# **Description**

Parameter for the hybrid method. The quadratic bands are integrated linearly on a grid that is finer by a factor kmesh. (seems obsolete)

# NumiType%kt

Type

int

### **Description**

Number of k-points.

# NumiType%kuniqu

**Type** 

int

### **Description**

Number of unique k-points.

# NumiType%nblock

Type

int

# **Description**

Number of integration blocks.

# ${\tt NumiType\$npx}$

**Type** 

int

# Description

Block size.

### NumiType%npxsym

# **Type**

int

### **Description**

Obsolete.

# NumiType%nrx

### **Type**

int

### **Description**

Maximum number of radial points (see the radial section).

# NumiType%nsimpl

### **Type**

int

### **Description**

Number of simplices used for the irreducible Brillouin zone.

### NumiType%nuelst

**Type** 

int

# **Description**

Parameter for elliptical grid used to calculate the electrostatic interaction between spherical densities.

# NumiType%nvelst

# **Type**

int

### **Description**

Parameter for elliptical grid used to calculate the electrostatic interaction between spherical densities.

# NumiType%nvertk

### **Type**

int

# Description

Number of vertices per simplex (k-space integration).

# NumiType%Serializer::type

### **Type**

string\_fixed\_length

### **Description**

Information for the Serializer code.

# NumiType%volnum

### **Type**

float

# **Description**

Sum of the weight of the real space grid. This only converges with better grids for 3d systems. Otherwise is simply grows with grid size.

# OccuType Secti

Section content: Occupation related info.

OccuType%chbnds

Type

bool

**Description** 

Technical option about skipping bands.

OccuType%dfermi

**Type** 

float

**Description** 

Uncertainty in the fermi energy.

Unit

hartree

OccuType%edegen

**Type** 

float

**Description** 

When orbitals are degenerate (within edegen) their occupations are made equal.

Unit

hartree

OccuType%edosmx

**Type** 

float

Description

Maximum energy to be used for the dos.

Unit

hartree

OccuType%efermi

**Type** 

float

**Description** 

Fermi energy.

Unit

hartree

OccuType%esprd

**Type** 

float

**Description** 

ktBoltz: electronic temperature to be used.

Unit

hartree

# OccuType%iopdos

### **Type**

int

### **Description**

Whether to use volume (iopdos=1) or a surface integral (iopdos=0).

### OccuType%klnear

# Type

bool

# **Description**

Technical option.

### OccuType%lfntmp

# **Type**

bool

### **Description**

Technical option.

# OccuType%nedos

### **Type**

int

# **Description**

Number of energies at which the DOS is sampled.

# OccuType%nfdirc

# Type

int

# **Description**

Fermi is sampled at several energies at once, and (weight) averaged over them. The weights are in the DOS section.

# OccuType%nfdrcx

# **Type**

int

# **Description**

Maximum for nfdirc .

# OccuType%nordr

### **Type**

int

# **Description**

Order of the interpolation of the bands: no interpolation(nordr=0), linear(nordr=1), quadratic(nordr=2).

# OccuType%Serializer::type

#### Type

string\_fixed\_length

### **Description**

Information for the Serializer code.

# OccuType%tboltz **Type** float **Description** Temperature Used for the weights efdire to average the occupations over some energies near the fermi energy. Unit hartree **PEDA** Section content: Periodic energy decomposition analysis. PEDA%AdditionalZEROXC **Type** float **Description** Extra term needed for meta gga's, otherwise XC terms would go wrong. Unit hartree PEDA%EpartPre **Type** float\_array **Description** Contributions to the preparation energy.1: kinetic, 2: coulomb, 3: xc. Unit hartree Shape [3] PEDA%EpartZero **Type** float\_array **Description** Contributions to the energy of psi\_0. 1: kinetic, 2: coulomb, 3: xc. Unit hartree Shape [3] PEDA%FragmentBondEnergy **Type** float\_array

hartree

**Description** 

Unit

Bond energy of the fragments.

```
Shape
             [fragment%nfrag]
PEDA%FragmentDispersion
         Type
            float_array
         Description
             Empirical dispersion energy of the fragments.
         Unit
            hartree
         Shape
            [fragment%nfrag]
PEDA%FragmentElstat
         Type
            float_array
         Description
             Electrostatic energy of the fragments.
         Unit
            hartree
         Shape
             [fragment%nfrag]
PEDA%FragmentKinetic
         Type
             float_array
         Description
             Kinetic energy of the fragments.
         Unit
            hartree
         Shape
             [fragment%nfrag]
PEDA%FragmentMadelung
         Type
            float_array
         Description
             Madelung energy of the fragments (almost always zero).
         Unit
            hartree
```

Shape

PEDA%FragmentXC Type

[fragment%nfrag]

float\_array

XC energy of the fragments.

#### Unit

hartree

### Shape

[fragment%nfrag]

### PEDA%IsGrimme

# Type

bool\_array

### **Description**

Whether empirical dispersion is used in the fragments and in the final system.

#### Shape

[fragment%nfrag+1]

### PEDA bond energy terms

**Section content:** PEDA bond energy terms.

### PEDA bond energy terms%Dispersion

# **Type**

float

# **Description**

Dispersion contribution to the interaction energy.

#### Unit

hartree

# PEDA bond energy terms%E^0

# Type

float

### **Description**

The energy of psi $_0$ . This is the sum of the PauliRepulsion and Electrostatic terms, and also  $T^0+Elst^0+XC^0$ .

### Unit

hartree

# PEDA bond energy terms%E\_orb

### **Type**

float

### **Description**

The orbital interaction energy. It is written as E\_orb=T\_orb+Elst\_orb+XC\_orb

### Unit

hartree

### PEDA bond energy terms%Electrostatic

# **Type**

float

# Description

Electrostatic contribution to the interaction energy.

```
Unit
            hartree
PEDA bond energy terms%Elst^0
         Type
            float
        Description
            Electrostatic energy contribution to E^0.
        Unit
            hartree
PEDA bond energy terms%Elst_orb
         Type
            float
         Description
            Electrostatic energy contribution to E_orb.
        Unit
            hartree
PEDA bond energy terms%OrbitalInteraction
         Type
            float
        Description
            The orbital interaction contribution to the interaction energy.
        Unit
            hartree
PEDA bond energy terms%PauliRepulsion
         Type
            float
         Description
            Pauli contribution to the interaction energy.
        Unit
            hartree
PEDA bond energy terms%T^0
         Type
            float
         Description
            Kinetic energy contribution to E^0.
        Unit
            hartree
PEDA bond energy terms%T_orb
         Type
            float
         Description
```

Kinetic energy contribution to E\_orb.

```
Unit
                 hartree
     PEDA bond energy terms%TotalInteraction
              Type
                  float
              Description
                 The total energy with respect to the fragments.
                                                                    It is the sum of PauliRepul-
                 sion+Electrostatic+OrbitalInteraction, and possibly Dispersion.
              Unit
                 hartree
     PEDA bond energy terms%XC^0
              Type
                  float
              Description
                 XC energy contribution to E^0.
              Unit
                 hartree
     PEDA bond energy terms%XC_orb
              Type
                  float
              Description
                  XC energy contribution to E_orb.
              Unit
                 hartree
PEDANOCV
     Section content: ?
     PEDANOCV%EigNOCV
              Type
                 float_array
              Description
                  The NOCV eigen vectors.
              Shape
                  [SystType%nbas, SystType%nspin, kspace%kuniqu]
     PEDANOCV%ENOCV
              Type
                  float_array
              Description
              Shape
                  [SystType%nbas+1, SystType%nspin, kspace%kuniqu]
```

PEDANOCV%nNOCV

```
Type
                 int_array
              Description
                 Index of the NOCVs.
              Shape
                  [SystType%nspin, kspace%kuniqu]
     PEDANOCV%TNOCV
              Type
                  float_array
              Description
              Shape
                  [SystType%nbas, SystType%nspin, kspace%kuniqu]
PEDANOPR
     Section content: ?
     PEDANOPR%EigNOCV
              Type
                 float_array
              Description
                  The NOCV eigen vectors.
              Shape
                  [SystType%nbas, SystType%nspin, kspace%kuniqu]
     PEDANOPR%ENOCV
              Type
                 float_array
              Description
              Shape
                  [SystType%nbas+1, SystType%nspin, kspace%kuniqu]
     PEDANOPR%nNOCV
              Type
                 int_array
              Description
                 Index of the NOCVs.
              Shape
                  [SystType%nspin, kspace%kuniqu]
     PEDANOPR%TNOCV
              Type
                  float_array
              Description
```

```
Shape
                  [SystType%nbas, SystType%nspin, kspace%kuniqu]
PeriodicZlmFit
     Section content: ZlmFit info related to periodic systems.
     PeriodicZlmFit%aVec
              Type
                  float_array
              Description
                  The lattice stored as a 3xnLattice Vectors matrix. Only the ndimk, ndimk part has meaning.
              Unit
                  bohr
              Shape
                  [kspace%ndim, kspace%ndim]
     PeriodicZlmFit%cellCenter
              Type
                  float_array
              Description
                  Origin of the cell.
              Shape
                  [3]
     PeriodicZlmFit%cellMultipoles
              Type
                  float_array
              Description
                  Multipole coefficients for the cells. Dimension: self%zlmFit%lMax+1)**2
              Shape
                  [:]
     PeriodicZlmFit%fGaussianW
              Type
                  float
              Description
                  Width for the gaussians around atom centers. (Only 3D)
     PeriodicZlmFit%fGridSpacing
              Type
                  float
              Description
                  Spacing for the fourier grid. Only used for 3D periodic systems.
```

First cell from whereon the fitting of the topological extrapolation is started.

