Introduction to AMS2018
Getting started

Hands-on workshop Chemistry & Materials with the Amsterdam Modeling Suite

Tsinghua University 22+23 October 2018
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FermiTech support: wiki, support@fermitech.com.cn

Making Computational Chemistry Work for You

Program

- Introduction
  - SCM & AMS
  - Computational chemistry& materials science
- Molecules: builder, database, import SMILES, xyz
  - Spectroscopy
  - Advanced: bonding analysis, charge transfer, SOCME
- Periodic structures: database, cif, surfaces
  - Advanced: bonding analyses (PEDA-NOCV, COOP)

- COSMO-RS: properties, optimizing mixtures

- ReaxFF: acceleration, fitting parameters (tomorrow)
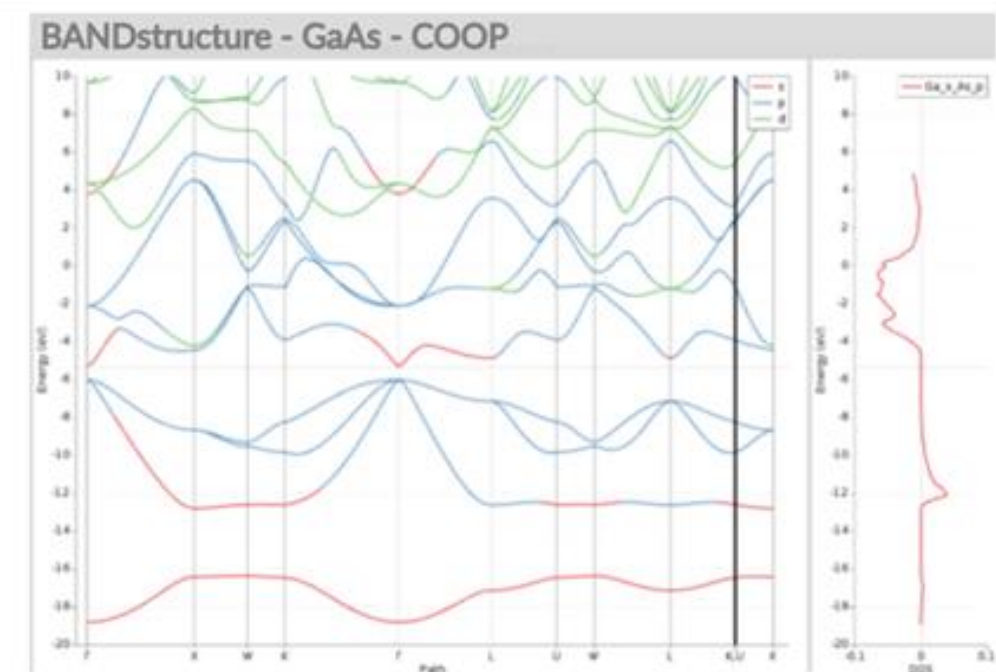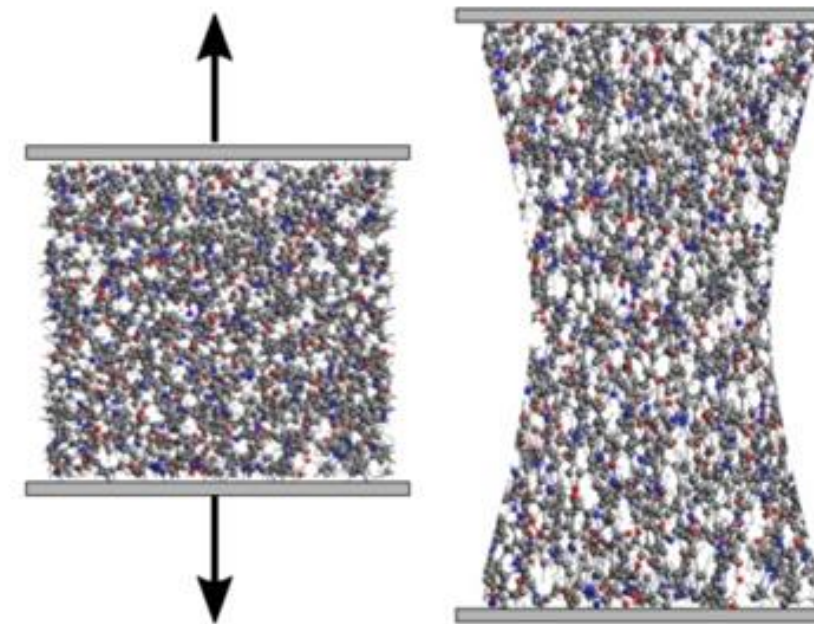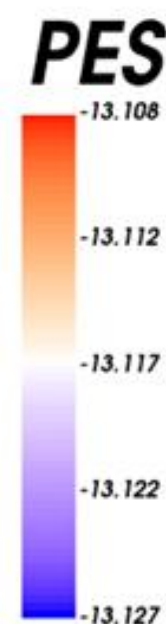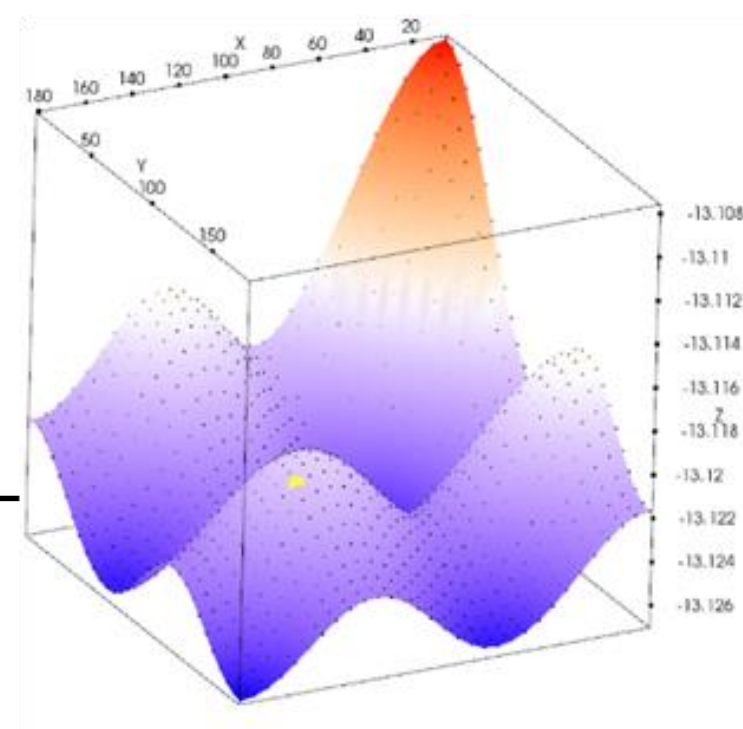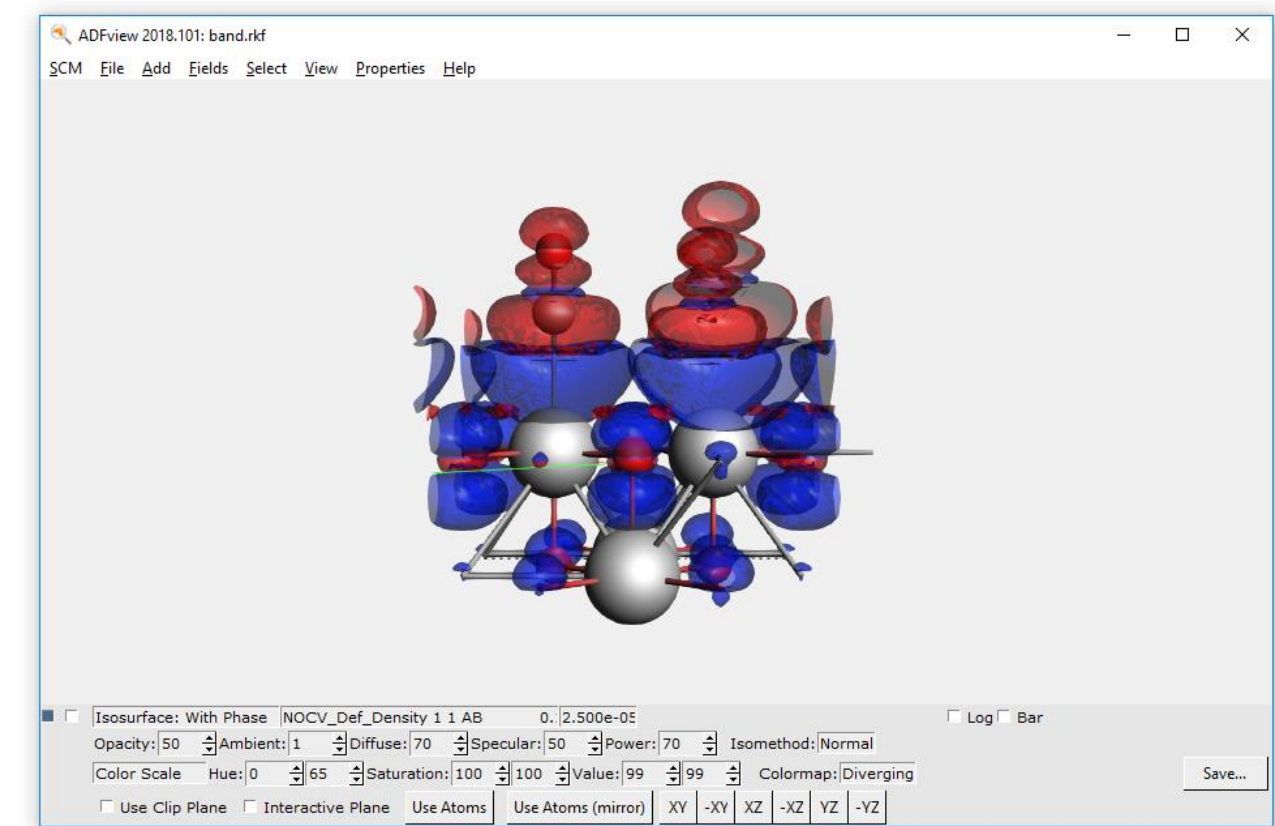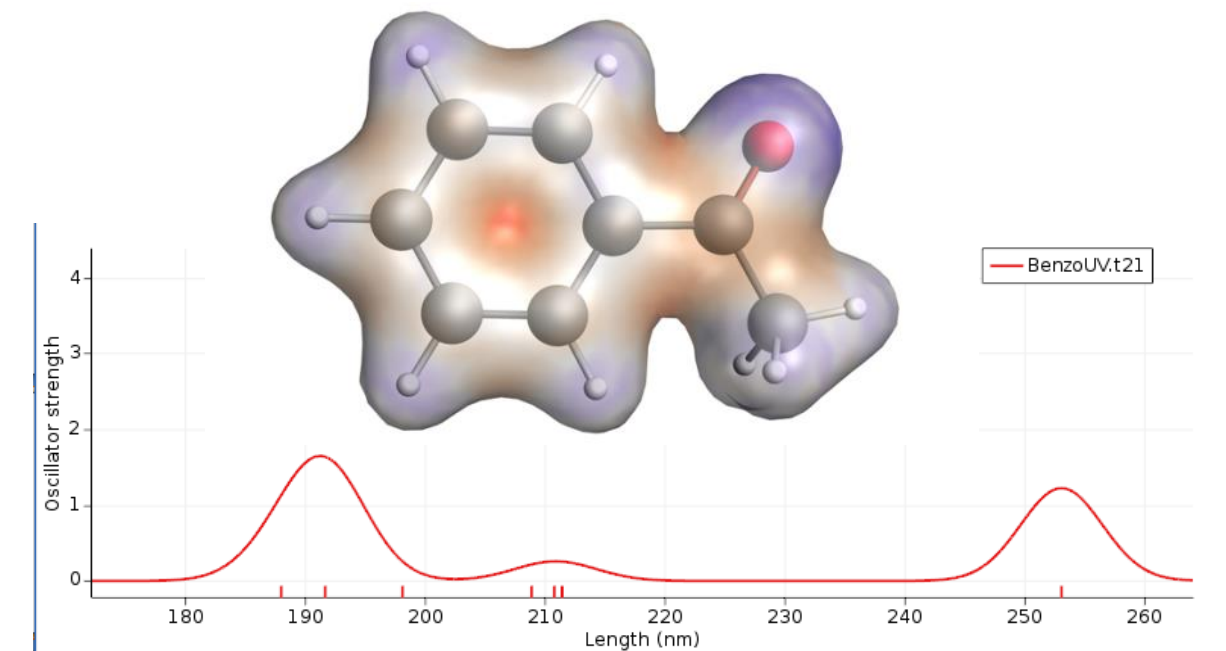
Background: SCM & ADF

- ADF = first DFT code for chemistry (1970s) Baerends@VU (>'73), Ziegler@Calgary[†] (>'75)

- SCM: Spin-off company 1995

- 15 people (10 senior PhD's) + 5 EU fellows

- Many academic collaborators / EU networks
  - ~120 authors
  - New functionality

- SCM: development, debug, port, optimize, docs & <u>support</u>
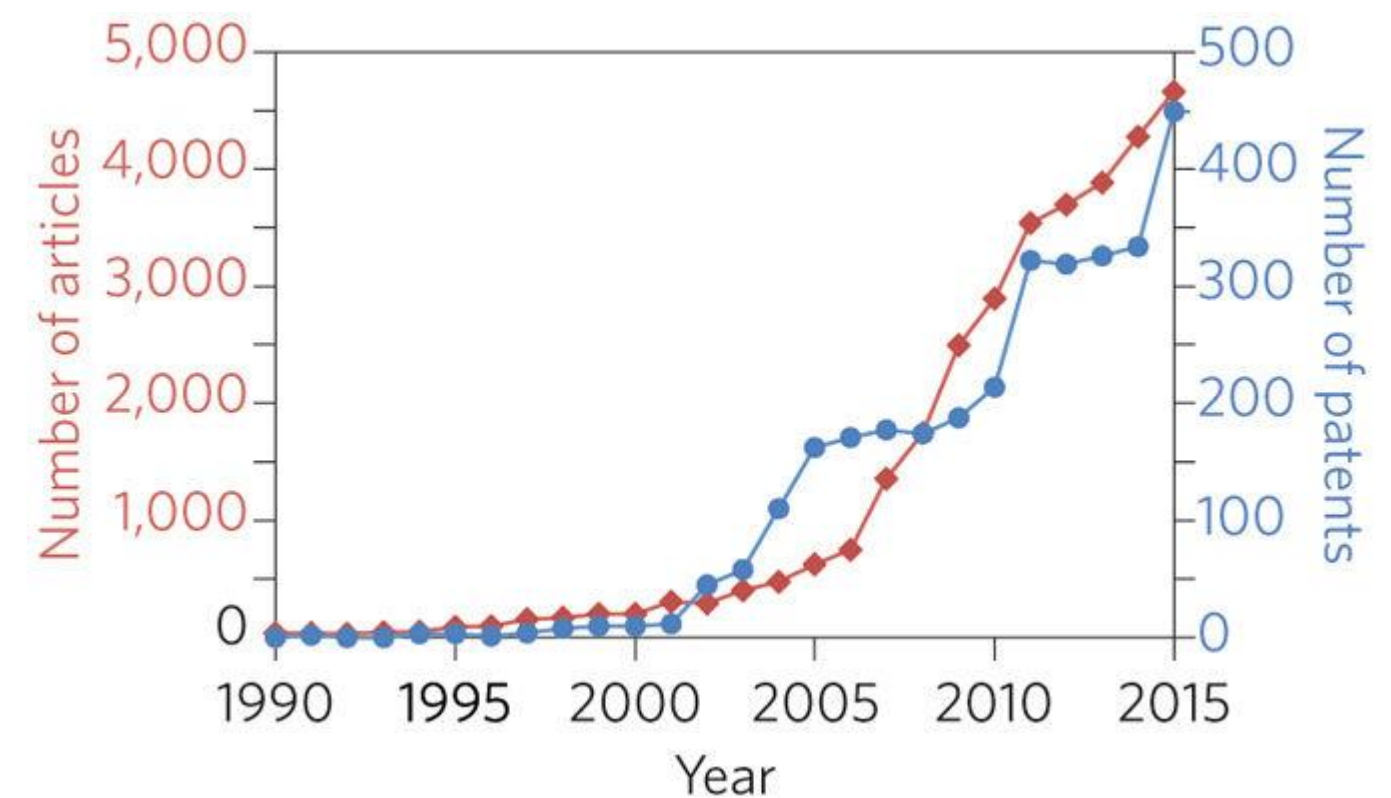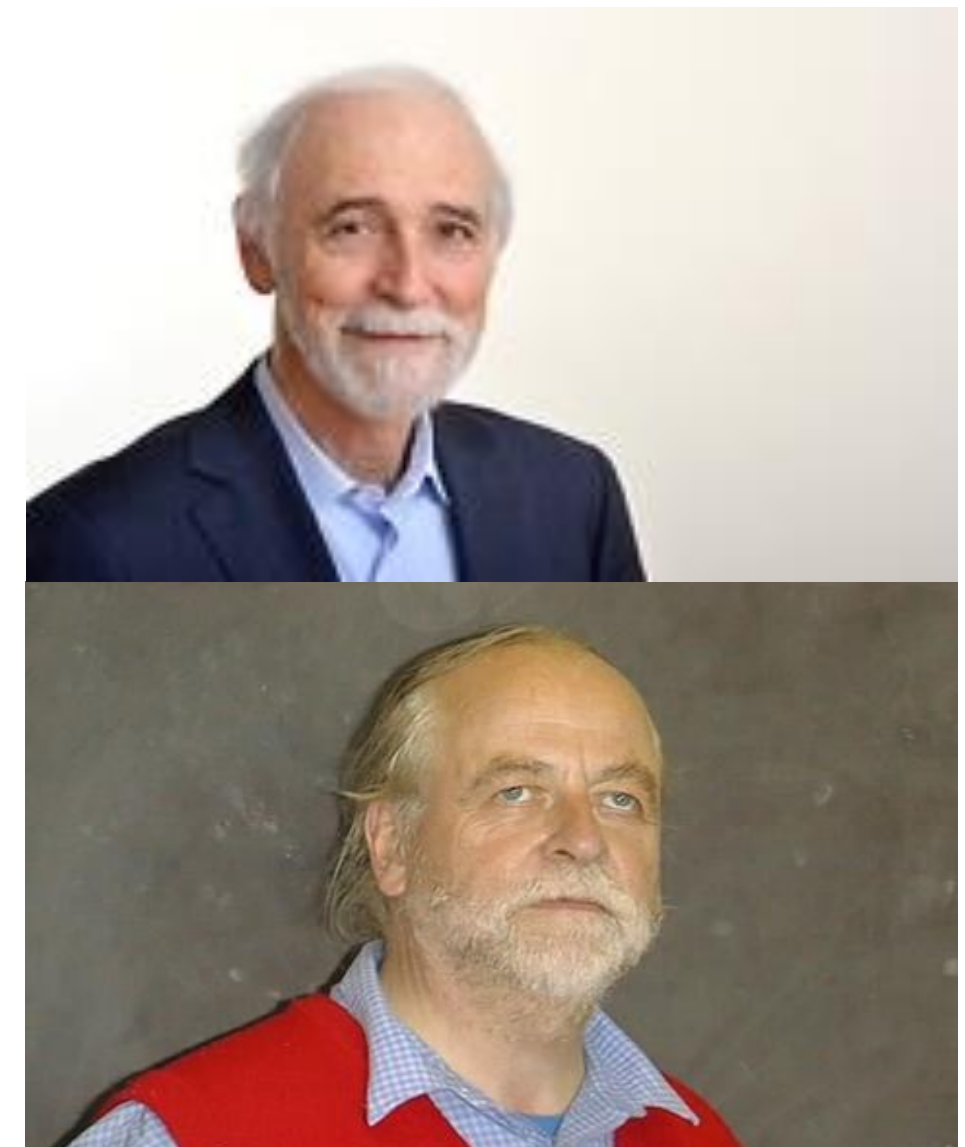
articles &patents in materials science with "density functional theory", Nat. Mat. 4619

The SCM team

Amsterdam Modeling Suite

- ADF: powerful molecular DFT
  - Spectroscopy: NMR, EPR, VCD, UV, XAS
  - Advanced solvation / environments

- BAND: periodic DFT
  - (2D) Materials

- DFTB: fast approximate DFT

- ReaxFF: Reactive MD
  - Dynamics of large complicated systems

- COSMO-RS: fluid thermodynamics
  - VLE, LLE, logP, solubility

- Integrated GUI – use out of the box

- Scripting: workflows & automation
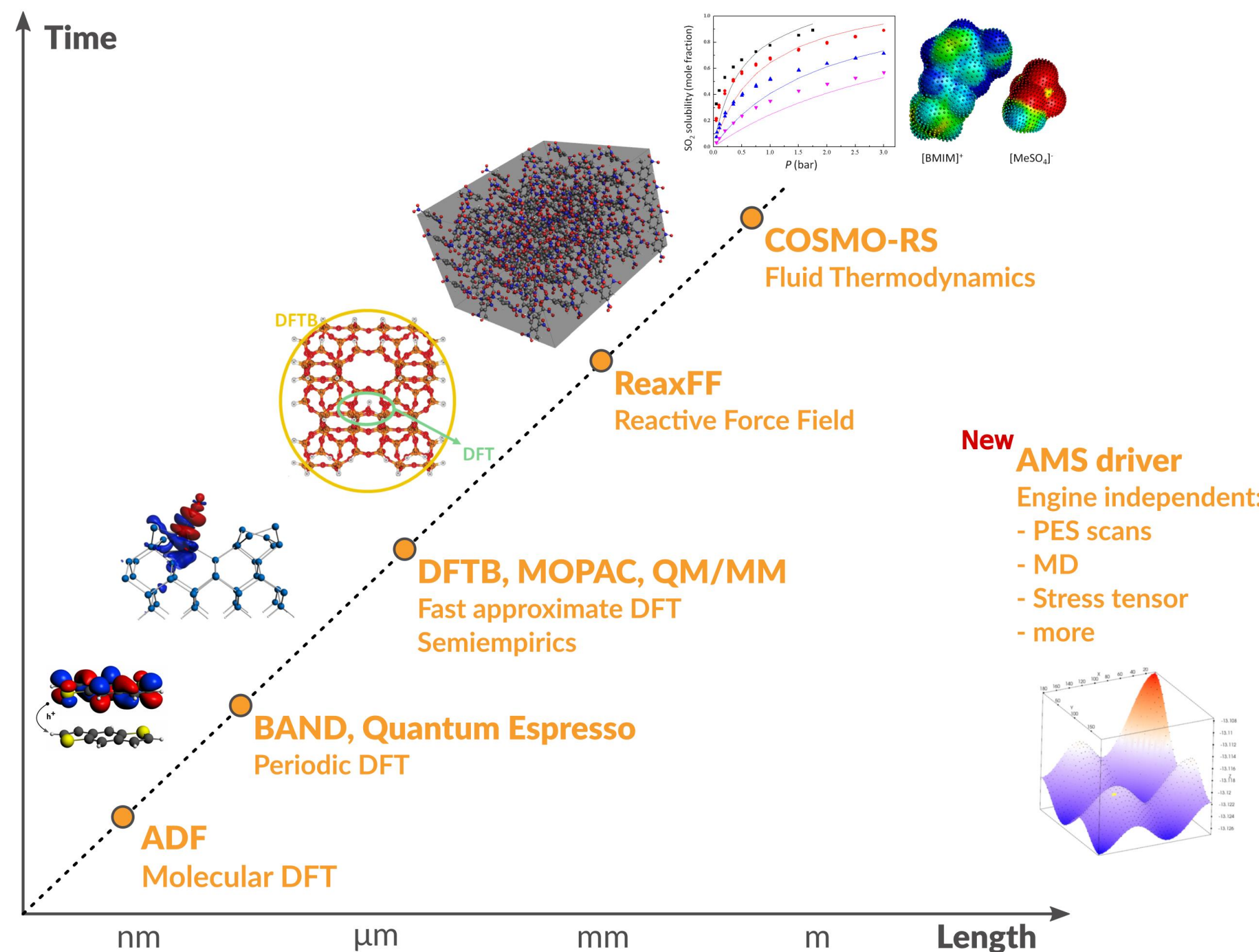
Why bother with calculations?

Computational chemistry & materials modeling

- ### Accelerate research, reduce costs & environmental impact
  - o Reduce experimental search space
  - o Analyze structure-property-reactivity

- ### Models: physics & empiricism
  - o Accuracy?

- ### Synergy experiment-calculations
  - o Ask relevant questions
  - o Limitations model
  - o Constraints experiments

???

Voltage profile: ReaxFF vs. Experiment

A. F. Rausch, M. E. Thompson, and H. Yersin, H., Chem. Phys. Lett., *468, 46* (**2009**).
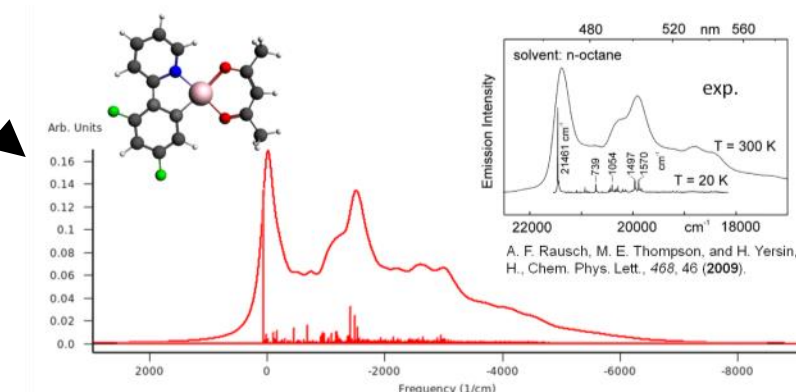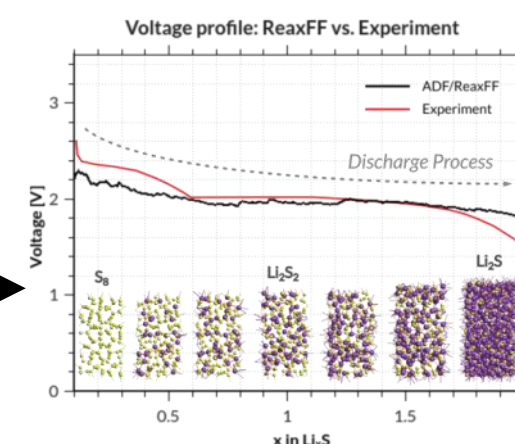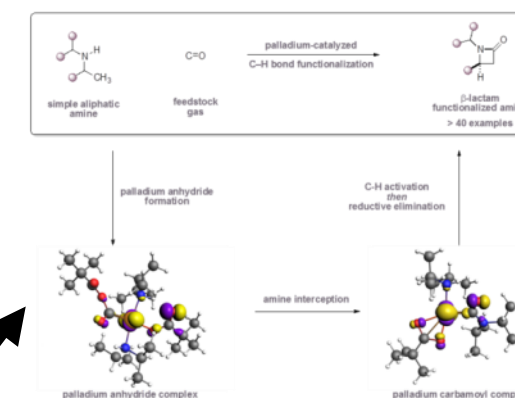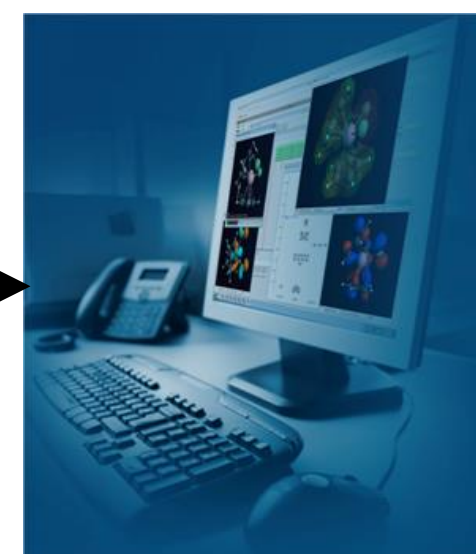
Best catalyst? => mechanism? lowest E_a ? best ligand? side reactions?
Best battery? => discharge? voltage? interaction with electrolyte?
Best OLED? => charge & exciton mobility? emission speed & color?