PeriodicZlmFit%firstTopoCell

Type int

Description

# PeriodicZlmFit%fKSpaceCutoff **Type** float **Description** Cutoff criterion used in k-space. (Only 3D) PeriodicZlmFit%fMultipoleCoeff **Type** float\_array **Description** Atomic multipole cofs. Shape [nAtoms, nMultipolesFourier] PeriodicZlmFit%lastTopoCell **Type** int **Description** Last cell from whereon the fitting of topological extrapolation is started. For cells>lastTopoCell the extrapolation is used. PeriodicZlmFit%nAtoms **Type** int **Description** Number of atoms. PeriodicZlmFit%nDim **Type** int **Description** Numer of lattice vectors. (Same as geometry%ndim) PeriodicZlmFit%neutralizingCharges **Type** float\_array **Description** PeriodicZlmFit%nMultipolesFourier **Type** int **Description** Number of multipoles used for the Fourier expansion. Only used for 3D periodic systems.

**Type** int

```
Description
     PeriodicZlmFit%orderTopoCells
              Type
                 int
              Description
                 Order used to generate topological cells.
     PeriodicZlmFit%orderTopoTrick
              Type
                  int
              Description
                 Order for the topological extrapolation.
     PeriodicZlmFit%Ren.ChargeMethod
              Type
                 int
              Description
                 Method used to enforce charge neutrality. Either 1 or 2.
     PeriodicZlmFit%TotalCharge
              Type
                  float
              Description
     PeriodicZlmFit%xyzNeutralizingCharges
              Type
                  float_array
              Description
PeriodicZlmFit(pot)
     Section content: ZlmFit info related to periodic systems.
     PeriodicZlmFit(pot)%aVec
              Type
                  float_array
              Description
                  The lattice stored as a 3xnLattice Vectors matrix. Only the ndimk, ndimk part has meaning.
              Unit
                  bohr
              Shape
                  [kspace%ndim, kspace%ndim]
     PeriodicZlmFit (pot) %cellCenter
              Type
                  float_array
```

```
Description
            Origin of the cell.
         Shape
             [3]
PeriodicZlmFit (pot) %cellMultipoles
         Type
             float_array
         Description
             Multipole coefficients for the cells. Dimension: self%zlmFit%lMax+1)**2
         Shape
             [:]
PeriodicZlmFit (pot) %fGaussianW
         Type
             float
         Description
             Width for the gaussians around atom centers. (Only 3D)
PeriodicZlmFit (pot) %fGridSpacing
         Type
             float
         Description
             Spacing for the fourier grid. Only used for 3D periodic systems.
PeriodicZlmFit (pot) %firstTopoCell
         Type
             int
         Description
            First cell from whereon the fitting of the topological extrapolation is started.
PeriodicZlmFit (pot) %fKSpaceCutoff
         Type
             float
         Description
            Cutoff criterion used in k-space. (Only 3D)
PeriodicZlmFit (pot) %fMultipoleCoeff
         Type
            float_array
         Description
             Atomic multipole cofs.
         Shape
             [nAtoms, nMultipolesFourier]
PeriodicZlmFit (pot) %lastTopoCell
         Type
             int
```

Last cell from whereon the fitting of topological extrapolation is started. For cells>lastTopoCell the extrapolation is used.

# PeriodicZlmFit (pot) %nAtoms

```
Type
```

int

### **Description**

Number of atoms.

# PeriodicZlmFit (pot) %nDim

**Type** 

int

# **Description**

Numer of lattice vectors. (Same as geometry%ndim)

### PeriodicZlmFit (pot) %neutralizingCharges

**Type** 

float\_array

# **Description**

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### PeriodicZlmFit (pot) %nMultipolesFourier

**Type** 

int

# **Description**

Number of multipoles used for the Fourier expansion. Only used for 3D periodic systems.

# PeriodicZlmFit(pot)%nNeutralizingCharges

**Type** 

int

# **Description**

?

# PeriodicZlmFit (pot) %orderTopoCells

Type

int

### **Description**

Order used to generate topological cells.

# PeriodicZlmFit (pot) %orderTopoTrick

**Type** 

int

### **Description**

Order for the topological extrapolation.

# PeriodicZlmFit (pot) %Ren.ChargeMethod

Type

int

```
Description
                 Method used to enforce charge neutrality. Either 1 or 2.
     PeriodicZlmFit (pot) %TotalCharge
             Type
                 float
             Description
     PeriodicZlmFit (pot) %xyzNeutralizingCharges
                 float_array
             Description
PeriodicZlmFit (pot) \hbox{-} ZlmFit
     Section content: General zlm fit info.
     PeriodicZlmFit (pot) -ZlmFit%densityThresh
             Type
                 float_array
             Description
                 Threshold for the density.
             Shape
                 [nAtoms]
     PeriodicZlmFit(pot)-ZlmFit%lMax
             Type
                 int
             Description
                 Number of atoms.
     PeriodicZlmFit (pot) -ZlmFit%lMaxExpansion
             Type
                 int_array
             Description
                 Maximum l-value for the fit functions per atom.
             Shape
                 [nAtoms]
     PeriodicZlmFit(pot)-ZlmFit%maxNPointsRadGrid
             Type
                 int
             Description
     PeriodicZlmFit (pot) -ZlmFit%nAtoms
             Type
                 int
```

```
Description
            Number of atoms.
PeriodicZlmFit (pot) -ZlmFit%nRadialPoints
        Type
            int_array
        Description
            Number of radial points per atom.
        Shape
            [nAtoms]
PeriodicZlmFit (pot) -ZlmFit%nSpin
        Type
            int
        Description
            Number of spin components.
        Possible values
            [1, 2]
PeriodicZlmFit (pot) -ZlmFit%potentialThresh
        Type
            float_array
        Description
            Threshold for the potential.
        Unit
            a.u.
        Shape
            [nAtoms]
PeriodicZlmFit(pot)-ZlmFit%projCoeff
        Type
            float_array
        Description
            Projection coefficients.
        Shape
            [sizeProjCoeff]
PeriodicZlmFit (pot) - ZlmFit%pruning
        Type
            bool
        Description
            Whether or not to prune.
PeriodicZlmFit (pot) -ZlmFit%pruningL
        Type
            int
        Description
```

?.

```
PeriodicZlmFit (pot) -ZlmFit%pruningThreshDist
             Type
                 float
             Description
                 Distance threshold for pruning.
             Unit
                 bohr
     PeriodicZlmFit (pot) -ZlmFit%radialGrid
                 float_array
             Description
                 Radial grids per atom.
             Shape
                 [nAtoms, maxNPointsRadGrid]
     PeriodicZlmFit(pot)-ZlmFit%sizeProjCoeff
             Type
                 int
             Description
     PeriodicZlmFit (pot) -ZlmFit%xyzAtoms
             Type
                 float_array
             Description
                 Atom coordinates.
             Unit
                 bohr
             Shape
                 [3, nAtoms]
PeriodicZlmFit-ZlmFit
     Section content: General zlm fit info.
     PeriodicZlmFit-ZlmFit%densityThresh
             Type
                 float_array
             Description
                 Threshold for the density.
             Shape
                 [nAtoms]
     PeriodicZlmFit-ZlmFit%lMax
             Type
                 int
             Description
```

Number of atoms.