Compute power (r)evolution

http://www.donbot.com/Futurebot/NewTech/NT01370MoravecsGraphUpdated2020.html
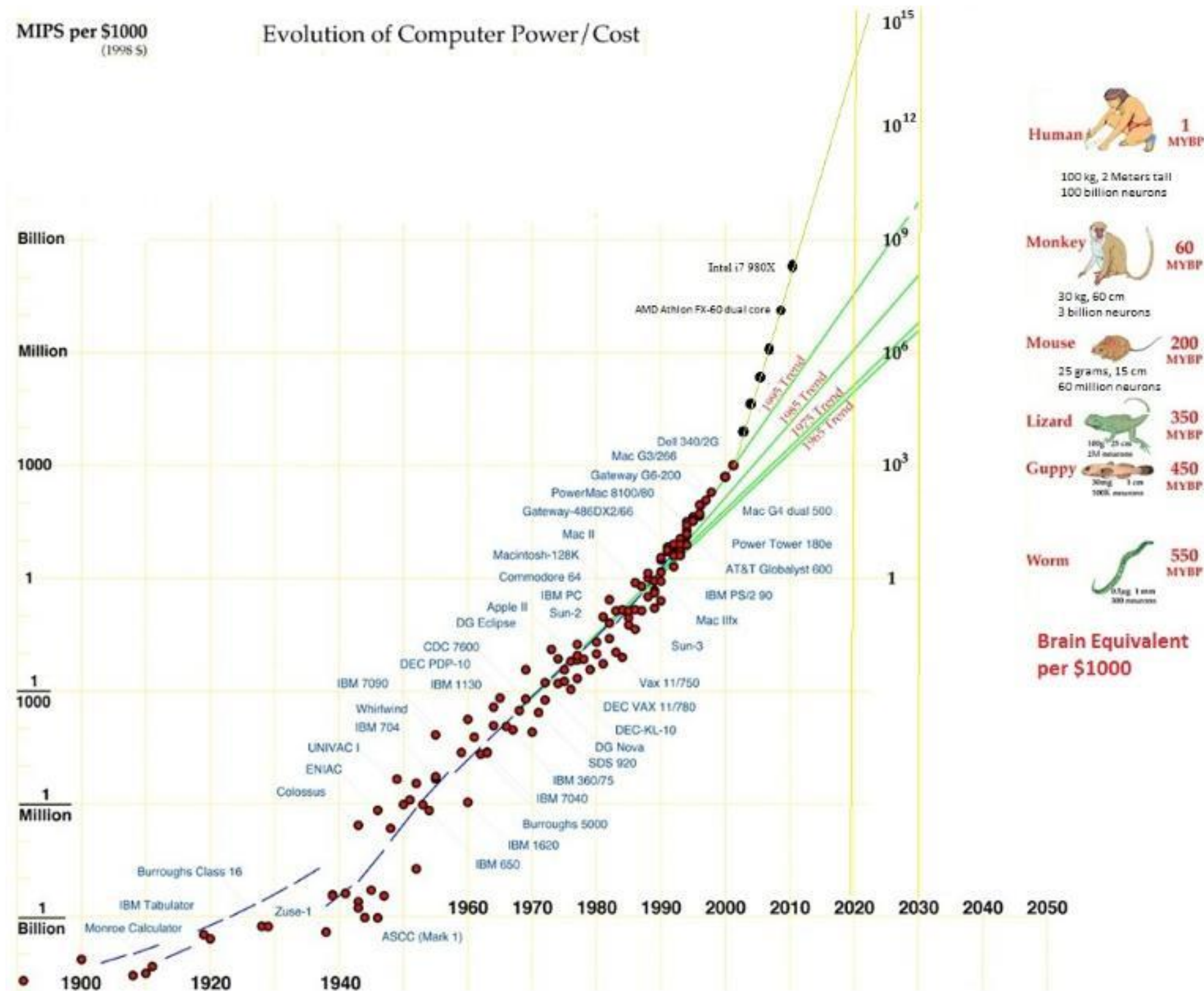
Computational Chemistry & Materials
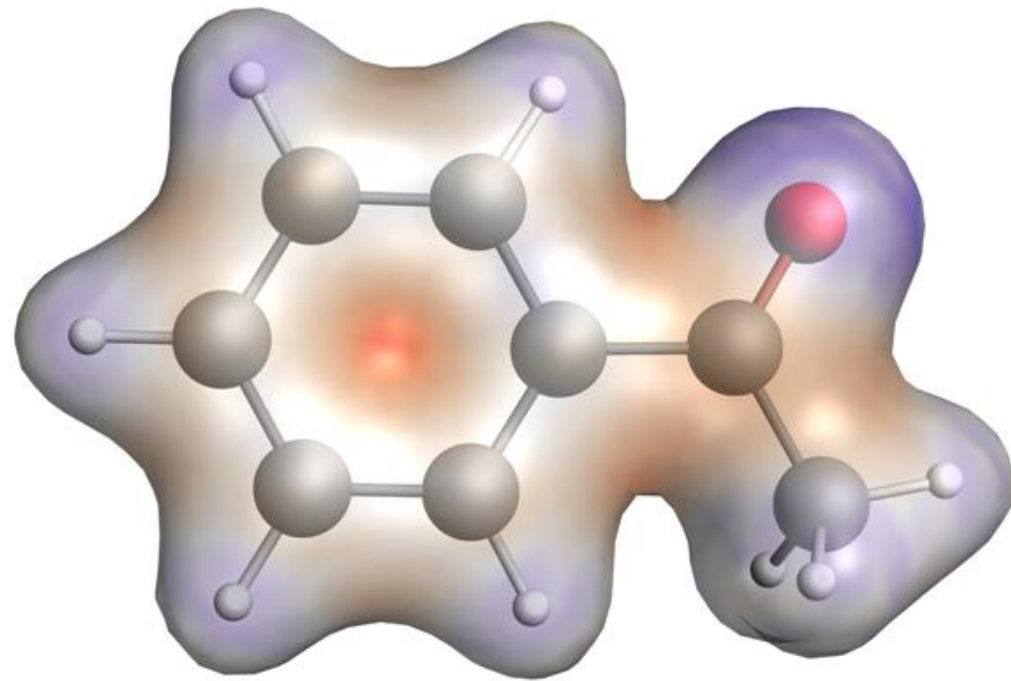
$$H\psi = E\psi$$
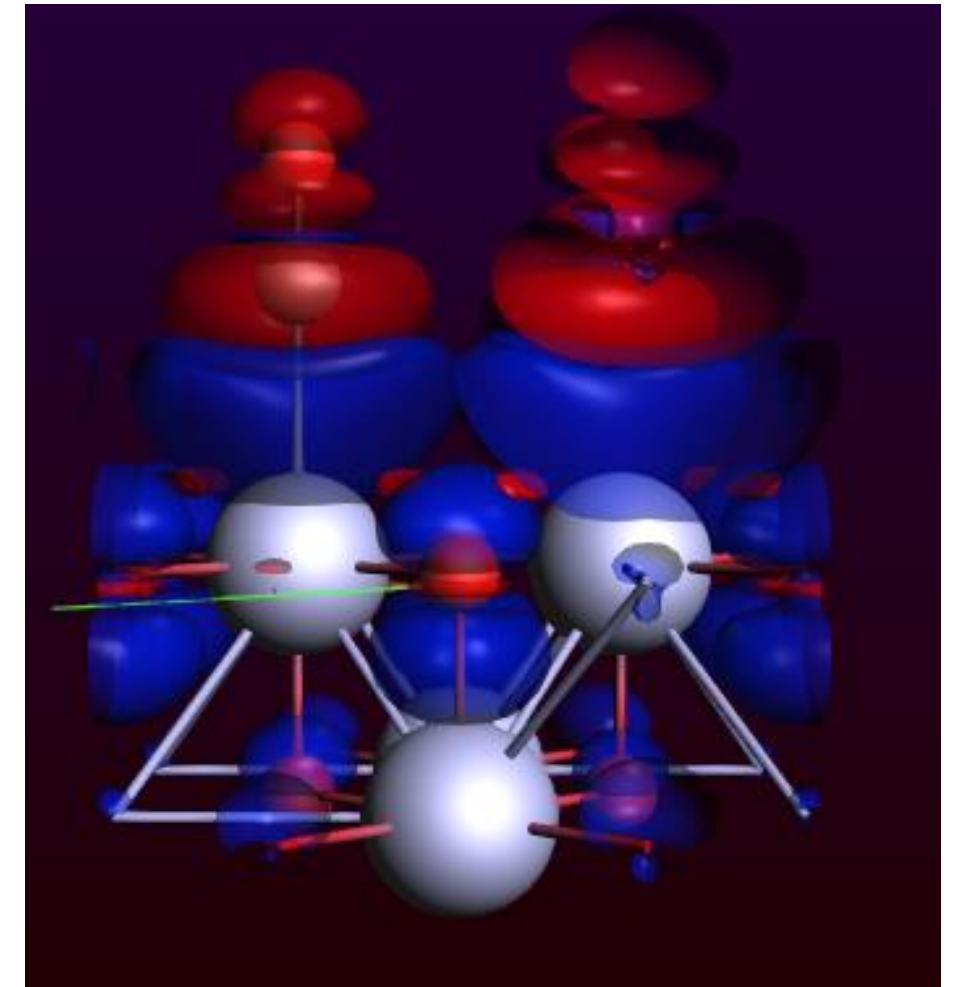
Electronic structure methods: Schrödinger equation
Electrons in molecules & materials
Expand ψ: atomic orbitals / plane waves
Solve self-consistently
Pragmatic: DFT

Properties: energies (gradients), MOs, densities & related, spectroscopy (EPR, NMR, IR, UV/VIS, ...)

Computational Chemistry & Materials

$$d^2x/dt^2 = F(x)$$
$$F(x) = -dV(x)/dx$$
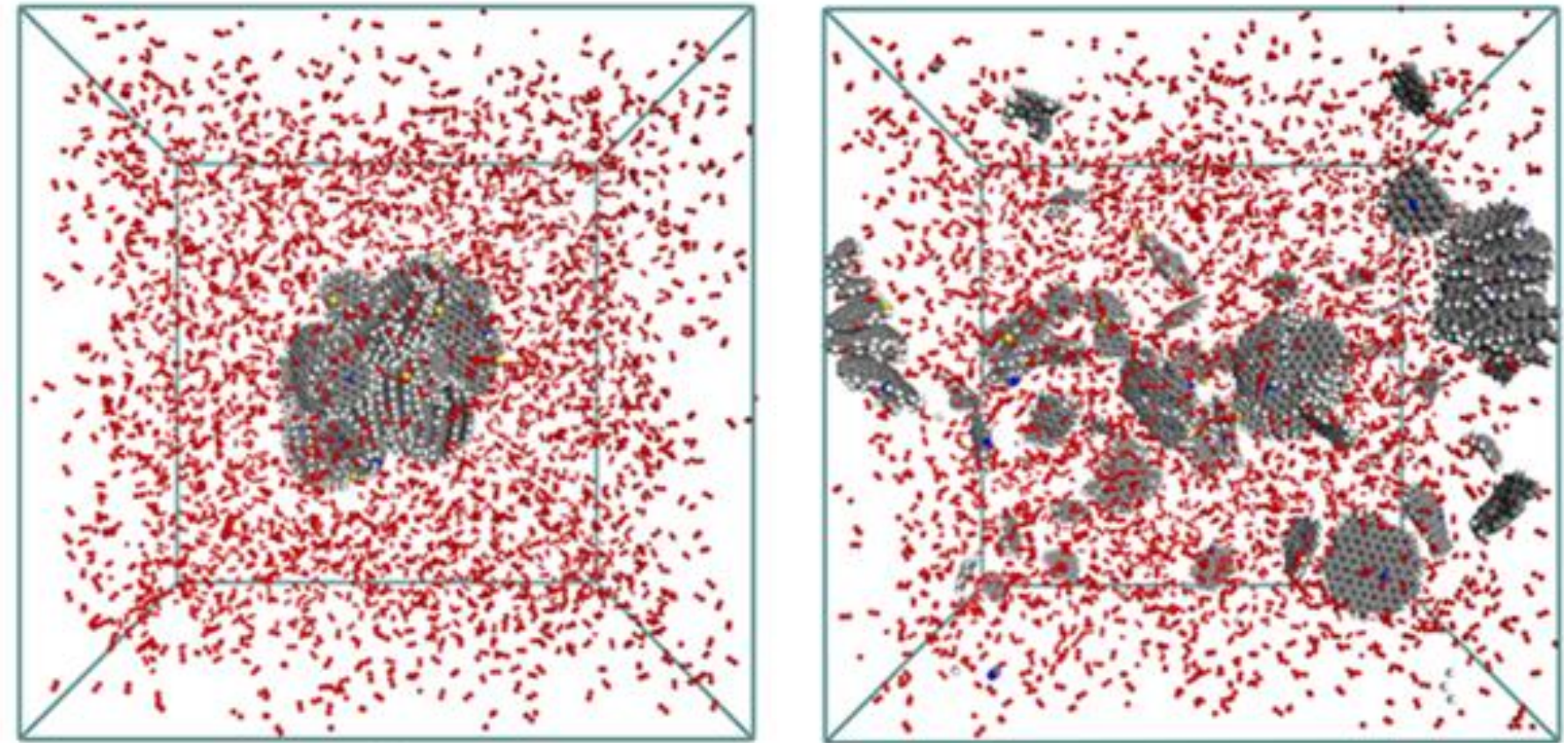
Molecular dynamics: Newton's equations of motion

Movement of atoms: solve numerically + propagate,

Properties: reaction rates, diffusion coefficients, stress-strain, ….

Electronic Structure methods

$$H\psi = E\psi$$

- *ab initio* (basis set dependencies!)
  - ○ Hartree-Fock (HF): mean field (no explicit e-e interaction)
  - ○ MP2: perturbation theory (if HF = good guess)
  - ○ CC: coupled cluster
  - ○ CI: configuration interaction (full CI = max. Accuracy)
  - ○ Multi-reference / active space

- Density Functional Theory (DFT)
  - ○ 'first principle' functionals (physics)
  - ○ empirical functionals (fit to data)

- DFT-based tight binding (DFTB)
  - ○ Fit to DFT data
  - ○ Nearest neighbor, minimal basis

- Semi-empirical (MOPAC: PM7)
  - ○ Fit to exp. Data
  - ○ Nearest neighbor, minimal basis
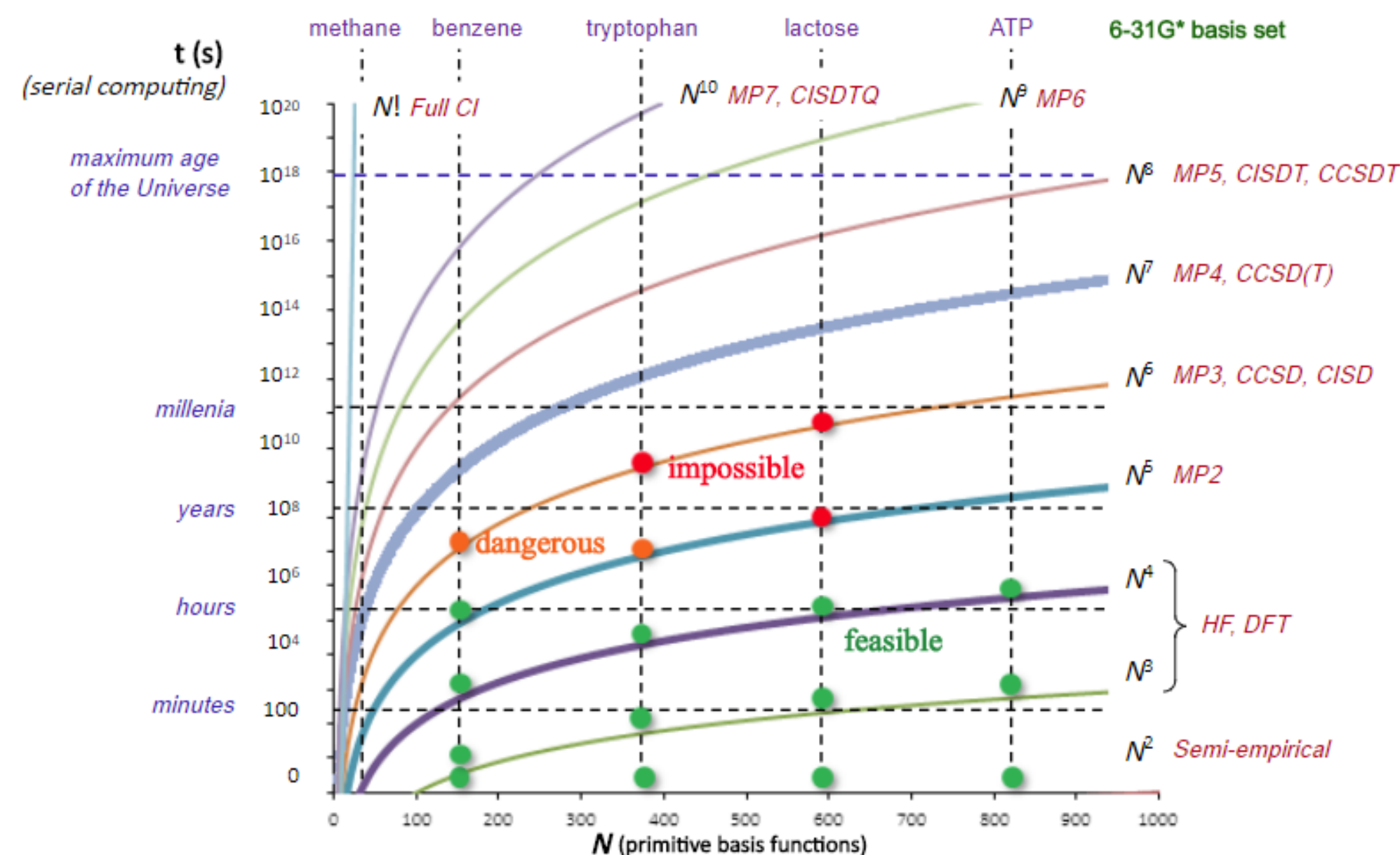
(Houk, 2011)

Computational Methods

Relative costs, scaling & accuracy for computational methods
(* depending also strongly on the system & property!)

Method	~ max atoms	~ relative cost	scaling	Typical Accuracy*
Classical force field (UFF, Amber, ...)	1,000,000	0.0005	N^1	<20 kcal/mol
Reactive force field (ReaxFF)	500,000	0.001	N^1	<15 kcal/mol
Semi-empirical methods (e.g. AM1, PM7)	5,000	1	$N^{1\sim2}$	<10 kcal/mol
DFTB	5,000	1	$N^{1\sim2}$	<10 kcal/mol
DFT	500	500	$N^{3\sim4}$	<5 kcal/mol
MP2	100	2000	N^5	<5 kcal/mol
CCSD(T)/cc-pVTZ	30	100000	N^7	~1 kcal/mol

Density Functional Theory

- Density ρ = central quantity
- Density functional ρ gives E

$E[\rho] = T[\rho] + E_{ee}[\rho] + E_{ne}[\rho]$

- Usually expanded in orbitals
  - Linear combination of atomic orbitals
    - Basis set
  - Kohn-Sham DFT
  - 'Non-interacting' reference T_s

$E[\rho] = T_s[\rho] + E_{ee}[\rho] + J[\rho] + E_{xc}[\rho]$

  - Solve self-consistently
  - $v_{xc}[\rho] = E_{xc}[\rho]/d\rho$ = 'functional'
    - approximate: LDA, BP86, PBE, M15L, …
- **Which basis & functional?**

  Check literature or benchmark!

$$\phi_i(\mathbf{r}) = \sum_j c_{ij} \chi_j(\mathbf{r})$$

orbital   expansion coefficients   basis functions

$$\left(-\frac{1}{2}\nabla^2 + V_{nuc}(\mathbf{r}) + V_C[\rho,\mathbf{r}] + V_{XC}[\rho,\mathbf{r}]\right)\phi_i(\mathbf{r}) = \epsilon_i \phi_i(\mathbf{r})$$

$$\rho = \sum_i |\phi_i(\mathbf{r})|^2$$

Kinetic energy

Electrostatic potential due to nuclei

Electrostatic potential due to electrons

Exchange-correlation Potential (*e.g.* PBE)

$$V_{nuc}(\mathbf{r}) = \sum_{I \in nuclei} \frac{-Z_I}{|\mathbf{r} - \mathbf{R}_I|}$$

$$V_C[\rho,\mathbf{r}] = \int \frac{\rho(\mathbf{r}')}{|\mathbf{r}' - \mathbf{r}|} d\mathbf{r}'$$

$$V_{XC}[\rho,\mathbf{r}] \propto \rho(\mathbf{r})^{\frac{1}{3}}$$

More general: v_{ext}
can include external field

Initial guess

$$\rho_{in} = \sum_{atoms} \rho_{atoms}$$

ρ_{in}

$$\left(-\frac{1}{2}\nabla^2 + V_{nuc}(\mathbf{r}) + V_C[\rho_{in},\mathbf{r}] + V_{XC}[\rho_{in},\mathbf{r}]\right)\phi_i(\mathbf{r}) = \epsilon_i \phi_i(\mathbf{r})$$

New for guess ρ_{in}

$$\rho_{in} := (1-c)\rho_{in} + c\rho_{out}$$

SCF

Solve KS equation

$$\rho_{out} = \sum_i |\phi_i(\mathbf{r})|^2$$

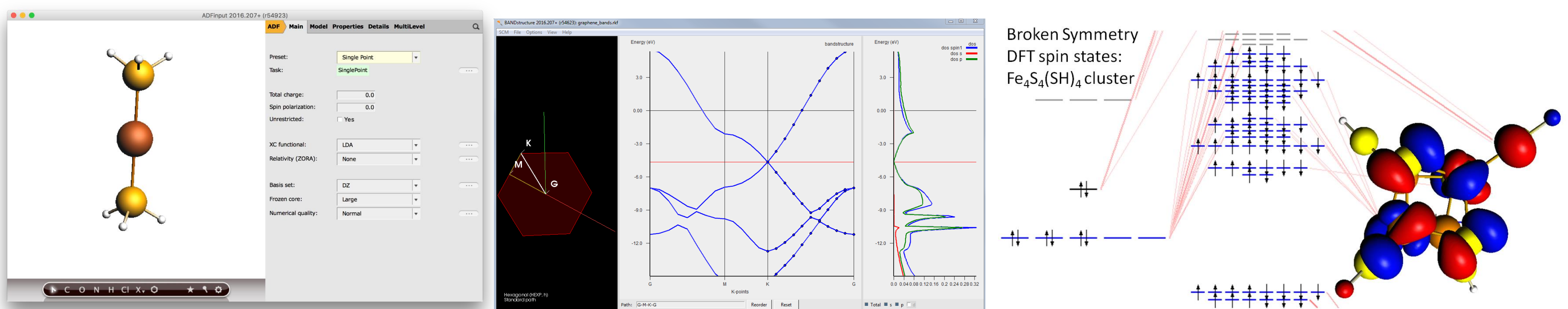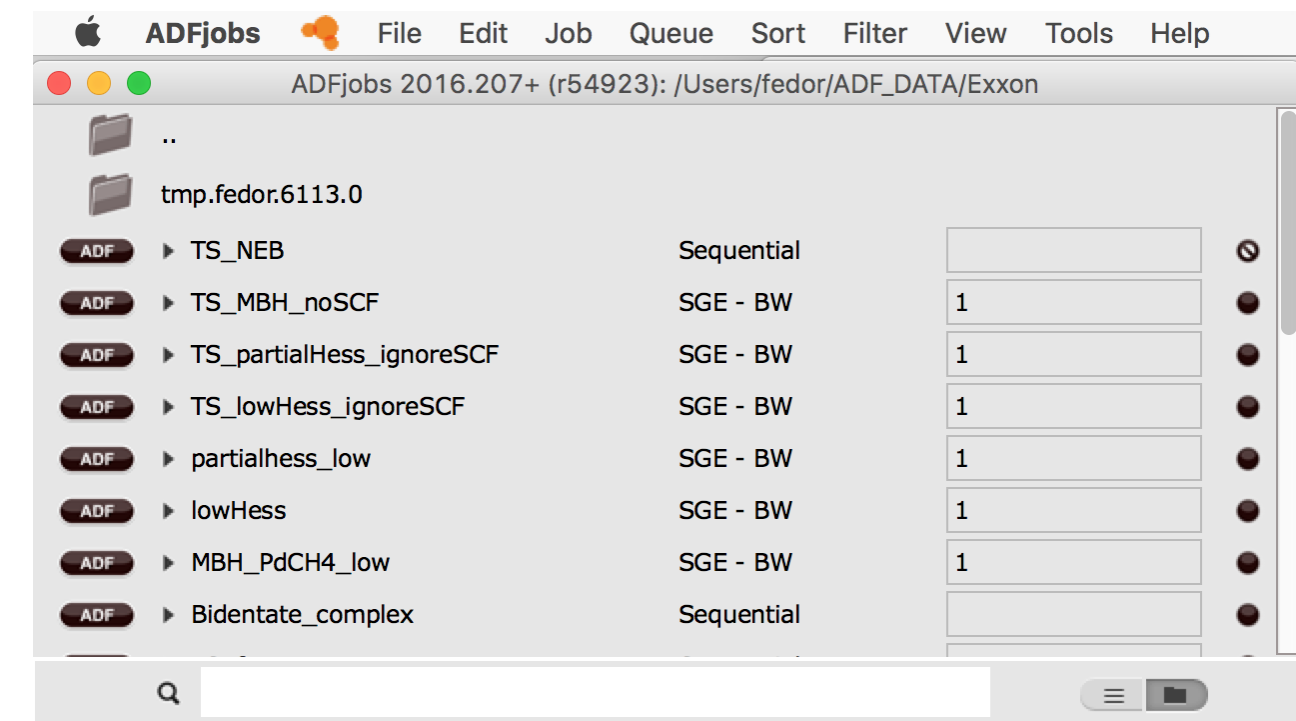$|\rho_{out} - \rho_{in}| < \delta$ no

yes

SCF Converged

See technical ADF slides

Getting started with the GUI

Starting ADFjobs: job bookkeeping tool

- Win: dbl-click desktop item
- Mac: open Application
- Linux: run $ADFBIN/adfjobs

- Other GUI modules: (Input, View, Levels, Movie, Spectra, Band Structure, COSMO-RS, …)
  - Can be opened by dbl-clicking '.exe' (Win) or opening e.g. '$ADFBIN/adfinput'

ADFjobs: job bookkeeping

switch GUI functionality

define & switch queues

reports & templates

ADFjobs File Edit Job Queue Sort Filter View Tools Help

ADFjobs 2016.207+ (r54923): /Users/fedor/ADF

change default
e.g. cores / nodes

job status

.. see files for this job

queue

tmp.fedor.6113.0

		queue	change default	status
ADF ▸ TS_NEB		Sequential		⊘
ADF ▸ TS_MBH_noSCF		SGE - BW	1	●
ADF ▸ TS_partialHess_ignoreSCF		SGE - BW	1	●
ADF ▸ TS_lowHess_ignoreSCF		SGE - BW	1	●
ADF ▸ partialhess_low		SGE - BW	1	●
ADF ▸ lowHess		SGE - BW	1	●
ADF ▸ MBH_PdCH4_low		SGE - BW	1	●
ADF ▸ Bidentate_complex		Sequential		●

search

all jobs / folder view

SCM

Basic calculations & settings

switch modules

job types & set up

search

ADFinput 2017.212+ (r63428): acetophenone2.adf

ADF Main Model Properties Details MultiLevel

job type

Task: Geometry Optimization

charge/spin

Total charge: 0
Spin polarization: 0
Unrestricted: ☐ Yes

functional & relativistic appr

XC functional: GGA:revPBE-D3(BJ)
Relativity: Scalar

basis & numerical accuracy

Basis set: DZP
Frozen core: Large
Numerical quality: Normal

builder tools

preoptimize

... = more details

symmetryze

SCM

Building molecules

- NB: tutorials also offline with your ADF!