# PeriodicZlmFit-ZlmFit%lMaxExpansion **Type** int\_array **Description** Maximum l-value for the fit functions per atom. Shape [nAtoms] PeriodicZlmFit-ZlmFit%maxNPointsRadGrid **Type** int **Description** PeriodicZlmFit-ZlmFit%nAtoms **Type** int **Description** Number of atoms. PeriodicZlmFit-ZlmFit%nRadialPoints **Type** int\_array **Description** Number of radial points per atom. Shape [nAtoms] PeriodicZlmFit-ZlmFit%nSpin **Type** int **Description** Number of spin components. Possible values [1, 2]PeriodicZlmFit-ZlmFit%potentialThresh **Type** float\_array **Description** Threshold for the potential. Unit a.u. Shape [nAtoms]

PeriodicZlmFit-ZlmFit%projCoeff

```
Type
            float_array
        Description
            Projection coefficients.
        Shape
            [sizeProjCoeff]
PeriodicZlmFit-ZlmFit%pruning
        Type
            bool
        Description
            Whether or not to prune.
PeriodicZlmFit-ZlmFit%pruningL
        Type
            int
        Description
PeriodicZlmFit-ZlmFit%pruningThreshDist
        Type
            float
        Description
            Distance threshold for pruning.
        Unit
            bohr
PeriodicZlmFit-ZlmFit%radialGrid
        Type
            float_array
        Description
            Radial grids per atom.
        Shape
            [nAtoms, maxNPointsRadGrid]
PeriodicZlmFit-ZlmFit%sizeProjCoeff
        Type
            int
        Description
            ?.
PeriodicZlmFit-ZlmFit%xyzAtoms
        Type
            float_array
        Description
            Atom coordinates.
        Unit
```

bohr

```
Shape
                  [3, nAtoms]
phonon_curves
     Section content: Phonon dispersion curves.
     phonon_curves%brav_type
              Type
                 string
              Description
                 Type of the lattice.
     phonon_curves%Edge_#_bands
              Type
                  float_array
              Description
                 The band energies
              Shape
                  [nBands, nSpin, :]
     phonon_curves%Edge_#_direction
              Type
                  float_array
              Description
                 Direction vector.
              Shape
                  [nDimK]
     phonon_curves%Edge_#_kPoints
              Type
                 float_array
              Description
                  Coordinates for points along the edge.
              Shape
                  [nDimK,:]
     phonon_curves%Edge_#_labels
              Type
                  lchar_string_array
              Description
                  Labels for begin and end point of the edge.
              Shape
                  [2]
     phonon_curves%Edge_#_1Gamma
              Type
                  bool
              Description
```

Is gamma point?

```
phonon_curves%Edge_#_nKPoints
        Type
            int
        Description
            The nr. of k points along the edge.
phonon_curves%Edge_#_vertices
        Type
            float_array
        Description
            Begin and end point of the edge.
        Shape
            [nDimK, 2]
phonon_curves%Edge_#_xFor1DPlotting
        Type
            float_array
        Description
            x Coordinate for points along the edge.
        Shape
            [:]
phonon_curves%indexLowestBand
        Type
            int
        Description
phonon_curves%nBands
        Type
            int
        Description
            Number of bands.
phonon_curves%nBas
        Type
            int
        Description
            Number of basis functions.
{\tt phonon\_curves\$nDimK}
        Type
            int
        Description
            Dimension of the reciprocal space.
phonon_curves%nEdges
        Type
            int
```

The number of edges. An edge is a line-segment through k-space. It has a begin and end point and possibly points in between.

### phonon\_curves%nEdgesInPath

### **Type**

int

### **Description**

A path is built up from a number of edges.

# phonon\_curves%nSpin

# **Type**

int

### **Description**

Number of spin components.

# Possible values

[1, 2]

### phonon\_curves%path

# **Type**

int\_array

### Description

If the (edge) index is negative it means that the vertices of the edge abs(index) are swapped e.g. path = (1,2,3,0,-3,-2,-1) goes though edges 1,2,3, then there's a jump, and then it goes back.

### **Shape**

[nEdgesInPath]

# phonon\_curves%path\_source

### **Type**

string

### **Description**

Source or program used to generate the path.

### Possible values

['input', 'kpath', 'seekpath']

# phonon\_curves%path\_type

#### **Type**

string

### Description

?

### **Phonons**

**Section content:** Information on the numerical phonons (super cell) setup. NB: the reciprocal cell of the super cell is smaller than the reciprocal primitive cell.

### Phonons%Modes

### **Type**

float\_array

### **Description**

The normal modes with the translational symmetry of the super cell.

Shape

```
[3, nAtoms, 3, NumAtomsPrim, nK]
     Phonons%nAtoms
               Type
                   int
               Description
                   Number of atoms in the super cell.
     Phonons%nK
               Type
                   int
               Description
                   Number of gamma-points (of the super cell) that fit into the primitive reciprocal cell.
     Phonons%NumAtomsPrim
               Type
                   int
               Description
                   Number of atoms in the primitive cell.
     Phonons%xyzKSuper
               Type
                   float_array
               Description
                   The coordinates of the gamma points that fit into the primitive reciprocal cell.
               Shape
                   [3, nK]
Plot
     Section content: Generic section to store x-y plots.
     Plot%numPlots
               Type
                   int
               Description
                   Number of plots.
     Plot%NumPoints(#)
               Type
                   int
               Description
                   Number of x points for plot #.
     Plot%NumYSeries(#)
               Type
                   int
               Description
                   Number of y series for plot #.
     Plot%Title(#)
```

```
Type
                  string
              Description
                  Title of plot #
     Plot%XLabel(#)
               Type
                  string
              Description
                  X label for plot #.
     Plot%XUnit(#)
              Type
                  string
              Description
                  X unit for plot #.
     Plot%XValues(#)
              Type
                  float_array
              Description
                  X values for plot #.
              Shape
                  [:]
     Plot%YLabel(#)
              Type
                  string
              Description
                  Y label for plot #.
     Plot%YUnit(#)
              Type
                  string
              Description
                  Y unit for plot #.
     Plot%YValues(#)
               Type
                  float_array
              Description
                  Y values for plot #. Array has extra column NumYSeries.
PrecType
     Section content: Precision related info.
     PrecType%cutoff
              Type
```

float

cutoff criterion.

# PrecType%dmadel

### **Type**

float

### **Description**

Decay width parameter for the Madelung screening function.

# PrecType%fermfc

```
Type
```

float

### **Description**

Another parameter for the Madelung screening.

# PrecType%ldscrm

```
Type
```

bool

### Description

Use directional screening. Only relevant when Madelung screening is used: old STO fit and COSMO.

# PrecType%ncel

```
Type
```

int

# **Description**

Number of cells.

# PrecType%rcelx

Type

float

# **Description**

Largest distance of all the cells considered.

# PrecType%rfar

Type

float

### **Description**

Maximum extension of radial functions. Not used.

# PrecType%rmadel

**Type** 

float

# **Description**

Distance parameter for the Madelung screening function.

# PrecType%scrcor

Type

float

```
Dependency%Core input parameter. (core/core overlap)
     PrecType%scrcv
              Type
                  float
              Description
                  Dependency%CoreValence input parameter.
     PrecType%scrfit
              Type
                  float
              Description
                  Dependency%Fit input parameter.
     PrecType%scrval
              Type
                  float
              Description
                  Dependency%Basis input parameter.
     PrecType%Serializer::type
              Type
                  string_fixed_length
              Description
                  Information for the Serializer code.
Properties
     Section content: Property section.
     Properties%BP atoms
              Type
                  int_array
              Description
     Properties%BP number of
              Type
                  int
              Description
                  Number of bond paths (QTAIM).
     Properties%BP shift
              Type
                  float_array
              Description
                  (lattice) shifts for start and end atoms
              Shape
                  [3, BP number of, 2]
```

Properties%BP step number

```
Type
            int_array
         Description
             Number of steps in the path (QTAIM).
         Shape
             [BP number of]
Properties%BPs and their properties
         Type
             float_array
         Description
         Shape
            [13, :, BP number of]
Properties%CP code number for (Rank, Signatu
         Type
             float_array
         Description
             Characterization of the critical points. 1: (3,-3) atom critical point. 2: (3,+3) cage critical
             point. 3: (3,-1) bond critical point. 4: (3,+1) ring critical point.
         Shape
             [CP number of]
Properties%CP coordinates
         Type
             float_array
         Description
            Coordinates of the critical points (QTAIM).
         Shape
             [3, CP number of]
Properties%CP density at
         Type
             float_array
         Description
            Density at the critical points (QTAIM).
         Shape
             [CP number of]
Properties%CP density gradient at
         Type
            float_array
         Description
            Gradient of the density at the critical points (QTAIM).
         Shape
             [3, CP number of]
```

### Properties%CP density Hessian at

### **Type**

float\_array

### **Description**

Hessian of the density at the critical points (QTAIM). Compressed symmetric storage.