- Import: SMILES, xyz, cif, pdb, …

- Included library + building

- **Excercise 1:** Build acetophenone
  - o By searching for it in the GUI
  - o By starting from the benzene template (press 2 for double bond, Ctrl+E to add Hs)
  - o By importing smiles CC(=O)c1ccccc1 (e.g. from Wikipedia or Chemspider)

- Exercise 2: Symmetrize, pre-opt & optimize: SR-ZORA-PBE-D3(BJ)/DZP
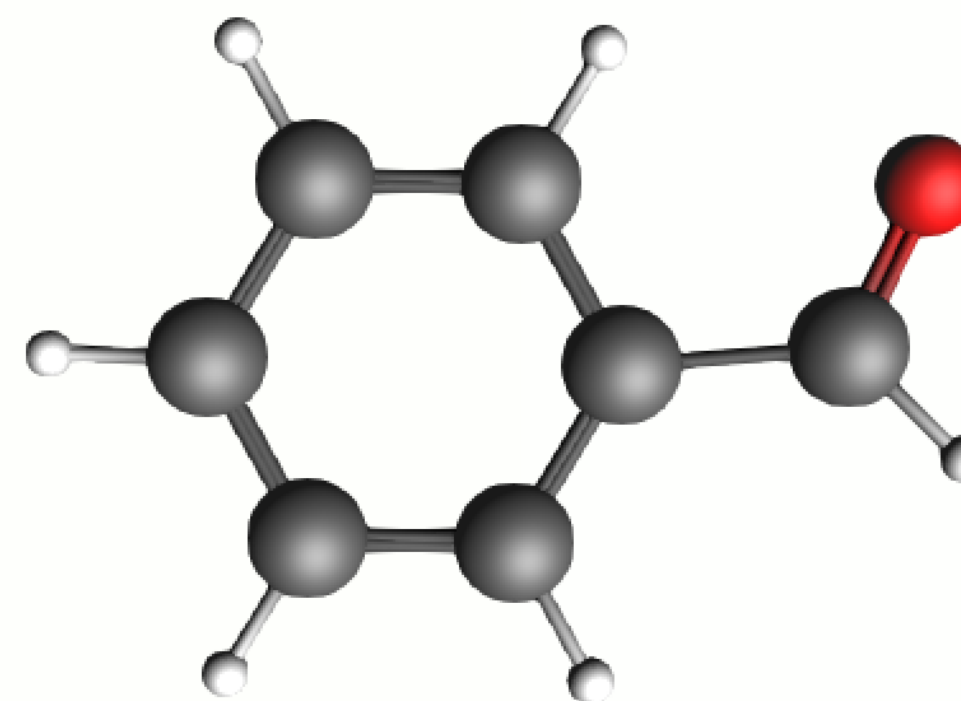
Task:	Geometry Optimization ▼

XC functional:	GGA:PBE-D3(BJ) ▼
Relativity:	Scalar ▼

Spectra: IR
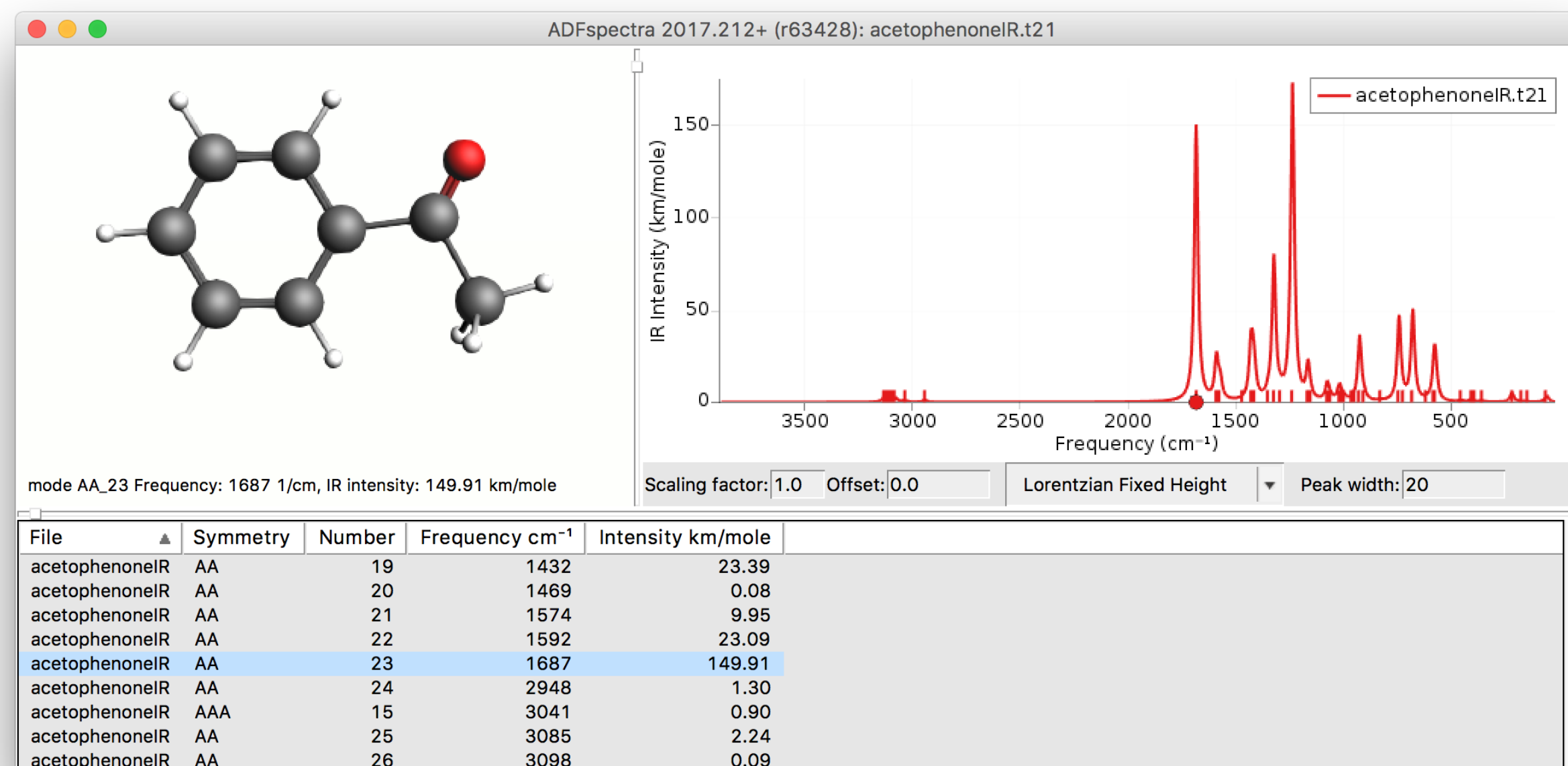
www.scm.com/doc/Tutorials/ADF/ADF-GUI_tutorials.html#spectroscopy

- **Excercise 3:** Calculate & visualize frequencies

  - ○ NB analytical frequencies available for most GGAs, not for hybrids
  - ○ Go to spectra, visualize the CO stretch at ~1690cm^{-1}
  - ○ Increase the line width to ~20
  - ○ Compare to NIST data

Task: | Frequencies

Spectra: UV/VIS

- **Exercise 4**: Calculate 10 allowed excitations with the SAOP model potential

  - See also [UV/VIS FAQ for more tips](#)

  - Go to spectra, change x-axis to nm

  - Increase the line width to ~10

  - Visualize the pi-pi* NTOs at ~250 & 285nm

  - Compare to [NIST data](#)

  - Now rerun with method 'sTDA' and tick TDA

  - Compare timings & spectra (File -> add spectra)

  - AMS2018: optimize excited states also with

    - Range-separated hybrids

    - COSMO solvation

Phosphorescent OLED emitters

- Optimize OLED performance

  o Phosphorescence $T_1 \to S_0$

  o TADF: min. S-T, max. SOCME

  o Fast ISC: $S_n \to T_1$

  o High charge mobility

- ADF features

  o SOC-TDDFT: k_{phos}

  o SOCME: k_{ISC}

  o Transfer integrals (mobilities)

  o Other couplings (FDE)

  o Vibrationally resolved abs/em

T_1

S_0
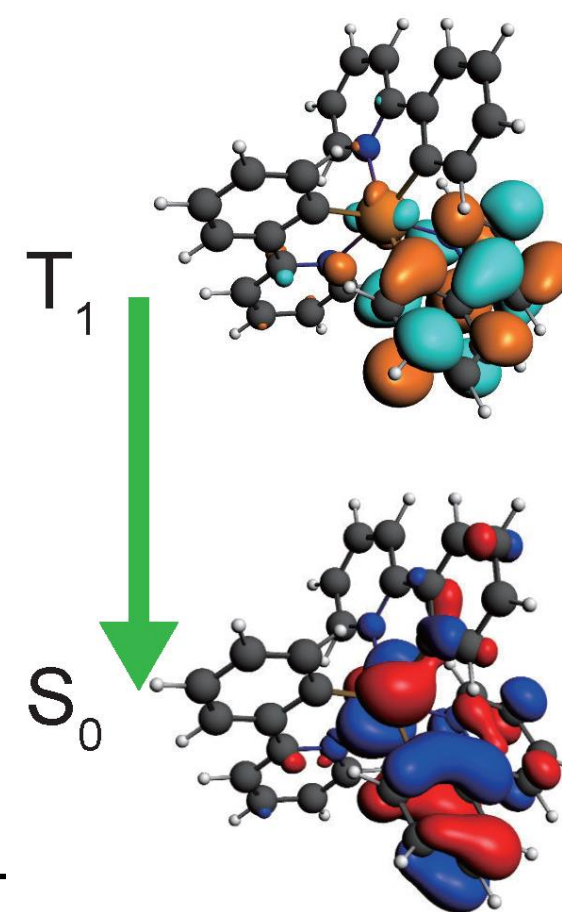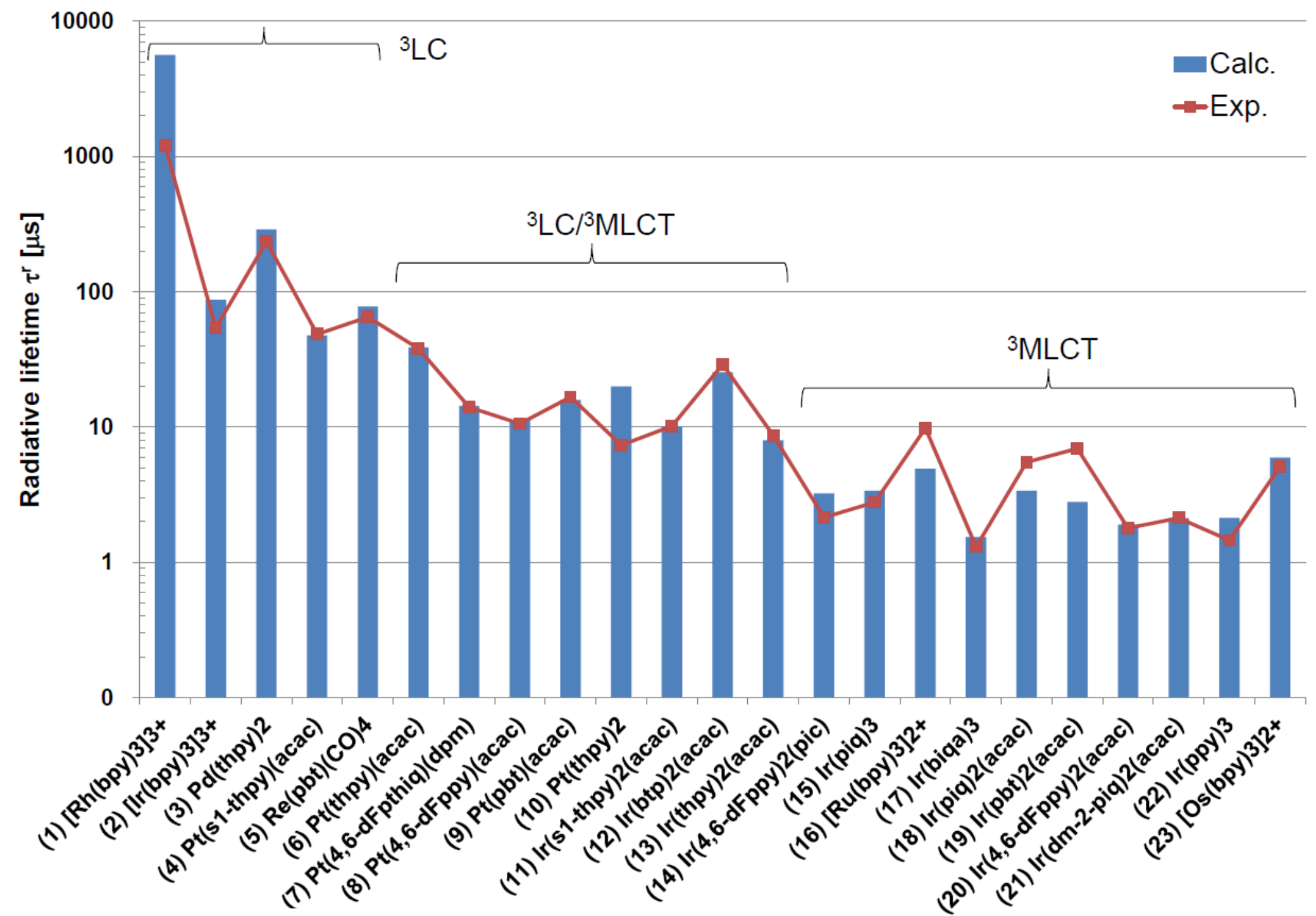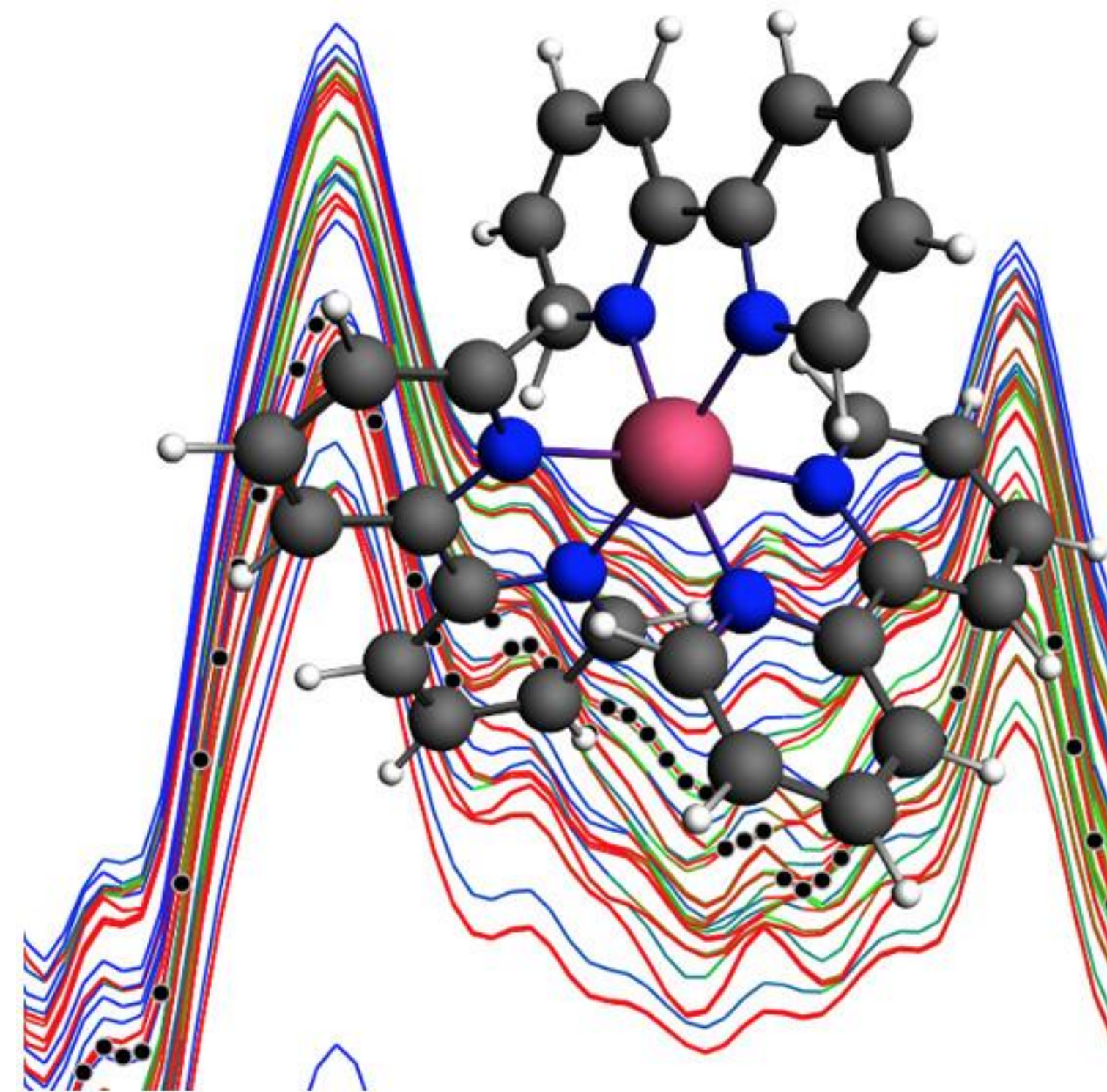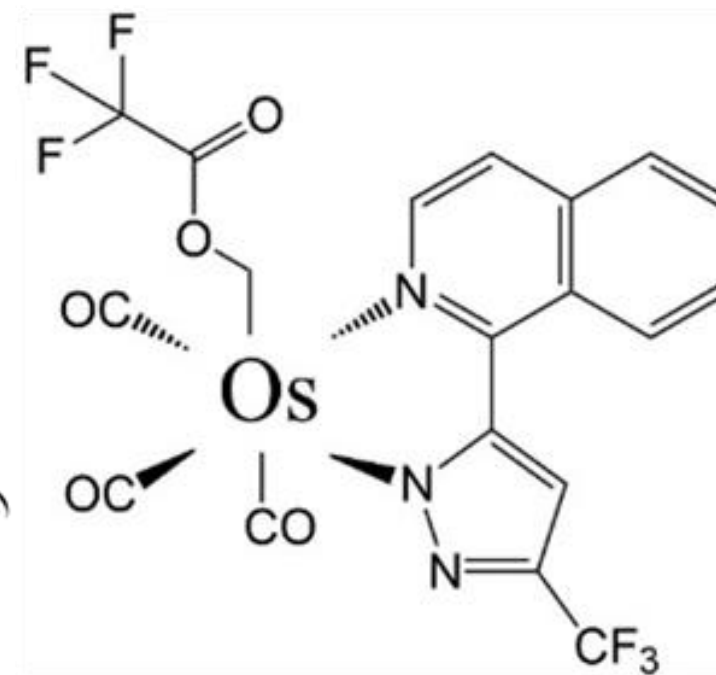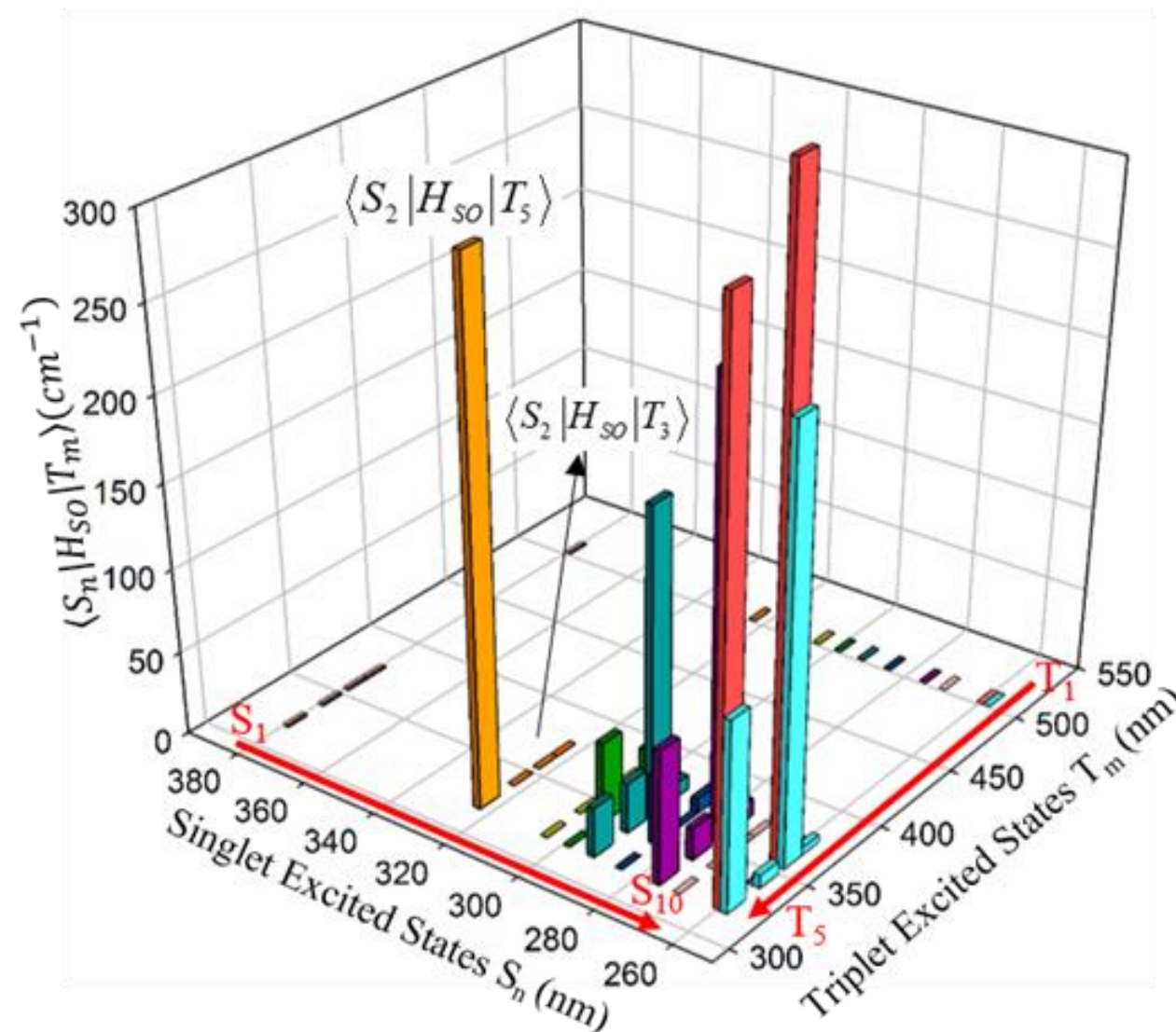
23 Ir, Rh, Ru, Os, Pt, Re complexes

K. Mori, T. P. M. Goumans, E. van Lenthe, F. Wang, PCCP 16, 14523 (2014)

ADF tutorial online

Intersystem crossing: spin-orbit coupling

El-Sayed for organometallics:
SOC is largest when:
- both S ($^1d\pi^*$) and T ($^3d'\pi^*$) are MLCT
- different d-orbitals are involved (d ≠ d').

λ_{exc}-dependent quantum yield
SOCME negligible for S_1-T_n
ISC from higher S_n states

Phys. Chem. Chem. Phys. **16**, 26184-26192 (2014)
NB: See also full k_{ISC} Paul et al. JPCL, 2017, **8**, 4893

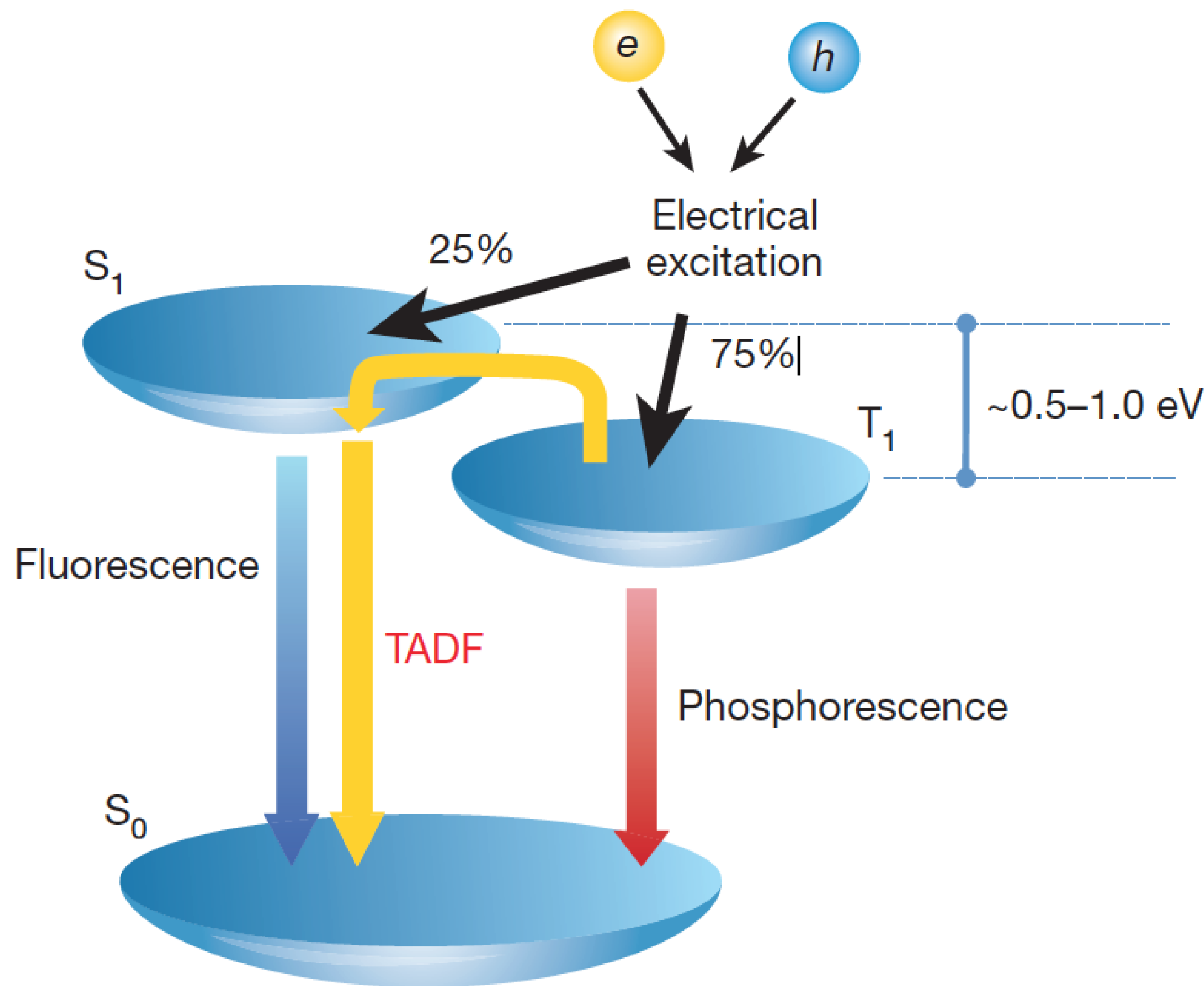**Surface-Hopping Dynamics Ru(bpy)$_3$$^{2+}$**
- Interface SHARC-ADF with ISC (SOC)
- ISC from higher S_n states within 26 ± 3 fs

Atkins & Gonzalez
J. Phys. Chem. Lett.,, 8, 3840–3845 (2017)

Thermally Activated Delayed Fluorescence

Optimize radiative rate
- Minimize S1-T1 gap
- Maximize SOC
- Maximize k_{phos} & k_{TADF}

$$k_{UISC} = \frac{2\pi}{\hbar} \rho_{FC} |\langle S_1 | \hat{H}_{SO} | T_1 \rangle|^2$$

$$\rho_{FC} = \frac{1}{\sqrt{4\pi\lambda_M k_B T}} \sum_{n=0}^{\infty} \exp(-S)$$

$$\frac{S^n}{n!} \exp\left[-\frac{(\Delta E_{ST} + n\hbar\omega_{eff} + \lambda_M)^2}{4\lambda_M k_B T} \right]$$

Z.-M. su et al. Dyes & Pigments **145,** 277-2847 (2017)
Bredas et al. J. Am. Chem. Soc. **139**, 4042−4051 (2017)

ADF tutorial in progress

Spin-orbit coupling TDDFT

- Exercise 5: Calculate the SOC spectrum and the SOCME
  - Switch to perturbative Spin-Orbit and tick SOCM
    - ADF can do full and perturbative SOC
    - SOCME useful for (R)ISC, e.g. TADF/OLEDs
  - Visualize the spectrum: any effect?
    - SOC small for purely organic
  - Check SCM -> Output
  - Go to Response Properties -> All Singlet-Singlet Excitations. Compare with All Spin-Orbital Coupling Excitation Energies. Go to -> SO Matrix. This is the full matrix. Further down you will find the averaged spin-orbit coupling matrix elements (SOCMEs)

Excitations (UV/Vis), CD

Type of excitations:	Spin-Orbit (Perturbative) ▾

Show:	🟧 Spin-Orbit coupling matrix

All Spin-Orbital Coupling Excitation Energies

no.	E/a.u.	E/eV	f	tau/s	Symmetry
1:	0.12004	3.26655	0.1880E-08	1.149	A'
2:	0.12004	3.26657	0.4159E-06	0.5193E-02	A'
3:	0.12004	3.26655	0.2580E-09	8.371	A''
4:	0.13339	3.62962	0.2890E-04	0.6054E-04	A''
5:	0.13363	3.63626	0.1693E-11		A'
6:	0.13363	3.63626	0.4123E-10		A''
7:	0.13367	3.63732	0.4724E-05	0.3687E-03	A''
8:	0.14001	3.80992	0.7306E-11		A'

Spin-orbit couplings calculated as root mean squares: square root of (the sum of squares of spin-orbit coupling matrix elements of all sublevels of the uncoupled states) in cm-1

	T1	T2	T3	T4	T5	T6
<S|Hso|T>						
S1:	0.38	21.60	3.45	3.87	0.33	0.72
S2:	3.41	0.01	0.00	0.08	5.84	0.00
S3:	0.06	0.60	1.61	5.22	0.09	19.20
S4:	16.09	0.00	0.02	0.01	2.28	0.08
S5:	14.92	3.35	1.66	0.72	0.00	0.19
S6:	1.83	0.09	0.04	0.01	19.21	0.00
S7:	3.03	0.96	0.38	1.07	0.04	0.50
S8:	12.59	6.59	1.87	1.36	0.08	0.41
S9:	0.92	0.04	0.10	0.01	4.91	0.01
S10:	31.04	0.00	0.02	0.03	3.07	0.07
<T|Hso|T>						

Speeding it up: DFTB

- <u>Exercise 6</u>: Switch to DFTB and re-run the spectra
  - ○ The default SCC-DFTB method is fine
  - ○ Chose the 3ob-3-1 parameter set
  - ○ Re-optimize, recalculate frequencies, recalculate UV/VIS spectrum
  - ○ How do the spectra compare: TDDFT, sTDA, TDDFTB? Timings?
  - ○ Also try the IR spectrum with DFTB & MOPAC
- To visualize DFTB MOs: single point SCC-DFTB/QuasiNano15 !