### Shape

[6, CP number of]

# Properties%CP number of

### **Type**

int

### **Description**

Number of critical points for the density (QTAIM).

# Properties%nEntries

```
Type
```

int

# **Description**

Number of properties.

# Properties%Subtype(#)

### **Type**

string\_fixed\_length

### **Description**

Extra detail about the property. For a charge property this could be Mulliken.

# Properties%Type(#)

**Type** 

string

### **Description**

Type of the property, like energy, gradients, charges, etc.

# Properties%Value(#)

# **Type**

float\_array

# **Description**

The value(s) of the property.

### radial

**Section content:** A system can be built up from fragments, allowing an energy decomposition. The bonding energy will be with respect to the fragments.

### radial%cutoff

**Type** 

float

# **Description**

Cutt off criterion for the radial tables.

### radial%ncelforscreening

```
Type
             int
         Description
             Number of cells needed for screening (obsolete).
radial%ncore
         Type
             int_array
         Description
             Number of radial core functions per type.
         Shape
             [geometry%ntyp]
radial%nfit
         Type
             int_array
         Description
             Number of radial core functions per type.
         Shape
             [geometry%ntyp]
radial%nr
         Type
             int_array
         Description
             Number of radial points per type.
         Shape
             [geometry%ntyp]
radial%nrx
         Type
             int
         Description
             Maximum number of radial points for any type.
radial%rad
         Type
             float_array
         Description
             Maximum number of radial points for any type.
         Shape
             [nrx, geometry%ntyp]
radial%xyzcelforscreening
         Type
             float_array
         Description
             Cell coordinates needed for screening (obsolete).
```

### Shape

[3, ncelforscreening]

### radial tables type#

**Section content:** Information about the eigensystem.

### radial tables type#%alj

### **Type**

string

### **Description**

Labels for the valence orbitals with each label having a length of six characters.

# radial tables type#%d2rho

### **Type**

float\_array

### **Description**

Second derivative of the density.

### Shape

[nRadialPoints]

# radial tables type#%dfxc

### **Type**

float\_array

# Description

Derivative of the XC energy density.

# Shape

[nRadialPoints]

# radial tables type#%drho

# Type

float\_array

# Description

Radial derivative of density.

### Shape

[nRadialPoints]

# radial tables type#%drho(valence)

### **Type**

float\_array

# **Description**

Radial derivative of valence density.

# Shape

[nRadialPoints]

# radial tables type#%dvcoul

### **Type**

float\_array

### **Description**

Derivative of the Coulomb potential.

```
Shape
             [nRadialPoints]
radial tables type#%ecor
         Type
             float_array
         Description
            Some hardcoded X+C energies, like Becke88X+Perdew86c.
         Unit
            hartree
         Shape
            [14]
radial tables type#%ekin(valence)
         Type
            float
         Description
             Kinetic energy due to the sum of valence NAOs.
         Unit
            hartree
radial tables type#%eorb
         Type
             float_array
         Description
             Valence orbital energies. The number of spin components is usually 1, unless one uses unre-
            stricted reference.
         Shape
            [nao, :]
radial tables type#%etotal
         Type
            float_array
         Description
             Total energy for 14 hardcoded functionals.
         Unit
            hartree
         Shape
             [14]
radial tables type#%excterms
         Type
             float_array
         Description
            Some hardcoded xc terms, like Becke88X.
         Unit
            hartree
```

# Shape [20] radial tables type#%fxc **Type** float\_array **Description** XC energy density. Shape [nRadialPoints] radial tables type#%gradPot **Type** float\_array **Description** Derivative of the KS potential. Shape [nRadialPoints] radial tables type#%gradRho(core) **Type** float\_array **Description** Radial derivative of the core density. Shape [nRadialPoints] radial tables type#%gradRho(valence) Type float\_array **Description** Radial derivative of the valence density. Shape [nRadialPoints] radial tables type#%gradTau(core) **Type** float\_array **Description** Gradient of core contribution to tau. Shape [nRadialPoints] radial tables type#%nao **Type**

Number of numerical atomic orbitals (solutions for a spherical atom).

int **Description** 

```
radial tables type#%ncell
        Type
            int
        Description
            Number of cells.
radial tables type#%ncore
        Type
            int
        Description
            Number of core orbitals.
radial tables type#%neutralizing density
        Type
            float_array
        Description
            May be used for atomic based neutralizing densities (charged cells).
            [nRadialPoints]
radial tables type#%nfit
        Type
            int
        Description
            Number of fit functions.
radial tables type#%nRadialPoints
        Type
            int
        Description
            Number of radial points.
radial tables type#%nspna
        Type
            int
        Description
            Spin multiplicity for spherical atoms, in practice this is always 1.
radial tables type#%qeff
        Type
            float
        Description
            Nett charge of the atom, usually zero.
radial tables type#%qnao
        Type
            float_array
        Description
            Occupations of the valence NAOs.
```

```
Shape
            [nao]
radial tables type#%qnuclr
        Type
            float
        Description
            Charge of the nucleus (almost always the atomic number).
radial tables type#%qval
        Type
            float
        Description
            Total valence occupation.
radial tables type#%radius of most diffuse NAO
        Type
            float
        Description
            Radius of the most diffuse NAO.
        Unit
            bohr
radial tables type#%rho
        Type
            float_array
        Description
            Density.
        Shape
            [nRadialPoints]
radial tables type#%rho(valence)
        Type
            float_array
        Description
            Valence density.
        Shape
            [nRadialPoints]
radial tables type#%secDerRho(core)
            float_array
        Description
            Second derivative of the core density.
        Shape
            [nRadialPoints]
radial tables type#%secDerRho(valence)
```

```
Type
            float_array
        Description
            Second derivative of the valence density.
        Shape
            [nRadialPoints]
radial tables type#%tau
        Type
            float_array
        Description
            Tau =0.5*(dpsi)**2.
            [nRadialPoints]
radial tables type#%tau(core)
        Type
            float_array
        Description
            Core contribution to tau.
        Shape
            [nRadialPoints]
radial tables type#%tau(valence)
        Type
            float_array
        Description
            Valence contribution to tau.
        Shape
            [nRadialPoints]
radial tables type#%tauAsymVal
        Type
            float_array
        Description
            Asymmetric valence tau (=0.5 psi d**2 psi).
        Shape
            [nRadialPoints]
radial tables type#%totalPotential
        Type
            float_array
        Description
            Total KS potential.
        Shape
            [nRadialPoints]
radial tables type#%valkin
```

```
Type
                  float_array
              Description
                  Valence kinetic energy density.
              Shape
                  [nRadialPoints]
     radial tables type#%vcoul
              Type
                  float_array
              Description
                  Coulomb potential.
                  [nRadialPoints]
     radial tables type#%vxc
              Type
                  float_array
              Description
                  XC potential.
                  [nRadialPoints]
RadialAtomicFunctions
     Section content: Info regarding spherical atom centered functions.
     RadialAtomicFunctions%d2RadialFunc(#{func},#{type})
              Type
                  float_array
              Description
                  Second derivative of the radial function.
              Shape
                  [NumericalBasisSets%NumRad(#{type})]
     RadialAtomicFunctions%dRadialFunc(#{func}, #{type})
              Type
                  float array
              Description
                  Derivative of the radial function.
              Shape
                  [NumericalBasisSets%NumRad(#{type})]
     RadialAtomicFunctions%FunctionType(#{func}, #{type})
              Type
                  string
              Description
                  FunctionType(a,b) gives the name of function a for type b. It could have a value like core
```

density.

#### RadialAtomicFunctions%nFunctions

#### **Type**

int

## **Description**

The number of radial functions stored for each type. For instance if you store the core and the valence density it is two.

#### RadialAtomicFunctions%nTypes

```
Type
```

int

## **Description**

The number of types: elements with a different basis set. Normally this is just the number of distinct elements in the system.

## RadialAtomicFunctions%RadialFunc(#{func}, #{type})

```
Type
```

float\_array

#### **Description**

RadialFunc(a,b) gives the radial table for function a for type b

#### Shape

[NumericalBasisSets%NumRad(#{type})]

#### response

**Section content:** Old response.

#### response%nfrad

**Type** 

int\_array

#### **Description**

Number of radial functions per type.

#### Shape

[geometry%ntyp]

## response%xyzcel

**Type** 

float\_array

#### **Description**

Cell coordinates.

#### Shape

[3, PrecType%ncel]

## Response TD-CDFT

**Section content:** Results of te response calculation.

#### Response TD-CDFT%ActiveXYZ

Type

bool\_array

## Description

Whether the cartesian components are active.

# Shape [3] nse TD-

## Response TD-CDFT%Converged

#### **Type**

bool\_array

## **Description**

Whether the response-SCF converged for all frequencies.

#### Shape

[Number of Frequencies]

## Response TD-CDFT%DielecFunc - Imag Part

#### **Type**

float\_array

#### **Description**

Imaginary part of the dielectric function.

#### Shape

[3, 3, Number of Frequencies]

## Response TD-CDFT%DielecFunc - Real Part

#### **Type**

float\_array

## Description

Real part of dielectric function.

## Shape

[3, 3, Number of Frequencies]

## Response TD-CDFT%DielecFunc-boots - Imag Part

## Type

float\_array

#### **Description**

Imaginary part of the dielectric function.

#### Shape

[3, 3, Number of Frequencies]

## Response TD-CDFT%DielecFunc-boots - Real Part

#### **Type**

float\_array

## **Description**

Real part of dielectric function.

#### **Shape**

[3, 3, Number of Frequencies]

## Response TD-CDFT%Frequencies in au

#### **Type**

float\_array

#### **Description**

Frequencies.

```
Shape
            [Number of Frequencies]
Response TD-CDFT%Number of Frequencies
        Type
            int
        Description
            Number of frequencies.
Response TD-CDFT%Polarisabi - Imag Part
        Type
            float_array
        Description
            Imaginary part of the Polarizability.
            [3, 3, Number of Frequencies]
Response TD-CDFT%Polarisabi - Real Part
        Type
            float_array
        Description
            Real part of Polarizability.
        Shape
            [3, 3, Number of Frequencies]
Response TD-CDFT%Polarisabi-boots - Imag Part
        Type
            float_array
        Description
            Imaginary part of the Polarizability.
            [3, 3, Number of Frequencies]
Response TD-CDFT%Polarisabi-boots - Real Part
        Type
            float_array
        Description
            Real part of Polarizability.
            [3, 3, Number of Frequencies]
Response TD-CDFT%RefraIndex - Imag Part
        Type
            float_array
        Description
            Imaginary part of the refractive index.
            [3, 3, Number of Frequencies]
```

# Response TD-CDFT%RefraIndex - Real Part **Type** float\_array **Description** Real part of refractive index. Shape [3, 3, Number of Frequencies] Response TD-CDFT%RefraIndex-boots - Imag Part **Type** float\_array **Description** Imaginary part of the refractive index. [3, 3, Number of Frequencies] Response TD-CDFT%RefraIndex-boots - Real Part **Type** float\_array **Description** Real part of refractive index. Shape [3, 3, Number of Frequencies] Response TD-CDFT%Susceptibi - Imag Part **Type** float\_array **Description** Imaginary part of the susceptibility. [3, 3, Number of Frequencies] Response TD-CDFT%Susceptibi - Real Part **Type** float\_array **Description** Real part of the susceptibility. [3, 3, Number of Frequencies] Response TD-CDFT%Susceptibi-boots - Imag Part **Type** float\_array **Description** Imaginary part of the susceptibility. [3, 3, Number of Frequencies]

#### Response TD-CDFT%Susceptibi-boots - Real Part

#### **Type**

float\_array

#### **Description**

Real part of the susceptibility.

#### Shape

[3, 3, Number of Frequencies]

## ResponseEigenSystem

**Section content:** Store eignesystem in another way.

#### ResponseEigenSystem%Data

#### **Type**

float\_array

#### **Description**

Outer loop over kun, then spin. eigenvalues are written and real part of eigsys followed by the imag part.

#### ResponseEigenSystem%EigenValues

## **Type**

float\_array

#### **Description**

Just the eigenvalues. Like in data but without the eigenvectors.

#### Response Restart Info

**Section content:** Info for restarting new response.

## ResponseRestartInfo%IsActiveXYZ

```
Type
```

bool\_array

#### **Description**

Whether the cartesian components are active.

## Shape

[3]

## ResponseRestartInfo%LowFreqAlgo

Type

bool

#### **Description**

Whether to use the low frequency algorithm.

## ${\tt ResponseRestartInfo\%nBand}$

**Type** 

int

#### **Description**

Number of bands.

## ResponseRestartInfo%nBas

**Type** 

# **Description** Number of basis functions.

## ResponseRestartInfo%nFreq

**Type** 

int

#### **Description**

Number of frequencies.

## ResponseRestartInfo%nFul

**Type** 

int

#### **Description**

Number of fully occupied bands.

## ResponseRestartInfo%nOcc

**Type** 

int

## **Description**

Number of bands with an occupation > 0.

## ResponseRestartInfo%nPar

**Type** 

int

#### **Description**

Number of partially occupied bands.

## ResponseRestartInfo%nSpin

**Type** 

int

#### **Description**

Number of spin components.

## Possible values

[1, 2]

## ResponseRestartInfo%nVir

**Type** 

int

## **Description**

Number of virtual (unoccupied) bands.

## **RPA** energies

**Section content:** ?

## RPA energies%Direct RPA correlation

**Type** 

float

## **Description**

#### RPA energies%GM Delta P correlation

```
Type
                float_array
             Description
     RPA energies%RPA correlation
             Type
                float
             Description
     RPA energies%RPA exchange
             Type
                float
             Description
     RPA energies%RPA xc
             Type
                float_array
             Description
     RPA energies%SOS-MP2 correlation
             Type
                float
             Description
     RPA energies%SOX
             Type
                float
             Description
Scalar Atomic Properties
     Section content: Scalar numbers per atom.
     Scalar Atomic Properties%*
             Type
                float_array
             Description
             Shape
                [Molecule%nAtoms]
     Scalar Atomic Properties%Number of properties
             Type
                int
```

```
Description
                 Number of properties.
     Scalar Atomic Properties%Property names
              Type
                 lchar_string_array
              Description
                 names of the properties.
              Shape
                 [Number of properties]
SCCLogger
     Section content: Information on the progress of the SCF procedure.
     SCCLogger%coefficients(#)
              Type
                 float_array
              Description
     SCCLogger%Converged
              Type
                 bool
              Description
     SCCLogger%Criterion
              Type
                 float
              Description
     SCCLogger%currentEntryOpen
              Type
                 bool
              Description
                 Information might be incomplete when this is True.
     SCCLogger%error(#{cycle})
              Type
                 float
              Description
                 Self Consistent error in the density.
     SCCLogger%ItemName(#)
              Type
                 string
              Description
```

Allowed names per cycle in this section.

```
Possible values
            ['mix', 'error', 'nvctr', 'method', 'nVectors', 'iterationNumbers', 'coefficients']
SCCLogger%iterationNumbers(#)
        Type
            int_array
        Description
SCCLogger%LastError
        Type
            float
        Description
SCCLogger%method(#{cycle})
            string
        Description
            A letter indicating which algorithm was used. m: mixing, d: diis.
SCCLogger%mix(#{cycle})
        Type
            float
        Description
            Mixing parameter used.
SCCLogger%nEntries
        Type
            int
        Description
            Number of SCF cycles done.
SCCLogger%nFailedSCF
        Type
            int
        Description
SCCLogger%nIterations
        Type
        Description
SCCLogger%nIterationsGlobal
        Type
            int
        Description
```

```
SCCLogger%nSCF
              Type
                  int
              Description
     SCCLogger%nvctr(#{cycle})
              Type
                  int
              Description
                  Number of older densities used to guess the next density.
     SCCLogger%nVectors(#)
              Type
                  int
              Description
scf
     Section content: Information about the self consistent procedure. Mostly outdated.
     scf%degenerate
              Type
                  bool
              Description
                  Degenerate option to make weights for degenerate bands equal.
     scf%parmin
              Type
                  float
              Description
                  Minimum for the mixing parameter.
     scf%parmix
              Type
                  float
              Description
                  Mixing parameter.
     scf%scfrtx
              Type
                  float
              Description
Symmetry
     Section content: Info regarding the symmetry of the system.
     Symmetry%iatopr
              Type
                  int_array
```

Each operator maps an atom to another atom: jAtom=iatopr(iAtom,iOper).