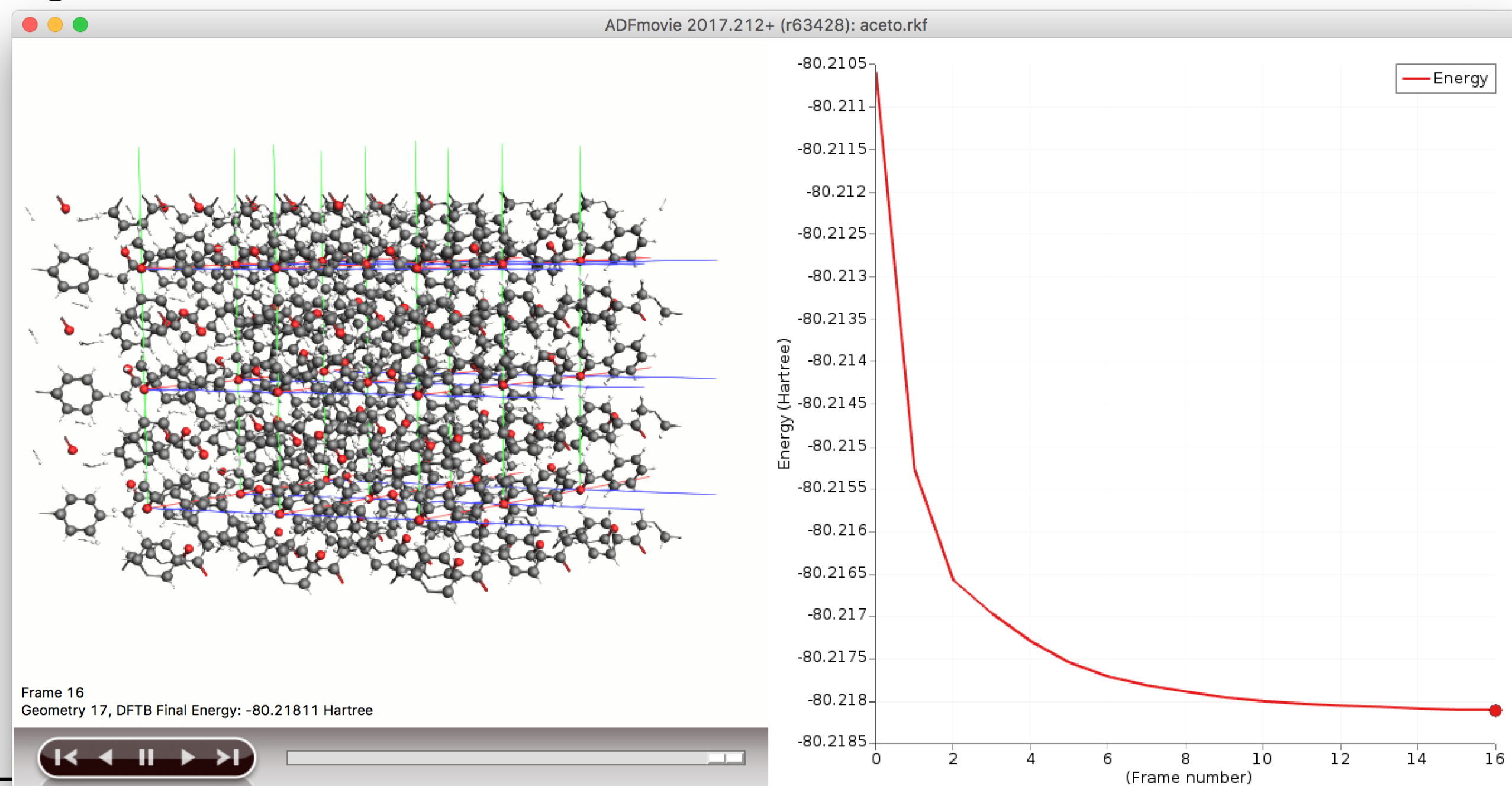Parameter directory: 📁   DFTB.org/3ob-3-1
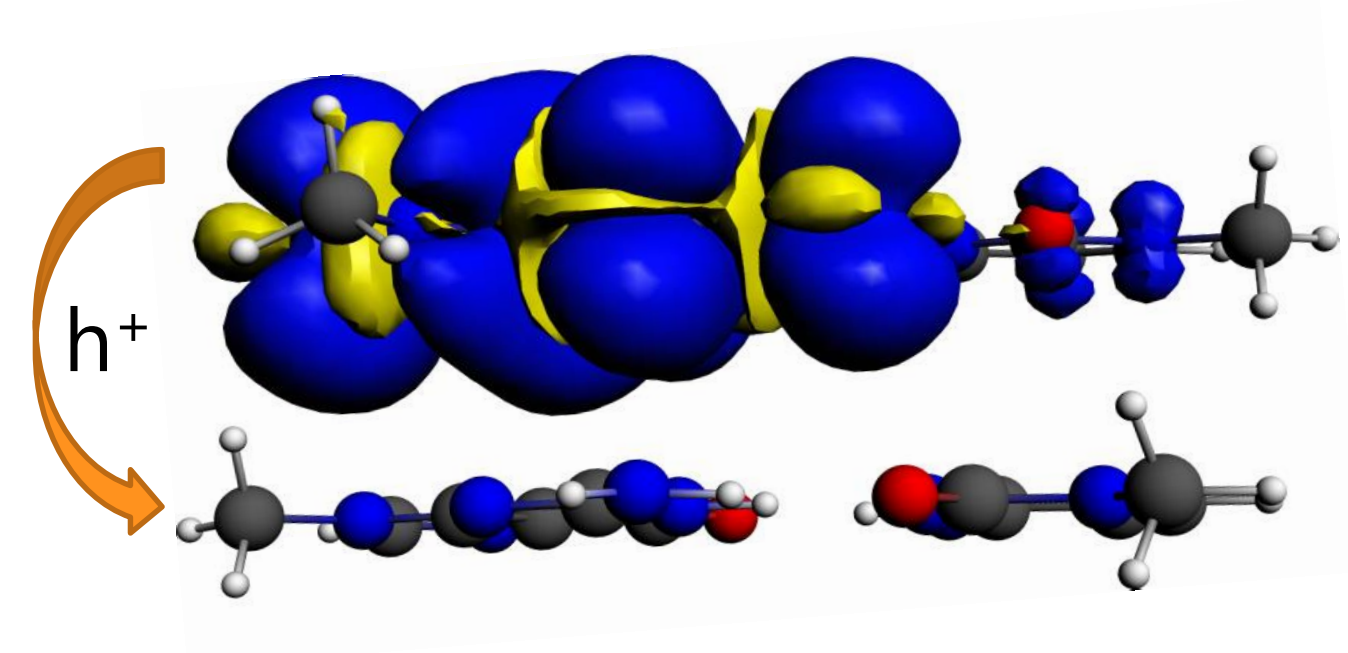
Going periodic with DFTB

- Exercise 7: Acetophenone crystal
  - Make a new input, search for acetophenone, select the crystal (optimized with MOPAC)
  - Switch from BAND to DFTB and choose D3-BJ dispersion and the 3-ob-3-1 parameter set
  - Ignore the small unit cell warning
    - NB: consider to go to a larger supercell instead of k-point sampling (will use FIRE)
    - You may also consider optimizing the lattice (slow)
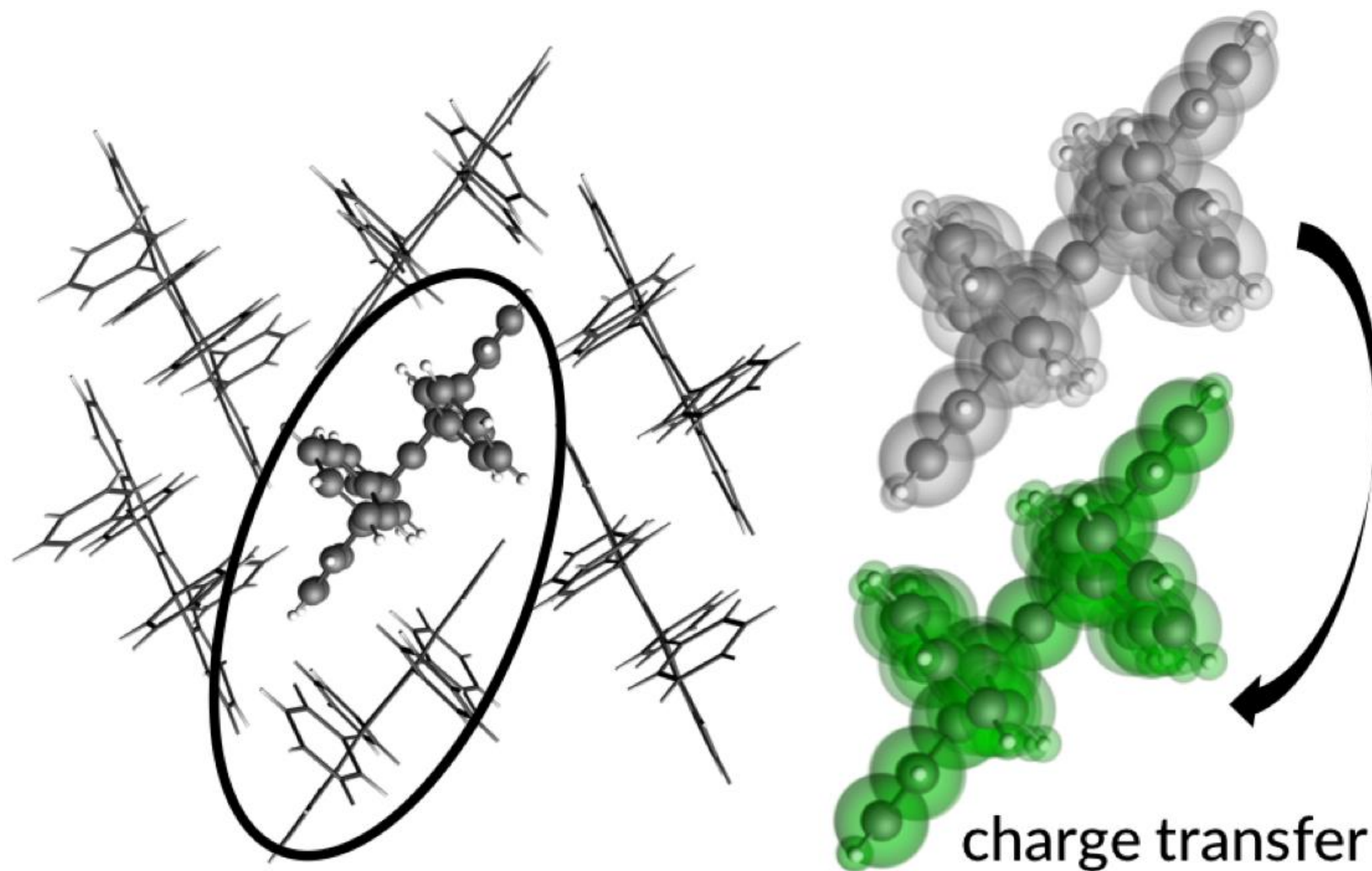 - Check progress with SCM -> Movie

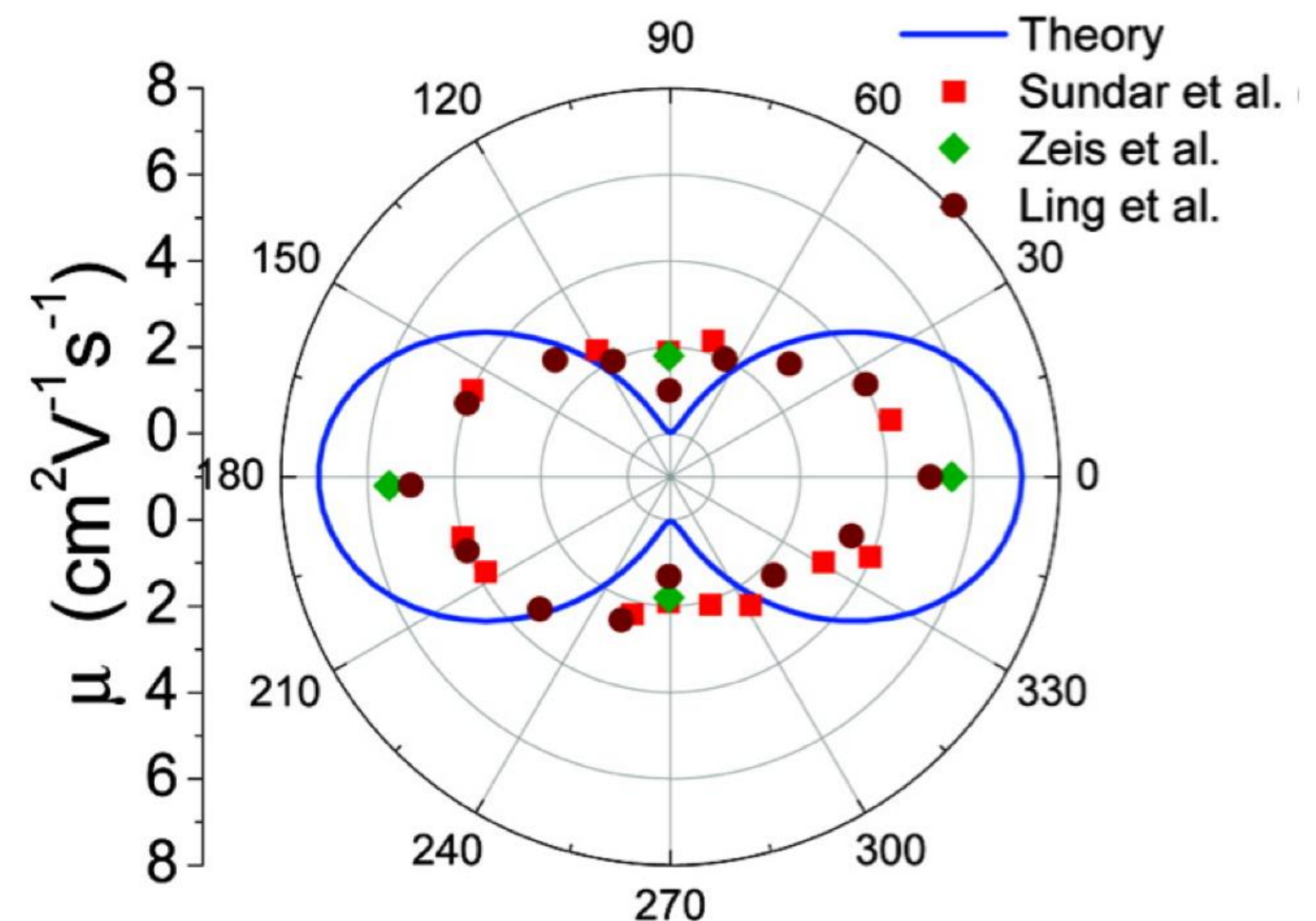# Methods to calculate charge mobilities

- Hopping transport:
  - Charge transfer integrals (FO) - easy
  - Electronic couplings from FDE

h^+

- Band transport: effective mass tensors in BAND
  - AMS2018: for any k-point & also for DFTB
  - Simulating excited state: create a core hole

- Non-equilibrium Green's Function (NEGF)
  - transmission probabilities for single-molecule junctions
  - quick calculation: wide-band limit
  - also in BAND (periodic structures, fully self-consistent, bias) and in DFTB
    - See online tutorials

Effective transfer integral J_{eff} = electronic coupling V

extract dimers

charge transfer

Anisotropic mobility:

$$\mu_\Phi = \frac{e}{2k_B T} \sum_i W_i r_i^2 P_i \cos^2 \gamma_i \cos^2(\theta_i - \Phi)$$
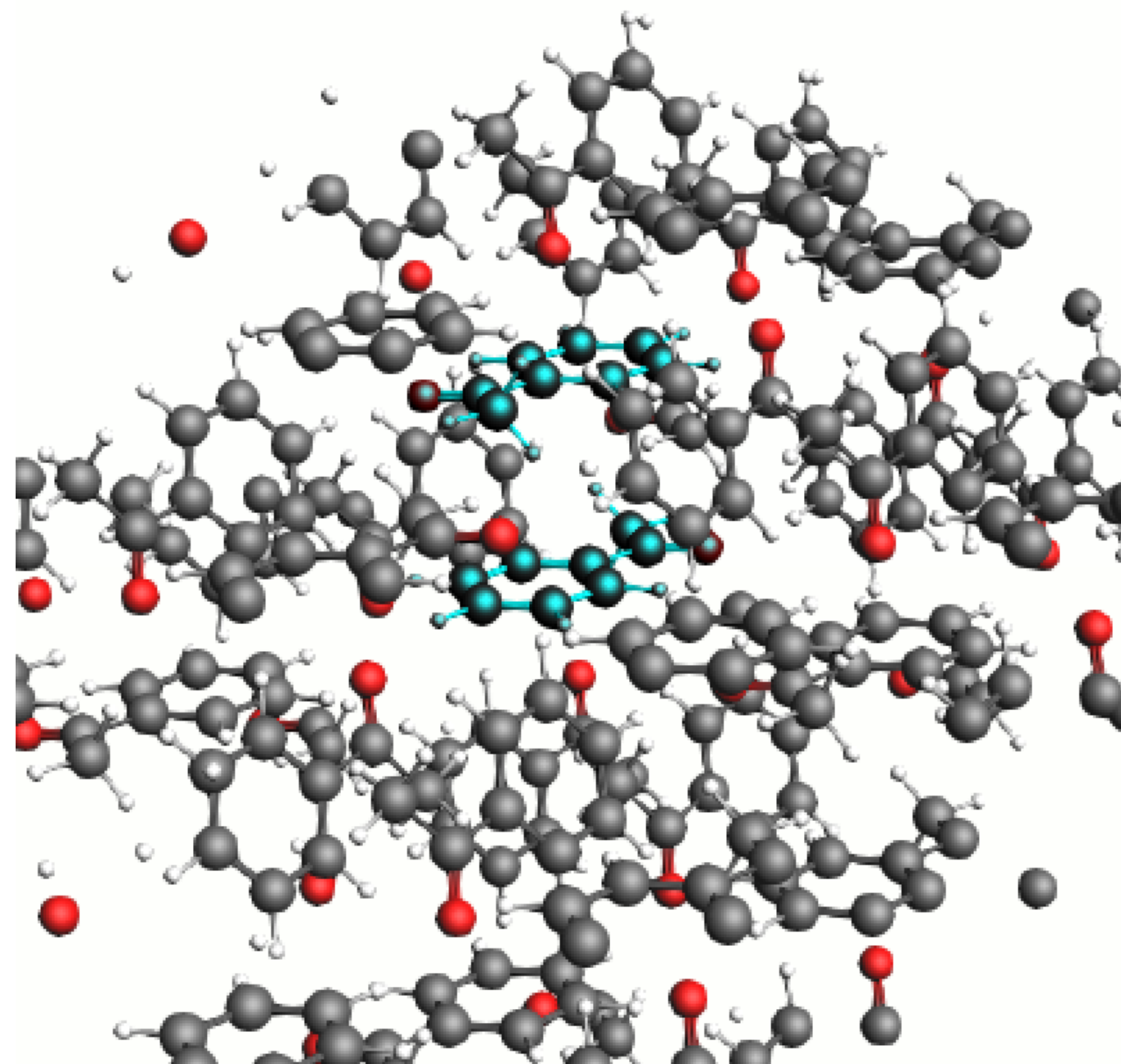
S.-H. Wen et al., J. Phys. Chem. B 113, 8813 (2009)

$$V = \frac{J_{RP} - S_{RP}(H_{RR} + H_{PP})/2}{1 - S_{RP}^2}$$

$$k = \frac{4\pi^2}{h} \frac{V^2}{\sqrt{4\pi\lambda k_B T}} \exp\left\{-\frac{\lambda}{4k_B T}\right\}$$

ADF tutorial online, ADF prints V / J_{eff} => use with Marcus theory for hopping rates
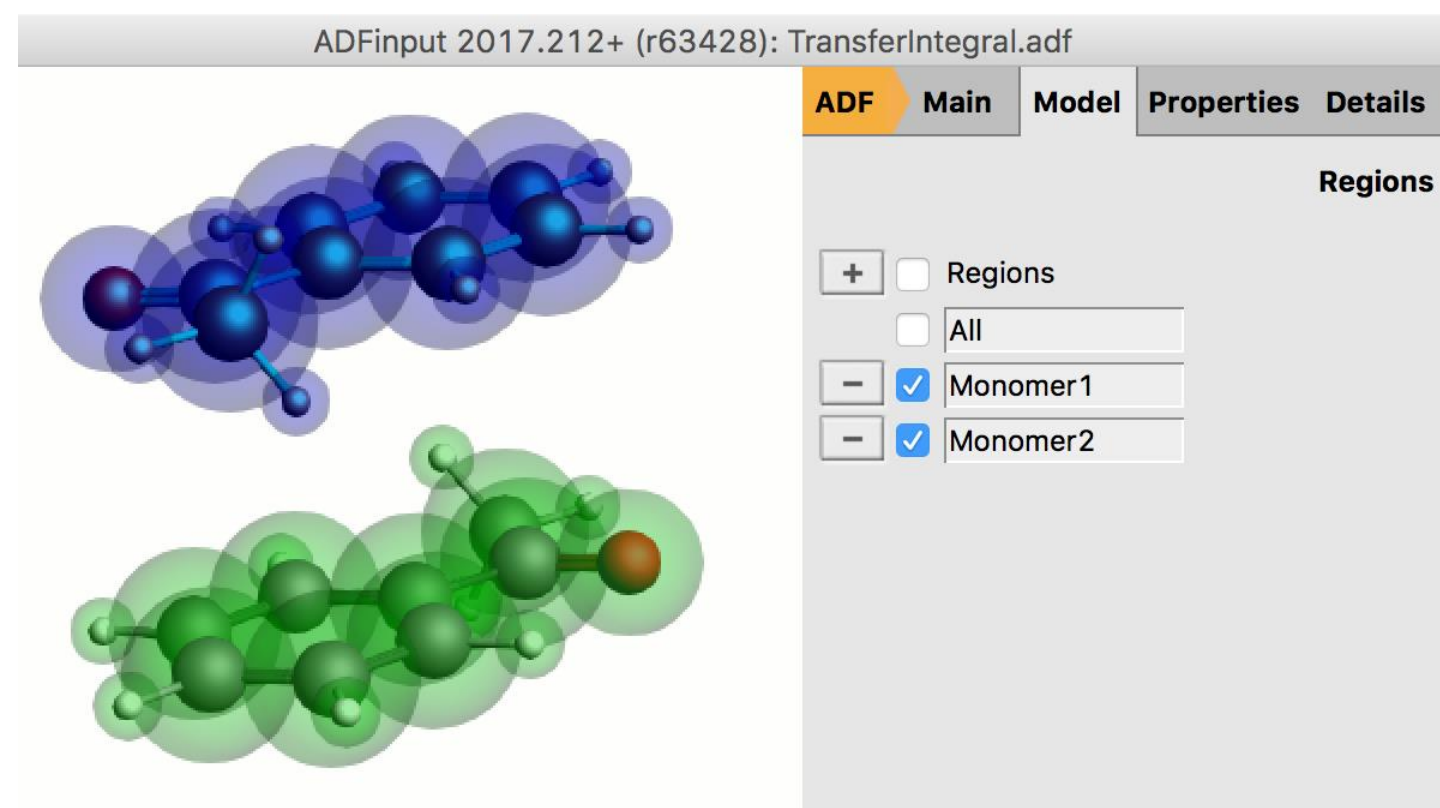
SCM

Charge transfer integrals & recombination

- Exercise 8: 1st Select the dimer for the charge transfer event

  - Update the geometry from your DFTB run

  - Make a 2x2x2 supercell (Edit-> Crystal -> Generate Supercell)

  - Switch from DFTB to ADF

  - Select 1 atom from the middle 'flat' acetophenone and one from the molecule above

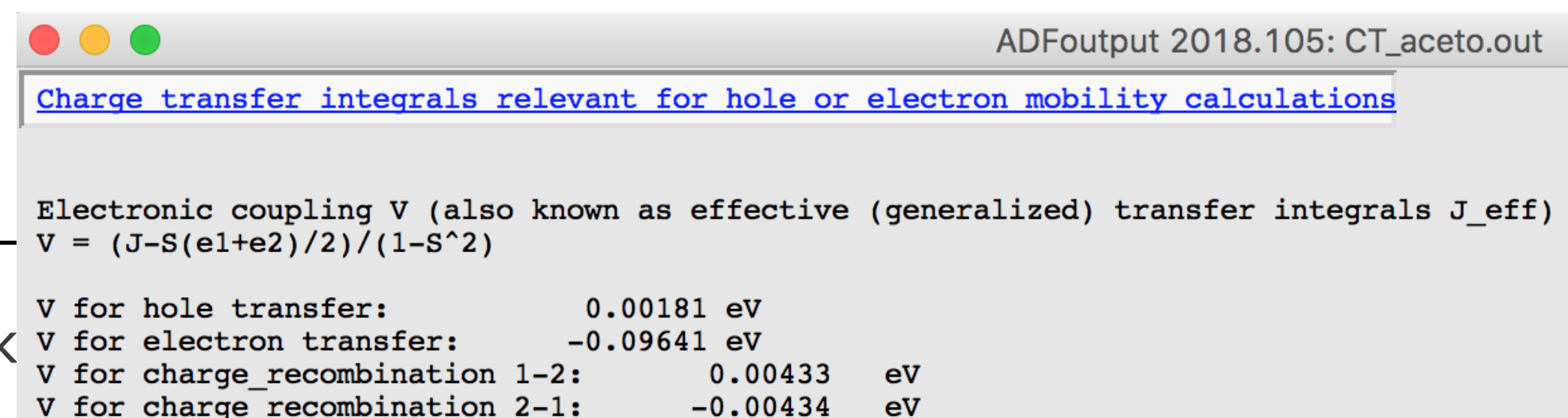 - Press Ctrl+M to select both molecules

Charge transfer integrals & recombination

- <u>Exercise 8</u>: Calculate the charge transfer integral
  - Select -> Invert selection. Delete all other atoms
  - In the Model Region Panel select each molecule as a new region (delete the crystal region):

  - Select PW91 functional (often used) with a DZP basis
    - Frozen cores are incorrect in principle; in practice usually fine
  - Find 'transfer integral' in the GUI search, and tick it.
  - In Multilevel -> Fragments pane, tick 'Use fragments'
  - SCM-> Output; Properties -> Charge transfer integrals
  - [ADF tutorial](): how to get mobilities
  - AMS2018: [charge recombination]()

ADFoutput 2018.105: CT_aceto.out

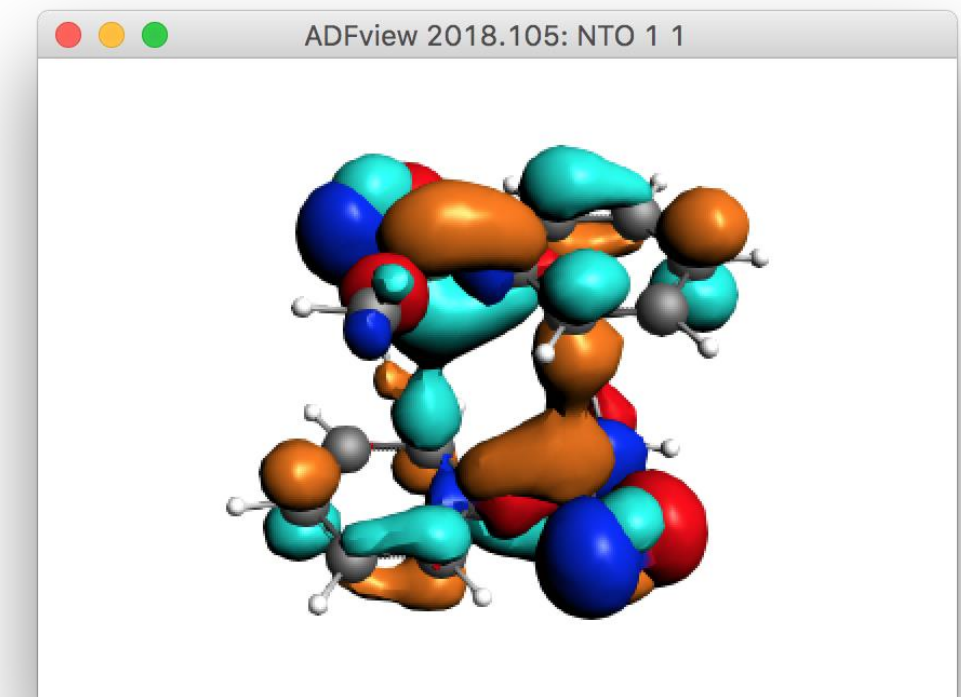Charge transfer integrals relevant for hole or electron mobility calculations

Electronic coupling V (also known as effective (generalized) transfer integrals J_eff)
V = (J-S(e1+e2)/2)/(1-S^2)

V for hole transfer: 0.00181 eV
V for electron transfer: -0.09641 eV
V for charge_recombination 1-2:   0.00433   eV
V for charge_recombination 2-1:   -0.00434   eV