#### Shape

[Molecule%nAtoms, Nr. of operators]

## Symmetry%Inverse Operator Index

#### **Type**

int\_array

#### **Description**

Which operator is the inverse operator?

#### Shape

[Nr. of operators]

## Symmetry%lxsum

#### **Type**

int

## **Description**

If lmax is the max l value occurring this is  $\sup_{l=1}^{l} \max (2*l+1)**2$ : the total nr. of spherical harmonics with l smaller or equal than lmax.

## Symmetry%Nr. of operators

#### **Type**

int

#### **Description**

The number of symmetry operations.

## Symmetry%Nr. of operators (k-space)

## **Type**

int

## Description

The number of symmetry operations.

#### Symmetry%operatorAtomShift

#### **Type**

float\_array

## **Description**

Each operator can move an atom to another cell. Will be a lattice translation.

#### Shape

[3, Molecule%nAtoms, Nr. of operators]

## Symmetry%Operators

#### **Type**

float\_array

## Description

The point group part of the space group operators.

## Shape

[3, 3, Nr. of operators]

## Symmetry%Operators (k-space)

#### **Type**

float\_array

#### **Description**

The symmetry operators in reciprocal space.

#### Shape

[:, :, Nr. of operators (k-space)]

#### Symmetry%oprzlm

## **Type**

float\_array

## **Description**

Zlm representation of the operators. An operator working on l,m, makes it into a linear combi of the same l.

#### Shape

[lxsum, Nr. of operators]

## Symmetry%Translations

#### **Type**

float\_array

## **Description**

The translation part of the space group operators (partial fractional lattice displacements).

#### Shape

[3, Nr. of operators]

## **SystType**

**Section content:** Basis set and other aspects of the calculation.

## SystType%ioptxc

#### **Type**

int

## **Description**

Obscure XC option. 0: x-alpha, 1: vosko-wilk-nusair, 2: vosko-wilk-nusair + stoll correction.

## SystType%lcorex

## **Type**

int

#### **Description**

Maximum 1-value for frozen core functions.

## SystType%lfitx

## **Type**

int

#### **Description**

Maximum l-value for STO fit functions (if any).

## SystType%lvalx

## Type

Maximum 1-value for valence functions.

## SystType%lxsum

#### **Type**

int

#### **Description**

Space required to describe mapping of Zlm's under a symmetry operation. The maximum l is max(lcorex,lfitx,lvalx).

## SystType%nband

## **Type**

int

## Description

Number of bands to be stored or printed. Smaller or equal to nbas.

## SystType%nbas

```
Type
```

int

## **Description**

Number of (valence) basis functions.

## SystType%ncores

```
Type
```

int

## **Description**

Number of frozen core functions.

## SystType%ncorex

```
Type
```

int

## **Description**

Obsolete, not used. Maximum nr. of radial core functions per type.

## SystType%ncorez

## Type

int

#### **Description**

Number of slater type core functions (obsolete, not used).

## SystType%nfitt

```
Type
```

int

#### **Description**

Number of STO fit functions.

## SystType%nfitx

## Type

Maximum number of radial STO fit functions per type.

## SystType%nfrag

**Type** 

int

#### **Description**

Number of fragments to be used for the calculation.

## SystType%ngross

**Type** 

int

#### **Description**

Number of user requested gross populations to print.

## SystType%noverl

**Type** 

int

#### **Description**

Number of user requested overlap populations to print.

## SystType%nspin

**Type** 

int

## **Description**

Number of spin components for the eigenvectors. 1) Spin restricted, 2) Spin unrestricted: eigenvectors can be symmetry labeled up or down.

#### Possible values

[1, 2]

## SystType%nspino

**Type** 

int

## Description

Number of spin components for the basis set. 1) Scalar or non relativistic, 2) Spin orbit. With nspino=2 nspin=1, as eigenvectors do not have a up or down symmetry label. The density has max(nspin,nspin) components. In case of noncollinear magnetization it even is a 2x2 complex Hermitian matrix.

## Possible values

[1, 2]

## SystType%nsymft

**Type** 

int

## Description

Number of symmetric STO fit function combinations.

## SystType%nvalx

**Type** 

Maximum number of radial valence functions per type.

## SystType%qelec

**Type** 

float

#### **Description**

The number of valence electrons (not including a nett charge of the system).

## SystType%Serializer::type

**Type** 

string\_fixed\_length

#### **Description**

Information for the Serializer code.

## SystType%vsplit

**Type** 

float

## **Description**

Add vsplit to the spin up potential at the first SCF cycle to break the initial exact spin symmetry.

## SystType%xcpar

**Type** 

float

#### **Description**

x-alpha parameter.

## SystType%zora

**Type** 

bool

#### **Description**

Whether to use the relativistic ZORA approximation (zora=yes) or the nonrelativistic one (zora=no).

#### **Thermodynamics**

**Section content:** Thermodynamic properties computed from normal modes.

## ${\tt Thermodynamics \% Enthalpy}$

**Type** 

float\_array

## **Description**

Enthalpy.

Unit

a.u.

#### Shape

[nTemperatures]

## Thermodynamics%Entropy rotational

**Type** 

float\_array

```
Description
            Rotational contribution to the entropy.
        Unit
            a.u.
        Shape
            [nTemperatures]
Thermodynamics%Entropy total
        Type
            float_array
        Description
            Total entropy.
        Unit
            a.u.
        Shape
            [nTemperatures]
Thermodynamics%Entropy translational
        Type
            float_array
         Description
            Translational contribution to the entropy.
        Unit
            a.u.
        Shape
            [nTemperatures]
Thermodynamics%Entropy vibrational
        Type
            float_array
         Description
            Vibrational contribution to the entropy.
        Unit
            a.u.
        Shape
            [nTemperatures]
Thermodynamics%Gibbs free Energy
         Type
            float_array
        Description
            Gibbs free energy.
        Unit
            a.u.
        Shape
            [nTemperatures]
```

```
Thermodynamics%Heat Capacity rotational
        Type
            float_array
        Description
            Rotational contribution to the heat capacity.
        Unit
        Shape
            [nTemperatures]
Thermodynamics%Heat Capacity total
        Type
            float_array
        Description
            Total heat capacity.
        Unit
            a.u.
        Shape
            [nTemperatures]
Thermodynamics%Heat Capacity translational
            float_array
        Description
            Translational contribution to the heat capacity.
        Unit
            a.u.
        Shape
            [nTemperatures]
Thermodynamics%Heat Capacity vibrational
        Type
            float_array
        Description
            Vibrational contribution to the heat capacity.
        Unit
            a.u.
        Shape
            [nTemperatures]
Thermodynamics%Inertia direction vectors
            float_array
        Description
            Inertia direction vectors.
```

```
Shape
            [3, 3]
Thermodynamics%Internal Energy rotational
        Type
            float_array
        Description
            Rotational contribution to the internal energy.
        Unit
            a.u.
        Shape
            [nTemperatures]
Thermodynamics%Internal Energy total
        Type
            float_array
        Description
            Total internal energy.
        Unit
            a.u.
Thermodynamics%Internal Energy translational
            float_array
        Description
            Translational contribution to the internal energy.
        Unit
            a.u.
        Shape
            [nTemperatures]
Thermodynamics%Internal Energy vibrational
        Type
            float_array
        Description
            Vibrational contribution to the internal energy.
        Unit
            a.u.
        Shape
            [nTemperatures]
Thermodynamics%lowFreqEntropy
        Type
            float_array
        Description
            Entropy contributions from low frequencies (see 'lowFrequencies').
```

```
Unit
             a.u.
         Shape
             [nLowFrequencies]
Thermodynamics%lowFreqHeatCapacity
         Type
             float_array
         Description
             Heat capacity contributions from low frequencies (see 'lowFrequencies').
         Unit
             a.u.
         Shape
             [nLowFrequencies]
Thermodynamics%lowFreqInternalEnergy
         Type
             float_array
         Description
             Internal energy contributions from low frequencies (see 'lowFrequencies').
         Unit
             a.u.
         Shape
             [nLowFrequencies]
Thermodynamics%lowFrequencies
         Type
             float_array
         Description
             Frequencies below 20 cm^-1 (contributions from frequencies below 20 cm^-1 are not included
             in vibrational sums, and are saved separately to 'lowFreqEntropy', 'lowFreqInternalEnergy' and
             'lowFreqInternalEnergy'). Note: this does not apply to RRHO-corrected quantities.
         Unit
             cm^-1
         Shape
             [nLowFrequencies]
Thermodynamics%Moments of inertia
         Type
             float_array
         Description
             Moments of inertia.
         Unit
             a.u.
         Shape
             [3]
```

Thermodynamics%nLowFrequencies

## **Type**

int

#### Description

Number of elements in the array lowFrequencies.

#### Thermodynamics%nTemperatures

#### **Type**

int

#### **Description**

Number of temperatures.

#### Thermodynamics%Pressure

#### **Type**

float

## **Description**

Pressure used.

#### Unit

atm

## Thermodynamics%RRHOCorrectedHeatCapacity

## **Type**

float\_array

#### **Description**

Heat capacity T\*S corrected using the 'low vibrational frequency free rotor interpolation corrections'.

## Unit

a.u.

#### Shape

[nTemperatures]

## Thermodynamics%RRHOCorrectedInternalEnergy

#### **Type**

float\_array

#### **Description**

Internal energy T\*S corrected using the 'low vibrational frequency free rotor interpolation corrections'.

#### Unit

a.u.

#### Shape

[nTemperatures]

#### Thermodynamics%RRHOCorrectedTS

#### **Type**

float\_array

#### Description

T\*S corrected using the 'low vibrational frequency free rotor interpolation corrections'.

#### Unit

a.u.

```
Shape
[nTemperatures]
nodynamics%Temper
```

## ${\tt Thermodynamics\$Temperature}$

**Type** 

float\_array

## **Description**

List of temperatures at which properties are calculated.

Unit

a.u.

#### **Shape**

[nTemperatures]

## Thermodynamics%TS

**Type** 

float\_array

## Description

T\*S, i.e. temperature times entropy.

Unit

a.u.

Shape

[nTemperatures]

## **TmpGrad**

Section content: Energy gradient information in the band internal logic: rotation and atom reordering.

## TmpGrad%energy

**Type** 

float

## **Description**

Energy.

## TmpGrad%gradients

**Type** 

float\_array

## **Description**

Energy gradients according to the band internal logic: rotated to standard frame and atom reordering. If not calculated it has zero length.

#### **UnitCell(real space)**

Section content: Information on the Wigner-Seitz cell.

## UnitCell(real space)%boundaries

**Type** 

float\_array

#### **Description**

Normal vectors for the boundaries.

#### Shape

[ndim, nboundaries]

```
UnitCell(real space)%distances
        Type
            float_array
        Description
            Distance to the boundaries.
        Shape
            [nboundaries]
UnitCell(real space)%idVerticesPerBound
        Type
            int_array
        Description
            The indices of the vertices per bound.
        Shape
            [nvertices, nboundaries]
UnitCell(real space)%latticeVectors
        Type
            float_array
        Description
            The lattice vectors.
        Shape
            [3, :]
UnitCell(real space)%nboundaries
        Type
            int
        Description
            The nr. of boundaries for the cell.
UnitCell(real space)%ndim
        Type
            int
        Description
            The nr. of lattice vectors spanning the Wigner-Seitz cell.
UnitCell(real space)%numVerticesPerBound
        Type
            int_array
        Description
            The nr. of vertices per bound.
        Shape
            [nboundaries]
UnitCell(real space)%nvertices
        Type
            int
```

```
Description
                  The nr. of vertices of the cell.
     UnitCell(real space)%vertices
              Type
                  float_array
              Description
                  The vertices of the bounds.
              Unit
                  a.u.
              Shape
                  [ndim, nvertices]
Vibrations
     Section content: Information related to molecular vibrations.
     Vibrations%ExcitedStateLifetime
              Type
                  float
              Description
                  Raman excited state lifetime.
              Unit
                  hartree
     Vibrations%ForceConstants
              Type
                  float_array
              Description
                  The force constants of the vibrations.
              Unit
                  hartree/bohr^2
              Shape
                  [nNormalModes]
     Vibrations%Frequencies[cm-1]
              Type
                  float_array
              Description
                  The vibrational frequencies of the normal modes.
              Unit
                  cm^-1
              Shape
                  [nNormalModes]
     Vibrations%Intensities[km/mol]
              Type
                  float_array
```

# **Description** The intensity of the normal modes. Unit km/mol Shape [nNormalModes] Vibrations%IrReps **Type** lchar\_string\_array **Description** Symmetry symbol of the normal mode. [nNormalModes] Vibrations%ModesNorm2 **Type** float\_array **Description** Norms of the rigid motions. [nNormalModes+nRigidModes] Vibrations%ModesNorm2\* **Type** float\_array **Description** Norms of the rigid motions (for a given irrep...?). [nNormalModes+nRigidModes] Vibrations%nNormalModes **Type** int **Description** Number of normal modes. Vibrations%NoWeightNormalMode(#) **Type** float\_array **Description** ?. Shape [3, Molecule%nAtoms] Vibrations%NoWeightRigidMode(#) **Type**

float\_array

9

#### Shape

[3, Molecule%nAtoms]

## Vibrations%nRigidModes

**Type** 

int

#### **Description**

Number of rigid modes.

## Vibrations%nSemiRigidModes

**Type** 

int

## **Description**

Number of semi-rigid modes.

#### Vibrations%PVDOS

**Type** 

float\_array

## **Description**

Partial vibrational density of states.

#### Values range

[0.0, 1.0]

## Shape

[nNormalModes, Molecule%nAtoms]

## Vibrations%RamanDepolRatioLin

**Type** 

float\_array

## **Description**

Raman depol ratio (lin).

#### Shape

[nNormalModes]

## Vibrations%RamanDepolRatioNat

**Type** 

float\_array

## **Description**

Raman depol ratio (nat).

#### Shape

[nNormalModes]

## Vibrations%RamanIncidentFreq

**Type** 

float

## Description

Raman incident light frequency.

#### Unit

hartree

#### Vibrations%RamanIntens[A^4/amu]

#### **Type**

float\_array

## **Description**

Raman intensities

#### Unit

A^4/amu

#### Shape

[nNormalModes]

## Vibrations%ReducedMasses

#### **Type**

float\_array

## **Description**

The reduced masses of the normal modes.

#### Unit

a.u.

#### Values range

[0, '\infinity']

#### Shape

[nNormalModes]

## Vibrations%RotationalStrength

## **Type**

float\_array

#### **Description**

The rotational strength of the normal modes.

#### Shape

[nNormalModes]

## Vibrations%TransformationMatrix

## Type

float\_array

## **Description**

?

## Shape

[3, Molecule%nAtoms, nNormalModes]

#### Vibrations%VROACIDBackward

#### **Type**

float\_array

## Description

VROA Circular Intensity Differential: Backward scattering.

```
Unit
             10^{-3}
         Shape
             [nNormalModes]
Vibrations%VROACIDDePolarized
         Type
             float_array
         Description
             VROA Circular Intensity Differential: Depolarized scattering.
         Unit
             10-3
         Shape
             [nNormalModes]
Vibrations%VROACIDForward
         Type
             float_array
         Description
             VROA Circular Intensity Differential: Forward scattering.
         Unit
             10-3
         Shape
             [nNormalModes]
Vibrations%VROACIDPolarized
         Type
             float_array
         Description
             VROA Circular Intensity Differential: Polarized scattering.
         Unit
             10-3
         Shape
             [nNormalModes]
Vibrations%VROADeltaBackward
         Type
             float_array
         Description
             VROA Intensity: Backward scattering.
             10<sup>-</sup>3 A^4/amu
         Shape
             [nNormalModes]
```

Vibrations%VROADeltaDePolarized

#### **Type**

float\_array

#### **Description**

VROA Intensity: Depolarized scattering.

#### Unit

10<sup>-</sup>3 A^4/amu

#### Shape

[nNormalModes]

#### Vibrations%VROADeltaForward

#### **Type**

float\_array

## **Description**

VROA Intensity: Forward scattering.

## Unit

10<sup>-</sup>3 A^4/amu

#### Shape

[nNormalModes]

#### Vibrations%VROADeltaPolarized

#### **Type**

float\_array

## **Description**

VROA Intensity: Polarized scattering.

## Unit

10<sup>-</sup>3 A^4/amu

#### Shape

[nNormalModes]

## Vibrations%ZeroPointEnergy

## **Type**

float

## Description

Vibrational zero-point energy.

## Unit

hartree

#### WScell(real\_space)

**Section content:** The Wigner Seitz cell.

## WScell(real\_space)%boundaries

## **Type**

float\_array

## Description

Normal vectors for the boundaries.