(Charge transfer) analysis excited state

- Exercise 9: Calculate the excitations with SFO analysis + CT descriptors
  - Untick charge transfer integrals
  - Properties -> Excitations: select Allowed only;
  - Tick SFO Analysis + CT descriptors
  - Save as a different file & run
  - Visualize the spectrum and NTOs of two lowest states (CT)
  - In output search for Plasser (see paper with Lischka)
  - CT = 1 & POS = 1.5 and CTNET = 0 => Charge resonance
  - Very small exciton splitting
  - LAMBDA = 0.4655 => perhaps use hybrid or RSH (Tozer)

Charge transfer descriptors
SFO Analysis

ADFview 2018.105: NTO 1 1

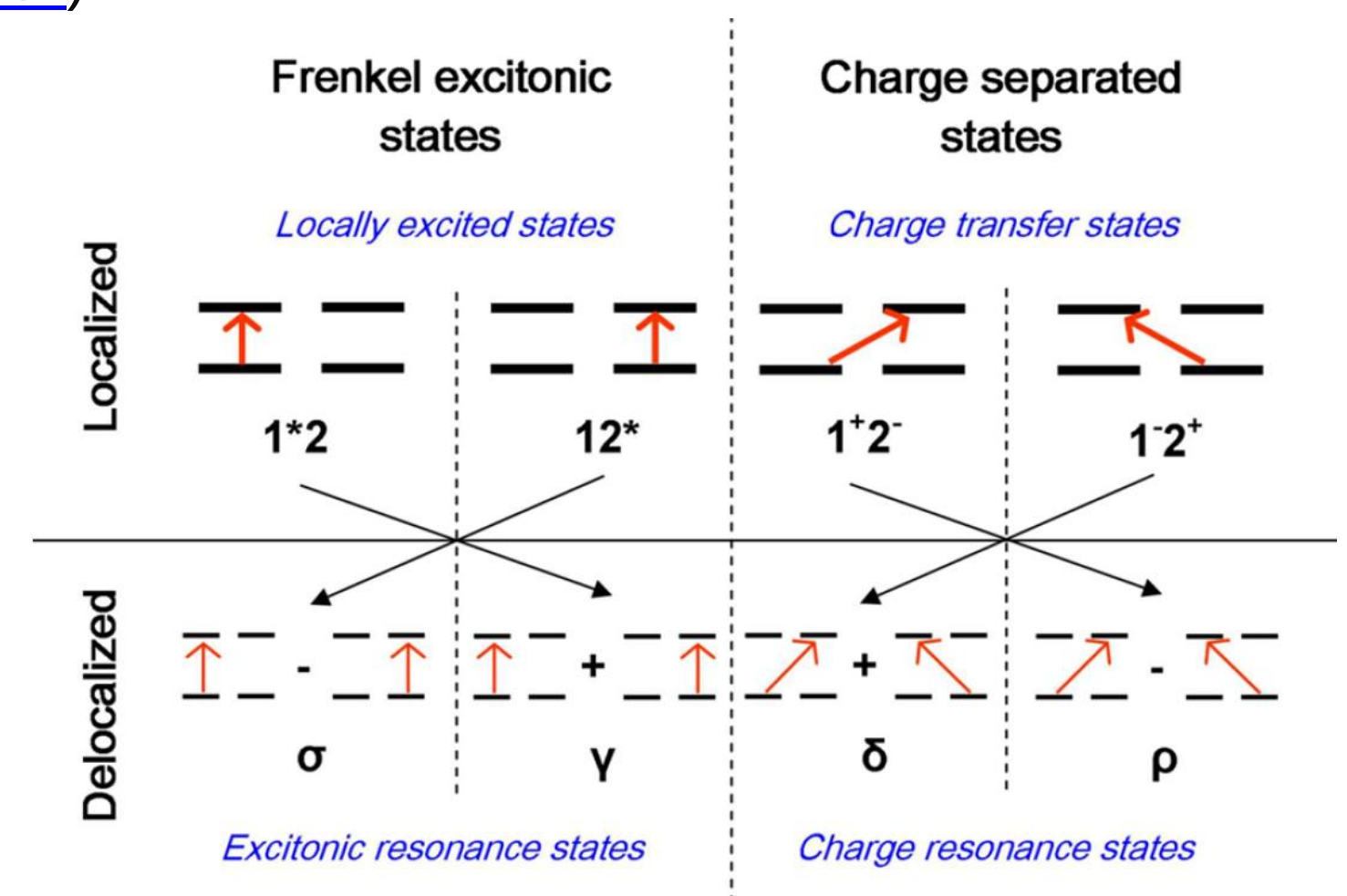```
Descriptors (based on Plasser, Lischka, et al.)
   CT_AT =   0.9524   R_HE =   4.8449
   CT   =   0.8778   PR   =   1.9530   COH =   1.2649
   POS   =   1.4898   CTNET =   0.1539
Descriptors (Peach, Tozer, et al.)
   LAMBDA =   0.4655   R_HE =   0.1334

FRAGMENT -> FRAGMENT ANALYSIS BASED on SFOs

   Frag -> Frag   weight   mu(x)   mu(y)   mu(z)
   ----
       1 ->   1   0.0698 0.0247 -0.0011 -0.0072
       2 ->   2   0.0501 0.0199 -0.0157 -0.0011
       1 ->   2   0.5172 -0.0082 0.0182 -0.0066
       2 ->   1   0.3630 -0.0089 0.0099 -0.0036