#### Shape

[ndim, nboundaries]

```
WScell(real_space)%distances
        Type
            float_array
        Description
            Distance to the boundaries.
        Shape
            [nboundaries]
WScell(real_space)%idVerticesPerBound
        Type
            int_array
        Description
            The indices of the vertices per bound.
            [nvertices, nboundaries]
WScell (real_space) %latticeVectors
        Type
            float_array
        Description
            The lattice vectors.
        Shape
            [3, :]
WScell(real_space)%nboundaries
        Type
            int
        Description
            The nr. of boundaries for the cell.
WScell (real_space) %ndim
        Type
            int
        Description
            The nr. of lattice vectors spanning the Wigner-Seitz cell.
WScell(real_space)%numVerticesPerBound
        Type
            int_array
        Description
            The nr. of vertices per bound.
        Shape
            [nboundaries]
WScell(real_space)%nvertices
        Type
            int
```

```
Description
                  The nr. of vertices of the cell.
     WScell(real_space)%vertices
              Type
                  float_array
              Description
                  The vertices of the bounds.
              Unit
                  a.u.
              Shape
                  [ndim, nvertices]
WScell(reciprocal_space)
     Section content: The Wigner Seitz cell of reciprocal space, i.e. the Brillouin zone.
     WScell(reciprocal_space)%boundaries
              Type
                  float_array
              Description
                  Normal vectors for the boundaries.
                  [ndim, nboundaries]
     WScell(reciprocal_space)%distances
              Type
                  float_array
              Description
                  Distance to the boundaries.
              Shape
                  [nboundaries]
     WScell (reciprocal_space) %idVerticesPerBound
              Type
                  int_array
              Description
                  The indices of the vertices per bound.
              Shape
                  [nvertices, nboundaries]
     WScell (reciprocal_space) %latticeVectors
              Type
                  float_array
              Description
                  The lattice vectors.
              Shape
                  [3, :]
```

WScell(reciprocal\_space)%nboundaries

```
Type
                  int
              Description
                  The nr. of boundaries for the cell.
     WScell (reciprocal_space) %ndim
              Type
                  int
              Description
                  The nr. of lattice vectors spanning the Wigner-Seitz cell.
     WScell(reciprocal_space)%numVerticesPerBound
              Type
                  int_array
              Description
                  The nr. of vertices per bound.
              Shape
                  [nboundaries]
     WScell(reciprocal_space)%nvertices
              Type
              Description
                  The nr. of vertices of the cell.
     WScell(reciprocal_space)%vertices
              Type
                  float_array
              Description
                  The vertices of the bounds.
              Unit
                  a.u.
              Shape
                  [ndim, nvertices]
ZlmFitConfig
     Section content: Configuration options for the Zlm density fit.
     ZlmFitConfig%densityThresh
              Type
                  float_array
              Description
                  Threshold for the density.
                  [nAtoms]
     ZlmFitConfig%gridAngOrder
              Type
                  int_array
```

Angular order (Lebedev grid) per atom.

#### Shape

[nAtoms]

## ZlmFitConfig%lMaxExpansion

#### **Type**

int\_array

#### **Description**

Maximum l-value for the fit functions per atom.

#### Shape

[nAtoms]

## ZlmFitConfig%nAtoms

**Type** 

int

#### **Description**

Number of atoms.

## ZlmFitConfig%nRadialPoints

**Type** 

int\_array

#### **Description**

Number of radial points per atom.

## Shape

[nAtoms]

## ${\tt ZlmFitConfig\$partitionFunThresh}$

**Type** 

float\_array

## **Description**

Threshold for the partition function.

## Shape

[nAtoms]

## ${\tt ZlmFitConfig\$partitionSizeAdjustment}$

**Type** 

bool

## **Description**

Atom dependent partition size?

#### ZlmFitConfig%potentialThresh

**Type** 

float\_array

## Description

Threshold for the potential.

Unit

a.u.

```
Shape
```

[nAtoms]

## ZlmFitConfig%pruning

**Type** 

bool

## Description

Whether or not to prune.

## ${\tt ZlmFitConfig\$pruningGridAngOrder}$

**Type** 

int

## **Description**

?.

## ZlmFitConfig%pruningL

**Type** 

int

## **Description**

?.

## ZlmFitConfig%pruningThreshDist

**Type** 

float

## **Description**

Distance threshold for pruning.

Unit

bohr

**CHAPTER** 

## **FOURTEEN**

**FAQ** 

# 14.1 Where can I find the total energy in the BAND output?

BAND does not calculate the total energy. Instead, it calculates the formation energy with respect to the spherically symmetric spin-restricted atoms. The reason is that this number is easier to determine accurately using numerical integration then the total energy.

# 14.2 What to do with this PEDA ERROR? ERROR DETECTED: Fragments cannot be assigned by a simple translation!

The problem is that BAND is (internally) centralizing the atom positions with respect to the geometrical center of the structure. During this centralization an atom can be positioned on one side of the unit cell or the other. (the result for this individual calculation will be the same in both cases, but for a PEDA calculation that is not true) In your case, the centralization of the fragments and of the PEDA calculation gave results which cannot be transformed into one another by a simple translation.

The solution is to pre-centralize the whole system with respect to the geometrical center of the "bigger" fragment. (since this fragment usually gives this problem) E.g. if you study a surface-adsorbate interaction, you centralize the whole structure w.r.t. the geometrical center of the surface. In some cases the adsorbate fragment might be the reason of the problem. If so, we recommend redefining the unit cell so that the adsorbate is closer to the center of the unit cell than to any of the borders of the unit cell.

If this approach does not solve your problem please send us (support@scm.com) your input and output, so we can look into it.

# 14.3 What are the units in the Density Of States (DOS) plot?

The dimension of the "density of states per unit cell" (DOS)

$$D(E) = \int_{k} dk \sum_{n} \delta(E - \epsilon_{n}(k))$$

is [1/(energy)].

As the number of states at precisely one energy can be a very wild function an average is plotted (not affecting the unit)

$$D^{\text{smoothed}}(E) = \frac{1}{\Delta_E} \int_E^{E + \Delta_E} D(e) \ de$$

The bin-width  $\Delta_E$  is controlled by the input option DOS%DeltaE. To obtain the exact non-smoothed dos, set the Dos%IntegrateDeltaE option to "false". As stated before: the non-smoothed DOS will be a very wild function, and for instance bands with little dispersion may be completely missed.

## 14.4 Why do I get negative partial Density Of States (partial DOS)?

The negative values in the partial DOS are artifacts due to the fact that in a non-orthogonal basis set the definition of a "partial DOS" is somewhat arbitrary. The partial DOS can be a useful tool for understanding the physics/chemistry of your system, but it's strictly speaking not a "physical quantity".

# 14.5 Why is the DOS zero in an interval with bands?

Quite often there is missing DOS: in an energy interval where there are clearly bands there is no DOS. This is an artifact of using insufficient k-sampling. The simple solution is to redo the whole calculation with a finer k-grid. However, it turns out that the finer grid is only really needed for the DOS, and *restarting the DOS* (page 189) with a finer k-grid is usually sufficient to solve such a problem.

# 14.6 Is the absolute value of the Fermi energy physically meaningful?

Absolute values of orbital energies are physically meaningful for non-periodic systems, 1D periodic systems and 2D periodic systems. For these cases, E=0 corresponds to the energy of a free electron and the absolute value of the Fermi energy is equal (to a first approximation) to the work function.

In 3D periodic systems the orbital energies (and Fermi energy) are defined up to a constant, ergo the absolute value of energy bands does not have a clear physical meaning (we nonetheless use the convention of setting  $V_{k=0} = 0$ , like most other programs).

# 14.7 Why does the band structure of Graphene look wrong?

There can be some issues. The first problem can be that a super cell is used. The smallest possible (primitive) cell has only two atoms in the unit cell. Only when using the primitive cell, the crossing of two bands at the fermi energy in the point with symmetry label "K" can be seen.

Even when using the primitive cell, the fermi energy may be off: it is not exactly where the two bands cross at "K". This can happen when the grid used during the SCF (or during the SCC of DFTB) does not contain the point "K". The simplest way to solve this is to switch to the symmetric grid and use for instance kInteg=5.

```
KSpace
Type Symmetric
Symmetric
KInteg 5 # Should be an odd number bigger than 1. This value is good for
Graphene
End
end
```

You can also achieve this via amsinput. Go to Details -> K-Space integration. Set K-space grid type to "Symmetric" and enter 5 in "Accuracy".

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