     All -> All   1.0000 0.0275 0.0114 -0.0186
```
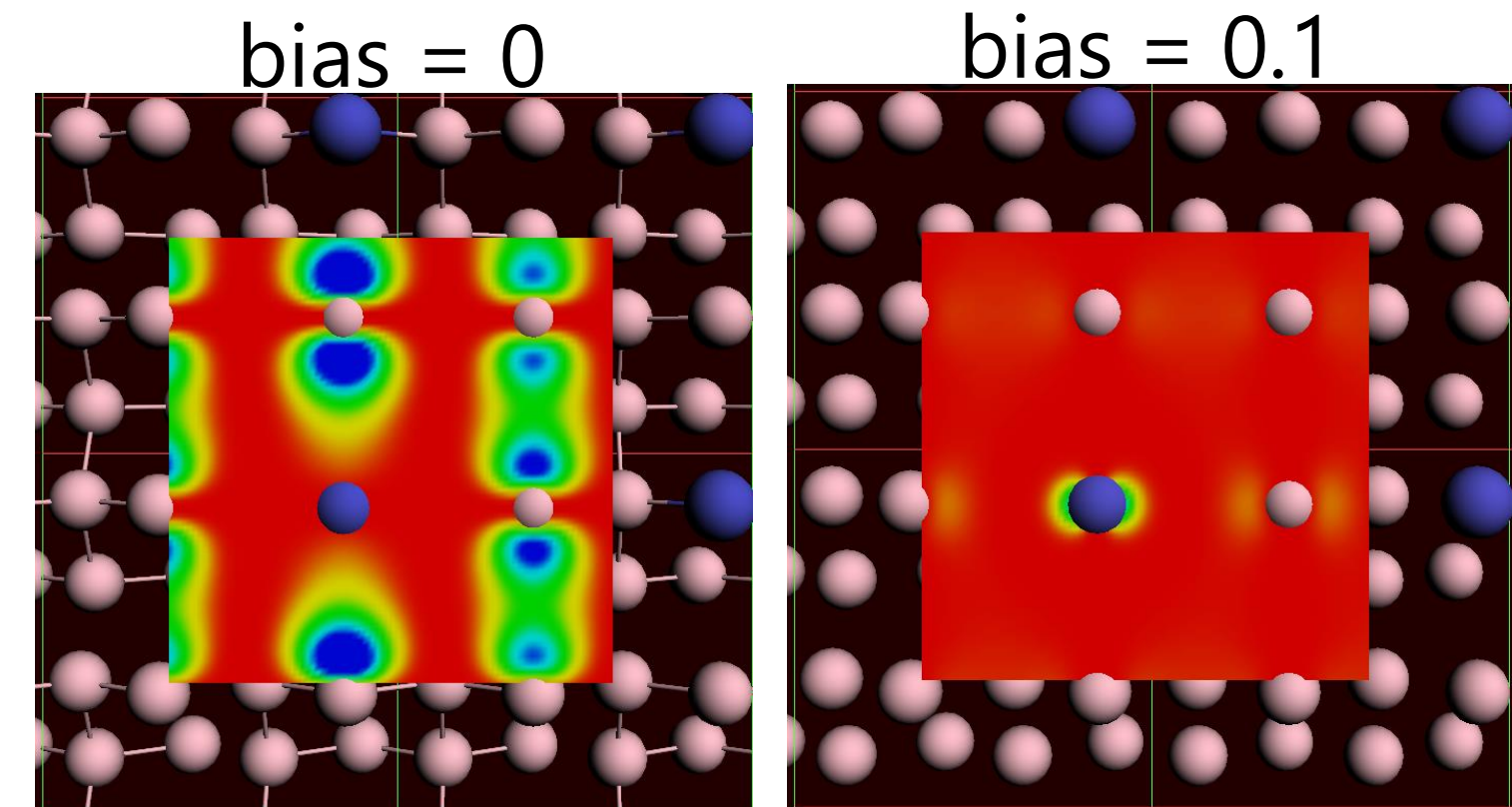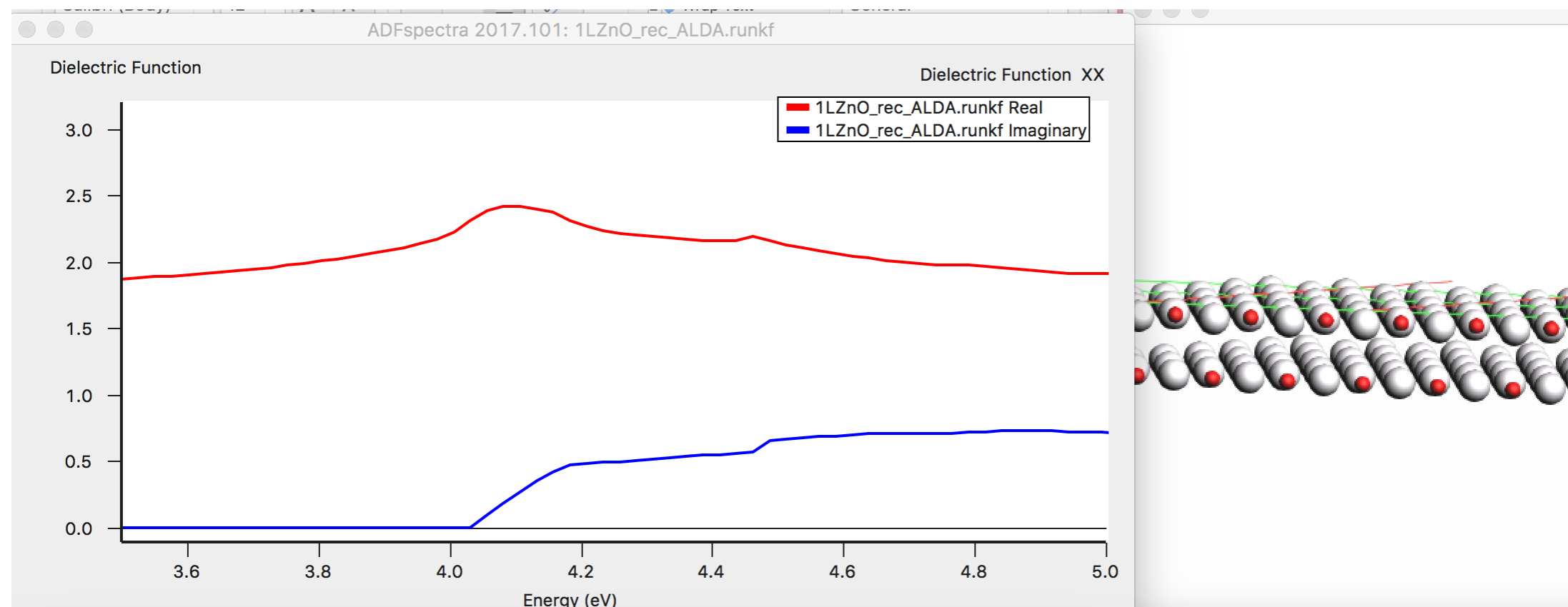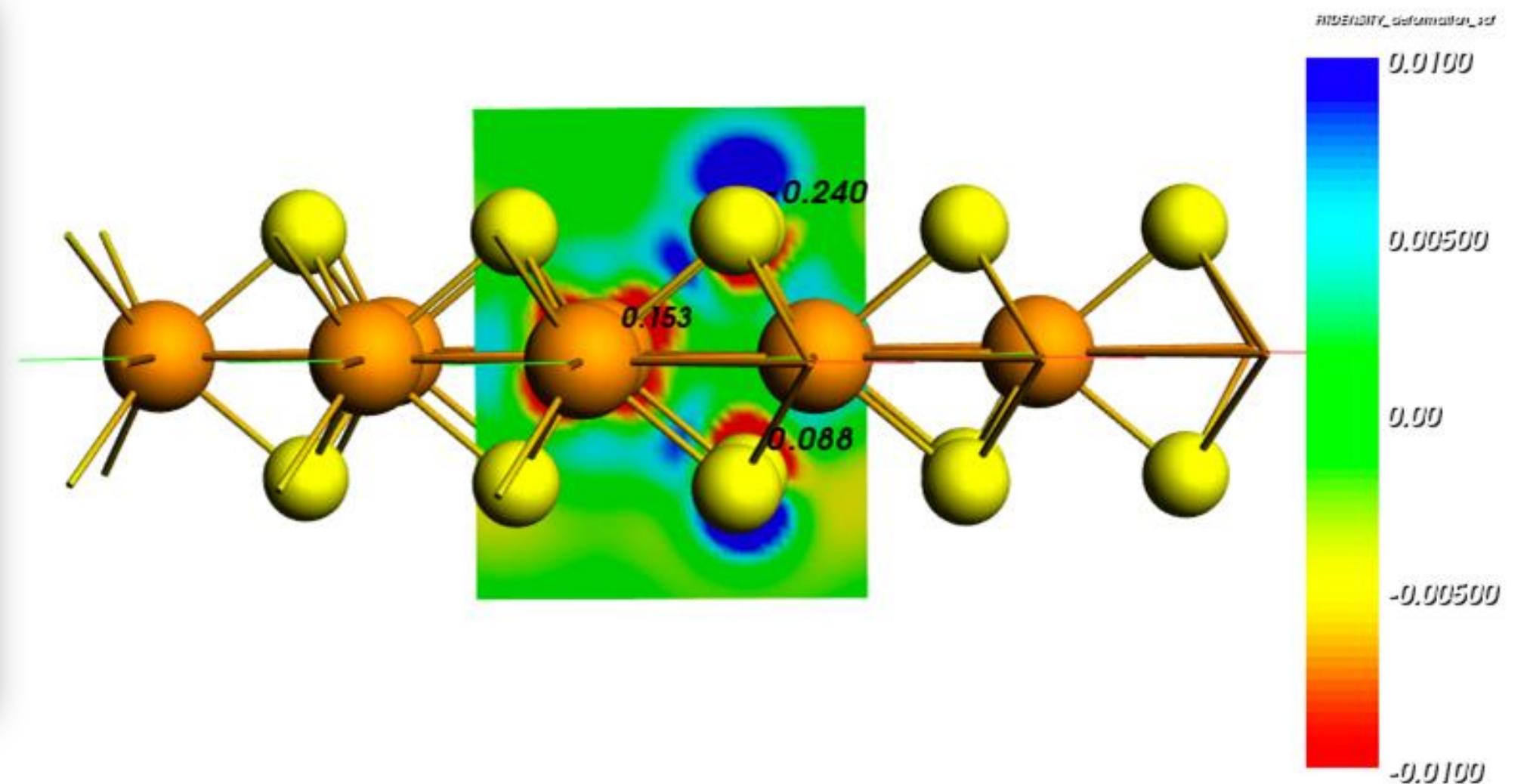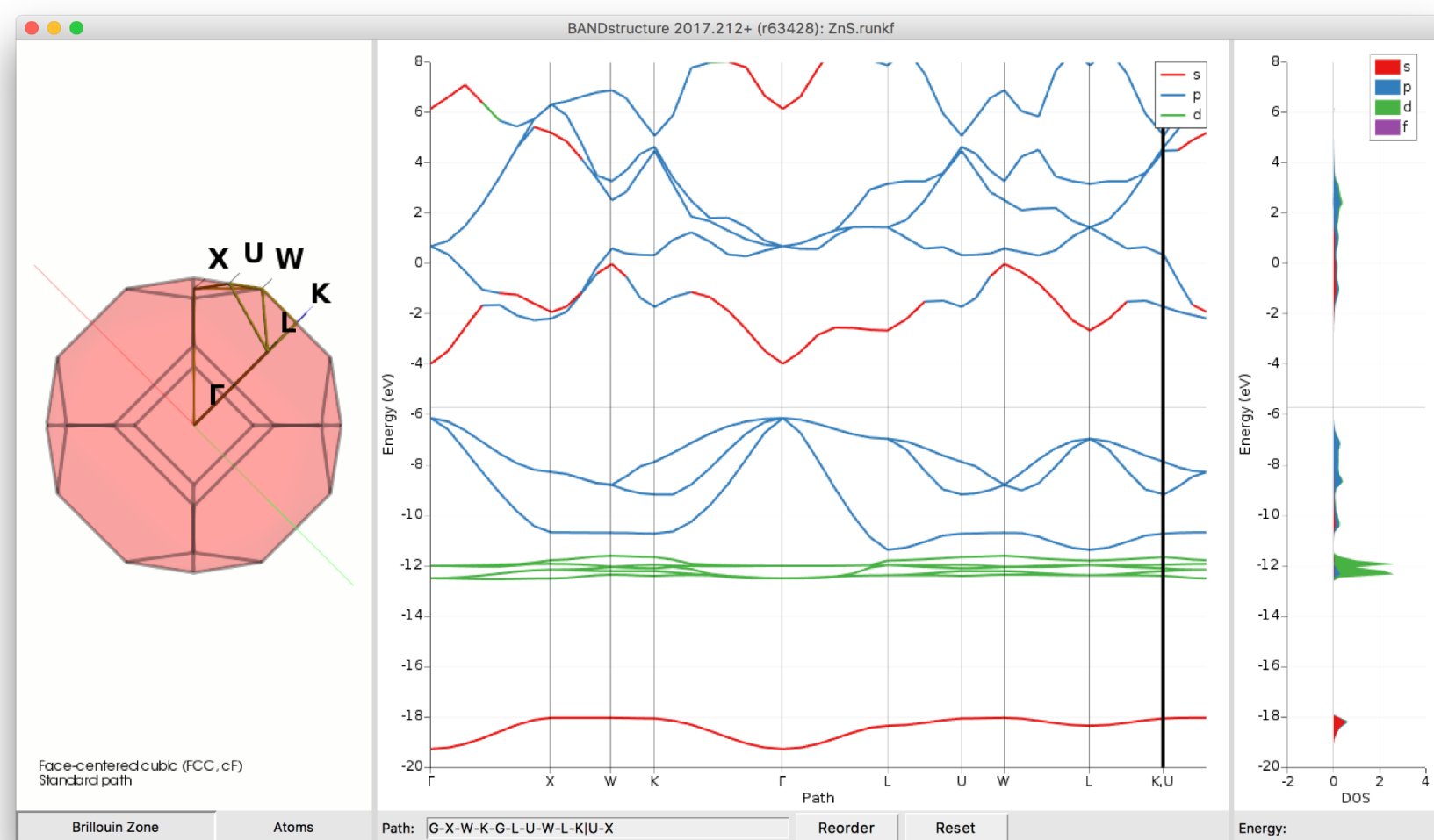


Frenkel excitonic states — Locally excited states — Charge separated states — Charge transfer states

Localized: $1*2$ $12*$ $1^+2^-$ $1^-2^+$

Delocalized: σ γ δ ρ

Excitonic resonance states — Charge resonance states

# BAND & QE : Periodic DFT



Dielectric function ML ZnO

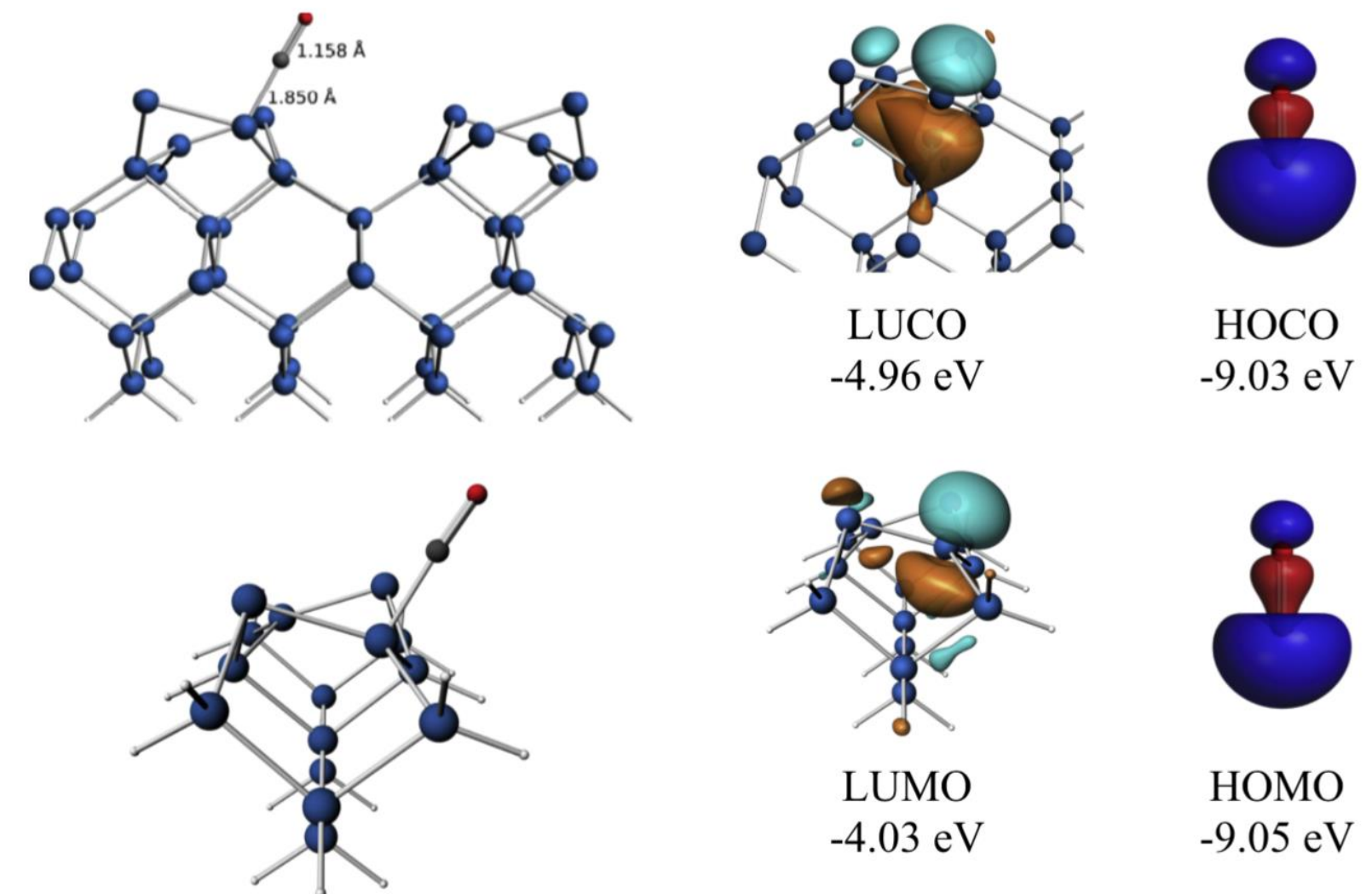bias = 0    bias = 0.1

STM PtGe(100)
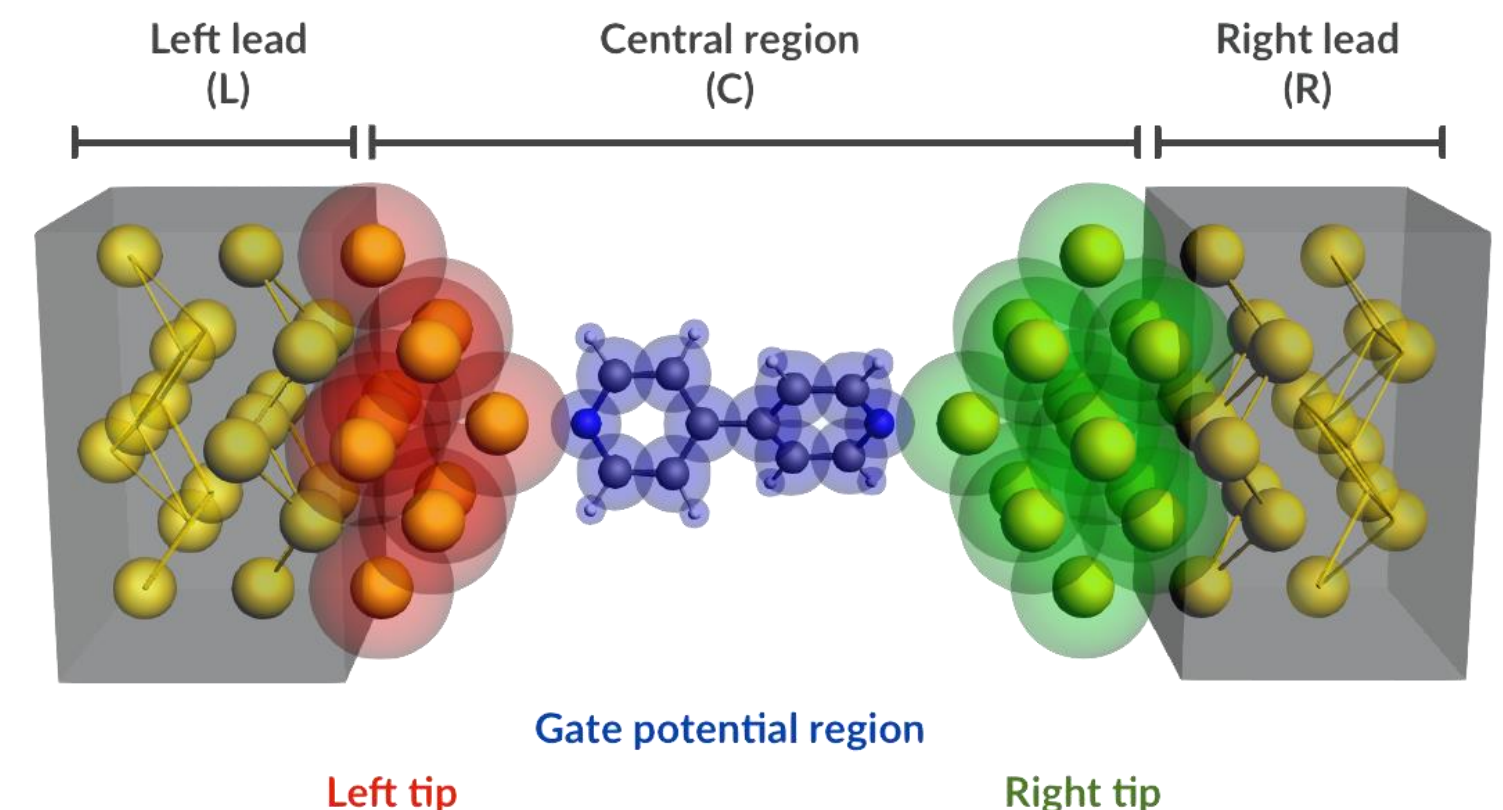
Band structure, pDOS, fat bands ZnS

Polarizing MoS$_2$ with an electric field

# BAND vs. Plane Wave codes (QE)

- Atom centered basis functions, STO or NAO
  - Compare cluster with periodic
  - No pseudopotentials, all elements
  - Core spectroscopy (core holes)
  - Easy (orbital, density) analysis with GUI
  - Fast for empty (1D, 2D, porous)
  - xc: SCAN, MN15-L, HSE06, GLLB-sc, D3(BJ), …
  - Self-consistent NEGF
    - Gate & bias potential
    - Spin transport



LUCO -4.96 eV    HOCO -9.03 eV

LUMO -4.03 eV    HOMO -9.05 eV

crystal orbitals, periodic energy decomposition analysis
M. Raupach and M. Tonner, J. Chem. Phys. **142**, 194105 (2015)

- True 2D surfaces, 1D polymers
  - Het. catalysis: polarization, COSMO
  - 2D electronics (homogeneous E field)
  - Nanotubes

- Integrated Graphical Interface:
  - Easy set up & analysis
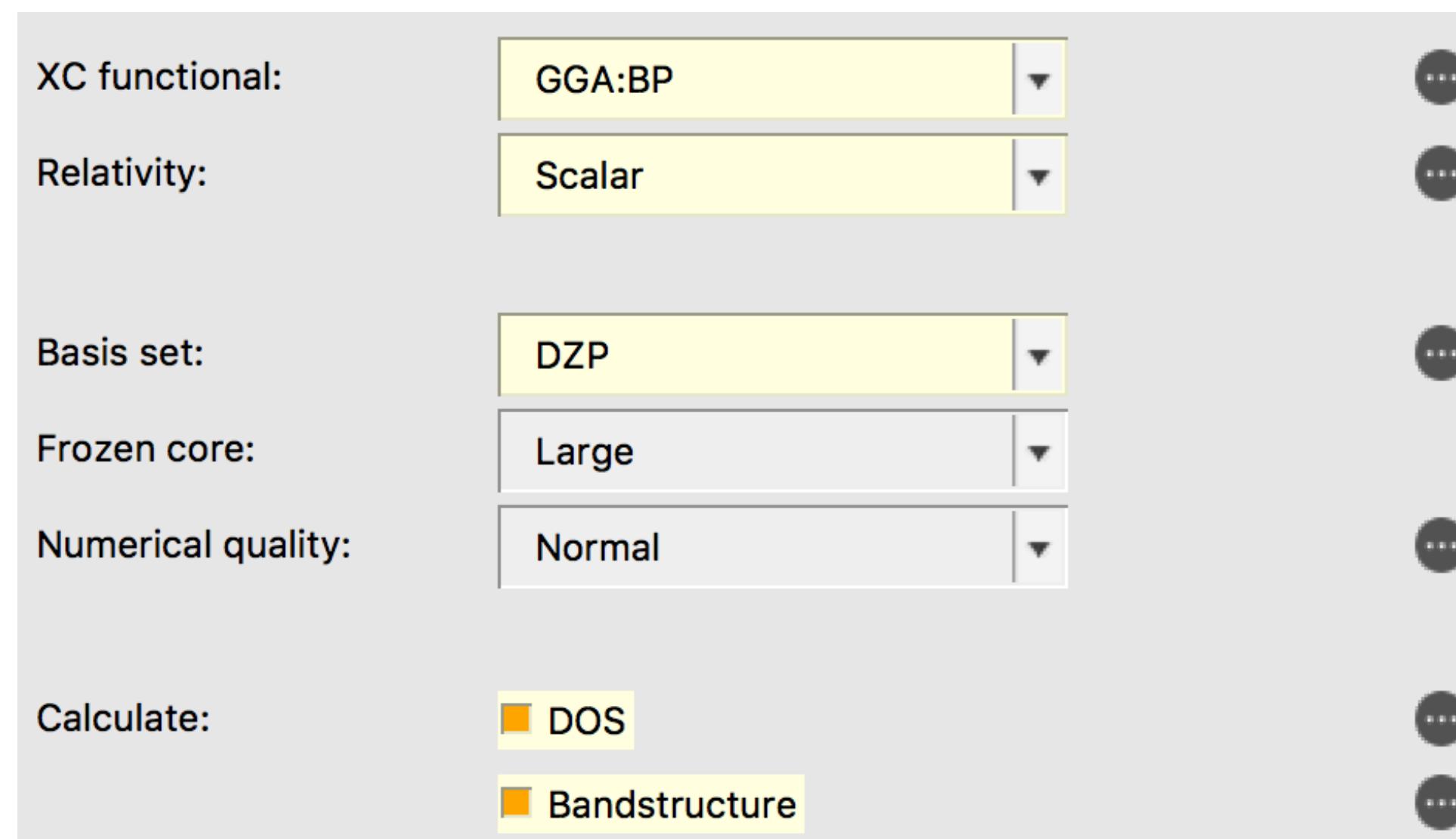  - Switch: ADF, BAND & Quantum Espresso



Left lead (L)    Central region (C)    Right lead (R)

Gate potential region

Left tip    Right tip

# Band structure, pDOS, fat bands, COOP

- <u>Exercise 10</u>: ZnS bulk
  - New input, go to BAND
  - click on the 'crystal' builder tool in the bottom
  - select cubic -> Zincblende and accept the default
  - Settings: BP, SR-ZORA, and DZP
  - Select DOS and Bandstructure (default interpolation)
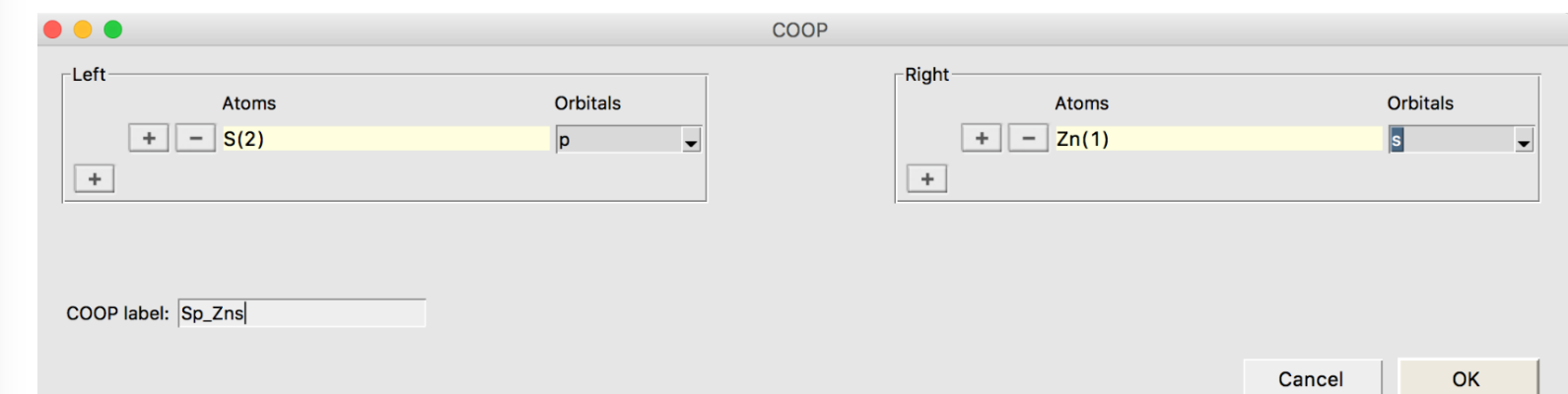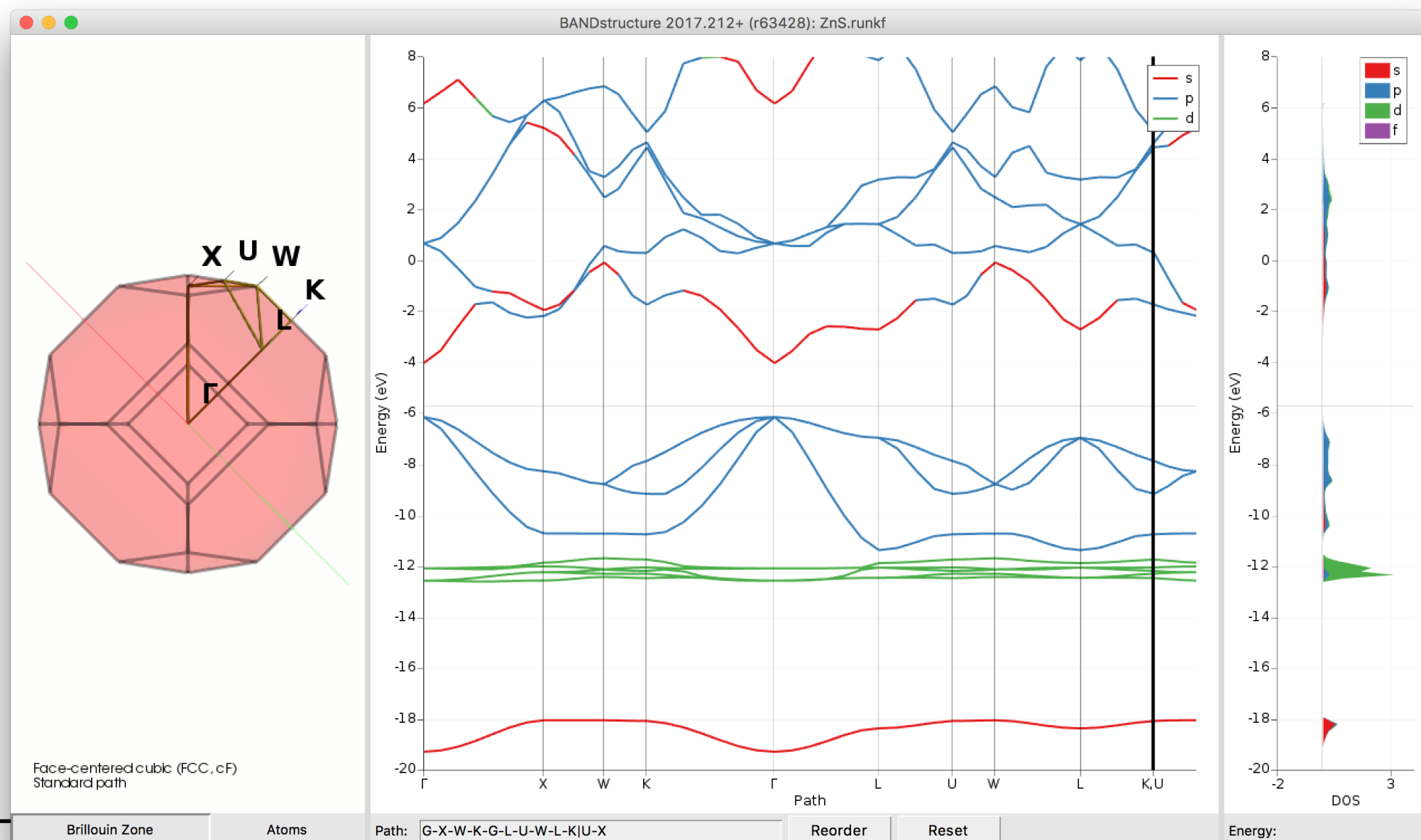  - Run it!

| | |
|---|---|
| XC functional: | GGA:BP |
| Relativity: | Scalar |
| Basis set: | DZP |
| Frozen core: | Large |
| Numerical quality: | Normal |
| Calculate: | ☐ DOS |
| | ☐ Bandstructure |

**SCM**

# Band structure, pDOS, fat bands, COOP
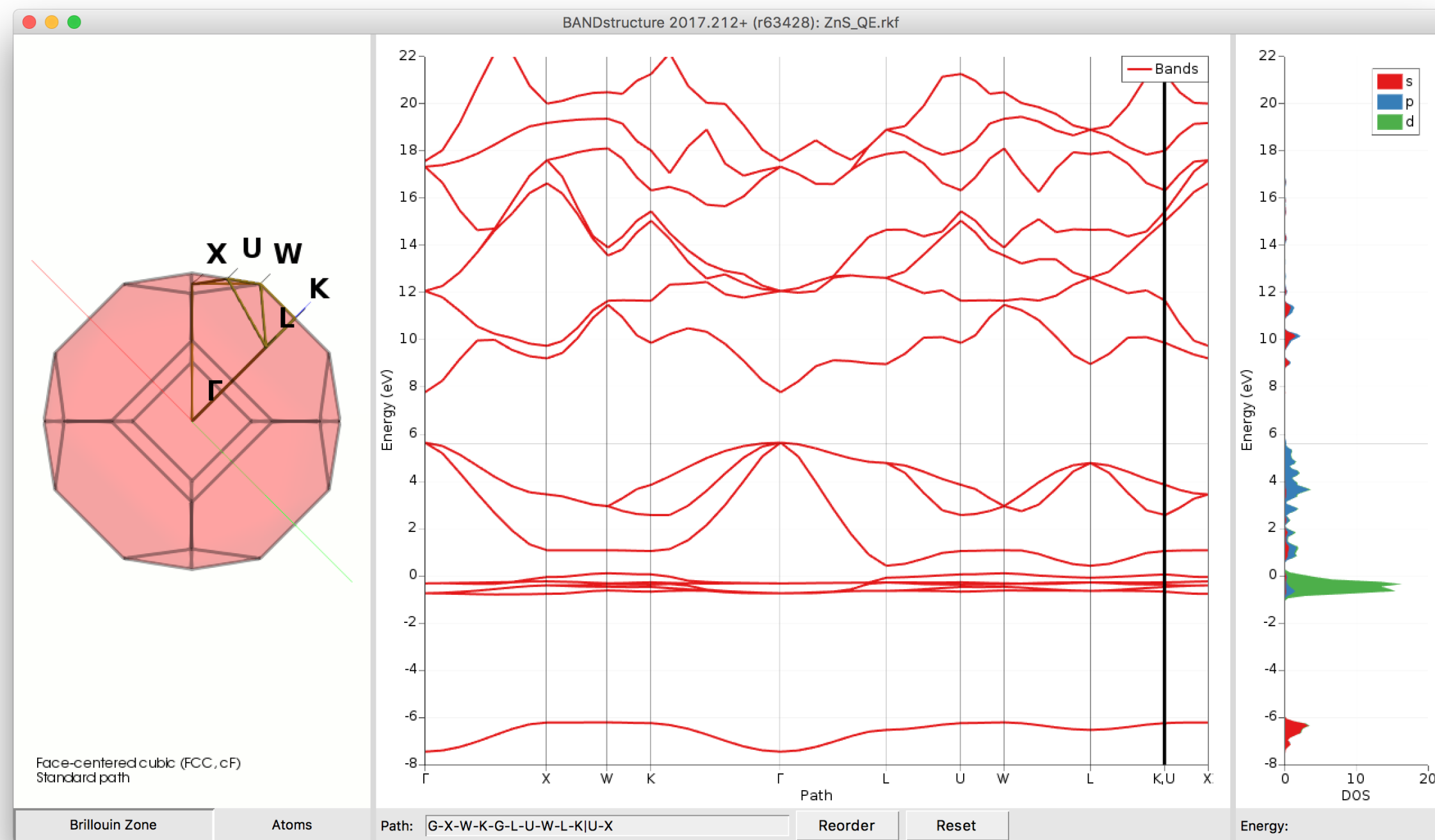
- <u>Exercise 10</u>: ZnS bulk
  - Visualize the band structure (SCM Menu). You will automatically see the pDOS and 'fat bands'
  - ZnS is a direct band gap semiconductor (p-s transition)
    - Check the logfile and output for band gap info and kmesh
    - Low band gap: try model potentials (TB-mBJ, GLLB-sc) and HSE06? <u>see benchmark study</u>.
    - Should also be converged wrt kpoints, basis, etc.
  - Restart the calculation from SCF and in the DOS details tick 'COOP'
    - Visualize the crystal orbital overlap population between the Zn s and S p orbitals



recent <u>JACS study by Hoffmann</u>:
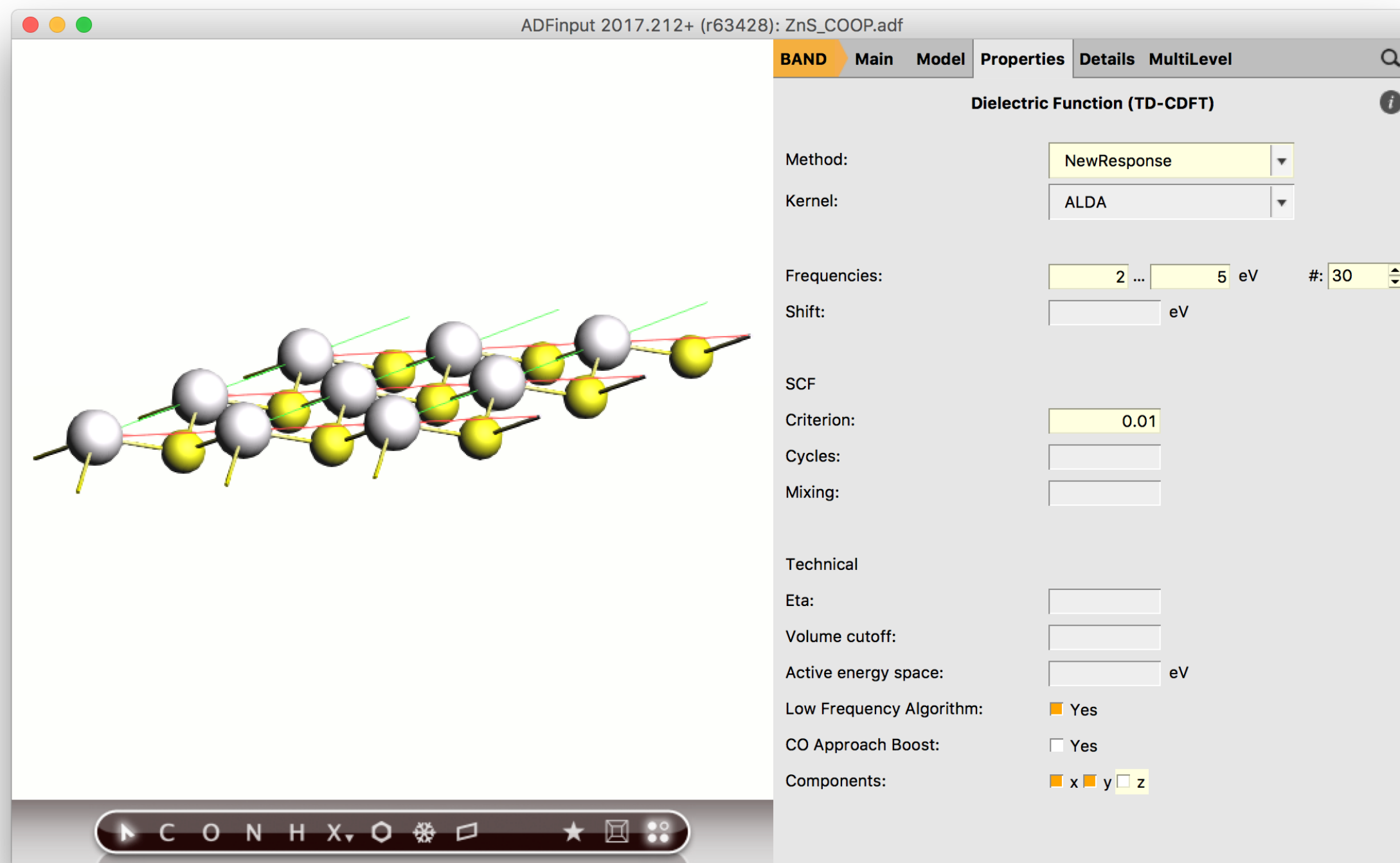COOP in perovskites (<u>tutorial</u>)

# Band structure, pDOS with QE

- **Exercise 10**: ZnS bulk with QE
  - Switch from BAND to Quantum ESPRESSO
  - Choose the same k-mesh (5x5x5), functional and Vanderbilt pseudopotentials
  - You will see a similar band structure, but they aren't colored according to character
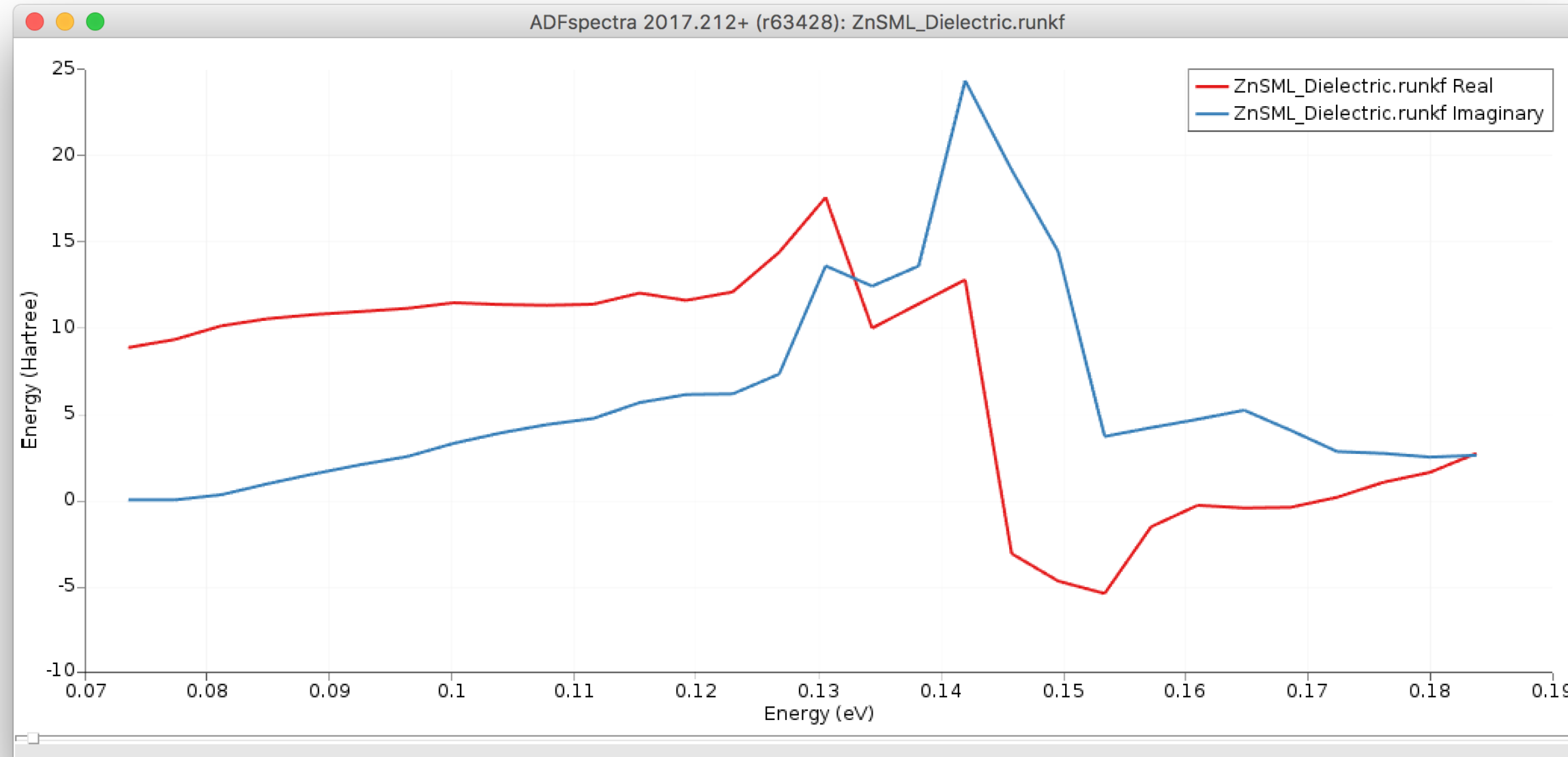    - DOS can be projected by QE

# Surfaces, dielectric function

- <u>Exercise 11</u>: ZnS monolayer: 2D-TDCDFT
  - Cut the 111 surface with the slicer tool, and choose 1 layer
  - From properties -> dielectric function choose NewResponse
  - Calculate 30 frequencies between 2-5 eV
  - Set the SCF convergence criterion to 0.01 and switch off the z-component
  - Run it (you will prompted Nosymm is used)

# Surfaces, dielectric function

- <u>Exercise 11</u>: ZnS monolayer: 2D-TDCDFT
  - SCM -> Spectra will show the averaged dielectric function
  - Look at the susceptibility, polarizability and refractive index in Spectra->TDCDFT
  - You could use a 'scissor' shift to upshift the virtuals
  - Converge with respect to k-points!
  - Geometry of the ions should be optimized, this will effect electronic properties
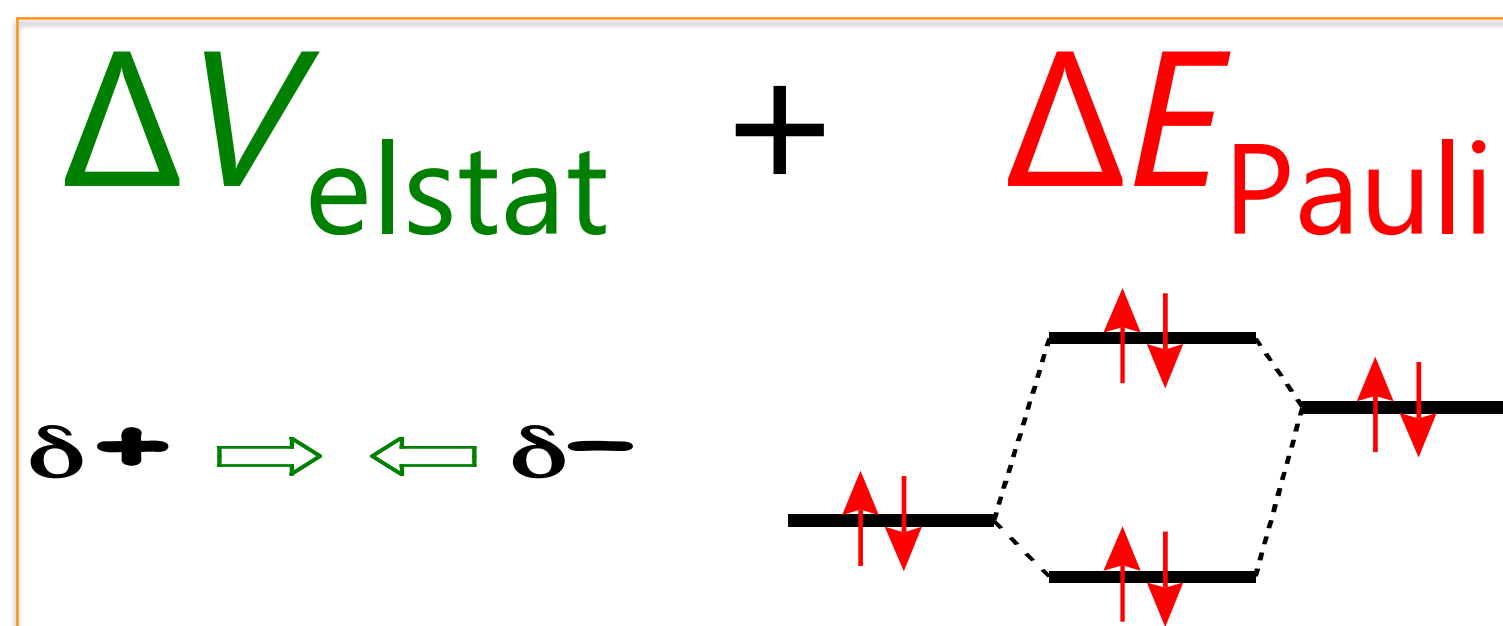    - For free-standing ML, also optimize lattice ?!

# Energy decomposition analysis

$$\Delta E = \Delta E_{prep} + \boxed{\Delta E_{int}}$$

- $\Delta E_{prep}$ = geometry 'deformation' energy

$$\Delta V_{elstat} + \Delta E_{Pauli} + \Delta E_{oi} + \Delta E_{disp}$$



- $\Delta E_{steric} = \Delta V_{elstat} + \Delta E_{pauli}$
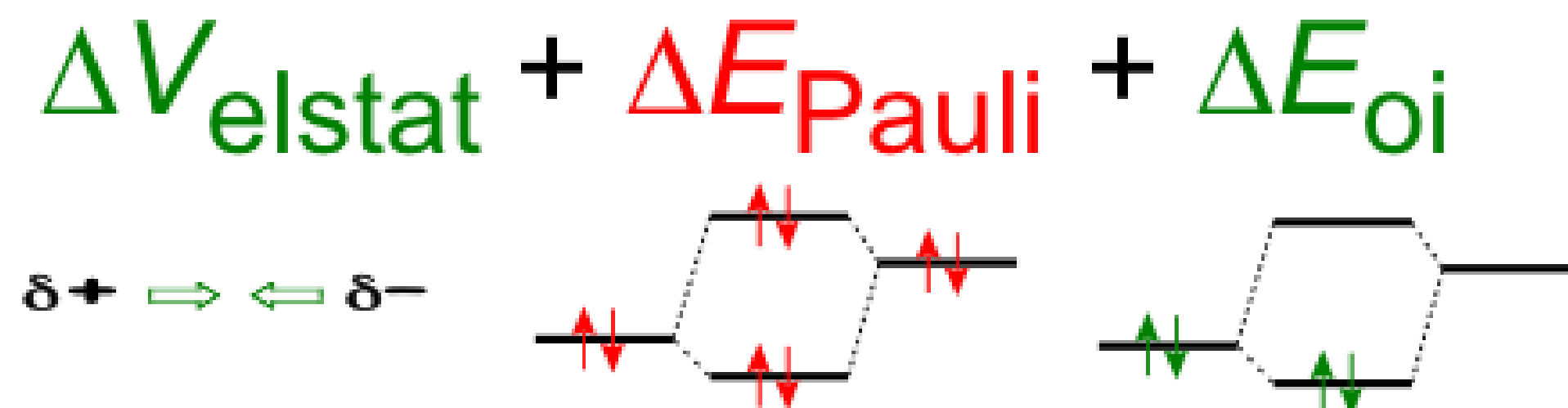
- $\Delta E_{oi}$ = decomposed in irreps.

**Extensions:**
- Periodic EDA: M. Raupach & R. Tonner, J. Chem. Phys. 142, 194105 (2015)): molecule-surface interactions
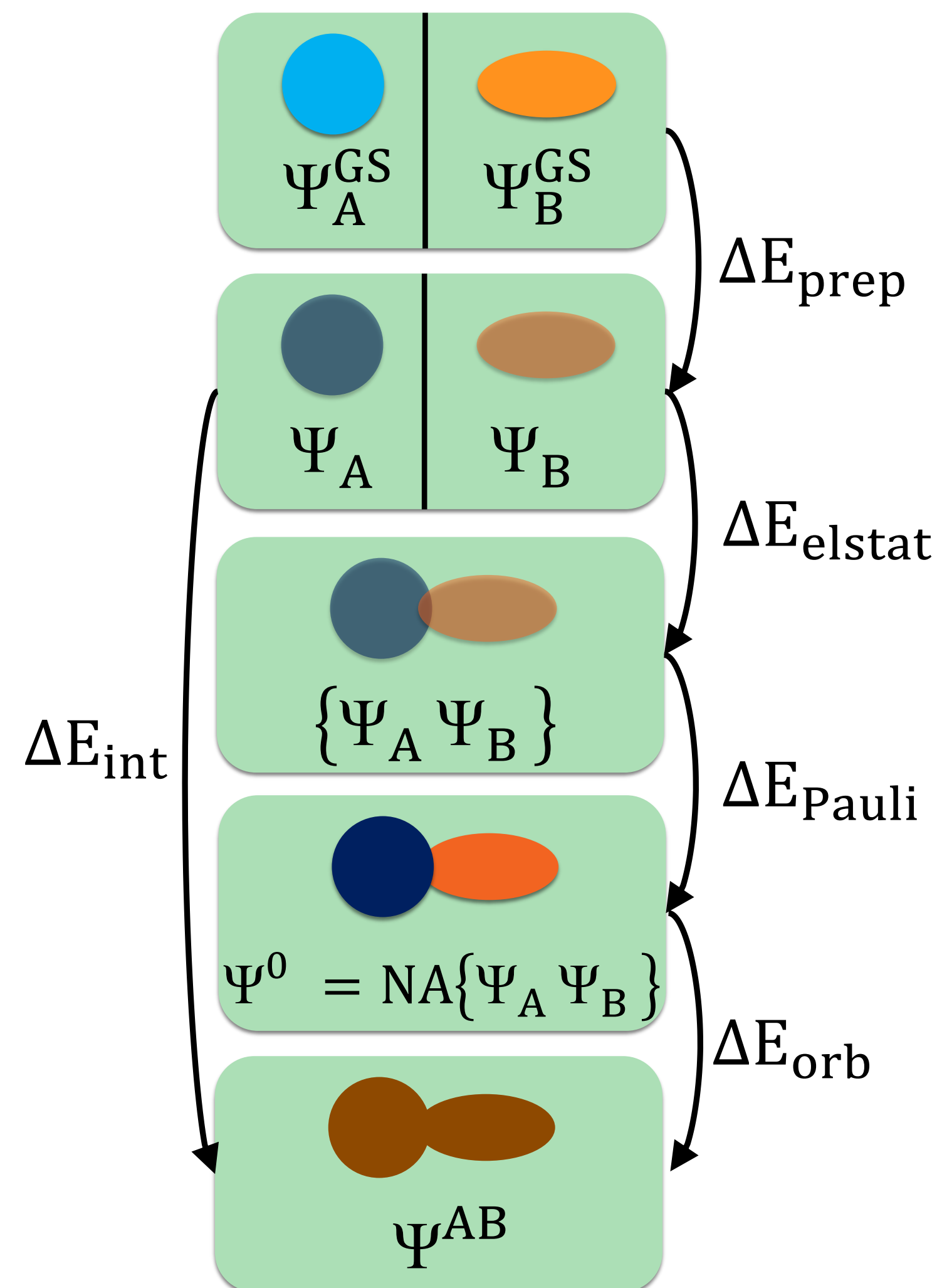- Ziegler, Michalak, Mitoraj: ETS-NOCV

CO          Ni(CO)$_4$          Ni
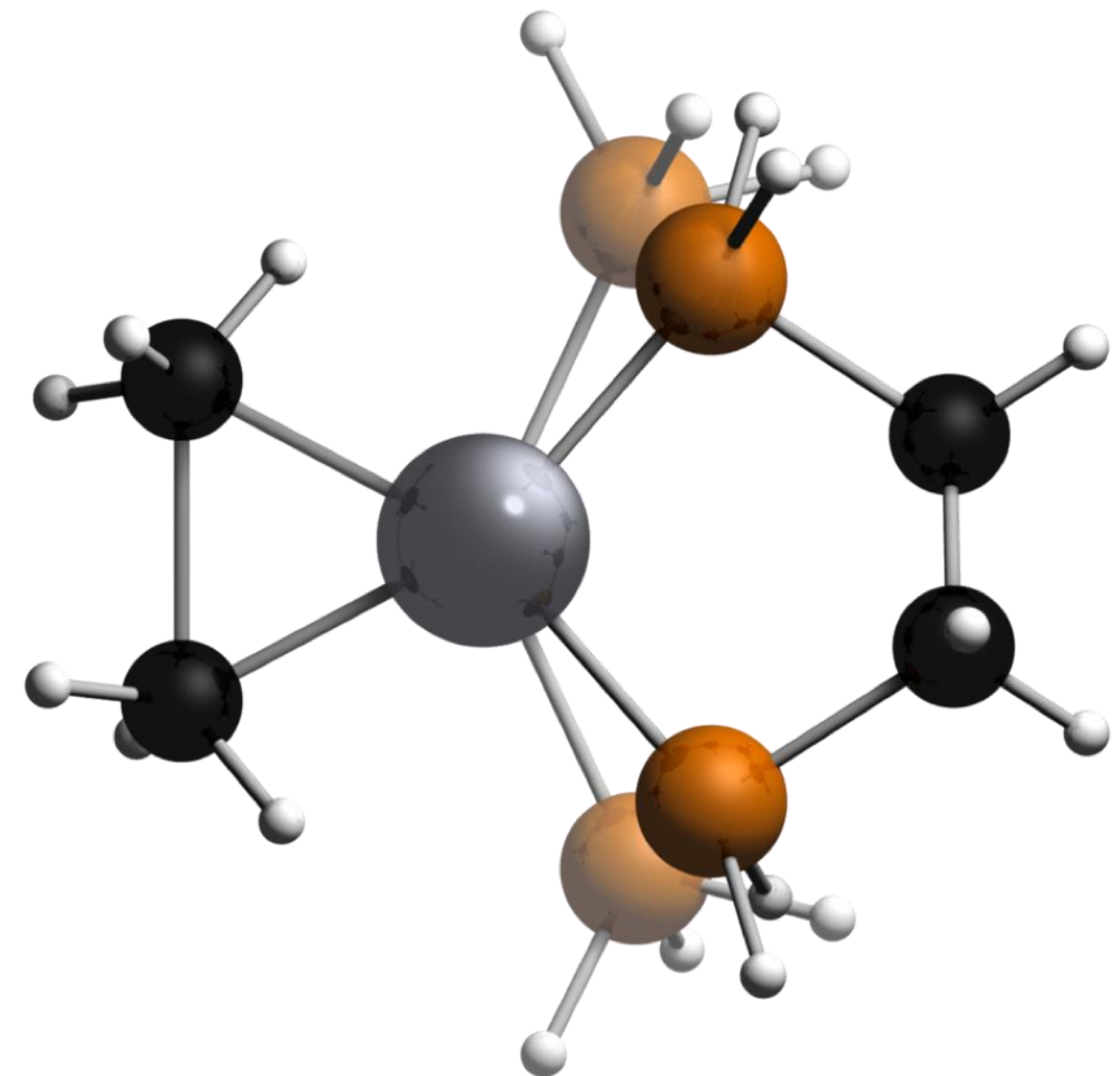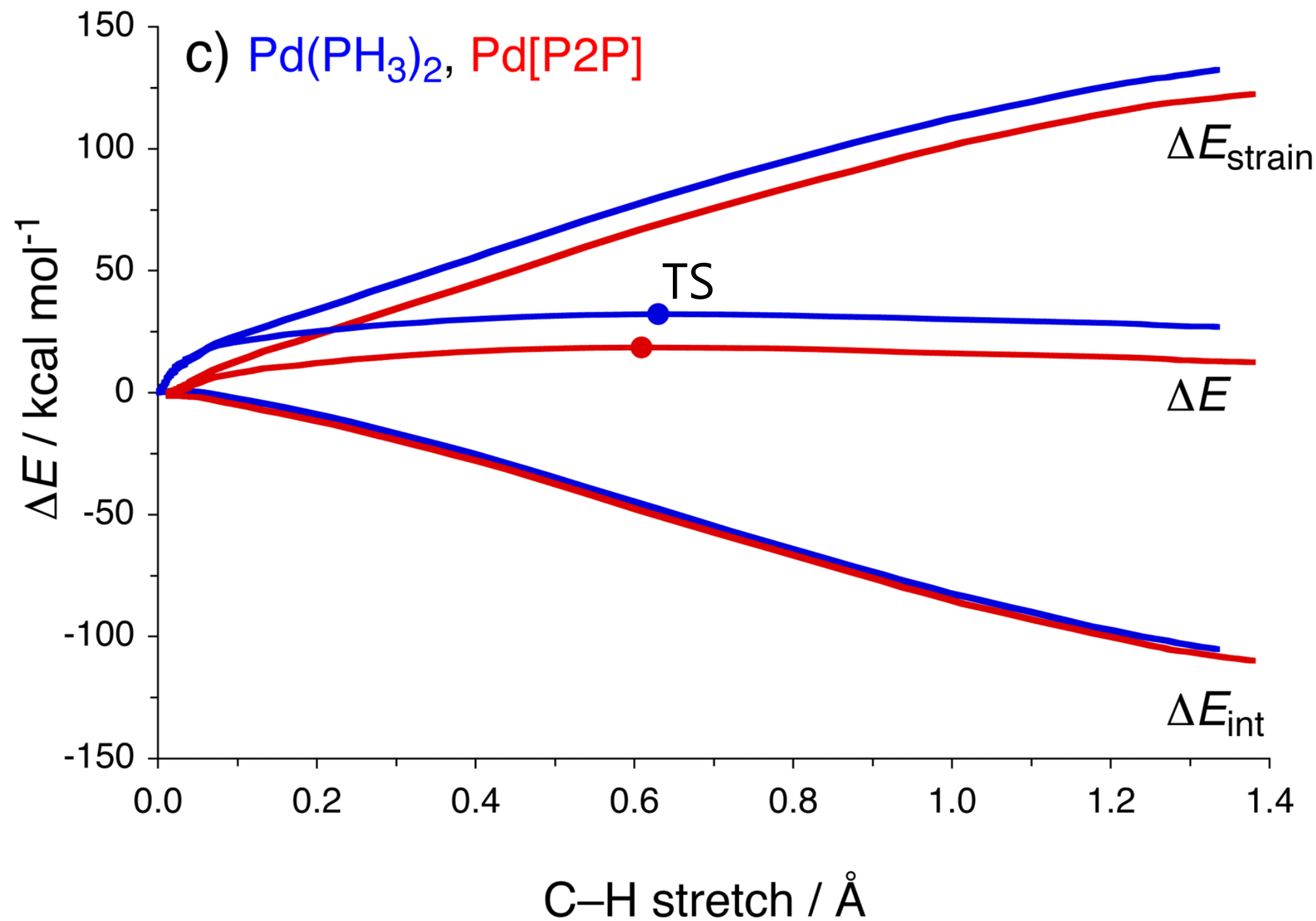
# EDA/ETS-NOCV: bond & density decomposition

- Molecule built from fragments

- Bond analysis with meaningful terms:

$$\Delta V_{elstat} + \Delta E_{Pauli} + \Delta E_{oi}$$
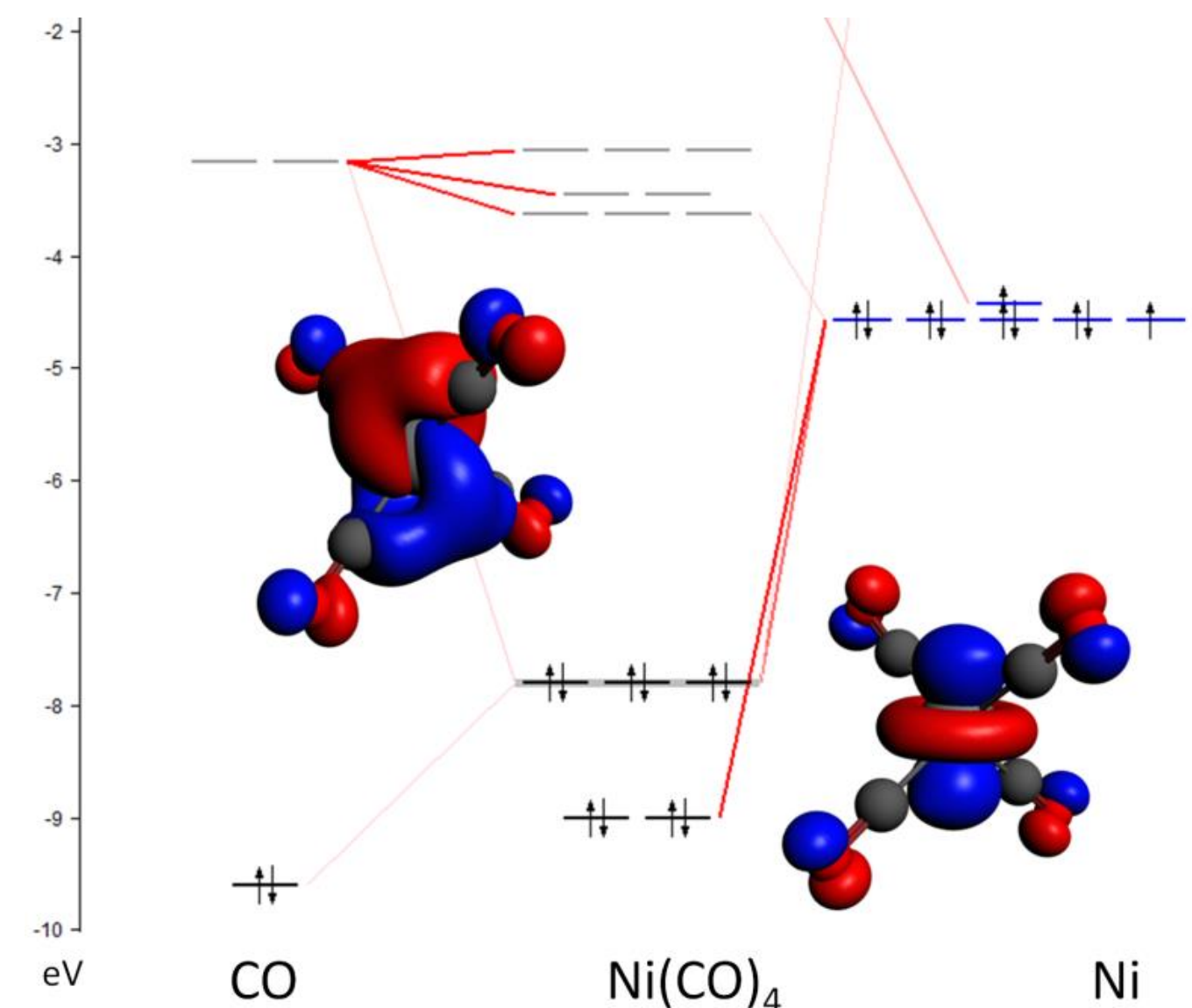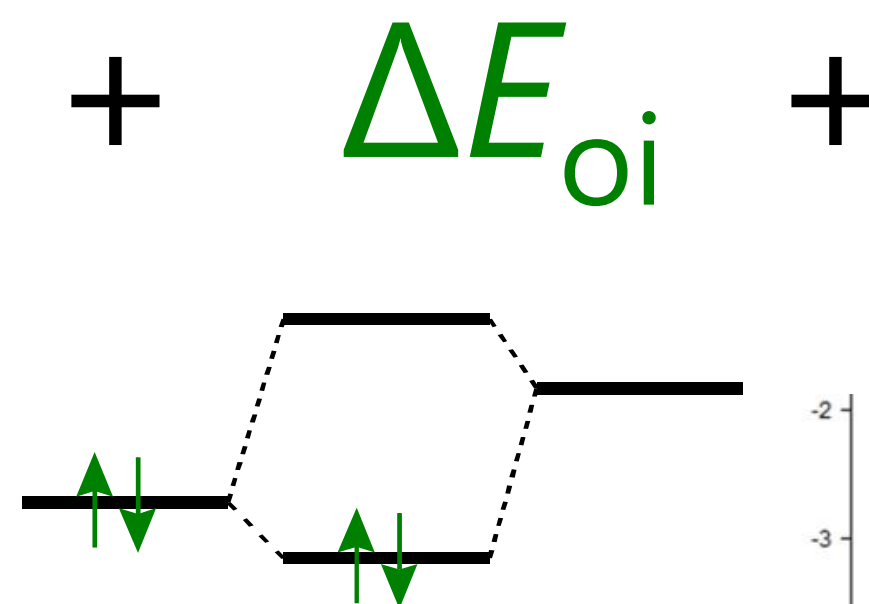
$\delta+ \Rightarrow \Leftarrow \delta-$

- Combine with NOCV ([webinar](#))
  - Orbital interactions / charge transfer

- Also periodic 1D, 2D, 3D ([tutorials](#))
  - (Ad)sorption nanotbues, surfaces, MOFs etc.
  - Raupach &Tonner, [J. Chem. Phys. 142, 194105 (2015)](#)



$\Psi_A^{GS}$  $\Psi_B^{GS}$

$\Delta E_{prep}$

$\Psi_A$  $\Psi_B$

$\Delta E_{elstat}$

$\{\Psi_A \Psi_B\}$

$\Delta E_{int}$

$\Delta E_{Pauli}$

$\Psi^0 = NA\{\Psi_A \Psi_B\}$

$\Delta E_{orb}$

$\Psi^{AB}$

**SCM**

# Catalyst design: activation strain model



c) Pd(PH$_3$)$_2$, Pd[P2P]

$\Delta E$ / kcal mol$^{-1}$

$\Delta E_{strain}$

TS

$\Delta E$

$\Delta E_{int}$

C–H stretch / Å

Chem. Eur. J. (communication) 2009, 15, 6112
Org. Biomol. Chem. 2010, 8, 3118
Nature Chem. 2010, 2, 417

Latest reviews:
Chem. Soc. Rev. 2014, 43, 4953
WIRES Comput. Mol. Sci. 2015, 5, 324

EDA along the reaction path
Bite-Angle Effect: Activation Strain analyses:
• HOMO–LUMO interaction marginally improved
• But: strain reduced by building it into catalyst

# Catalyst natural selection: survival of the weakest

Increased bite angle dppe → dppb
- Improved electronic interaction <u>and</u> larger repulsive strain
- Strain outweighs electronic interactions → dppb most destabilized → best catalyst
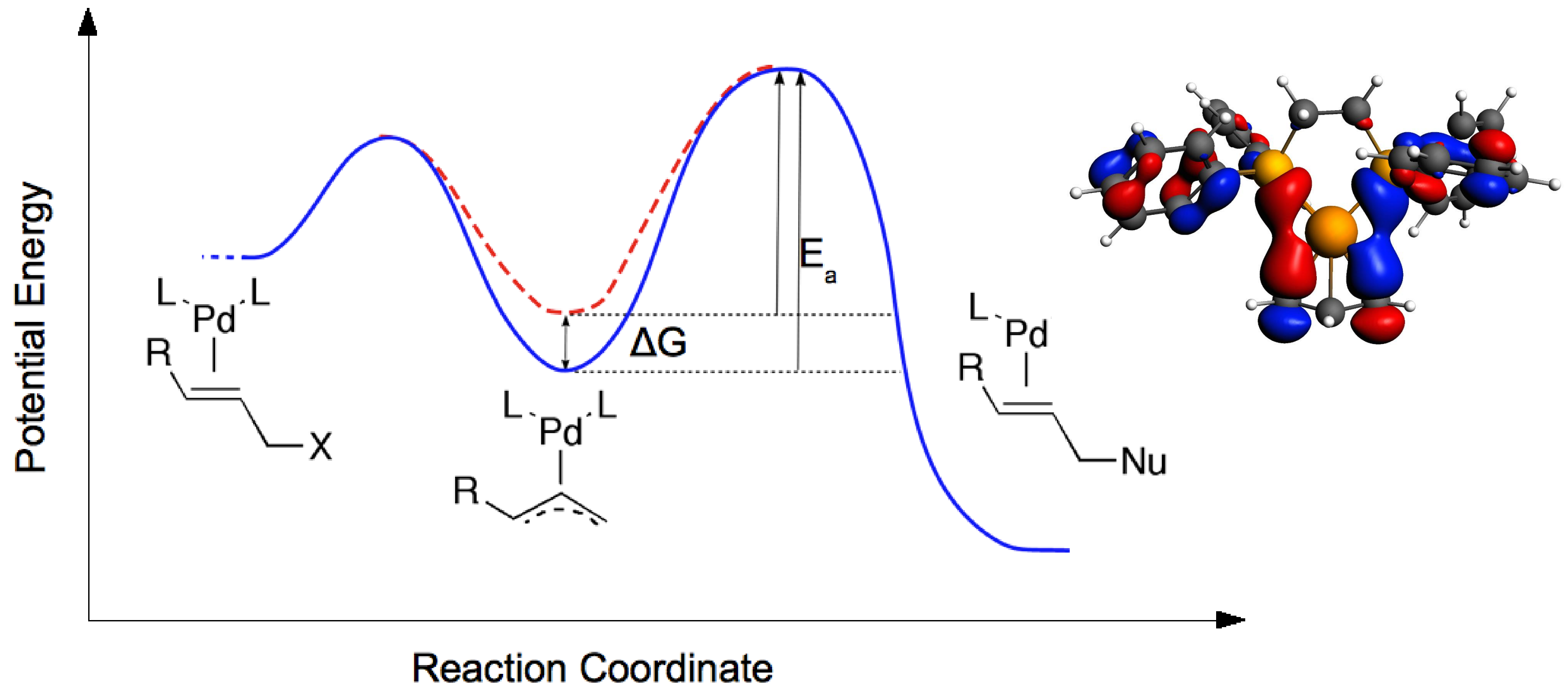


J. Wassenaar, et al. *Catalyst selection based on intermediate stability measured by mass spectrometry.* Nature Chem. 2, 417 (2010)

# CO on MgO: COOP

- **Exercise 13**: First do the [PEDA-NOCV tutorial](#)
- New input with same coordinates
- Scalar, PBE, DZP/small, basic, DOS, BandStructure
  - DOS details (...) => COOP, -20 to + 20eV
- Visualize the band structure and various COOPs
  - DOS-> COOP... Try different Mg (s) + Mg ($p_z$) and C ($p_z$) + C (s) combo's
  - What is the biggest contribution to the -10 eV band? Mg(s)+C(s-$p_z$); Mg's $p_z$ helps a bit
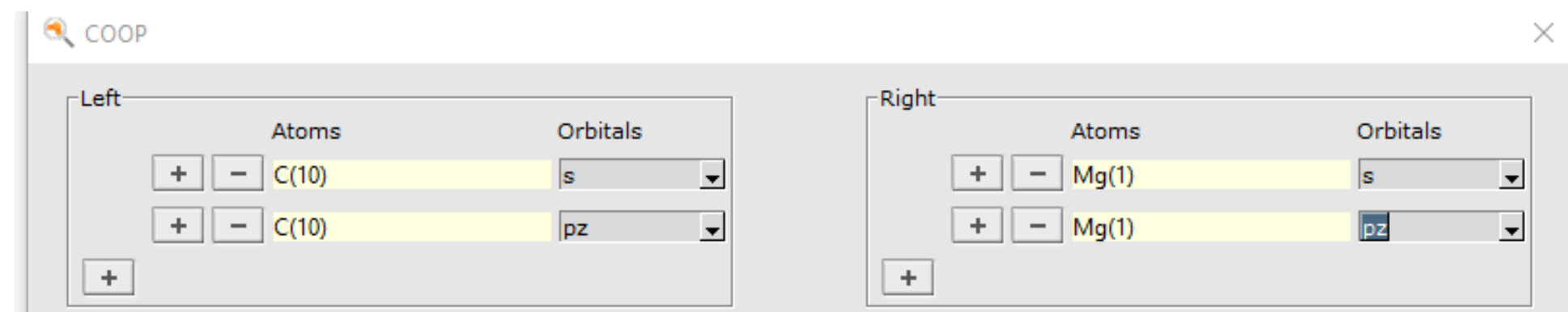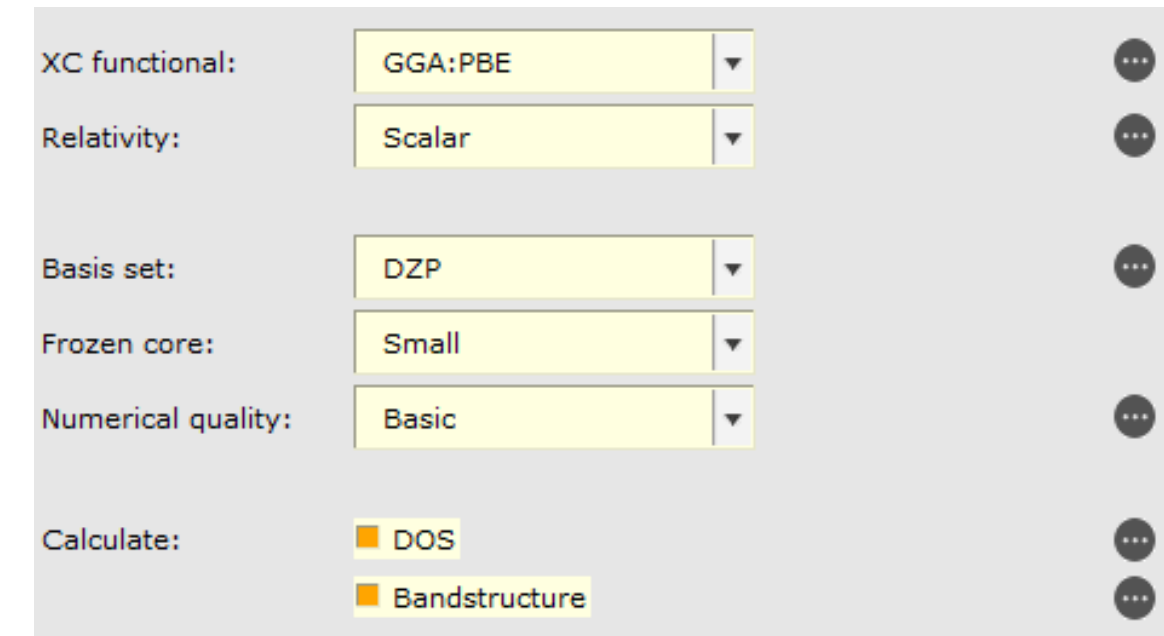


- Show MO of that band with ADFview, improve grid & tweak cut-off
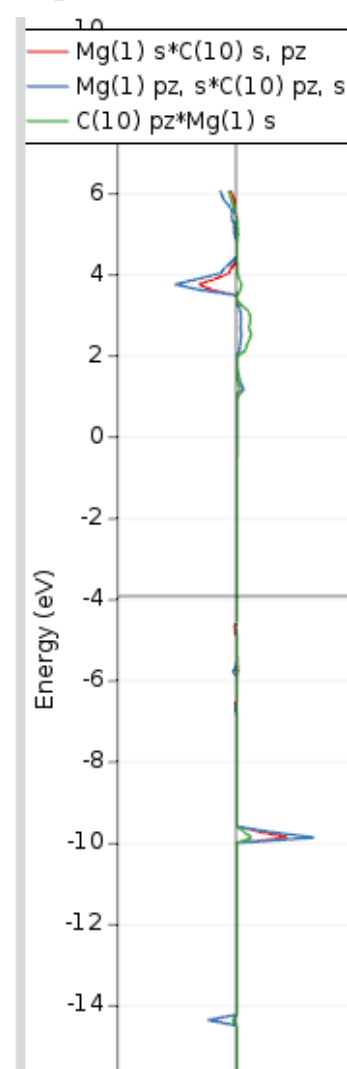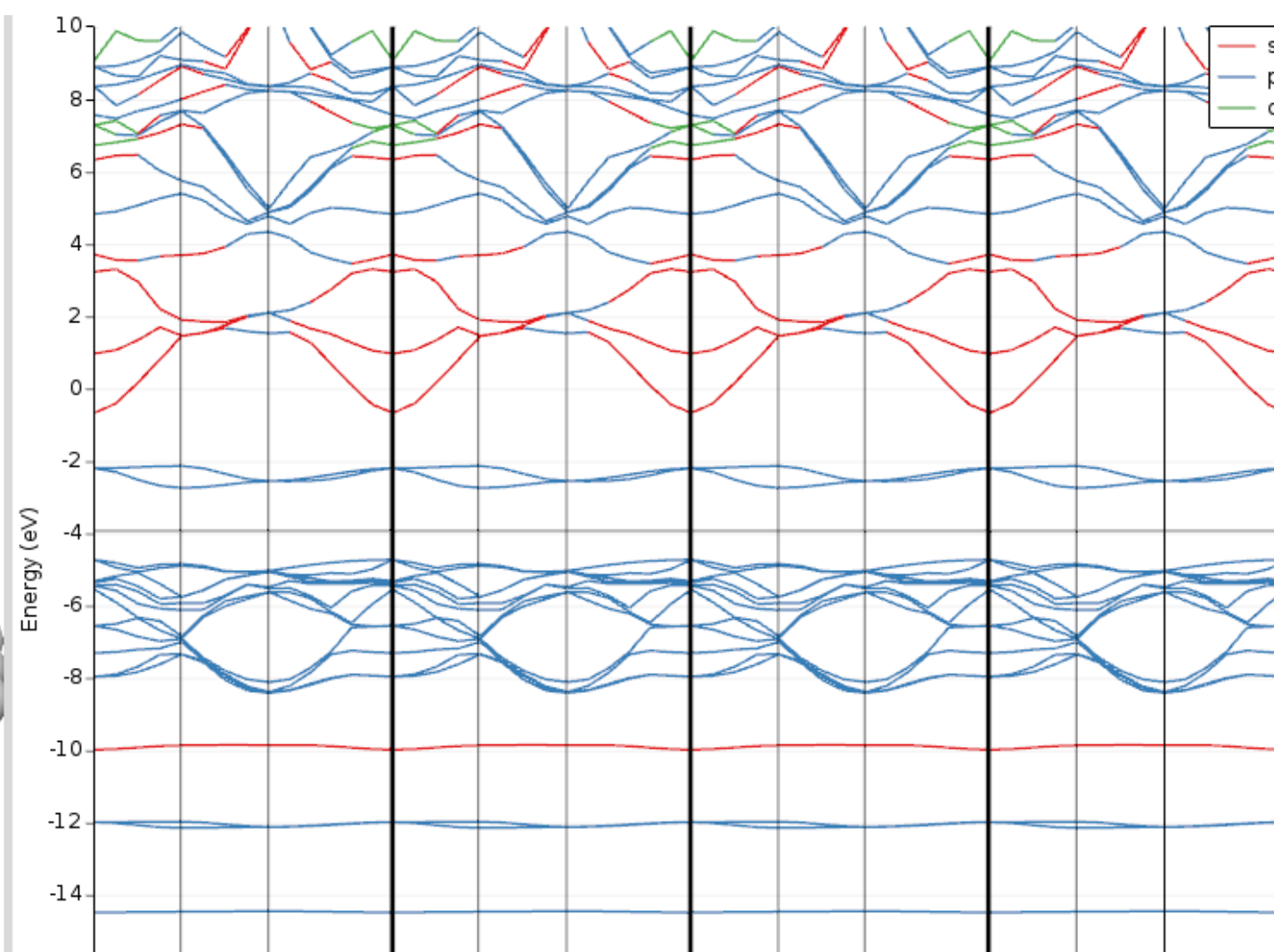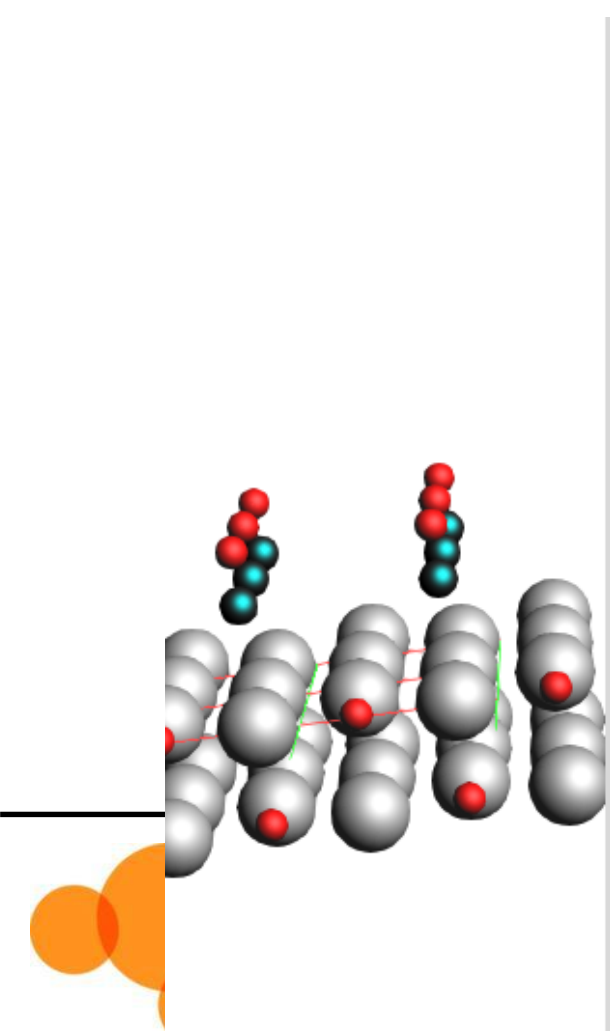


r, Tsinghua
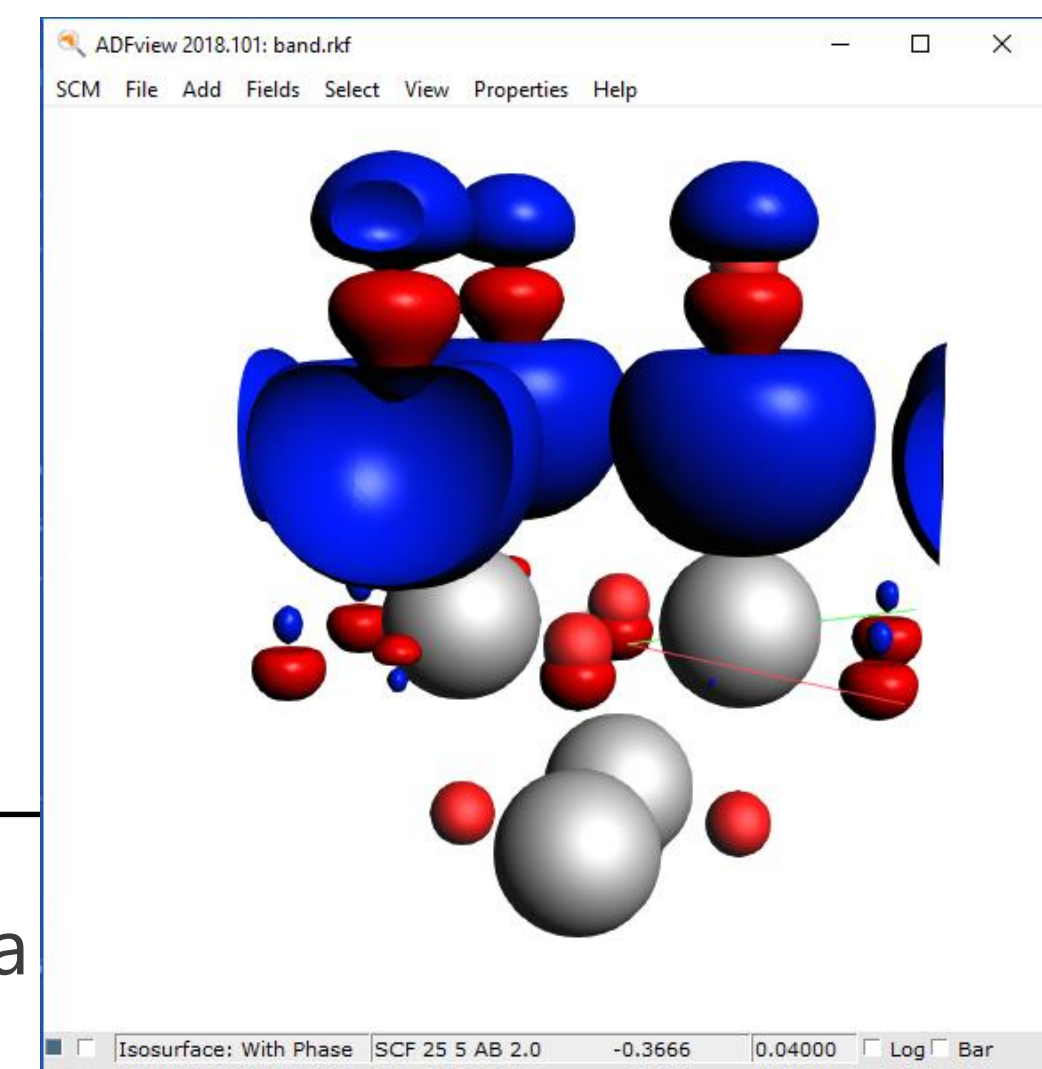
# AMS: Powerful driver

- Scan multiple coordinates for any periodicity
  - Works with ADF, BAND, DFTB, MOPAC, UFF, ReaxFF
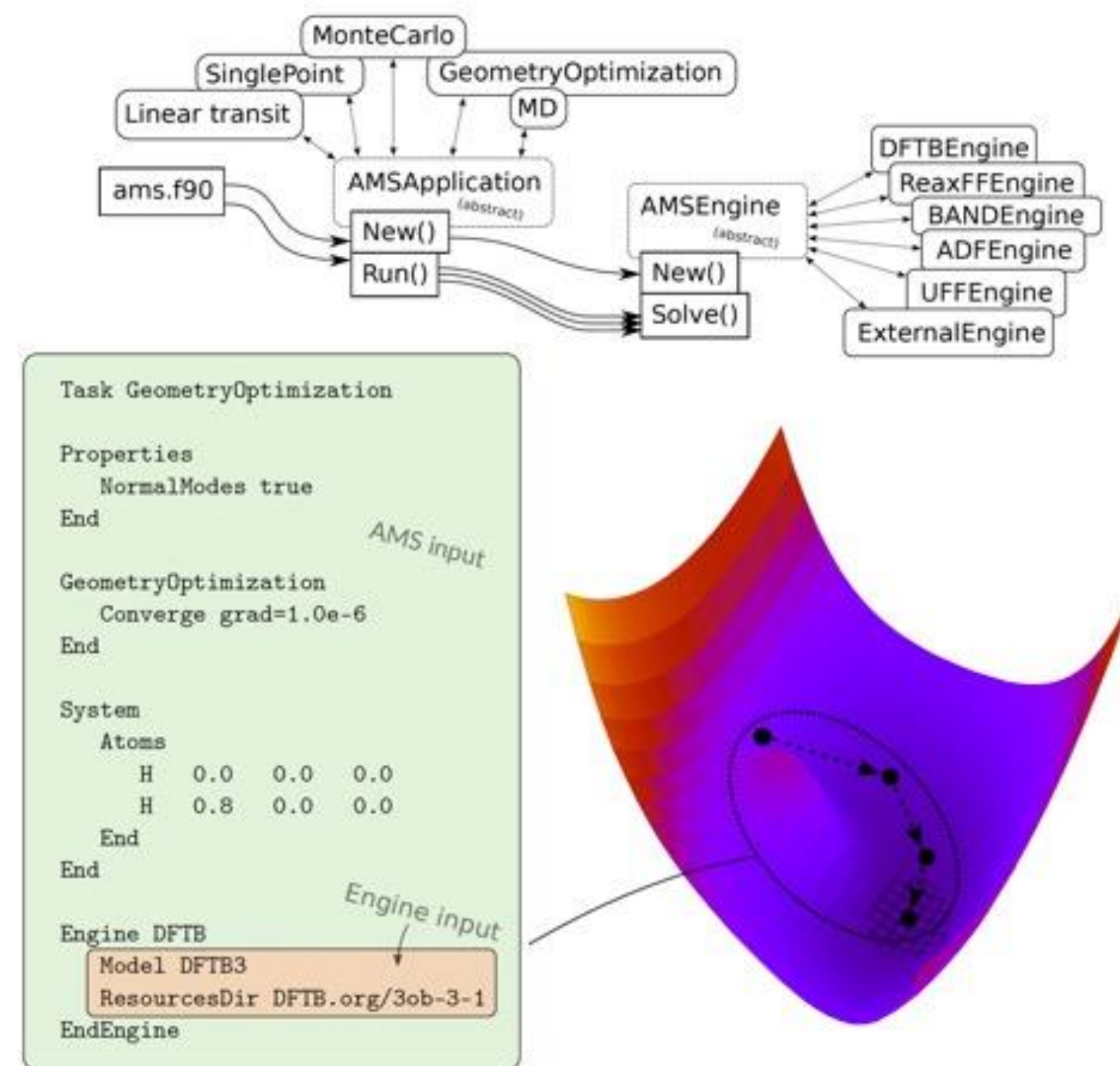  - Can be extended/interfaced with other codes

- Advanced MD barostats and thermostats
  - Also with DFT(B): **AIMD**
  - Other 'ReaxFF' functionality to be ported:
    - fbMC, CVHD, Molecule Gun, GCMC

- Reuse PES information

- Task farming (double parallelization)
  - Elastic tensors, phonons, frequencies



```
Task GeometryOptimization

Properties
    NormalModes true
End   AMS input

GeometryOptimization
    Converge grad=1.0e-6
End

System
    Atoms
        H   0.0   0.0   0.0
        H   0.8   0.0   0.0
    End
End

Engine DFTB   Engine input
    Model DFTB3
    ResourcesDir DFTB.org/3ob-3-1
EndEngine
```

# AMS: PES scan & TS search

- <u>Exercise 14</u>: $H_2$ on graphene
  - ○ Find graphite (or build it), slice a 1L 001 surface & remove top layer to get graphene
  - ○ Create 3x3 super cell and build $H_2$ somewhere in the input window
  - ○ Adjust Model -> Coordinates to put it atop, 2.4 above surface

| H(19) | 0.0 | 0.37 | 2.4 |
| H(20) | 0.0 | -0.37 | 2.4 |

  - ○ Go to DFTB, choose DFTB3-D3BJ/3ob-3-1 and set Task: PES Scan, click '...'
  - ○ In the PES Scan panel set the Scan coordinates by selecting atoms and clicking +:
    - ▪ Set SC-1 to $H_2$ from 0.74-1.4 (7 points)
    - ▪ Set SC-2 both H-C coordinates from 2.4-1.8 (7 points)
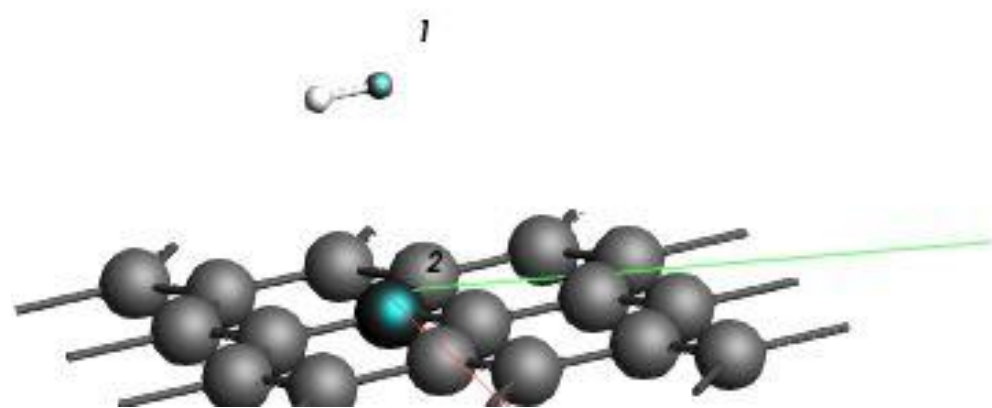


| Number of scan points for coordinate | | | SC-1: | 7 |
| | | | SC-2: | 7 |
| Results for all PES points: ☐ Save | | | | |
| ─  H(20) H(19) | 0.74 | 1.4 | Å | SC- 1 |
| ─  H(20) C(9) | 2.4 | 1.8 | Å | SC- 2 |
| ─  H(19) C(9) | 2.4 | 1.8 | Å | SC- 2 |

| Gradient convergence: | 1.0e-2 | Hartree/Å |
| Energy convergence: | 1.0e-4 | Hartree |
| Step convergence: | 1.0e-2 | Å |

  - ○ Lower convergence criteria in Details -> Geometry Optimization
  - ○ Save & Run

# AMS: PES scan & TS search

- <u>Exercise 14</u>: Explore the 2D PES
  - When Finished: SCM -> Movie
  - Find a plausible TS start point (x=1.18, y = 2.0)
  - File -> Save Geometry
  - Make a New input & import that geometry
  - Now we calculate the Hessian:
  - Go to DFTB, choose DFTB3-D3BJ/3ob-3-1
    - Task: Single point, followed by frequencies
  - Save & Run
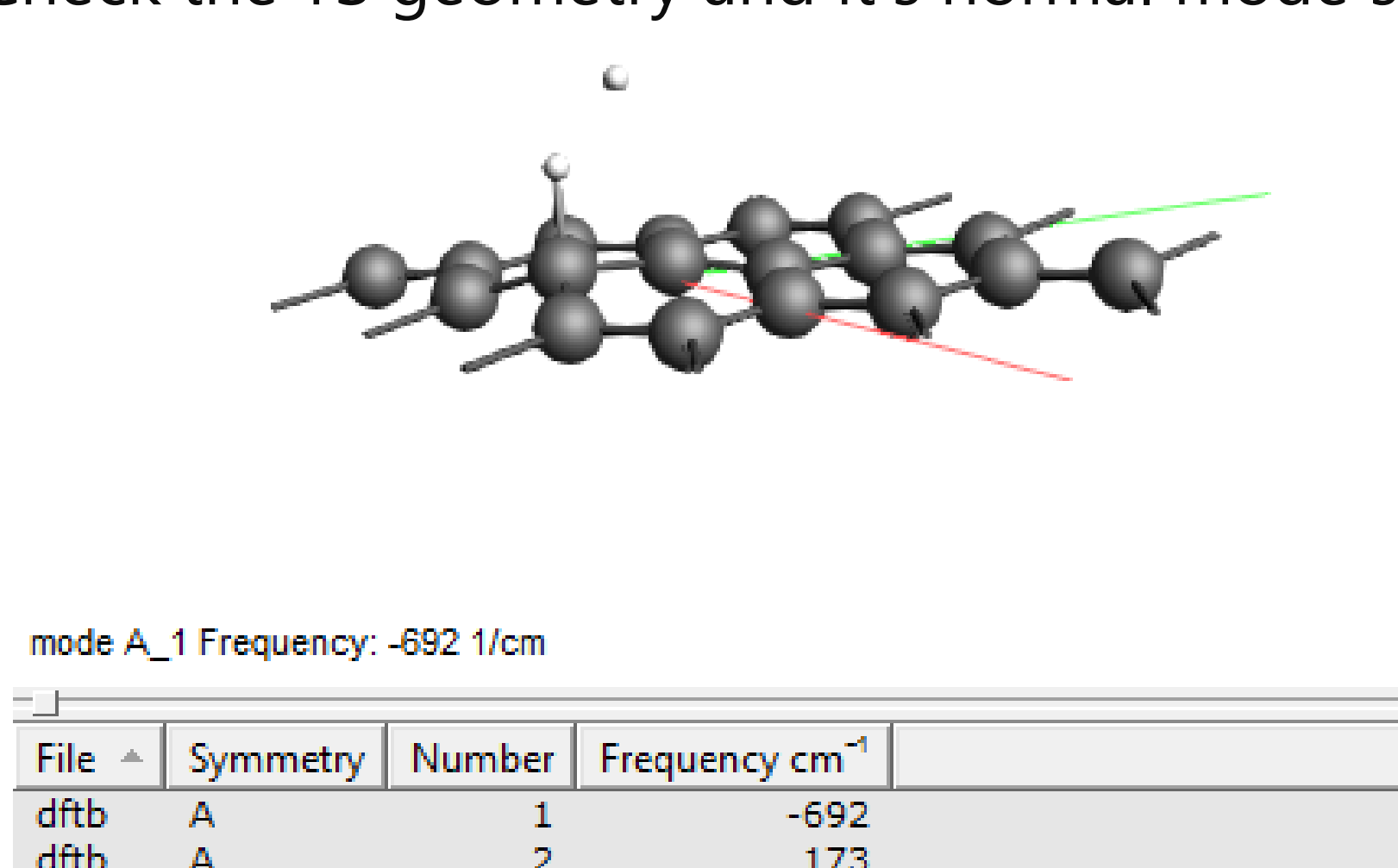  - Visualize the Spectra and check that there is 1 large imaginary mode
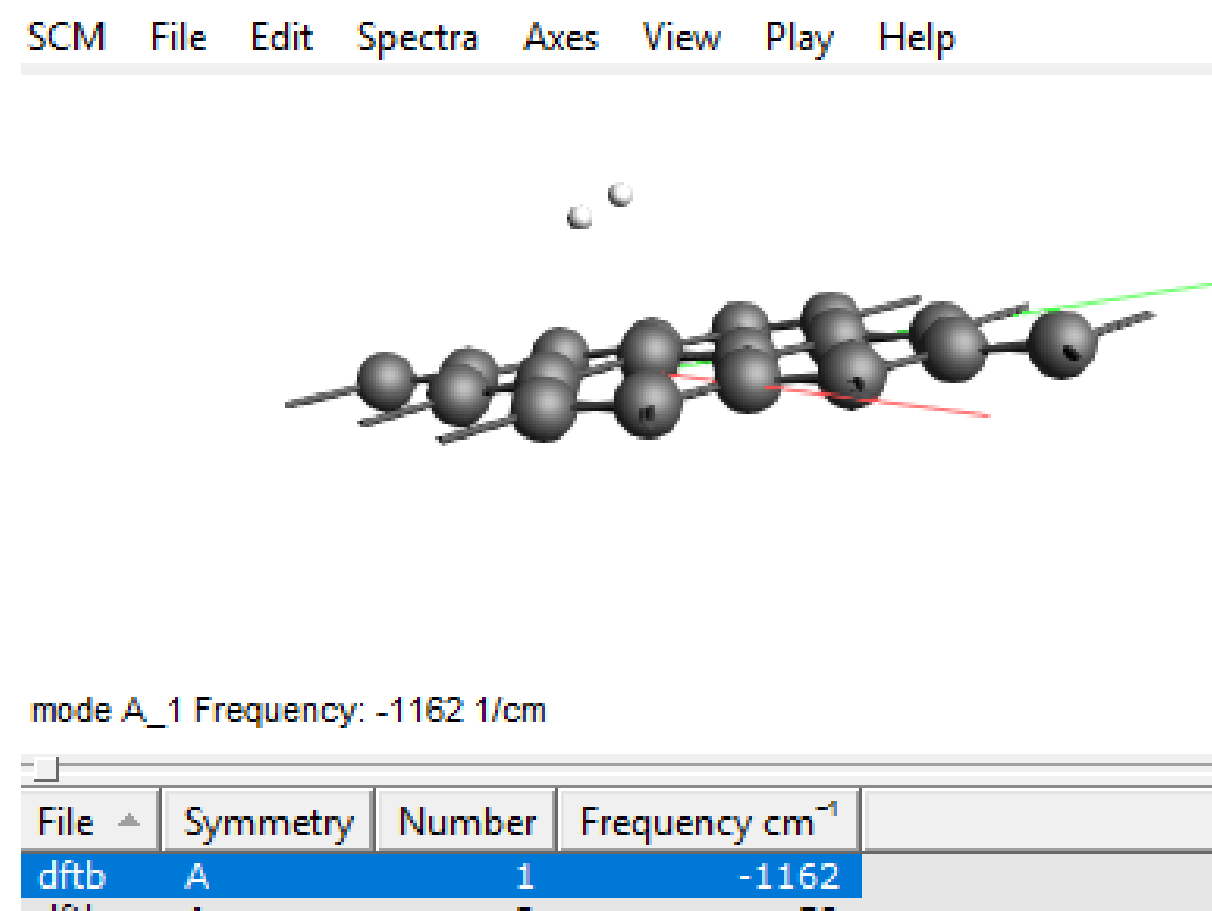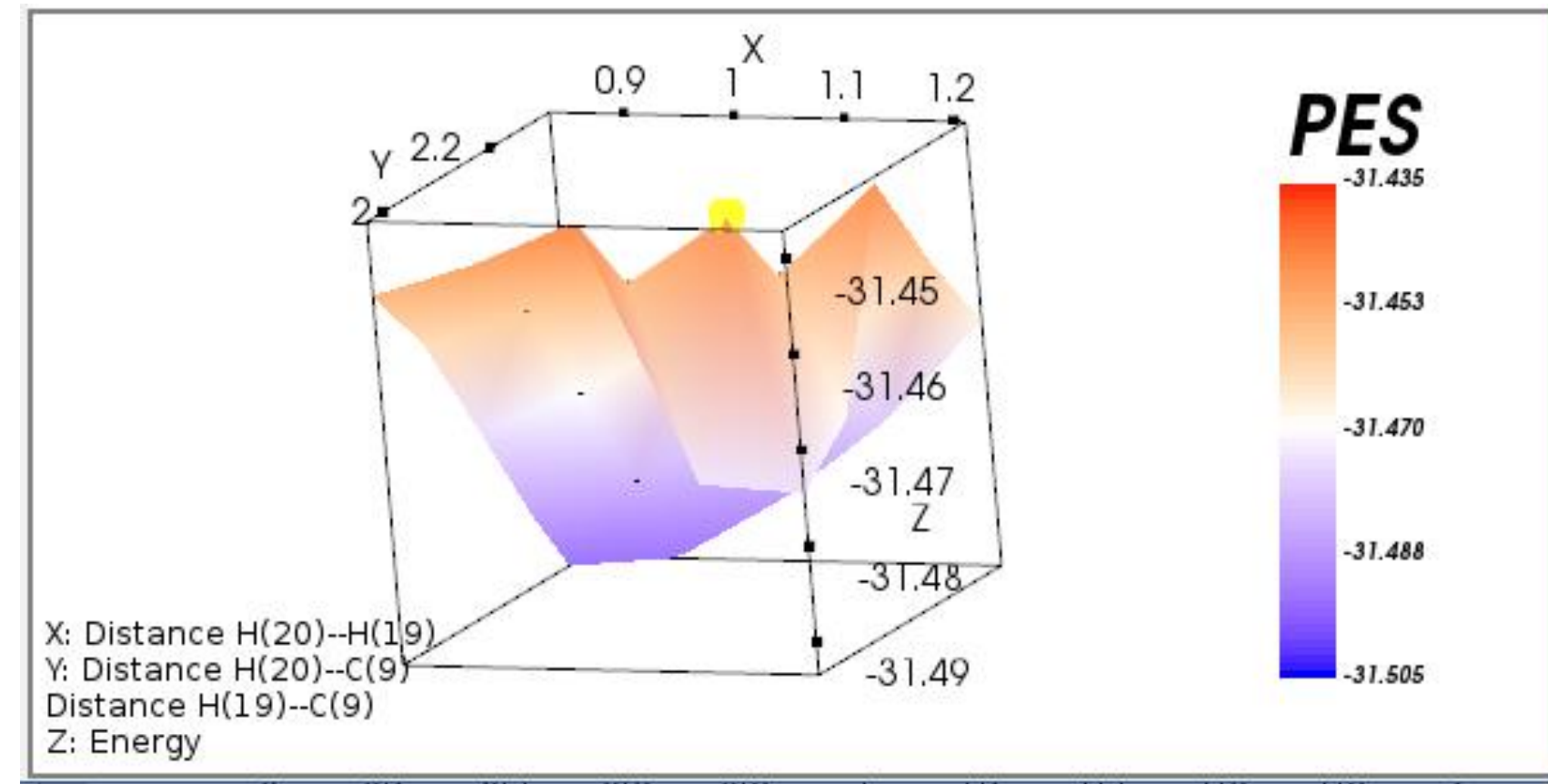
# AMS: PES scan & TS search

- **Exercise 14**: Find the TS
  - Change Task to Transition State
  - In Details -> Geometry Optimization: Initial Hessian -> From file -> find dftb.rkf

| Initial Hessian: | From File ▾ |
|---|---|
| Initial Hessian from: | 🗀 C:/ADF_DATA/H2_freq.results/dftb.rk |
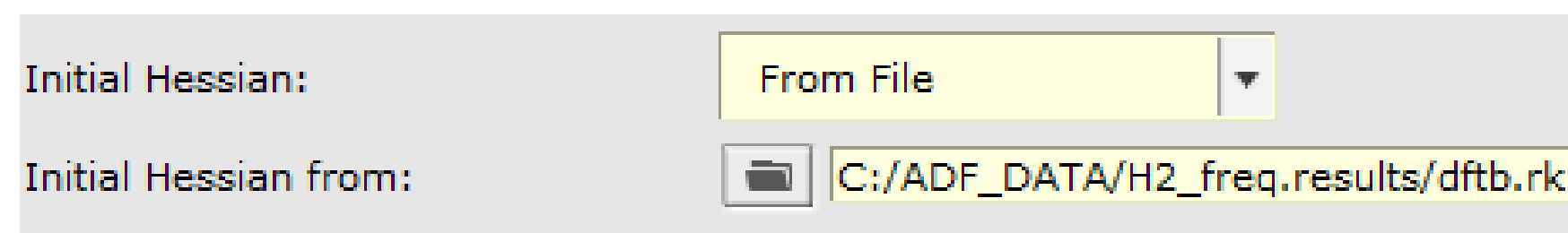
  - Save as a different file & Run
  - Check the TS geometry and it's normal mode spectrum



mode A_1 Frequency: -692 1/cm

| File ▲ | Symmetry | Number | Frequency cm$^{-1}$ | |
|---|---|---|---|---|
| dftb | A | 1 | -692 | |
| dftb | A | 2 | 173 | |

  - Calculate the activation energy as E(TS) – E (graphene + $H_2$) (you can put $H_2$ at 50A)